



**US Army Corps  
of Engineers**  
Kansas City District  
*Building Strong*

W912DQ23B1011

---

# **Clinton Lake Dam 24" Pipe Relining & Valve Replacement**

**Lawrence, KS  
PN: 508327**

**Ready to Advertise**

**Construction Specifications**

**August 2023**

**This page was intentionally left blank for duplex printing.**

**PROJECT TABLE OF CONTENTS**

**DIVISION 00 - PROCUREMENT AND CONTRACTING REQUIREMENTS**

00 10 00	SOLICITATION
00 21 00	INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFEROR
00 22 00	SUPPLEMENTARY INSTRUCTIONS
00 45 00	REPRESENTATIONS AND CERTIFICATIONS
00 70 00	CONDITIONS OF THE CONTRACT
00 72 00	GENERAL CONDITIONS
00 73 00	SUPPLEMENTARY CONDITIONS

**DIVISION 01 - GENERAL REQUIREMENTS**

01 11 00	SUMMARY OF WORK
01 12 00.00 23	PUMP PLANT OPERATIONS AND SAFETY REQUIREMENTS
01 20 00	PRICE AND PAYMENT PROCEDURES
01 30 00	ADMINISTRATIVE REQUIREMENTS
01 32 01.00 10	PROJECT SCHEDULE
01 33 00	SUBMITTAL PROCEDURES
01 35 26	GOVERNMENTAL SAFETY REQUIREMENTS
01 45 00.00 10	QUALITY CONTROL
01 45 00.15 10	RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE (RMS CM)
01 50 00	TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
01 57 19	TEMPORARY ENVIRONMENTAL CONTROLS
01 74 19	CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
01 78 00	CLOSEOUT SUBMITTALS
01 78 23	OPERATION AND MAINTENANCE DATA

**DIVISION 33 - UTILITIES**

33 01 98	LINING OF EXISTING PIPE FOR CLINTON DAM
33 11 00	WATER UTILITY DISTRIBUTION PIPING

-- End of Project Table of Contents --

**This page was intentionally left blank for duplex printing.**



<b>SOLICITATION, OFFER, AND AWARD</b> <i>(Construction, Alteration, or Repair)</i>	1. SOLICITATION NO.  W912DQ23B1011	2. TYPE OF SOLICITATION <input checked="checked" type="checkbox"/> SEALED BID (IFB) <input type="checkbox"/> NEGOTIATED (RFP)	3. DATE ISSUED  11-Aug-2023	PAGE OF PAGES  1 OF 35
<b>IMPORTANT - The "offer" section on the reverse must be fully completed by offeror.</b>				
4. CONTRACT NO.	5. REQUISITION/PURCHASE REQUEST NO.		6. PROJECT NO.	
7. ISSUED BY  USACE, KANSAS CITY + FEDERAL BLDG, CT-C + 601 E 12TH ST RM 647 KANSAS CITY MO 64106-2896  TEL: 816-389-3812      FAX: 816-389-2029/2030		CODE W912DQ  8. ADDRESS OFFER TO <i>(If Other Than Item 7)</i> CODE  <b>See Item 7</b>  TEL:      FAX:		
9. FOR INFORMATION CALL:	A. NAME NATHANIEL J LEYBA		B. TELEPHONE NO. <i>(Include area code) (NO COLLECT CALLS)</i> 816-389-2281	
<b>SOLICITATION</b>				
<b>NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".</b>				
10. THE GOVERNMENT REQUIRES PERFORMANCE OF THE WORK DESCRIBED IN THESE DOCUMENTS <span style="float: right;"><i>(Title, identifying no., date):</i></span>  <p>The U.S. Army Corps of Engineers (USACE), Kansas City District (NWK) has a need for construction services at Clinton Dam and Reservoir, Lawrence, Kansas. Work includes but is not limited to relining a 24-inch low flow pipe between the stilling basin wall and pumping plant, replacing a 24-inch butterfly valve, and a knife valve floor stand. The process for lining this project will be utilizing a Cured-In-Place Reliner.</p> <p>This requirement is an Invitation for Bid (IFB) that will result in one firm-fixed-price award.</p> <p>The NAICS Code for this requirement is 237110, Size Standard \$39,500,000.</p> <p>In accordance with FAR Part 36.204 and DFARS 236.204 the estimated magnitude of this project is between \$250,000 and \$500,000.</p>				
11. The Contractor shall begin performance within <u>10</u> calendar days and complete it within <u>480</u> calendar days after receiving <input type="checkbox"/> award, <input checked="checked" type="checkbox"/> notice to proceed. This performance period is <input checked="checked" type="checkbox"/> mandatory, <input type="checkbox"/> negotiable. <i>(See 52.211-10 _____.)</i>				
12 A. THE CONTRACTOR MUST FURNISH ANY REQUIRED PERFORMANCE AND PAYMENT BONDS? <i>(If "YES," indicate within how many calendar days after award in Item 12B.)</i>  <input checked="checked" type="checkbox"/> YES <input type="checkbox"/> NO			12B. CALENDAR DAYS  10	
13. ADDITIONAL SOLICITATION REQUIREMENTS:  A. Sealed offers in original and <u>1</u> copies to perform the work required are due at the place specified in Item 8 by <u>10:00 AM</u> (hour) local time <u>14 Sep 2023</u> (date). If this is a sealed bid solicitation, offers must be publicly opened at that time. Sealed envelopes containing offers shall be marked to show the offeror's name and address, the solicitation number, and the date and time offers are due.  B. An offer guarantee <input checked="checked" type="checkbox"/> is, <input type="checkbox"/> is not required.  C. All offers are subject to the (1) work requirements, and (2) other provisions and clauses incorporated in the solicitation in full text or by reference.  D. Offers providing less than <u>90</u> calendar days for Government acceptance after the date offers are due will not be considered and will be rejected.				

<b>SOLICITATION, OFFER, AND AWARD (Continued)</b> <i>(Construction, Alteration, or Repair)</i>										
<b>OFFER (Must be fully completed by offeror)</b>										
14. NAME AND ADDRESS OF OFFEROR <i>(Include ZIP Code)</i>					15. TELEPHONE NO. <i>(Include area code)</i>					
CODE                      FACILITY CODE					16. REMITTANCE ADDRESS <i>(Include only if different than Item 14)</i>  <b>See Item 14</b>					
					17. The offeror agrees to perform the work required at the prices specified below in strict accordance with the terms of this solicitation, if this offer is accepted by the Government in writing within _____ calendar days after the date offers are due. <i>(Insert any number equal to or greater than the minimum requirements stated in Item 13D. Failure to insert any number means the offeror accepts the minimum in Item 13D.)</i>					
AMOUNTS		SEE SCHEDULE OF PRICES								
18. The offeror agrees to furnish any required performance and payment bonds.										
<b>19. ACKNOWLEDGMENT OF AMENDMENTS</b> <i>(The offeror acknowledges receipt of amendments to the solicitation -- give number and date of each)</i>										
AMENDMENT NO.										
DATE										
20A. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER <i>(Type or print)</i>					20B. SIGNATURE			20C. OFFER DATE		
<b>AWARD (To be completed by Government)</b>										
21. ITEMS ACCEPTED:										
22. AMOUNT		23. ACCOUNTING AND APPROPRIATION DATA								
24. SUBMIT INVOICES TO ADDRESS SHOWN IN <i>(4 copies unless otherwise specified)</i>				<b>ITEM</b>	25. OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO <input type="checkbox"/> 10 U.S.C. 2304(c) <input type="checkbox"/> 41 U.S.C. 253(c)					
26. ADMINISTERED BY			CODE		27. PAYMENT WILL BE MADE BY:                      CODE					
<b>CONTRACTING OFFICER WILL COMPLETE ITEM 28 OR 29 AS APPLICABLE</b>										
<input type="checkbox"/> 28. NEGOTIATED AGREEMENT <i>(Contractor is required to sign this document and return _____ copies to issuing office.)</i> Contractor agrees to furnish and deliver all items or perform all work, requisitions identified on this form and any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications or incorporated by reference in or attached to this contract.					<input type="checkbox"/> 29. AWARD <i>(Contractor is not required to sign this document.)</i> Your offer on this solicitation, is hereby accepted as to the items listed. This award commutes the contract, which consists of (a) the Government solicitation and your offer, and (b) this contract award. No further contractual document is necessary.					
30A. NAME AND TITLE OF CONTRACTOR OR PERSON AUTHORIZED TO SIGN <i>(Type or print)</i>					31A. NAME OF CONTRACTING OFFICER <i>(Type or print)</i>					
30B. SIGNATURE			30C. DATE		TEL:    EMAIL:			31B. UNITED STATES OF AMERICA BY		
								31C. AWARD DATE		

## Section 00 10 00 - Solicitation

CAUTION PAGE

**BEFORE SIGNING AND EMAILING YOUR BID, please take note of the following, as failure to perform any one of these actions may cause your bid to be rejected.**

1. AMENDMENTS: Have you acknowledged receipt of ALL amendments? If in doubt as to the number of amendments issued, please contact our office.
2. AMENDED BID PAGES: If any of the amendments furnished amended bid pages, the amended bid pages must be used in submitting your bid.
3. BID GUARANTEE: Sufficient bid guarantee shall be submitted using the latest version of Standard Form (SF) 24 and must be furnished with your bid, if your bid exceeds \$150,000.
4. MISTAKE IN BID: Have you reviewed your bid prices for possible errors in calculations or work left out?
5. FACSIMILE BIDS AND MODIFICATIONS: Bids submitted by fax will not be considered. Facsimile withdrawals will be considered.
6. BUY AMERICAN ACT: All bidders are cautioned that, prior Government conduct notwithstanding, the Contractor's selection of a domestic construction material which would require the subsequent selection of a foreign construction material for compatibility is not a justification for waiver of the Buy American Act. It is the Contractor's responsibility to verify, prior to submitting the materials for approval, which each system can be built to meet the contract specifications without the use of foreign construction materials.
7. SUBMISSION OF BIDS AND BID OPENING: Due to the COVID-19 Global Pandemic, the Government is taking precautions to ensure the safety and health of all Contractors and Government employees. Electronic bids are REQUIRED. Mailed and hand-delivered bids are NOT ALLOWED. The Contractor is required to ensure that the bid package is legible and complete. Bid bonds shall be copied to where the seals are legible.

To submit your bid, see Submission Requirements Section of this solicitation. Keep in mind that due to email safeguards and the size of your bid package, emails may not be received immediately by the Government; therefore, make sure to email bid packages at least ONE HOUR before the bid opening time specified in the solicitation to ensure there was adequate time to receive bids. If bid packages are not received by the Government recipient by the date and time specified for the bid opening, it may be considered late. Refer to FAR 52.214-7 for how late bid packages are handled.

As another precaution, the conference room will be closed off to the public for viewing, but there will be a conference phone line available for all who are interested in attending the bid opening. The following information will be needed if you would like to call in for the bid opening:

US Toll Free: 1 844-800-2712  
US Toll: 1-699-234-1177  
Access Code: 199 042 7177

If you have any questions, or cannot gain access to the conference line, contact Contract Specialist at (816) 389-2281.

8. SYSTEM FOR AWARD MANAGEMENT (SAM): In accordance with FAR 52.204-7, by submission of a bid, the bidder acknowledges the requirement that a prospective awardee shall be registered in the SAM database at the time of bid submission, during performance and through final payment of any contract resulting from this solicitation. If the bidder does not become registered in the SAM database in the time prescribed by the Contracting

Officer, the Contracting Officer will proceed to award to the next otherwise successful registered bidder. Bidders may obtain information on registration and annual confirmation requirements via the SAM database accessed through <https://www.sam.gov/SAM/>. It is highly encouraged that all prospective bidders start their SAM registration process as soon as possible. SAM.GOV REGISTRATION IS FREE OF CHARGE.

PER FAR 4.1102(a), BIDDERS MUST BE REGISTERED IN THE SAM DATABASE AT THE TIME A BID IS SUBMITTED. AFTER A BID IS SUBMITTED AND A BIDDER IS FOUND NOT REGISTERED IN THE SAM DATABASE, THE CONTRACTING OFFICER WILL PROCEED WITH AWARD TO THE NEXT SUCCESSFUL CONTRACTOR.

If you have questions about Government procurements in general or need assistance in the preparation of your proposal, a local Procurement Technical Assistance Center (PTAC) may be able to help. The Procurement Technical Assistance Program was authorized by Congress in 1985 in an effort to expand the number of businesses capable of participating in the Government marketplace. To locate a PTAC near you, go to <http://www.aptac-us.org/samregistration/>. THIS IS A FREE SERVICE BY A NON PROFIT PAID FOR BY THE U.S. GOVERNMENT.

#### MAGNITUDE OF PROJECT

The magnitude of this project is represented by the following estimated price range: Between \$250,000 and \$500,000

#### POINTS OF CONTACTS

Title	Name	Phone	Email
Contracting Officer	Joshua Higginbotham	(816) 389-3059	<a href="mailto:joshua.m.higginbotham@usace.army.mil">joshua.m.higginbotham@usace.army.mil</a>
Contract Specialist	Nathaniel Leyba	(816) 389-2281	<a href="mailto:nathaniel.j.leyba@usace.army.mil">nathaniel.j.leyba@usace.army.mil</a>

ITEM NO	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
0001	Re-line 24 inch Low Flow Pipe FFP The Contractor shall furnish all materials, labor and equipment necessary to complete the installation of the re-liner in accordance with the Plans and Specifications and all applicable laws, regulations and safety procedures required to perform the work. FOB: Destination PSC CD: 4710	1	Job		

---

NET AMT

ITEM NO	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
---------	-------------------	----------	------	------------	--------

0002 Coal Tar Application  
FFP

The Contractor shall furnish all materials, labor and equipment necessary for coal tar application in accordance with the Plans and Specifications and all applicable laws, regulations and safety procedures required to perform the work.

FOB: Destination

PSC CD: 4710

---

NET AMT

ITEM NO	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
---------	-------------------	----------	------	------------	--------

0003 Replace and Install New Meter and Valves  
FFP

The Contractor shall furnish all materials, labor and equipment necessary to replace 24 inch butterfly valve, 24inch pipe saddle meter, 24 inch knife valve, and knife valve floor stand in and operating components in accordance with the Plans and Specifications and all applicable laws, regulations and safety procedures required to perform the work.

FOB: Destination

PSC CD: 4710

---

NET AMT

#### DELIVERY INFORMATION

CLIN	DELIVERY DATE	QUANTITY	SHIP TO ADDRESS	DODAAC / CAGE
------	---------------	----------	-----------------	------------------

0001	480 dys. ANP	1	USACE, KANSAS CITY + MANHAL D. ALSAMAN PM-C CIVIL WORK BR RM 554 FEDERAL BUILDING KANSAS CITY MO 64106-2896 816-389-3714 FOB: Destination	966419
0002	480 dys. ANP	1	(SAME AS PREVIOUS LOCATION) FOB: Destination	966419
0003	480 dys. ANP	1	(SAME AS PREVIOUS LOCATION) FOB: Destination	966419

## Section 00 21 00 - Instructions

SUBMISSION REQUIREMENTS

All bids are due by: SEE BLOCK 13, on front page

The public bid opening will begin: SEE BLOCK 13, on front page.

[nathaniel.j.leyba@usace.army.mil](mailto:nathaniel.j.leyba@usace.army.mil)

[joshua.m.higginbotham@usace.army.mil](mailto:joshua.m.higginbotham@usace.army.mil)

This IFB will result in one Firm-Fixed-Price contract.

Submit one (1) copy of the following in your bid package:

Bid schedule - complete with your bid amount

Ensure all Amendments are acknowledged

Bid Bonds - required with your submission

Provisions/clauses are filled out that have not been acknowledged in SAM registration

Field Office information filled out

Ensure Bid is reviewed and signed by a Principal of your company with authority to do so

List of Subcontractors

INQUIRIES/QUESTIONS/PLAN ROOM FOR DRAWINGS

Prospective Bidders shall submit contracting and technical inquiries and questions concerning this solicitation document via Bidder Inquiry in ProjNet at [www.projnet.org/projnet](http://www.projnet.org/projnet).

To submit and review Bidder inquiry items, bidders will need to be a current registered user or self-register into the system. To self-register, go to the aforementioned web page and click on Register. Select Agency: USACE, then select Site: Contractor. Complete the required fields and then click the Register button.

If you are registered, enter the Managing Agency: USACE, your email address, and the Bidder Inquiry Key for this solicitation listed below, check the security box, and then click Sign in.

From this page, you may view all bidder inquiries or add a new inquiry.

Bidders will receive an acknowledgement of their questions via email, followed by an answer to their questions after it has been processed by our technical team. \*\*\*Please Note: Bidders shall only submit one (1) question per inquiry. All inquiries containing multiple questions shall be rejected\*\*\*

The Solicitation Number is: W912DQ23B1011

The Bidder Inquiry Key is: **HAK8W3-SKW929**

The Bidder Inquiry System will be unavailable for new inquiries after **6 September 2023**, at 2:00 PM local Kansas City time in order to ensure adequate time is allotted to form an appropriate response to inquiries and amend the solicitation, if necessary.

Bidders are requested to review the specification in its entirety, and review the Bidder Inquiry System for answers to questions prior to submission of a new inquiry.

The telephone number for the Call Center is (833) 389-1097 or (217) 367-3273. Email to: [staff@projnet.info](mailto:staff@projnet.info).

CLAUSES INCORPORATED BY REFERENCE

52.204-16	Commercial and Government Entity Code Reporting	AUG 2020
52.204-22	Alternative Line Item Proposal	JAN 2017
52.214-3	Amendments To Invitations For Bids	DEC 2016
52.214-4	False Statements In Bids	APR 1984
52.214-5	Submission Of Bids	DEC 2016
52.214-6	Explanation To Prospective Bidders	APR 1984
52.214-7	Late Submissions, Modifications, and Withdrawals of Bids	NOV 1999
52.214-18	Preparation of Bids-Construction	APR 1984
52.214-34	Submission Of Offers In The English Language	APR 1991
52.214-35	Submission Of Offers In U.S. Currency	APR 1991
52.222-5	Construction Wage Rate Requirements--Secondary Site of the Work	MAY 2014
52.225-10	Notice of Buy American Requirement--Construction Materials	MAY 2014
252.204-7019	Notice of NIST SP 800-171 DoD Assessment Requirements	MAR 2022
252.211-7002	Availability For Examination Of Specifications, Standards, Plans, Drawings, Data Item Descriptions, And Other Pertinent Documents	DEC 1991

#### CLAUSES INCORPORATED BY FULL TEXT

##### 52.216-1 TYPE OF CONTRACT (APR 1984)

The Government contemplates award of a Firm Fixed Price contract resulting from this solicitation.

(End of provision)

##### 52.228-1 BID GUARANTEE (SEP 1996)

(a) Failure to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids, may be cause for rejection of the bid.

(b) The bidder shall furnish a bid guarantee in the form of a firm commitment, e.g., bid bond supported by good and sufficient surety or sureties acceptable to the Government, postal money order, certified check, cashier's check, irrevocable letter of credit, or, under Treasury Department regulations, certain bonds or notes of the United States. The Contracting Officer will return bid guarantees, other than bid bonds, (1) to unsuccessful bidders as soon as practicable after the opening of bids, and (2) to the successful bidder upon execution of contractual documents and bonds (including any necessary coinsurance or reinsurance agreements), as required by the bid as accepted.-

(c) The amount of the bid guarantee shall be 20 percent of the bid price or \$3,000,000.00, whichever is less.-

(d) If the successful bidder, upon acceptance of its bid by the Government within the period specified for acceptance, fails to execute all contractual documents or furnish executed bond(s) within 10 days after receipt of the forms by the bidder, the Contracting Officer may terminate the contract for default.-

(e) In the event the contract is terminated for default, the bidder is liable for any cost of acquiring the work that exceeds the amount of its bid, and the bid guarantee is available to offset the difference.

(End of provision)



## 52.233-2 SERVICE OF PROTEST (SEP 2006)

(a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the Government Accountability Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from

U.S. Army Corps of Engineers, Kansas City District  
Attn: Joshua Higginbotham  
Federal Building  
601 E. 12<sup>th</sup> Street, RM 647  
Kansas City, MO 64106-2896

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

(End of provision)

## 52.236-27 SITE VISIT (CONSTRUCTION) (FEB 1995) – ALTERNATE I (FEB 1995)

(a) The clauses at 52.236-2, Differing Site Conditions, and 52.236-3, Site Investigations and Conditions Affecting the Work, will be included in any contract awarded as a result of this solicitation. Accordingly, offerors or quoters are urged and expected to inspect the site where the work will be performed.

(b) An organized site visit has been scheduled for—

(b) An organized site visit has been scheduled for—

24 August 2023 at 10:00 Local

(c) Participants will meet at—

The pump plant parking lot adjacent to Clinton Spillway N ACC Road, Clinton, KS 66047.

GPS Coordinates: 38.931952, -95.329074

(d) Point of Contact is

Manhal Alsaman, 816-787-4635

(End of provision)

## 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FEB 1998)

This solicitation incorporates one or more solicitation provisions by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. The offeror is cautioned that the listed provisions may include blocks that must be completed by the offeror and submitted with its quotation or offer. In lieu of submitting the full text of those provisions, the offeror may identify the provision by

paragraph identifier and provide the appropriate information with its quotation or offer. Also, the full text of a solicitation provision may be accessed electronically at this/these address(es):

**FAR:**

<https://www.acquisition.gov/browse/index/far>

**DFARS:**

<https://www.acq.osd.mil/dpap/dars/dfarspgi/current/index.html>

**AFARS:**

<https://www.acquisition.gov/afars>

(End of provision)

52.252-5 AUTHORIZED DEVIATIONS IN PROVISIONS (NOV 2020)

(a) The use in this solicitation of any Federal Acquisition Regulation (48 CFR Chapter 1) provision with an authorized deviation is indicated by the addition of "(DEVIATION)" after the date of the provision.

(b) The use in this solicitation of any Defense Federal Acquisition Regulation Supplement (48 CFR Chapter 2) provision with an authorized deviation is indicated by the addition of "(DEVIATION)" after the name of the regulation.

(End of provision)

## Section 00 45 00 - Representations and Certifications

## CLAUSES INCORPORATED BY REFERENCE

52.204-20	Predecessor of Offeror	AUG 2020
52.204-26	Covered Telecommunications Equipment or Services-- Representation.	OCT 2020
52.209-13	Violation of Arms Control Treaties or Agreements -- Certification	NOV 2021
52.229-12	Tax on Certain Foreign Procurements	FEB 2021
252.203-7005	Representation Relating to Compensation of Former DoD Officials	SEP 2022
252.204-7008	Compliance With Safeguarding Covered Defense Information Controls	OCT 2016
252.204-7017	Prohibition on the Acquisition of Covered Defense Telecommunications Equipment or Services -- Representation	MAY 2021
252.232-7016	Notice of Progress Payments or Performance-Based Payments	APR 2020

## CLAUSES INCORPORATED BY FULL TEXT

## 52.204-8 ANNUAL REPRESENTATIONS AND CERTIFICATIONS (MAR 2023)

(a)(1) The North American Industry Classification System (NAICS) code for this acquisition is 237110.

(2) The small business size standard is \$45,000,000.

(3) The small business size standard for a concern that submits an offer, other than on a construction or service acquisition, but proposes to furnish an end item that it did not itself manufacture, process, or produce is 500 employees, or 150 employees for information technology value-added resellers under NAICS code 541519, if the acquisition--

(i) Is set aside for small business and has a value above the simplified acquisition threshold;

(ii) Uses the HUBZone price evaluation preference regardless of dollar value, unless the offeror waives the price evaluation preference; or

(iii) Is an 8(a), HUBZone, service-disabled veteran-owned, economically disadvantaged women-owned, or women-owned small business set-aside or sole-source award regardless of dollar value.

(b)(1) If the provision at 52.204-7, System for Award Management, is included in this solicitation, paragraph (d) of this provision applies.

(2) If the provision at 52.204-7, System for Award Management, is not included in this solicitation, and the Offeror has an active registration in the System for Award Management (SAM), the Offeror may choose to use paragraph (d) of this provision instead of completing the corresponding individual representations and certifications in the solicitation. The Offeror shall indicate which option applies by checking one of the following boxes:

(        ) Paragraph (d) applies.

(        ) Paragraph (d) does not apply and the offeror has completed the individual representations and certifications in the solicitation.

(c) (1) The following representations or certifications in SAM are applicable to this solicitation as indicated:

(i) 52.203-2, Certificate of Independent Price Determination. This provision applies to solicitations when a firm-fixed-price contract or fixed-price contract with economic price adjustment is contemplated, unless—

(A) The acquisition is to be made under the simplified acquisition procedures in Part 13;

(B) The solicitation is a request for technical proposals under two-step sealed bidding procedures; or

(C) The solicitation is for utility services for which rates are set by law or regulation.

(ii) 52.203-11, Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions. This provision applies to solicitations expected to exceed \$150,000.

(iii) 52.203-18, Prohibition on Contracting with Entities that Require Certain Internal Confidentiality Agreements or Statements--Representation. This provision applies to all solicitations.

(iv) 52.204-3, Taxpayer Identification. This provision applies to solicitations that do not include the provision at 52.204-7, System for Award Management.

(v) 52.204-5, Women-Owned Business (Other Than Small Business). This provision applies to solicitations that—

(A) Are not set aside for small business concerns;

(B) Exceed the simplified acquisition threshold; and

(C) Are for contracts that will be performed in the United States or its outlying areas.

(vi) 52.204-26, Covered Telecommunications Equipment or Services--Representation. This provision applies to all solicitations.

(vii) 52.209-2, Prohibition on Contracting with Inverted Domestic Corporations--Representation.

(viii) 52.209-5, Certification Regarding Responsibility Matters. This provision applies to solicitations where the contract value is expected to exceed the simplified acquisition threshold.

(ix) 52.209-11, Representation by Corporations Regarding Delinquent Tax Liability or a Felony Conviction under any Federal Law. This provision applies to all solicitations.

(x) 52.214-14, Place of Performance--Sealed Bidding. This provision applies to invitations for bids except those in which the place of performance is specified by the Government.

(xi) 52.215-6, Place of Performance. This provision applies to solicitations unless the place of performance is specified by the Government.

(xii) 52.219-1, Small Business Program Representations (Basic, Alternates I, and II). This provision applies to solicitations when the contract is for supplies to be delivered or services to be performed in the United States or its outlying areas, or when the contracting officer has applied part 19 in accordance with 19.000(b)(1)(ii).

(A) The basic provision applies when the solicitations are issued by other than DoD, NASA, and the Coast Guard.

(B) The provision with its Alternate I applies to solicitations issued by DoD, NASA, or the Coast Guard.

(C) The provision with its Alternate II applies to solicitations that will result in a multiple-award contract with more than one NAICS code assigned.

(xiii) 52.219-2, Equal Low Bids. This provision applies to solicitations when contracting by sealed bidding and the contract is for supplies to be delivered or services to be performed in the United States or its outlying areas, or when the contracting officer has applied part 19 in accordance with 19.000(b)(1)(ii).

(xiv) 52.222-22, Previous Contracts and Compliance Reports. This provision applies to solicitations that include the clause at 52.222-26, Equal Opportunity.

(xv) 52.222-25, Affirmative Action Compliance. This provision applies to solicitations, other than those for construction, when the solicitation includes the clause at 52.222-26, Equal Opportunity.

(xvi) 52.222-38, Compliance with Veterans' Employment Reporting Requirements. This provision applies to solicitations when it is anticipated the contract award will exceed the simplified acquisition threshold and the contract is not for acquisition of commercial products or commercial services.

(xvii) 52.223-1, Biobased Product Certification. This provision applies to solicitations that require the delivery or specify the use of USDA-designated items; or include the clause at 52.223-2, Affirmative Procurement of Biobased Products Under Service and Construction Contracts.

(xviii) 52.223-4, Recovered Material Certification. This provision applies to solicitations that are for, or specify the use of, EPA- designated items.

(xix) 52.223-22, Public Disclosure of Greenhouse Gas Emissions and Reduction Goals--Representation. This provision applies to solicitations that include the clause at 52.204-7.)

(xx) 52.225-2, Buy American Certificate. This provision applies to solicitations containing the clause at 52.225-1.

(xxi) 52.225-4, Buy American-Free Trade Agreements-Israeli Trade Act Certificate. (Basic, Alternates II and III.) This provision applies to solicitations containing the clause at 52.225-3.

(A) If the acquisition value is less than \$50,000, the basic provision applies.

(B) If the acquisition value is \$50,000 or more but is less than \$92,319, the provision with its Alternate II applies.

(C) If the acquisition value is \$92,319 or more but is less than \$100,000, the provision with its Alternate III applies.

(xxii) 52.225-6, Trade Agreements Certificate. This provision applies to solicitations containing the clause at 52.225-5.

(xxiii) 52.225-20, Prohibition on Conducting Restricted Business Operations in Sudan--Certification. This provision applies to all solicitations.

(xxiv) 52.225-25, Prohibition on Contracting with Entities Engaging in Certain Activities or Transactions Relating to Iran—Representation and Certification. This provision applies to all solicitations.

(xxv) 52.226-2, Historically Black College or University and Minority Institution Representation. This provision applies to solicitations for research, studies, supplies, or services of the type normally acquired from higher educational institutions.

(2) The following representations or certifications are applicable as indicated by the Contracting Officer:

[Contracting Officer check as appropriate.]

XX (i) 52.204-17, Ownership or Control of Offeror.

(ii) 52.204-20, Predecessor of Offeror.

(iii) 52.222-18, Certification Regarding Knowledge of Child Labor for Listed End Products.

(iv) 52.222-48, Exemption from Application of the Service Contract Labor Standards to Contracts for Maintenance, Calibration, or Repair of Certain Equipment--Certification.

(v) 52.222-52 Exemption from Application of the Service Contract Labor Standards to Contracts for Certain Services--Certification.

XX (vi) 52.223-9, with its Alternate I, Estimate of Percentage of Recovered Material Content for EPA-Designated Products (Alternate I only).

(vii) 52.227-6, Royalty Information.

(A) Basic.

(B) Alternate I.

(viii) 52.227-15, Representation of Limited Rights Data and Restricted Computer Software.

(d) The Offeror has completed the annual representations and certifications electronically in SAM accessed through <https://www.sam.gov>. After reviewing the SAM information, the Offeror verifies by submission of the offer that the representations and certifications currently posted electronically that apply to this solicitation as indicated in paragraph (c) of this provision have been entered or updated within the last 12 months, are current, accurate, complete, and applicable to this solicitation (including the business size standard applicable to the NAICS code referenced for this solicitation), as of the date of this offer and are incorporated in this offer by reference (see FAR 4.1201); except for the changes identified below [      offeror to insert changes, identifying change by clause number, title, date]. These amended representation(s) and/or certification(s) are also incorporated in this offer and are current, accurate, and complete as of the date of this offer.

FAR Clause	Title	Date	Change
-----	-----	-----	-----
-----	-----	-----	-----

Any changes provided by the offeror are applicable to this solicitation only, and do not result in an update to the representations and certifications posted on SAM.

(End of provision)

## 52.204-24 REPRESENTATION REGARDING CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT (NOV 2021)

The Offeror shall not complete the representation at paragraph (d)(1) of this provision if the Offeror has represented that it "does not provide covered telecommunications equipment or services as a part of its offered products or services to the Government in the performance of any contract, subcontract, or other contractual instrument" in paragraph (c)(1) in the provision at 52.204-26, Covered Telecommunications Equipment or Services-- Representation, or in paragraph (v)(2)(i) of the provision at 52.212-3, Offeror Representations and Certifications- Commercial Products and Commercial Services. The Offeror shall not complete the representation in paragraph (d)(2) of this provision if the Offeror has represented that it "does not use covered telecommunications equipment or services, or any equipment, system, or service that uses covered telecommunications equipment or services" in paragraph (c)(2) of the provision at 52.204-26, or in paragraph (v)(2)(ii) of the provision at 52.212-3.

### (a) Definitions. As used in this provision-

Backhaul, covered telecommunications equipment or services, critical technology, interconnection arrangements, reasonable inquiry, roaming, and substantial or essential component have the meanings provided in the clause 52.204-25, Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment.

### (b) Prohibition.

(1) Section 889(a)(1)(A) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (Pub. L. 115-232) prohibits the head of an executive agency on or after August 13, 2019, from procuring or obtaining, or extending or renewing a contract to procure or obtain, any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. Nothing in the prohibition shall be construed to--

(i) Prohibit the head of an executive agency from procuring with an entity to provide a service that connects to the facilities of a third-party, such as backhaul, roaming, or interconnection arrangements; or

(ii) Cover telecommunications equipment that cannot route or redirect user data traffic or cannot permit visibility into any user data or packets that such equipment transmits or otherwise handles.

(2) Section 889(a)(1)(B) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (Pub. L. 115-232) prohibits the head of an executive agency on or after August 13, 2020, from entering into a contract or extending or renewing a contract with an entity that uses any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. This prohibition applies to the use of covered telecommunications equipment or services, regardless of whether that use is in performance of work under a Federal contract. Nothing in the prohibition shall be construed to--

(i) Prohibit the head of an executive agency from procuring with an entity to provide a service that connects to the facilities of a third-party, such as backhaul, roaming, or interconnection arrangements; or

(ii) Cover telecommunications equipment that cannot route or redirect user data traffic or cannot permit visibility into any user data or packets that such equipment transmits or otherwise handles.

(c) Procedures. The Offeror shall review the list of excluded parties in the System for Award Management (SAM) (<https://www.sam.gov>) for entities excluded from receiving federal awards for "covered telecommunications equipment or services."

(d) Representations. The Offeror represents that--

(1) It [ \_\_\_\_ ] will, [ \_\_\_\_ ] will not provide covered telecommunications equipment or services to the Government in the performance of any contract, subcontract or other contractual instrument resulting from this solicitation. The Offeror shall provide the additional disclosure information required at paragraph (e)(1) of this section if the Offeror responds "will" in paragraph (d)(1) of this section; and

(2) After conducting a reasonable inquiry, for purposes of this representation, the Offeror represents that--

It [ \_\_\_\_ ] does, [ \_\_\_\_ ] does not use covered telecommunications equipment or services, or use any equipment, system, or service that uses covered telecommunications equipment or services. The Offeror shall provide the additional disclosure information required at paragraph (e)(2) of this section if the Offeror responds "does" in paragraph (d)(2) of this section.

(e) Disclosures.

(1) Disclosure for the representation in paragraph (d)(1) of this provision. If the Offeror has responded "will" in the representation in paragraph (d)(1) of this provision, the Offeror shall provide the following information as part of the offer:

(i) For covered equipment--

(A) The entity that produced the covered telecommunications equipment (include entity name, unique entity identifier, CAGE code, and whether the entity was the original equipment manufacturer (OEM) or a distributor, if known);

(B) A description of all covered telecommunications equipment offered (include brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); and

(C) Explanation of the proposed use of covered telecommunications equipment and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (b)(1) of this provision.

(ii) For covered services--

(A) If the service is related to item maintenance: A description of all covered telecommunications services offered (include on the item being maintained: Brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); or

(B) If not associated with maintenance, the Product Service Code (PSC) of the service being provided; and explanation of the proposed use of covered telecommunications services and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (b)(1) of this provision.

(2) Disclosure for the representation in paragraph (d)(2) of this provision. If the Offeror has responded "does" in the representation in paragraph (d)(2) of this provision, the Offeror shall provide the following information as part of the offer:

(i) For covered equipment--

(A) The entity that produced the covered telecommunications equipment (include entity name, unique entity identifier, CAGE code, and whether the entity was the OEM or a distributor, if known);

(B) A description of all covered telecommunications equipment offered (include brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); and

(C) Explanation of the proposed use of covered telecommunications equipment and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (b)(2) of this provision.



(ii) For covered services--

(A) If the service is related to item maintenance: A description of all covered telecommunications services offered (include on the item being maintained: Brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); or

(B) If not associated with maintenance, the PSC of the service being provided; and explanation of the proposed use of covered telecommunications services and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (b)(2) of this provision.

(End of provision)

#### 52.214-16 MINIMUM BID ACCEPTANCE PERIOD (APR 1984)

(a) "Acceptance period," as used in this provision, means the number of calendar days available to the Government for awarding a contract from the date specified in this solicitation for receipt of bids.

(b) This provision supersedes any language pertaining to the acceptance period that may appear elsewhere in this solicitation.

(c) The Government requires a minimum acceptance period of 90 calendar days.

(d) In the space provided immediately below, bidders may specify a longer acceptance period than the Government's minimum requirement.

The bidder allows the following acceptance period: \_\_\_\_\_ calendar days.

(e) A bid allowing less than the Government's minimum acceptance period will be rejected.

(f) The bidder agrees to execute all that it has undertaken to do, in compliance with its bid, if that bid is accepted in writing within (1) the acceptance period stated in paragraph (c) above or (2) any longer acceptance period stated in paragraph (d) above.

(End of clause)

#### 252.204-7007 ALTERNATE A, ANNUAL REPRESENTATIONS AND CERTIFICATIONS (MAY 2021)

Substitute the following paragraphs (b), (d) and (e) for paragraphs (b) and (d) of the provision at FAR 52.204-8:

(b)(1) If the provision at FAR 52.204-7, System for Award Management, is included in this solicitation, paragraph (e) of this provision applies.

(2) If the provision at FAR 52.204-7, System for Award Management, is not included in this solicitation, and the Offeror has an active registration in the System for Award Management (SAM), the Offeror may choose to use paragraph (e) of this provision instead of completing the corresponding individual representations and certifications in the solicitation. The Offeror shall indicate which option applies by checking one of the following boxes:

\_\_\_ (i) Paragraph (e) applies.

☐ (ii) Paragraph (e) does not apply and the Offeror has completed the individual representations and certifications in the solicitation.

(d)(1) The following representations or certifications in the SAM database are applicable to this solicitation as indicated:

(i) 252.204-7016, Covered Defense Telecommunications Equipment or Services--Representation. Applies to all solicitations.

(ii) 252.216-7008, Economic Price Adjustment--Wage Rates or Material Prices Controlled by a Foreign Government. Applies to solicitations for fixed-price supply and service contracts when the contract is to be performed wholly or in part in a foreign country, and a foreign government controls wage rates or material prices and may during contract performance impose a mandatory change in wages or prices of materials.

(iii) 252.225-7042, Authorization to Perform. Applies to all solicitations when performance will be wholly or in part in a foreign country.

(iv) 252.225-7049, Prohibition on Acquisition of Certain Foreign Commercial Satellite Services--Representations. Applies to solicitations for the acquisition of commercial satellite services.

(v) 252.225-7050, Disclosure of Ownership or Control by the Government of a Country that is a State Sponsor of Terrorism. Applies to all solicitations expected to result in contracts of \$150,000 or more.

(vi) 252.229-7012, Tax Exemptions (Italy)--Representation. Applies to solicitations when contract performance will be in Italy.

(vii) 252.229-7013, Tax Exemptions (Spain)--Representation. Applies to solicitations when contract performance will be in Spain.

(viii) 252.247-7022, Representation of Extent of Transportation by Sea. Applies to all solicitations except those for direct purchase of ocean transportation services or those with an anticipated value at or below the simplified acquisition threshold.

(2) The following representations or certifications in SAM are applicable to this solicitation as indicated by the Contracting Officer: [Contracting Officer check as appropriate.]

XX (i) 252.209-7002, Disclosure of Ownership or Control by a Foreign Government.

☐ (ii) 252.225-7000, Buy American--Balance of Payments Program Certificate.

☐ (iii) 252.225-7020, Trade Agreements Certificate.

☐ Use with Alternate I.

XX (iv) 252.225-7031, Secondary Arab Boycott of Israel.

☐ (v) 252.225-7035, Buy American--Free Trade Agreements--Balance of Payments Program Certificate.

☐ Use with Alternate I.

☐ Use with Alternate II.

☐ Use with Alternate III.

☐ Use with Alternate IV.

\_\_\_\_ Use with Alternate V.

\_\_\_\_ (vi) 252.226-7002, Representation for Demonstration Project for Contractors Employing Persons with Disabilities.

\_\_\_\_ (vii) 252.232-7015, Performance-Based Payments--Representation.

(e) The Offeror has completed the annual representations and certifications electronically via the SAM website at <https://www.acquisition.gov/>. After reviewing the SAM database information, the Offeror verifies by submission of the offer that the representations and certifications currently posted electronically that apply to this solicitation as indicated in FAR 52.204-8(c) and paragraph (d) of this provision have been entered or updated within the last 12 months, are current, accurate, complete, and applicable to this solicitation (including the business size standard applicable to the NAICS code referenced for this solicitation), as of the date of this offer, and are incorporated in this offer by reference (see FAR 4.1201); except for the changes identified below [Offeror to insert changes, identifying change by provision number, title, date \_\_\_\_]. These amended representation(s) and/or certification(s) are also incorporated in this offer and are current, accurate, and complete as of the date of this offer.

FAR/DFARS provision No.	Title	Date	Change

Any changes provided by the Offeror are applicable to this solicitation only, and do not result in an update to the representations and certifications located in the SAM database.

(End of provision)

## Section 00 70 00 - Conditions of the Contract

AT/OPSEC**Antiterrorism and Operational Security**

**General security requirements and guidance:** The security requirements described below apply to all contract personnel (including employees of the prime Contractor (“Contractor”) and all subcontractor employees) supporting the performance requirements of this contract. The Contractor is responsible for compliance with these security requirements. Questions regarding security matters shall be addressed to the designated Government representative (e.g., Contracting Officer Representative (COR), Requiring Activity (RA) representative, or Contracting Officer (if a COR or other RA representative is not appointed)). Contract personnel are critical to the overall security and safety of US Army Corps of Engineers (USACE) installations, facilities and activities, and security awareness training contributes to those efforts. The Department of Defense (DoD) and Army security training requirements specified below, if applicable, are performance requirements; all applicable contract personnel shall complete initial training within 30 days of contract award or the date new contract personnel begin performance on the contract. Within five business days from the completion of training, the Contractor shall provide written documentation (e.g., email or memorandum) to the Government representative. The documentation shall include the names of contract personnel trained and which training they completed; the Contractor shall maintain training records as part of their contract files and be prepared to provide copies of training certificates to the Government representative. Contractor personnel and vehicles are subject to search when entering federal installations. Additionally, all contract personnel shall comply with Force Protection Condition (FPCON) measures, Random Antiterrorism Measures (commonly referred to as “RAMs”), and Health Protection Condition (HPCON) measures. The Contractor is responsible for meeting performance requirements during elevated FPCON and/or HPCON levels in accordance with applicable RA plans and procedures --this includes identifying mission essential and non-mission essential personnel. In addition to the changes otherwise authorized by the changes clause of this contract, should the FPCON or HPCON levels at any individual facility or installation change, the Government may implement security changes that affect contract personnel. The Contractor shall ensure all contract personnel are aware of their security responsibilities, including any site-specific requirements identified in local policies or procedures.

**Physical security and access control requirements:** All contract personnel requiring physical access to a federal installation or facility shall comply with the access control procedures of that location. Contract personnel requiring unescorted access to meet contract performance requirements on a DoD installation in the US shall be vetted by the installation/facility Provost Marshal/Directorate of Emergency Services/Security Office using the National Crime Information Center-Interstate Identification Index (commonly referred to as “NCIC-III”) and Terrorist Screening Database (commonly referred to as “TSDB”). Contract personnel shall comply with all personal identity verification requirements specified in installation/facility policies and procedures. Contract personnel who do not meet requirements for unescorted access to USACE facilities shall coordinate escorted access with the Government representative, as needed. Contract personnel who receive keys, access cards, or lock combinations that provide access to government-owned property shall comply with key and lock control procedures of the RA.

**Suspicious Activity Reporting training (e.g. iWATCH, CorpsWatch, or See Something, Say Something):** All contract personnel shall receive initial and annual refresher training from the RA representative on the local suspicious activity reporting program. This locally developed training provides contract personnel with general information on suspicious behavior, and guidance on reporting suspicious activity to the project manager, security representative or law enforcement entity.

**Pre-screen candidates using E-Verify Program:** Contractors shall comply with the requirements set forth in FAR clause 52.222-54 Employment Eligibility Verification and FAR Subpart 22.18 in using the E-Verify Program at (<https://www.e-verify.gov/>) (website subject to change) to meet the contract employment eligibility requirements. Contractors are encouraged to cooperate with Federal and State agencies responsible for enforcing labor requirements to include eligibility for employment under United States immigration laws in accordance with FAR 22.102-1(i). An initial list of verified/eligible candidates shall be provided to the COR no later than three business days after the initial contract award. When contracts are with individuals, the individuals will be required to

complete a Form I-9, Employment Eligibility Verification, and submit it to the Contracting Officer to become part of the official contract file.

## INSPECTION AND ACCEPTANCE TERMS

Supplies/services will be inspected/accepted at:

CLIN	INSPECT AT	INSPECT BY	ACCEPT AT	ACCEPT BY
0001	Destination	Government	Destination	Government
0002	Destination	Government	Destination	Government
0003	Destination	Government	Destination	Government

## Section 00 72 00 - General Conditions

## CLAUSES INCORPORATED BY REFERENCE

52.202-1	Definitions	JUN 2020
52.203-3	Gratuities	APR 1984
52.203-5	Covenant Against Contingent Fees	MAY 2014
52.203-6	Restrictions On Subcontractor Sales To The Government	JUN 2020
52.203-7	Anti-Kickback Procedures	JUN 2020
52.203-8	Cancellation, Rescission, and Recovery of Funds for Illegal or Improper Activity	MAY 2014
52.203-10	Price Or Fee Adjustment For Illegal Or Improper Activity	MAY 2014
52.203-12	Limitation On Payments To Influence Certain Federal Transactions	JUN 2020
52.203-19	Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements	JAN 2017
52.204-4	Printed or Copied Double-Sided on Postconsumer Fiber Content Paper	MAY 2011
52.204-10	Reporting Executive Compensation and First-Tier Subcontract Awards	JUN 2020
52.204-13	System for Award Management Maintenance	OCT 2018
52.204-18	Commercial and Government Entity Code Maintenance	AUG 2020
52.204-19	Incorporation by Reference of Representations and Certifications.	DEC 2014
52.204-21	Basic Safeguarding of Covered Contractor Information Systems	NOV 2021
52.204-23	Prohibition on Contracting for Hardware, Software, and Services Developed or Provided by Kaspersky Lab and Other Covered Entities	NOV 2021
52.204-25	Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment	NOV 2021
52.204-27	Prohibition on a ByteDance Covered Application	JUN 2023
52.209-6	Protecting the Government's Interest When Subcontracting With Contractors Debarred, Suspended, or Proposed for Debarment	NOV 2021
52.209-10	Prohibition on Contracting With Inverted Domestic Corporations	NOV 2015
52.211-13	Time Extensions	SEP 2000
52.214-19	Contract Award-Sealed Bidding-Construction	AUG 1996
52.222-3	Convict Labor	JUN 2003
52.222-4	Contract Work Hours and Safety Standards - Overtime Compensation	MAY 2018
52.222-6	Construction Wage Rate Requirements	AUG 2018
52.222-7	Withholding of Funds	MAY 2014
52.222-8	Payrolls and Basic Records	JUL 2021
52.222-9	Apprentices and Trainees	JUL 2005
52.222-10	Compliance with Copeland Act Requirements	FEB 1988
52.222-11	Subcontracts (Labor Standards)	MAY 2014
52.222-12	Contract Termination-Debarment	MAY 2014
52.222-13	Compliance With Construction Wage Rate Requirements and Related Regulations	MAY 2014
52.222-14	Disputes Concerning Labor Standards	FEB 1988
52.222-15	Certification of Eligibility	MAY 2014
52.222-16	Approval of Wage Rates	MAY 2014

52.222-19	Child Labor -- Cooperation with Authorities and Remedies	DEC 2022
52.222-21	Prohibition Of Segregated Facilities	APR 2015
52.222-26	Equal Opportunity	SEP 2016
52.222-27	Affirmative Action Compliance Requirements for Construction	APR 2015
52.222-35	Equal Opportunity for Veterans	JUN 2020
52.222-36	Equal Opportunity for Workers with Disabilities	JUN 2020
52.222-37	Employment Reports on Veterans	JUN 2020
52.222-40	Notification of Employee Rights Under the National Labor Relations Act	DEC 2010
52.222-50	Combating Trafficking in Persons	NOV 2021
52.222-54	Employment Eligibility Verification	MAY 2022
52.222-55	Minimum Wages for Contractor Workers Under Executive Order 14026	JAN 2022
52.222-62	Paid Sick Leave Under Executive Order 13706	JAN 2022
52.223-2	Affirmative Procurement of Biobased Products Under Service and Construction Contracts	SEP 2013
52.223-5	Pollution Prevention and Right-to-Know Information	MAY 2011
52.223-6	Drug-Free Workplace	MAY 2001
52.223-10	Waste Reduction Program	MAY 2011
52.223-11	Ozone-Depleting Substances and High Global Warming Potential Hydrofluorocarbons.	JUN 2016
52.223-17	Affirmative Procurement of EPA-Designated Items in Service and Construction Contracts	AUG 2018
52.223-18	Encouraging Contractor Policies To Ban Text Messaging While Driving	JUN 2020
52.225-13	Restrictions on Certain Foreign Purchases	FEB 2021
52.225-21	Required Use of American Iron, Steel, and Manufactured Goods--Buy American Statute--Construction Materials	JAN 2021
52.225-22	Notice of Required Use of American Iron, Steel, and Manufactured Goods--Buy American Statute--Construction Materials	JAN 2021
52.227-1	Authorization and Consent	JUN 2020
52.227-2	Notice And Assistance Regarding Patent And Copyright Infringement	JUN 2020
52.227-4	Patent Indemnity-Construction Contracts	DEC 2007
52.228-2	Additional Bond Security	OCT 1997
52.228-5	Insurance - Work On A Government Installation	JAN 1997
52.228-11 (Dev)	Individual Surety--Pledge of Assets (DEVIATION 2020-O0016)	FEB 2021
52.228-12	Prospective Subcontractor Requests for Bonds	DEC 2022
52.228-14	Irrevocable Letter of Credit	NOV 2014
52.228-15 (Dev)	Performance and Payment Bonds-Construction. (Deviation 2020-O0016)	JUN 2020
52.228-17	Individual Surety--Pledge of Assets (Bid Guarantee)	FEB 2021
52.229-3	Federal, State And Local Taxes	FEB 2013
52.229-11	Tax on Certain Foreign Procurements--Notice and Representation	JUN 2020
52.232-5	Payments under Fixed-Price Construction Contracts	MAY 2014
52.232-17	Interest	MAY 2014
52.232-23	Assignment Of Claims	MAY 2014
52.232-27	Prompt Payment for Construction Contracts	JAN 2017
52.232-33	Payment by Electronic Funds Transfer--System for Award Management	OCT 2018
52.232-39	Unenforceability of Unauthorized Obligations	JUN 2013

52.232-40	Providing Accelerated Payments to Small Business Subcontractors	MAR 2023
52.233-1	Disputes	MAY 2014
52.233-3	Protest After Award	AUG 1996
52.233-4	Applicable Law for Breach of Contract Claim	OCT 2004
52.236-2	Differing Site Conditions	APR 1984
52.236-3	Site Investigation and Conditions Affecting the Work	APR 1984
52.236-5	Material and Workmanship	APR 1984
52.236-6	Superintendence by the Contractor	APR 1984
52.236-8	Other Contracts	APR 1984
52.236-9	Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements	APR 1984
52.236-10	Operations and Storage Areas	APR 1984
52.236-11	Use and Possession Prior to Completion	APR 1984
52.236-12	Cleaning Up	APR 1984
52.236-13	Accident Prevention	NOV 1991
52.236-14	Availability and Use of Utility Services	APR 1984
52.236-15	Schedules for Construction Contracts	APR 1984
52.236-17	Layout of Work	APR 1984
52.236-21	Specifications and Drawings for Construction	FEB 1997
52.236-26	Preconstruction Conference	FEB 1995
52.242-13	Bankruptcy	JUL 1995
52.242-14	Suspension of Work	APR 1984
52.243-4	Changes	JUN 2007
52.244-6	Subcontracts for Commercial Products and Commercial Services	JUN 2023
52.246-12	Inspection of Construction	AUG 1996
52.246-21	Warranty of Construction	MAR 1994
52.248-1	Value Engineering	JUN 2020
52.249-2 Alt I	Termination for Convenience of the Government (Fixed-Price) (Apr 2012) - Alternate I	SEP 1996
52.253-1	Computer Generated Forms	JAN 1991
252.201-7000	Contracting Officer's Representative	DEC 1991
252.203-7000	Requirements Relating to Compensation of Former DoD Officials	SEP 2011
252.203-7001	Prohibition On Persons Convicted of Fraud or Other Defense-Contract-Related Felonies	JAN 2023
252.203-7002	Requirement to Inform Employees of Whistleblower Rights	DEC 2022
252.204-7000	Disclosure Of Information	OCT 2016
252.204-7003	Control Of Government Personnel Work Product	APR 1992
252.204-7004	Antiterrorism Awareness Training for Contractors	JAN 2023
252.204-7009	Limitations on the Use or Disclosure of Third-Party Contractor Reported Cyber Incident Information	JAN 2023
252.204-7012	Safeguarding Covered Defense Information and Cyber Incident Reporting	JAN 2023
252.204-7015	Notice of Authorized Disclosure of Information for Litigation Support	JAN 2023
252.204-7018	Prohibition on the Acquisition of Covered Defense Telecommunications Equipment or Services	JAN 2023
252.204-7020	NIST SP 800-171 DoD Assessment Requirements	JAN 2023
252.204-7022	Expediting Contract Closeout	MAY 2021
252.209-7004	Subcontracting With Firms That Are Owned or Controlled By The Government of a Country that is a State Sponsor of Terrorism	MAY 2019



252.223-7006	Prohibition On Storage, Treatment, and Disposal of Toxic or Hazardous Materials	SEP 2014
252.223-7008	Prohibition of Hexavalent Chromium	JAN 2023
252.225-7012	Preference For Certain Domestic Commodities	APR 2022
252.225-7016	Restriction on Acquisition of Ball and Roller Bearings	JAN 2023
252.225-7048	Export-Controlled Items	JUN 2013
252.232-7003	Electronic Submission of Payment Requests and Receiving Reports	DEC 2018
252.232-7010	Levies on Contract Payments	DEC 2006
252.236-7000	Modification Proposals-Price Breakdown	DEC 1991
252.236-7002	Obstruction of Navigable Waterways	DEC 1991
252.243-7001	Pricing Of Contract Modifications	DEC 1991
252.243-7002	Requests for Equitable Adjustment	DEC 2022
252.244-7000	Subcontracts for Commercial Products or Commercial Services	JAN 2023
252.247-7023	Transportation of Supplies by Sea	JAN 2023

#### CLAUSES INCORPORATED BY FULL TEXT

##### 52.211-10 COMMENCEMENT, PROSECUTION, AND COMPLETION OF WORK (APR 1984)

The Contractor shall be required to (a) commence work under this contract within **10** calendar days after the date the Contractor receives the notice to proceed, (b) prosecute the work diligently, and (c) complete the entire work ready for use not later than **480 Days After Notice to Proceed**. The time stated for completion shall include final cleanup of the premises.

(End of clause)

##### 52.211-12 LIQUIDATED DAMAGES--CONSTRUCTION (SEP 2000)

(a) If the Contractor fails to complete the work within the time specified in the contract, the Contractor shall pay liquidated damages to the Government in the amount of \$970.00 [Contracting Officer insert amount] for each calendar day of delay until the work is completed or accepted.

(b) If the Government terminates the Contractor's right to proceed, liquidated damages will continue to accrue until the work is completed. These liquidated damages are in addition to excess costs of repurchase under the Termination clause.

(End of clause)

##### 52.222-23 NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY FOR CONSTRUCTION (FEB 1999)

(a) The offeror's attention is called to the Equal Opportunity clause and the Affirmative Action Compliance Requirements for Construction clause of this solicitation.

(b) The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for minority participation for each trade	Goals for female participation for each trade
7.2%	6.9%

These goals are applicable to all the Contractor's construction work performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, the Contractor shall apply the goals established for the geographical area where the work is actually performed. Goals are published periodically in the Federal Register in notice form, and these notices may be obtained from any Office of Federal Contract Compliance Programs office.

(c) The Contractor's compliance with Executive Order 11246, as amended, and the regulations in 41 CFR 60-4 shall be based on (1) its implementation of the Equal Opportunity clause, (2) specific affirmative action obligations required by the clause entitled "Affirmative Action Compliance Requirements for Construction," and (3) its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade. The Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor, or from project to project, for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order 11246, as amended, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.

(d) The Contractor shall provide written notification to the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the --

- (1) Name, address, and telephone number of the subcontractor;
- (2) Employer's identification number of the subcontractor;
- (3) Estimated dollar amount of the subcontract;
- (4) Estimated starting and completion dates of the subcontract; and
- (5) Geographical area in which the subcontract is to be performed.

(e) As used in this Notice, and in any contract resulting from this solicitation, the "covered area" is **Lawrence, Kansas**.

(End of provision)

#### 52.223-9 ESTIMATE OF PERCENTAGE OF RECOVERED MATERIAL CONTENT FOR EPA-DESIGNATED ITEMS (MAY 2008)

(a) Definitions. As used in this clause--

Postconsumer material means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of "recovered material."

Recovered material means waste materials and by-products recovered or diverted from solid waste, but the term does not include those materials and by-products generated from, and commonly reused within, an original manufacturing process.

(b) The Contractor, on completion of this contract, shall--

(1) Estimate the percentage of the total recovered material content for EPA-designated item(s) delivered and/or used in contract performance, including, if applicable, the percentage of post-consumer material content; and

(2) Submit this estimate to

US Army Corps of Engineers  
Federal Building, RM 647  
Attn: Joshua Higginbotham  
601 E. 12<sup>th</sup> Street  
Kansas City, MO 64106

(End of clause)

#### 52.225-9 BUY AMERICAN—CONSTRUCTION MATERIALS (OCT 2022)

(a) Definitions. As used in this clause--

Commercially available off-the-shelf (COTS) item—

(1) Means any item of supply (including construction material) that is--

(i) A commercial product (as defined in paragraph (1) of the definition of "commercial product" at Federal Acquisition Regulation (FAR) 2.101);

(ii) Sold in substantial quantities in the commercial marketplace; and

(iii) Offered to the Government, under a contract or subcontract at any tier, without modification, in the same form in which it is sold in the commercial marketplace; and

(2) Does not include bulk cargo, as defined in 46 U.S.C. 40102(4) such as agricultural products and petroleum products.

Component means an article, material, or supply incorporated directly into a construction material.

Construction material means an article, material, or supply brought to the construction site by the Contractor or a subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

Cost of components means--

(1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the construction material (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued); or

(2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the construction material.

Critical component means a component that is mined, produced, or manufactured in the United States and deemed critical to the U.S. supply chain. The list of critical components is at FAR 25.105.

Critical item means a domestic construction material or domestic end product that is deemed critical to U.S. supply chain resiliency. The list of critical items is at FAR 25.105.

Domestic construction material means--

(1) For construction material that does not consist wholly or predominantly of iron or steel or a combination of both-

(i) An unmanufactured construction material mined or produced in the United States; or

(ii) A construction material manufactured in the United States, if--

(A) The cost of its components mined, produced, or manufactured in the United States exceeds 60 percent of the cost of all its components, except that the percentage will be 65 percent for items delivered in calendar years 2024 through 2028 and 75 percent for items delivered starting in calendar year 2029. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic. Components of unknown origin are treated as foreign; or

(B) The construction material is a COTS item; or

(2) For construction material that consists wholly or predominantly of iron or steel or a combination of both, a construction material manufactured in the United States if the cost of foreign iron and steel constitutes less than 5 percent of the cost of all components used in such construction material. The cost of foreign iron and steel includes but is not limited to the cost of foreign iron or steel mill products (such as bar, billet, slab, wire, plate, or sheet), castings, or forgings utilized in the manufacture of the construction material and a good faith estimate of the cost of all foreign iron or steel components excluding COTS fasteners. Iron or steel components of unknown origin are treated as foreign. If the construction material contains multiple components, the cost of all the materials used in such construction material is calculated in accordance with the definition of "cost of components".

Fastener means a hardware device that mechanically joins or affixes two or more objects together. Examples of fasteners are nuts, bolts, pins, rivets, nails, clips, and screws.

Foreign construction material means a construction material other than a domestic construction material.

Foreign iron and steel means iron or steel products not produced in the United States. Produced in the United States means that all manufacturing processes of the iron or steel must take place in the United States, from the initial melting stage through the application of coatings, except metallurgical processes involving refinement of steel additives. The origin of the elements of the iron or steel is not relevant to the determination of whether it is domestic or foreign.

Predominantly of iron or steel or a combination of both means that the cost of the iron and steel content exceeds 50 percent of the total cost of all its components. The cost of iron and steel is the cost of the iron or steel mill products (such as bar, billet, slab, wire, plate, or sheet), castings, or forgings utilized in the manufacture of the product and a good faith estimate of the cost of iron or steel components excluding COTS fasteners.

Steel means an alloy that includes at least 50 percent iron, between 0.02 and 2 percent carbon, and may include other elements.

United States means the 50 States, the District of Columbia, and outlying areas.

(b) Domestic preference.

(1) This clause implements 41 U.S.C. chapter 83, Buy American, by providing a preference for domestic construction material. In accordance with 41 U.S.C. 1907, the domestic content test of the Buy American statute is waived for construction material that is a COTS item, except that for construction material that consists wholly or predominantly of iron or steel or a combination of both, the domestic content test is applied only to the iron and steel content of the construction materials, excluding COTS fasteners. (See FAR 12.505(a)(2)). The Contractor shall use only domestic construction material in performing this contract, except as provided in paragraphs (b)(2) and (b)(3) of this clause.

(2) This requirement does not apply to information technology that is a commercial product or to the construction materials or components listed by the Government as follows:

None

(3) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(2) of this clause if the Government determines that

(i) The cost of domestic construction material would be unreasonable.

(A) For domestic construction material that is not a critical item or does not contain critical components.

(1) The cost of a particular domestic construction material subject to the requirements of the Buy American statute is unreasonable when the cost of such material exceeds the cost of foreign material by more than 20 percent;

(2) For construction material that is not a COTS item and does not consist wholly or predominantly of iron or steel or a combination of both, if the cost of a particular domestic construction material is determined to be unreasonable or there is no domestic offer received, and the low offer is for foreign construction material that is manufactured in the United States and does not exceed 55 percent domestic content, the Contracting Officer will treat the lowest offer of foreign construction material that exceeds 55 percent domestic content as a domestic offer and determine whether the cost of that offer is unreasonable by applying the evaluation factor listed in paragraph (b)(3)(i)(A)(1) of this clause.

(3) The procedures in paragraph (b)(3)(i)(A)(2) of this clause will no longer apply as of January 1, 2030.

(B) For domestic construction material that is a critical item or contains critical components.

(1) The cost of a particular domestic construction material that is a critical item or contains critical components, subject to the requirements of the Buy American statute, is unreasonable when the cost of such material exceeds the cost of foreign material by more than 20 percent plus the additional preference factor identified for the critical item or construction material containing critical components listed at FAR 25.105.

(2) For construction material that does not consist wholly or predominantly of iron or steel or a combination of both, if the cost of a particular domestic construction material is determined to be unreasonable or there is no domestic offer received, and the low offer is for foreign construction material that does not exceed 55 percent domestic content, the Contracting Officer will treat the lowest foreign offer of construction material that is manufactured in the United States and exceeds 55 percent domestic content as a domestic offer, and determine whether the cost of that offer is unreasonable by applying the evaluation factor listed in paragraph (b)(3)(i)(B)(1) of this clause.

(3) The procedures in paragraph (b)(3)(i)(B)(2) of this clause will no longer apply as of January 1, 2030.

(ii) The application of the restriction of the Buy American Act to a particular construction material would be impracticable or inconsistent with the public interest; or

(iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.

(c) Request for determination of inapplicability of the Buy American Act. (1)(i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(3) of this clause shall include adequate information for Government evaluation of the request, including--

(A) A description of the foreign and domestic construction materials;

(B) Unit of measure;

(C) Quantity;

(D) Price;

(E) Time of delivery or availability;

(F) Location of the construction project;

(G) Name and address of the proposed supplier; and

(H) A detailed justification of the reason for use of foreign construction materials cited in accordance with paragraph (b)(3) of this clause.

(ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.

(iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).

(iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.

(2) If the Government determines after contract award that an exception to the Buy American statute applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(3)(i) of this clause.

(3) Unless the Government determines that an exception to the Buy American statute applies, use of foreign construction material is noncompliant with the Buy American statute.

(d) Data. To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

#### Foreign and Domestic Construction Materials Price Comparison

Construction material description	Unit of measure	Quantity	Price (dollars) \*\
Item 1:			
Foreign construction material....	.....	.....	.....
Domestic construction material...	.....	.....	.....
Item 2:			
Foreign construction material....	.....	.....	.....
Domestic construction material...	.....	.....	.....

[\* Include all delivery costs to the construction site and any applicable duty (whether or not a duty-free entry certificate is issued)].

[List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.]

[Include other applicable supporting information.]

(End of clause)

#### 52.236-4 PHYSICAL DATA (APR 1984)

Data and information furnished or referred to below is for the Contractor's information. The Government shall not be responsible for any interpretation of or conclusion drawn from the data or information by the Contractor.

(a) The indications of physical conditions on the drawings and in the specifications are the result of site investigations by the Government.

(b) Weather conditions: Before submitting a bid, each offeror should obtain the necessary data to identify hazards likely to arise from weather conditions. Complete weather records and reports may be obtained from the local National Weather Service Office.

(c) Transportation facilities: Before submitting a bid, each offeror should obtain necessary data regarding access to highway and railroad facilities. The unavailability of transportation facilities shall not become a basis for claims for damages or extension of time for completion of work.

(End of clause)

#### 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

**FAR:** <https://www.acquisition.gov/browse/index/far>

**DFARS:** <https://www.acq.osd.mil/dpap/dars/dfarspgi/current/index.html>

**AFARS:** <https://www.acquisition.gov/afars>

(End of clause)

## 252.236-7001 CONTRACT DRAWINGS AND SPECIFICATIONS (AUG 2000)

(a) The Government will provide to the Contractor, without charge, one set of contract drawings and specifications, except publications incorporated into the technical provisions by reference, in electronic or paper media as chosen by the Contracting Officer.

(b) The Contractor shall--

- (1) Check all drawings furnished immediately upon receipt;
- (2) Compare all drawings and verify the figures before laying out the work;
- (3) Promptly notify the Contracting Officer of any discrepancies;
- (4) Be responsible for any errors that might have been avoided by complying with this paragraph (b); and
- (5) Reproduce and print contract drawings and specifications as needed.

(c) In general--

- (1) Large-scale drawings shall govern small-scale drawings; and
- (2) The Contractor shall follow figures marked on drawings in preference to scale measurements.

(d) Omissions from the drawings or specifications or the misdescription of details of work that are manifestly necessary to carry out the intent of the drawings and specifications, or that are customarily performed, shall not relieve the Contractor from performing such omitted or misdescribed details of the work. The Contractor shall perform such details as if fully and correctly set forth and described in the drawings and specifications.

(e) The work shall conform to the specifications and the contract drawings identified on the following index of drawings:

<u>SHEET NO.</u>	<u>TITLE</u> <u>GENERAL</u>	<u>CADD FILE NO.</u>
G-001	COVER SHEET_____	CL_PPR_G-001.DGN
G-002	PROJECT SHEET INDEX_____	CL_PPR_G-002.DGN
G-003	ABBREVIATIONS_____	CL_PPR_G-003.DGN
G-004	LOCATION AND VICINITY MAPS_____	CL_PPR_G-004.DGN
<u>CIVIL</u>		
C-100	STAGING AREA_____	CL_PPR_C-100.DGN
C-101	PHOTOS_____	CL_PPR_C-101.DGN
CS101	SITE PLAN_____	CL_PPR_CS101.DGN
CS301	24" PIPE PROFILE_____	CL_PPR_CS301.DGN
<u>STRUCTURAL</u>		
S-101	RECORD DRAWINGS PUMPING STATION_____	CL_PPR_S-101.DGN
S-102	RECORD DRAWINGS OUTLET WORKS PLAN AND PROFILE_____	CL_PPR_S-102.DGN
S-103	RECORD DRAWINGS STILLING BASIN CONCRETE DETAILS_____	CL_PPR_S-103.DGN



S-104	RECORD DRAWINGS STILLING BASIN CONCRETE DETAILS_____	CL_PPR_S-104.DGN
S-105	RECORD DRAWINGS MANHOLE DETAILS_____	CL_PPR_S-105.DGN
S-106	RECORD DRAWINGS PIPE PROFILES_____	CL_PPR_S-106.DGN
S-107	RECORD DRAWINGS PIPE DETAILS_____	CL_PPR_S-107.DGN
S-108	RECORD DRAWINGS INTAKE TOWER DETAILS_____	CL_PPR_S-108.DGN

(End of clause)

## Section 00 73 00 - Supplementary Conditions

WAGE RATES**WAGE DETERMINATION**

The inclusion of the Davis-Bacon Act General Wage Decision or the Service Contract Act Wage Determination in the solicitation is a statutory requirement. It is not a representation by the U.S. Army Corps of Engineers that any specific work task can be performed by any specific trade. Which work tasks can be performed by what trades depends on and is determined by the prevailing area practice for the local area where the contract is being performed. It is the sole responsibility of the contractor to determine and comply with the prevailing area practice. Inquiries regarding a prevailing area practice should be directed to the Corps of Engineers, Contractor Industrial Relations Specialist (telephone number 816-389-2247) or to the U. S. Department of Labor Regional Wage and Hour Division.

**Application of wage rates and fringe benefits: For the application of the wage rates and fringe benefits contained in the Decisions of the U. S. Secretary of Labor, attached to and a part of this contract, all work is considered Heavy.**

<https://sam.gov/wage-determination/KS20230005/6>

## CLAUSES INCORPORATED BY FULL TEXT

## SCR\_CT\_001 FIELD OFFICE OVERHEAD (JUL 2002)

NOTICE TO BIDDERS: For your bid to be responsive, you must declare below the single accounting practice that you apply to contracts to calculate field office overhead for all change orders, modifications and requests for equitable adjustment. Pursuant to Federal Acquisition Regulations (FAR) Parts 31.105(d)(3) and 31.203(d)(1), an accounting practice that varies from modification to modification is not allowable. Select one of the following:

## 1. TIME DISTRIBUTION BASE FOR A PER DIEM RATE

If you use this practice, see Special Clause "Field Office Overhead Per Diem Rate" \_\_\_\_\_

## 2. DIRECT COST DISTRIBUTION BASE FOR A PERCENTAGE MARKUP

If you use this practice, see Special Clause "Field Office Overhead Percentage Markup" \_\_\_\_\_

## 3. OTHER ACCOUNTING PRACTICE THAT IS ALLOWABLE

UNDER THE FAR AND THAT USES A SINGLE DISTRIBUTION BASE. \_\_\_\_\_

If you choose 3, you must describe the accounting practice in sufficient detail below to allow the contracting officer to determine what accounting practice is being utilized by your company and that it complies with the FAR.

---



---



---

**FAILURE TO FULLY COMPLY WITH THE ABOVE REQUIREMENT OR, IF ALTERNATIVE 3 IS DECLARED AND YOUR DESCRIPTION DOES NOT CLEARLY STATE OR DESCRIBE A CONSISTENT ACCOUNTING PRACTICE USING A SINGLE DISTRIBUTION BASE, WILL BE CAUSE FOR YOUR BID TO BE REJECTED AS NON-RESPONSIVE.**

(End)

**This page was intentionally left blank for duplex printing.**

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 11 00

SUMMARY OF WORK

PART 1 GENERAL

- 1.1 SUBMITTALS
- 1.2 WORK COVERED BY CONTRACT DOCUMENTS
  - 1.2.1 Project Description
  - 1.2.2 Location
- 1.3 OCCUPANCY OF PREMISES
- 1.4 EXISTING WORK
- 1.5 SALVAGE MATERIAL AND EQUIPMENT

PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

SECTION 01 11 00

SUMMARY OF WORK

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals  
Salvage Plan; G, RO

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes re-lining of a 24-inch diameter steel low flow pipe between the pumping plant and the stilling basin and replacement of a 24-inch butterfly valve, 24-inch pipe saddle meter, 24-inch knife valve, and knife valve floor stand and incidental related work at Clinton Dam. Incidental work includes cleaning of the pipe with whatever means necessary per manufactures recommendation to ensure quality adhesion and final end result.

1.2.2 Location

Clinton Dam is located on the Wakarusa River in Douglas County, Kansas. The work is located at the approximate locations indicated on the plans that accompany these specifications. The exact location will be shown by the Contracting Officer.

1.3 OCCUPANCY OF PREMISES

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 EXISTING WORK

In addition to FAR 52.236-9 Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements:

- a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.
- b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

#### 1.5 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, deliver and off-load the salvaged property at the Government designated storage area, the USACE Clinton Lake project office.

Provide a salvage plan, listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Provide a system for property control in the salvage plan. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

#### PART 2 PRODUCTS

Not used.

#### PART 3 EXECUTION

Not used.

-- End of Section --

**This page was intentionally left blank for duplex printing.**



SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 12 00.00 23

PUMP PLANT OPERATIONS AND SAFETY REQUIREMENTS

PART 1 GENERAL

- 1.1 INFORMATION
- 1.2 VALVES DELIVERY, STORAGE, AND HANDLING
- 1.3 CITY OF LAWRENCE WATER SUPPLY COORDINATION
  - 1.3.1 ALLOWED CONSTRUCTION PERIODS
- 1.4 CITY OF LAWRENCE SAFETY PROTOCOL
- 1.5 Safe Clearance Procedures
- 1.6 Working Hours

ATTACHMENTS:

Contractor Requirements Memo

-- End of Section Table of Contents --

SECTION 01 12 00.00 23

PUMP PLANT OPERATIONS AND SAFETY REQUIREMENTS

PART 1 GENERAL

1.1 INFORMATION

This specification section provides detailed information of site and project requirements that are necessary for successful completion of the project.

1.2 VALVES DELIVERY, STORAGE, AND HANDLING

New valves shall be delivered to the job site before begin of work and removing of the existing valves. Valves can be delivered and stored at the lake project office. The contractor shall coordinate delivery and storage with the Contracting Officer.

1.3 CITY OF LAWRENCE WATER SUPPLY COORDINATION

Part of the work will be preformed inside the Pump Plant. The City of Lawrence operate the pump plant. The city will provide the contractor access in and around the pumping plant during construction. The contractor is reposablie to coordinate all the work inside pump plant with the City of Lawrence.

1.3.1 ALLOWED CONSTRUCTION PERIODS

The City Water supply shall not be interrupted. The contractor shall give 45 days notice to City of Lawereance before beginning of any work. The work plan submitted by the Contractor should include any necessary coordination with the City of Lawrence to meet their requirements.

The city had provided two windows for work to be completed. Work is to be performed during any 3 week period between February 5, 2024 and April 1, 2024 or between October 1, 2024 and April 1, 2025.

1.4 CITY OF LAWEREANCE SAFETY PROTOCOL

The contractor to follow the City of Lawrence safety protocol while working inside the pump plant. See attached Contractor Requirements Memo at the end of the spec.

1.5 Safe Clearance Procedures

Hazardous Energy Control Procedures (HECP) are implemented for all maintenance and construction activities on USACE property. These procedures are in accordance with OSHA regulation 29 CFR 1910, including 1910.147, 1910.333, 1910.269 and USACE Safety and Health Requirements Manual, EM 385-1-1, The Control of Hazardous Energy (safe clearance). Any work performed at the project will be performed under the project's safe clearance procedures. The hydraulic sluice gate located at the control tower shall be closed and locked out before any work on the Water Supply

Line can be performed. The Contractor shall request a clearance on a piece of equipment or system before any work may begin. USACE will establish the limits of the clearance and tag and lock the equipment or systems. The Contractor has the option to institute their own safe clearance procedures in accordance with OSHA and USACE regulations, within the parameters of the Corps' clearance. The Contractor's clearance shall not inhibit or interfere with USACE's operation of flood control operations. The clearances shall not be violated. Any violation of Hazardous Energy Control procedures (Safe Clearance Procedures) will be grounds for removal of the offender(s).

#### 1.6 Working Hours

Regular working hours will consist of 0700 and 1700 Monday through Friday, excluding Government holidays.

-- End of Section --

**This page was intentionally left blank for duplex printing.**



# City of Lawrence

## CITY COMMISSION

**MAYOR**  
LISA LARSEN

**COMMISSIONERS**  
JENNIFER ANANDA, JD, MSW  
MATTHEW J. HERBERT  
LESLIE SODEN  
STUART BOLEY

THOMAS M. MARKUS  
CITY MANAGER

City Offices  
PO Box 708 66044-0708  
www.lawrenceks.org

6 East 6<sup>th</sup> St  
785-832-3000  
FAX 785-832-3405

## MEMO

Date: 1/14/19  
To: Contractors and Suppliers  
From: City of Lawrence – Department of Utilities  
RE: Contractor Responsibilities and Hazard Communication Notification - Facility

The Lawrence Department of Utilities maintains a management system following these standards:

- ISO 14001 - environmental
- OHSAS 18001 – health and safety
- NBP EMS – environmental/biosolids
- ISO 9001 – product/service quality

You may hear the Department's management system referred to as the Lawrence Utilities Management System or LUMS.

The Utilities Department has specific expectations of contractors that in particular relate to the requirements of our management system. These expectations are also described in any project specifications that may exist.

### **Contractor Expectations**

These expectations are requested of ALL contractors doing business for and on behalf of the Lawrence Department of Utilities.

**Contractors** are expected to understand:

- LUMS (Environmental/Health & Safety/Quality) Policy
- Environmental impacts of activities performed
- Health and safety hazards involved with the activities performed
- The impact of activities on the quality of the product and services provided by the Department.
- That there may be Utilities goals associated with contractor activities in which performance may be assessed to determine effectiveness, timeliness, and work quality
- That where applicable every attempt has been made to document all parties' responsibilities in Service Agreements and contracts
- Their roles and responsibilities as it relates to the contracted activity, including record keeping, communication, safety, emergency response, and legal obligations
- That your performance may be subject to audit by Department staff or outside auditors as determined by management. These audits allow the Utilities Department to review project activities as necessary. Participation consists of possible attendance at meetings, and may include allowing key staff to be available, sharing relative documentation, and providing follow-up information.
- Utilities Department management may review contractor's performance

**Contractors** are expected to monitor and measure performance toward:

- Environmental impact and health and safety risk.
- Legal and other requirements
- Competency, training, & awareness of their employees.
- Applicable means of controlling environmental impacts and health and safety risks.



**Contractors** are expected to provide:

- These requirements to employees.
- Any details of applicable activities to Utilities Department Staff.
- Records and reportable data to Utility Department staff on a timely and accurate basis.

**Contractors** are expected to ensure:

- That all staff receive related information from the City as applicable.
- That all employees understand and adhere to the following:
  - i. All appropriate laws and other requirements.
  - ii. Safe operation of equipment used in conducting contracted activities.
  - iii. Emergency response procedures.
  - iv. Recordkeeping and data logging activities.
  - v. The City's expectations for response to public inquiry.

**Contractors** are required to maintain:

- Applicable means of controlling environmental impacts, health & safety risks, effects on product and service quality that pertain to contractor activities.
- A procedure for preventing and correcting inconsistencies and problems as related to service agreements, controls of environmental impacts and health and safety hazards, legal & other requirements, emergency situations, any expectation identified in this document, and other requirements as deemed necessary by Department Management.

**Contractors** are expected to:

- Remain in compliance with all applicable federal, state, and local legal requirements, i.e. KDHE, OSHA, City of Lawrence Code, etc.
- Participate in public participation activities at the request of the Utilities Department, including information meetings, presentations or questions from elected officials, and other circumstances as deemed necessary and beneficial by the City
- Refer all communication with interested parties to Utilities Department staff, including media, complaints, publicity, requests for information, and other communication regarding or which may affect the City, Department, or applicable City programs or projects.
- Report on performance with summaries to demonstrate performance of the project relative to applicable City goals and legal requirements.
- Assure contractor's staff is competent in performing tasks.
- Allow Utilities Department staff to inform the Contractor's staff on LUMS requirements and expectations for the contracted services to be provided.
- Use a document control system for all applicable procedures and documents and adhere to Utilities Department requirements for all records and reports.
- Record, maintain, and control all documents applicable to Utilities Department activities and as it pertains to all legal and other requirements.
- Document the training of their staff and, if appropriate, conduct drills, especially on the following:
  - i. Emergency preparedness and response procedures.
  - ii. Standard operating procedures.
  - iii. Other specified training.

### **Consequences**

***Contractor's failure to comply with any of the requirements listed in this document may result in any or all of the following:***

- 1. Request by City staff for immediate correction.***
- 2. Halt of work until contractor correction is complete***
- 3. Notification of the appropriate officials for enforcement if applicable.***

### **Hazard Communication Notification**

As a requirement of the Department of Utilities Hazard Communication Plan, this serves as notification to contractors of all applicable bulk hazardous materials located at Water and Wastewater facilities. Through

their contracted activities, contractor staff may be in contact with any, all or none of the below listed hazardous materials. Electronic Safety Data Sheets (SDS) are present on-line for all hazardous materials at [www.sdsbinderworks.com](http://www.sdsbinderworks.com). Access is available by entering the Username: Utilities and Password: User. Appropriate personal protective equipment should be used when the possibility of contact exists. It is the contractor's responsibility to protect their employees. The City of Lawrence is not responsible for contractor's staff injury or accident due to failure of contractor to protect their employees from these hazards. This list includes only chemical hazards and does not include biological or laboratory hazards that are normally found at water or wastewater treatment plants.

#### Hazardous Material

(C-Clinton, K-Kaw, WW-Wastewater)

Sodium Bisulfite (C, K, WW)

Sodium Hypochlorite (WW, C, K)

Ferric Chloride (WW)

Ferrous Chloride (C, WW)

Potassium Permanganate (WW)

Polymers (C, K, WW)

Defoamer (WW)

Lime (C, K, WW)

Micro-sand/Silica Sand (WW)

Aqua Ammonia (C, K)

Powdered Activated Carbon (C, K)

Carbon Dioxide (K)

Sodium Silicofluoride (C, K)

Aluminum Sulfate (K)

Soda Ash (K)

Sodium Hexametaphosphate (C, K)

#### Utilities Project General Expectations

The following general expectations exist for all contractors and individuals doing business at Utilities sites and properties:

- ◆ **DO NOT** exceed 10 m.p.h. within plant.
- ◆ **DO NOT** drive on grass.
- ◆ **DO NOT** track materials on Utility and public streets and roads.
- ◆ **DO NOT** block plant roadways.
- ◆ **DO NOT** stockpile materials in areas not approved by Utilities staff.
- ◆ **DO NOT** use alcohol/illegal drugs on Utilities Property
- ◆ **DO** smoke in designated areas only.
- ◆ **DO** keep staging areas small and tidy.
- ◆ **DO** clean up staging areas completely at the end of the project.
- ◆ **DO** refer questions, concerns, comments from the general public, i.e. neighbors, farmers, etc. to City staff.
- ◆ **DO** assure that load is secure before leaving the site.
- ◆ **DO** obey all traffic laws and speed limits.
- ◆ **DO** refer all media requests to City representatives.
- ◆ **DO** sign in/out and wear "contractor" badge in a visible location while on site. This applies to each individual.
- ◆ **DO** notify City representative of changes to timetables or schedules as soon as available.
- ◆ **DO** ensure trucks have good tarping system and use it (where applicable).
- ◆ **DO** communicate with City representative. After hours, contact with the on-duty plant operator should be made.

#### Questions

Refer questions on the information in this pamphlet to the City employee in charge of your project.

**This page was intentionally left blank for duplex printing.**



SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES

PART 1 GENERAL

- 1.1 SUBMITTALS
- 1.2 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT
  - 1.2.1 Submission of Invoices
  - 1.2.2 Final Invoice
- 1.3 SINGLE JOB PAYMENT ITEMS
  - 1.3.1 Mobilization and Demobilization
    - 1.3.1.1 Payment
    - 1.3.1.2 Unit of Measure

PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

1.2 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.2.1 Submission of Invoices

If DFARS Clause 252.232-7006 Wide Area WorkFlow Payment Instructions is included in the Contract, provide the documents listed in above paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer.

Monthly invoices and supporting forms for work performed through the anniversary award date of the Contract must be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, if Contract award date is the 7th of the month, the date of each monthly invoice must be the 7th and the invoice must be submitted by the 12th of the month.

1.2.2 Final Invoice

- a. A final invoice must be accompanied by the certification required by DFARS 252.247.7023 Transportation of Supplies by Sea, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release must contain the corporate seal. An officer of the corporation must sign and the corporate secretary must certify the Final Release.
- b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor must then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.
- c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

### 1.3 SINGLE JOB PAYMENT ITEMS

Payment items for the work of this Contract for which Contract job payments will be made are listed in the BIDDING SCHEDULE and described below. All costs for items of work, which are not specifically mentioned to be included in a particular job or unit price payment item, are included in the listed job item most closely associated with the work involved. The job price and payment made for each item listed constitutes full compensation for furnishing all plant, labor, materials, and equipment, and performing any associated Contractor quality control, environmental protection, meeting safety requirements, tests and reports, and for performing all work required for which separate payment is not otherwise provided.

#### 1.3.1 Mobilization and Demobilization

##### 1.3.1.1 Payment

Payment will be made for costs associated with mobilization and demobilization, as defined in Special Contract Requirement PAYMENT FOR MOBILIZATION AND DEMOBILIZATION.

##### 1.3.1.2 Unit of Measure

Unit of measure: job.

### PART 2 PRODUCTS

Not Used

### PART 3 EXECUTION

Not Used

-- End of Section --

**This page was intentionally left blank for duplex printing.**

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS
- 1.3 VIEW LOCATION MAP
- 1.4 PROGRESS AND COMPLETION PICTURES
- 1.5 MINIMUM INSURANCE REQUIREMENTS
- 1.6 SUPERVISION
  - 1.6.1 Superintendent Qualifications
  - 1.6.2 Minimum Communication Requirements
  - 1.6.3 Duties
  - 1.6.4 Non-Compliance Actions
- 1.7 PRECONSTRUCTION CONFERENCE
  - 1.7.1 Attendees
- 1.8 PARTNERING
  - 1.8.1 Team-Led (Informal) Partnering
- 1.9 MOBILIZATION
- 1.10 FIELD OFFICE OVERHEAD (FOOH) ACCOUNTING METHOD
  - 1.10.1 Field Office Overhead Per Diem Rate
  - 1.10.2 Field Office Overhead Percentage Markup

PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety -- Safety and Health  
Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map

Progress and Completion Pictures G,RO

1.3 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

#### 1.5 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide other insurance coverage as required by Statelaw.

#### 1.6 SUPERVISION

##### 1.6.1 Superintendent Qualifications

Provide project superintendent with a minimum of 6years experience in construction with at least 3of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

##### 1.6.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

##### 1.6.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

##### 1.6.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

#### 1.7 PRECONSTRUCTION CONFERENCE

Immediately after award, prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction Conference. The conference must take place within 35 calendar days after award of the contract, but prior to commencement of any work at the site. The purpose of this conference is to discuss and develop a mutual understanding of the administrative requirements of the

Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, quality control, schedule of prices or earned value report, shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

#### 1.7.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), Quality Control Manager and major subcontractors.

#### 1.8 PARTNERING

##### 1.8.1 Team-Led (Informal) Partnering

- a. The Contracting Officer will coordinate the initial Team-Led (Informal) Partnering Session with key personnel of the project team, including Contractor and Government personnel and the City of Lawrence. The Partnering Session will be co-led by the Government Construction Manager and Contractor's Project Manager.
- b. The Initial Team-led Partnering session may be held concurrently with the Pre-Construction meeting. Partnering sessions will be held at a location mutually agreed to by the Contracting Officer and the Contractor, typically at a conference room on-base or at the Contractor's temporary trailer.
- d. The Partners will determine the frequency of the follow-on sessions.
- e. Participants will bear their own costs for meals, lodging and transportation associated with Partnering.

#### 1.9 MOBILIZATION

Contractor shall mobilize to the jobsite within 30 calendar days of final site or building design approval. Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

##### 1.10 FIELD OFFICE OVERHEAD (FOOH) ACCOUNTING METHOD

NOTICE TO OFFERORS: Declare below the single accounting practice that you will apply to this contract to calculate field office overhead for all change orders, modifications, and requests for equitable adjustment. Pursuant to Federal Acquisition Regulations (FAR) Parts 31.105(d)(3) and 31.203(d)(1), an accounting practice that varies from modification to modification is not allowed. Select one of the following:

1. TIME DISTRIBUTION BASE FOR A PER DIEM RATE \_\_\_\_\_  
See Field Office Overhead Per Diem Rate" paragraph below for more information on this accounting practice.

2. DIRECT COST DISTRIBUTION BASE FOR A PERCENTAGE MARKUP \_\_\_\_\_



See Field Office Overhead Percentage Markup" paragraph below for more information on this accounting practice.

3. OTHER ACCOUNTING PRACTICE THAT IS ALLOWABLE \_\_\_\_\_  
UNDER THE FAR AND THAT USES A SINGLE DISTRIBUTION BASE

If you choose 3, you must describe the accounting practice in sufficient detail below to allow the contracting officer to determine what account practice is being utilized by your company and that it complies with the FAR.

---

---

1.10.1 Field Office Overhead Per Diem Rate

If any change to the contract, issued pursuant to the Changes Clause or otherwise, for which the Government is responsible, causes an increase or decrease in the Contractor's cost of, or the time required for, performance under the contract, the Contracting Officer shall make an equitable adjustment and modify the contract in writing.

Under such equitable adjustment, no percentage markup for field office overhead shall be allowed. Additionally, no payment of field office overhead shall be allowed for any changes when the completion of the contract is not extended by reason of the change, except the Contractor may be reimbursed any variable expense it incurs due to the change, provided it can substantiate the variables. The Contractor shall be reimbursed for field office overhead using only a per diem basis when the completion of the contract is extended by reason of the change issued under any clause except the Default clause. Equitable adjustment shall be made for the costs that are incurred or are to be incurred due to the change. The Contractor shall provide a detailed breakdown of its proposed increase or decrease of costs as required by Contract Clause DFARS 252.236-7000 MODIFICATION OF PROPOSALS - PRICE BREAKDOWN.

1.10.2 Field Office Overhead Percentage Markup

If any change to the contract issued pursuant to the changes Clause, or otherwise, for which the Government is responsible, causes an increase or decrease in the Contractor's cost, or in the time required for performance under the contract, the Contracting Officer shall make an equitable adjustment and modify the contract in writing.

Under such equitable adjustment, no per diem rate for field office overhead shall be allowed in such a case, payment of field office overhead shall be allowed for any change using only a percentage markup basis regardless of whether the completion of the contract is or is not extended by reason of the change, except for modifications issued pursuant to the Default Clause. The Contractor shall provide a detailed breakdown of its proposed increase or decrease of costs as required by Contract Clause DFARS 252.236-7000 MODIFICATION OF PROPOSALS - PRICE BREAKDOWN.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

Reline 24" Pipe and Valve  
Lawrence, KS

W912DQ23B1011

-- End of Section --

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 32 01.00 10

PROJECT SCHEDULE

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS
- 1.3 PROJECT SCHEDULER QUALIFICATIONS

PART 2 PRODUCTS

- 2.1 SOFTWARE
  - 2.1.1 Government Default Software
  - 2.1.2 Contractor Software
    - 2.1.2.1 Primavera
    - 2.1.2.2 Other Than Primavera

PART 3 EXECUTION

- 3.1 GENERAL REQUIREMENTS
- 3.2 BASIS FOR PAYMENT AND COST LOADING
  - 3.2.1 Activity Cost Loading
  - 3.2.2 Withholdings / Payment Rejection
- 3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS
  - 3.3.1 Level of Detail Required
  - 3.3.2 Activity Durations
  - 3.3.3 Procurement Activities
  - 3.3.4 Mandatory Tasks
  - 3.3.5 Government Activities
  - 3.3.6 Standard Activity Coding Dictionary
    - 3.3.6.1 Workers Per Day (WRKP)
    - 3.3.6.2 Responsible Party Coding (RESP)
    - 3.3.6.3 Area of Work Coding (AREA)
    - 3.3.6.4 Modification Number (MODF)
    - 3.3.6.5 Bid Item Coding (BIDI)
    - 3.3.6.6 Phase of Work Coding (PHAS)
    - 3.3.6.7 Category of Work Coding (CATW)
    - 3.3.6.8 Feature of Work Coding (FOW)
  - 3.3.7 Contract Milestones and Constraints
    - 3.3.7.1 Project Start Date Milestone and Constraint
    - 3.3.7.2 End Project Finish Milestone and Constraint
    - 3.3.7.3 Interim Completion Dates and Constraints
      - 3.3.7.3.1 Start Phase
      - 3.3.7.3.2 End Phase
  - 3.3.8 Calendars
  - 3.3.9 Open Ended Logic
  - 3.3.10 Default Progress Data Disallowed
  - 3.3.11 Out-of-Sequence Progress
  - 3.3.12 Added and Deleted Activities

- 3.3.13 Original Durations
- 3.3.14 Leads, Lags, and Start to Finish Relationships
- 3.3.15 Retained Logic
- 3.3.16 Percent Complete
- 3.3.17 Remaining Duration
- 3.3.18 Cost Loading of Closeout Activities
  - 3.3.18.1 As-Built Drawings
  - 3.3.18.2 O & M Manuals
- 3.3.19 Early Completion Schedule and the Right to Finish Early
- 3.4 PROJECT SCHEDULE SUBMISSIONS
  - 3.4.1 Preliminary Project Schedule Submission
  - 3.4.2 Initial Project Schedule Submission
  - 3.4.3 Periodic Schedule Updates
- 3.5 SUBMISSION REQUIREMENTS
  - 3.5.1 Data CD/DVDs
  - 3.5.2 Narrative Report
  - 3.5.3 Schedule Reports
    - 3.5.3.1 Activity Report
    - 3.5.3.2 Logic Report
    - 3.5.3.3 Total Float Report
    - 3.5.3.4 Earnings Report by CLIN
    - 3.5.3.5 Schedule Log
  - 3.5.4 Network Diagram
    - 3.5.4.1 Continuous Flow
    - 3.5.4.2 Project Milestone Dates
    - 3.5.4.3 Critical Path
    - 3.5.4.4 Banding
    - 3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram
- 3.6 PERIODIC SCHEDULE UPDATE
  - 3.6.1 Periodic Schedule Update Meetings
  - 3.6.2 Update Submission Following Progress Meeting
- 3.7 WEEKLY PROGRESS MEETINGS
- 3.8 REQUESTS FOR TIME EXTENSIONS
  - 3.8.1 Justification of Delay
  - 3.8.2 Time Impact Analysis (Prospective Analysis)
  - 3.8.3 Forensic Schedule Analysis (Retrospective Analysis)
  - 3.8.4 Fragmentary Network (Fragnet)
  - 3.8.5 Time Extension
  - 3.8.6 Impact to Early Completion Schedule
- 3.9 FAILURE TO ACHIEVE PROGRESS
  - 3.9.1 Artificially Improving Progress
  - 3.9.2 Failure to Perform
  - 3.9.3 Recovery Schedule
- 3.10 OWNERSHIP OF FLOAT
- 3.11 TRANSFER OF SCHEDULE DATA INTO RMS/QCS
- 3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

-- End of Section Table of Contents --

SECTION 01 32 01.00 10

PROJECT SCHEDULE

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AACE INTERNATIONAL (AACE)

AACE 29R-03 (2011) Forensic Schedule Analysis

AACE 52R-06 (2006) Time Impact Analysis - As Applied  
in Construction

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1-1-11 (2017) Administration -- Project Schedules

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Project Scheduler Qualifications; G, RO

Preliminary Project Schedule; G,RO

Initial Project Schedule; G, RO

Periodic Schedule Update; G, RO

1.3 PROJECT SCHEDULER QUALIFICATIONS

Designate an authorized representative to be responsible for the preparation of the schedule and all required updating and production of reports. The authorized representative must have a minimum of 2-years experience scheduling construction projects similar in size and nature to this project with scheduling software that meets the requirements of this specification. Representative must have a comprehensive knowledge of CPM scheduling principles and application.

## PART 2 PRODUCTS

### 2.1 SOFTWARE

The scheduling software utilized to produce and update the schedules required herein must be capable of meeting all requirements of this specification.

#### 2.1.1 Government Default Software

The Government intends to use Primavera P6.

#### 2.1.2 Contractor Software

Scheduling software used by the contractor must be commercially available from the software vendor for purchase with vendor software support agreements available. The software routine used to create the required sdef file must be created and supported by the software manufacturer.

##### 2.1.2.1 Primavera

If Primavera P6 is selected for use, provide the "xer" export file in a version of P6 importable by the Government system.

##### 2.1.2.2 Other Than Primavera

If the contractor chooses software other than Primavera P6, that is compliant with this specification, provide for the Government's use two licenses, two computers, and training for two Government employees in the use of the software. These computers will be stand-alone and not connected to Government network. Computers and licenses will be returned at project completion.

## PART 3 EXECUTION

### 3.1 GENERAL REQUIREMENTS

Prepare for approval a Project Schedule, as specified herein, pursuant to FAR Clause 52.236-15 Schedules for Construction Contracts. Show in the schedule the proposed sequence to perform the work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire project is required. The scheduling of construction is the responsibility of the Contractor. Contractor management personnel must actively participate in its development. Subcontractors and suppliers working on the project must also contribute in developing and maintaining an accurate Project Schedule. Provide a schedule that is a forward planning as well as a project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules. Prepare each Project Schedule using the Precedence Diagram Method (PDM).

### 3.2 BASIS FOR PAYMENT AND COST LOADING

The schedule is the basis for determining contract earnings during each update period and therefore the amount of each progress payment. The aggregate value of all activities coded to a contract CLIN must equal the value of the CLIN.

### 3.2.1 Activity Cost Loading

Activity cost loading must be reasonable and without front-end loading. Provide additional documentation to demonstrate reasonableness if requested by the Contracting Officer.

### 3.2.2 Withholdings / Payment Rejection

Failure to meet the requirements of this specification may result in the disapproval of the preliminary, initial or periodic schedule updates and subsequent rejection of payment requests until compliance is met.

In the event that the Contracting Officer directs schedule revisions and those revisions have not been included in subsequent Project Schedule revisions or updates, the Contracting Officer may withhold 10 percent of pay request amount from each payment period until such revisions to the project schedule have been made.

## 3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS

### 3.3.1 Level of Detail Required

Develop the Project Schedule to the appropriate level of detail to address major milestones and to allow for satisfactory project planning and execution. Failure to develop the Project Schedule to an appropriate level of detail will result in its disapproval. The Contracting Officer will consider, but is not limited to, the following characteristics and requirements to determine appropriate level of detail:

### 3.3.2 Activity Durations

Reasonable activity durations are those that allow the progress of ongoing activities to be accurately determined between update periods. Less than 2 percent of all non-procurement activities may have Original Durations (OD) greater than 20 work days or 30 calendar days.

### 3.3.3 Procurement Activities

Include activities associated with the critical submittals and their approvals, procurement, fabrication, and delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 90 calendar days.

### 3.3.4 Mandatory Tasks

Include the following activities/tasks in the initial project schedule and all updates.

- a. Submission, review and acceptance of SD-01 Preconstruction Submittals (individual activity for each).
- b. Submission, review and acceptance of features require design completion
- c. Submission of mechanical/electrical/information systems layout drawings.
- d. Long procurement activities

- e. Submission and approval of O & M manuals.
- f. Submission and approval of as-built drawings.
- g. Submission and approval of DD1354 data and installed equipment lists.
- h. Submission and approval of testing and air balance (TAB).
- i. Submission of TAB specialist design review report.
- j. Submission and approval of fire protection specialist.
- k. Submission and approval of Building Commissioning Plan, test data, and reports: Develop the schedule logic associated with testing and commissioning of mechanical systems to a level of detail consistent with the contract commissioning requirements. All tasks associated with all building testing and commissioning will be completed prior to submission of building commissioning report and subsequent contract completion.
- l. Air and water balancing.
- m. Building commissioning - Functional Performance Testing.
- n. Controls testing plan submission.
- o. Controls testing.
- p. Performance Verification testing.
- q. Other systems testing, if required.
- r. Contractor's pre-final inspection.
- s. Correction of punch list from Contractor's pre-final inspection.
- t. Government's pre-final inspection.
- u. Correction of punch list from Government's pre-final inspection.
- v. Final inspection.

### 3.3.5 Government Activities

Show Government and other agency activities that could impact progress. These activities include, but are not limited to: approvals, environmental permit approvals by State regulators, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

### 3.3.6 Standard Activity Coding Dictionary

Use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in ER 1-1-11. This exact structure is mandatory. Develop and assign all Activity Codes to activities as detailed herein. A template SDEF compatible schedule backup file is available on the QCS web site: <http://rms.usace.army.mil>.

The SDEF format is as follows:



Field	Activity Code	Length	Description
1	WRKP	3	Workers per day
2	RESP	4	Responsible party
3	AREA	4	Area of work
4	MODF	6	Modification Number
5	BIDI	6	Bid Item (CLIN)
6	PHAS	2	Phase of work
7	CATW	1	Category of work
8	FOW	20	Feature of work*
<p>*Some systems require that FEATURE OF WORK values be placed in several activity code fields. The notation shown is for Primavera P6. Refer to the specific software guidelines with respect to the FEATURE OF WORK field requirements.</p>			

#### 3.3.6.1 Workers Per Day (WRKP)

Assign Workers per Day for all field construction or direct work activities, if directed by the Contracting Officer. Workers per day is based on the average number of workers expected each day to perform a task for the duration of that activity.

#### 3.3.6.2 Responsible Party Coding (RESP)

Assign responsibility code for all activities to the Prime Contractor, Subcontractor(s) or Government agency(ies) responsible for performing the activity.

- a. Activities coded with a Government Responsibility code include, but are not limited to: Government approvals, Government design reviews, environmental permit approvals by State regulators, Government Furnished Property/Equipment (GFP) and Notice to Proceed (NTP) for phasing requirements.
- b. Activities cannot have more than one Responsibility Code. Examples of acceptable activity code values are: DOR (for the designer of record); ELEC (for the electrical subcontractor); MECH (for the mechanical subcontractor); and GOVT (for USACE).

#### 3.3.6.3 Area of Work Coding (AREA)

Assign Work Area code to activities based upon the work area in which the activity occurs. Define work areas based on resource constraints or space constraints that would preclude a resource, such as a particular trade or craft work crew from working in more than one work area at a time due to restraints on resources or space. Examples of Work Area Coding include different areas within a floor of a building, different floors within a

building, and different buildings within a complex of buildings.  
Activities cannot have more than one Work Area Code.

Not all activities are required to be Work Area coded. A lack of Work Area coding indicates the activity is not resource or space constrained.

#### 3.3.6.4 Modification Number (MODF)

Assign a Modification Number Code to any activity or sequence of activities added to the schedule as a result of a Contract Modification, when approved by Contracting Officer. Key all Code values to the Government's modification numbering system. An activity can have only one Modification Number Code.

#### 3.3.6.5 Bid Item Coding (BIDI)

Assign a Bid Item Code to all activities using the Contract Line Item Schedule (CLIN) to which the activity belongs, even when an activity is not cost loaded. An activity can have only one BIDI Code.

#### 3.3.6.6 Phase of Work Coding (PHAS)

Assign Phase of Work Code to all activities. Examples of phase of work are procurement phase and construction phase. Each activity can have only one Phase of Work code.

- a. Code proposed fast track design and construction phases proposed to allow filtering and organizing the schedule by fast track design and construction packages.
- b. If the contract specifies phasing with separately defined performance periods, identify a Phase Code to allow filtering and organizing the schedule accordingly.

#### 3.3.6.7 Category of Work Coding (CATW)

Assign a Category of Work Code to all activities. Category of Work Codes include, but are not limited to construction submittal, procurement, fabrication, weather sensitive installation, non-weather sensitive installation, start-up, and testing activities. Each activity can have no more than one Category of Work Code.

#### 3.3.6.8 Feature of Work Coding (FOW)

Assign a Feature of Work Code to appropriate activities based on the Definable Feature of Work to which the activity belongs based on the approved QC plan.

Definable Feature of Work is defined in Section 01 45 00.00 10 QUALITY CONTROL. An activity can have only one Feature of Work Code.

#### 3.3.7 Contract Milestones and Constraints

Milestone activities are to be used for significant project events including, but not limited to, project phasing, project start and end activities, or interim completion dates. The use of artificial float constraints such as "zero free float" or "zero total float" are prohibited.

Mandatory constraints that ignore or effect network logic are prohibited.

No constrained dates are allowed in the schedule other than those specified herein. Submit additional constraints to the Contracting Officer for approval on a case by case basis.

#### 3.3.7.1 Project Start Date Milestone and Constraint

The first activity in the project schedule must be a start milestone titled "NTP Acknowledged," which must have a "Start On" constraint date equal to the date that the NTP is acknowledged.

#### 3.3.7.2 End Project Finish Milestone and Constraint

The last activity in the schedule must be a finish milestone titled "End Project."

Constrain the project schedule to the Contract Completion Date in such a way that if the schedule calculates an early finish, then the float calculation for "End Project" milestone reflects positive float on the longest path. If the project schedule calculates a late finish, then the "End Project" milestone float calculation reflects negative float on the longest path. The Government is under no obligation to accelerate Government activities to support a Contractor's early completion.

#### 3.3.7.3 Interim Completion Dates and Constraints

Constrain contractually specified interim completion dates to show negative float when the calculated late finish date of the last activity in that phase is later than the specified interim completion date.

##### 3.3.7.3.1 Start Phase

Use a start milestone as the first activity for a project phase. Call the start milestone "Start Phase X" where "X" refers to the phase of work.

##### 3.3.7.3.2 End Phase

Use a finish milestone as the last activity for a project phase. Call the finish milestone "End Phase X" where "X" refers to the phase of work.

#### 3.3.8 Calendars

Schedule activities on a Calendar to which the activity logically belongs. Develop calendars to accommodate any contract defined work period such as a 7-day calendar for Government Acceptance activities, concrete cure times, etc. Develop the default Calendar to match the physical work plan with non-work periods identified including weekends and holidays. Develop sSeasonal Calendar(s) and assign to seasonally affected activities as applicable.

If an activity is weather sensitive it should be assigned to a calendar showing non-work days on a monthly basis, with the non-work days selected at random across the weeks of the calendar, using the anticipated adverse weather delay work days provided in the Special Contract Requirements. Assign non-work days over a seven-day week as weather records are compiled on seven-day weeks, which may cause some of the weather related non-work days to fall on weekends.

### 3.3.9 Open Ended Logic

Only two open ended activities are allowed: the first activity "NTP Acknowledged" may have no predecessor logic, and the last activity -"End Project" may have no successor logic.

Predecessor open ended logic may be allowed in a time impact analyses upon the Contracting Officer's approval.

### 3.3.10 Default Progress Data Disallowed

Actual Start and Finish dates must not automatically update with default mechanisms included in the scheduling software. Updating of the percent complete and the remaining duration of any activity must be independent functions. Disable program features that calculate one of these parameters from the other. Activity Actual Start (AS) and Actual Finish (AF) dates assigned during the updating process must match those dates provided in the Contractor Quality Control Reports. Failure to document the AS and AF dates in the Daily Quality Control report will result in disapproval of the Contractor's schedule.

### 3.3.11 Out-of-Sequence Progress

Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case basis subject to approval by the Contracting Officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule. Address out of sequence progress or logic changes in the Narrative Report and in the periodic schedule update meetings.

### 3.3.12 Added and Deleted Activities

Do not delete activities from the project schedule or add new activities to the schedule without approval from the Contracting Officer. Activity ID and description changes are considered new activities and cannot be changed without Contracting Officer approval.

### 3.3.13 Original Durations

Activity Original Durations (OD) must be reasonable to perform the work item. OD changes are prohibited unless justification is provided and approved by the Contracting Officer.

### 3.3.14 Leads, Lags, and Start to Finish Relationships

Lags must be reasonable as determined by the Government and not used in place of realistic original durations, must not be in place to artificially absorb float, or to replace proper schedule logic.

- a. Leads (negative lags) are prohibited.
- b. Start to Finish (SF) relationships are prohibited.

### 3.3.15 Retained Logic

Schedule calculations must retain the logic between predecessors and successors ("retained logic" mode) even when the successor activity(s) starts and the predecessor activity(s) has not finished (out-of-sequence

progress). Software features that in effect sever the tie between predecessor and successor activities when the successor has started and the predecessor logic is not satisfied ("progress override") are not be allowed.

### 3.3.16 Percent Complete

Update the percent complete for each activity started, based on the realistic assessment of earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiation of successor activities may be declared 100 percent complete to allow for proper schedule management.

### 3.3.17 Remaining Duration

Update the remaining duration for each activity based on the number of estimated work days it will take to complete the activity. Remaining duration may not mathematically correlate with percentage found under paragraph entitled Percent Complete.

### 3.3.18 Cost Loading of Closeout Activities

Cost load the "Correction of punch list from Government pre-final inspection" activity(ies) not less than 1 percent of the present contract value. Activity(ies) may be declared 100 percent complete upon the Government's verification of completion and correction of all punch list work identified during Government pre-final inspection(s).

#### 3.3.18.1 As-Built Drawings

If there is no separate contract line item (CLIN) for as-built drawings, cost load the "Submission and approval of as-built drawings" activity not less than \$35,000 or 1 percent of the present contract value, which ever is greater, up to \$200,000. Activity will be declared 100 percent complete upon the Government's approval.

#### 3.3.18.2 O & M Manuals

Cost load the "Submission and approval of O & M manuals" activity not less than \$20,000. Activity will be declared 100 percent complete upon the Government's approval of all O & M manuals.

### 3.3.19 Early Completion Schedule and the Right to Finish Early

An Early Completion Schedule is an Initial Project Schedule (IPS) that indicates all scope of the required contract work will be completed before the contractually required completion date.

- a. No IPS indicating an Early Completion will be accepted without being fully resource-loaded (including crew sizes and manhours) and the Government agreeing that the schedule is reasonable and achievable.
- b. The Government is under no obligation to accelerate work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the contractor's accelerated work.

### 3.4 PROJECT SCHEDULE SUBMISSIONS

Provide the submissions as described below. The data CD/DVD, reports, and

network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS. If the Contractor fails or refuses to furnish the information and schedule updates as set forth herein, then the Contractor will be deemed not to have provided an estimate upon which a progress payment can be made.

Review comments made by the Government on the schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents.

#### 3.4.1 Preliminary Project Schedule Submission

Within 15 calendar days after the NTP is acknowledged submit the Preliminary Project Schedule defining the planned operations detailed for the first 90 calendar days for approval. The approved Preliminary Project Schedule will be used for payment purposes not to exceed 90 calendar days after NTP. Completely cost load the Preliminary Project Schedule to balance the contract award CLINS shown on the Price Schedule. The Preliminary Project Schedule may be summary in nature for the remaining performance period. It must be early start and late finish constrained and logically tied as specified. The Preliminary Project Schedule forms the basis for the Initial Project Schedule specified herein and must include all of the required plan and program preparations, submissions and approvals identified in the contract (for example, Quality Control Plan, Safety Plan, and Environmental Protection Plan) as well as design activities, planned submissions of all early design packages, permitting activities, design review conference activities, and other non-construction activities intended to occur within the first 90 calendar days. Government acceptance of the associated design package(s) and all other specified Program and Plan approvals must occur prior to any planned construction activities. Activity code any activities that are summary in nature after the first 90 calendar days with Bid Item (CLIN) code (BIDI), Responsibility Code (RESP) and Feature of Work code (FOW).

#### 3.4.2 Initial Project Schedule Submission

Submit the Initial Project Schedule for approval within 42 calendar days after notice to proceed is issued. The schedule must demonstrate a reasonable and realistic sequence of activities which represent all work through the entire contract performance period. No payment will be made for work items not fully detailed in the Project Schedule.

#### 3.4.3 Periodic Schedule Updates

Update the Project Schedule on a regular basis, monthly at a minimum. Provide a draft Periodic Schedule Update for review at the schedule update meetings as prescribed in the paragraph PERIODIC SCHEDULE UPDATE MEETINGS. These updates will enable the Government to assess Contractor's progress.

- a. Update information including Actual Start Dates (AS), Actual Finish Dates (AF), Remaining Durations (RD), and Percent Complete is subject to the approval of the Government at the meeting.
- b. AS and AF dates must match the date(s) reported on the Contractor's Quality Control Report for an activity start or finish.

#### 3.5 SUBMISSION REQUIREMENTS

Submit the following items for the Preliminary Schedule, Initial Schedule, and every Periodic Schedule Update throughout the life of the project:

### 3.5.1 Data CD/DVDs

Provide two sets of data CD/DVDs containing the current project schedule and all previously submitted schedules in the format of the scheduling software (e.g. .xer). Also include on the data CD/DVDs the Narrative Report and all required Schedule Reports. Label each CD/DVD indicating the type of schedule (Preliminary, Initial, Update), full contract number, Data Date and file name. Each schedule must have a unique file name and use project specific settings.

### 3.5.2 Narrative Report

Provide a Narrative Report with each schedule submission. The Narrative Report is expected to communicate to the Government the thorough analysis of the schedule output and the plans to compensate for any problems, either current or potential, which are revealed through that analysis. Include the following information as minimum in the Narrative Report:

- a. Identify and discuss the work scheduled to start in the next update period.
- b. A description of activities along the two most critical paths where the total float is less than or equal to 20 work days.
- c. A description of current and anticipated problem areas or delaying factors and their impact and an explanation of corrective actions taken or required to be taken.
- d. Identify and explain why activities based on their calculated late dates should have either started or finished during the update period but did not.
- e. Identify and discuss all schedule changes by activity ID and activity name including what specifically was changed and why the change was needed. Include at a minimum new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish date changes.
- f. Identify and discuss out-of-sequence work.

### 3.5.3 Schedule Reports

The format, filtering, organizing and sorting for each schedule report will be as directed by the Contracting Officer. Typically, reports contain Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float, Actual Start Date, Actual Finish Date, and Percent Complete. Provide the reports electronically in .pdf format. Provide 2 set(s) of hardcopy reports. The following lists typical reports that will be requested:

#### 3.5.3.1 Activity Report

List of all activities sorted according to activity number.

#### 3.5.3.2 Logic Report

List of detailed predecessor and successor activities for every activity in

ascending order by activity number.

#### 3.5.3.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. List activities which have the same amount of total float in ascending order of Early Start Dates. Do not show completed activities on this report.

#### 3.5.3.4 Earnings Report by CLIN

A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN number and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

#### 3.5.3.5 Schedule Log

Provide a Scheduling/Leveling Report generated from the current project schedule being submitted.

#### 3.5.4 Network Diagram

The Network Diagram is required for the Preliminary, Initial and Periodic Updates. Depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

##### 3.5.4.1 Continuous Flow

Show a continuous flow from left to right with no arrows from right to left. Show the activity number, description, duration, and estimated earned value on the diagram.

##### 3.5.4.2 Project Milestone Dates

Show dates on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

##### 3.5.4.3 Critical Path

Show all activities on the critical path. The critical path is defined as the longest path.

##### 3.5.4.4 Banding

Organize activities using the WBS or as otherwise directed to assist in the understanding of the activity sequence. Typically, this flow will group activities by major elements of work, category of work, work area and/or responsibility.



#### 3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram

With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates, and 2) Earned Value to-date.

### 3.6 PERIODIC SCHEDULE UPDATE

#### 3.6.1 Periodic Schedule Update Meetings

Conduct periodic schedule update meetings for the purpose of reviewing the proposed Periodic Schedule Update, Narrative Report, Schedule Reports, and progress payment. Conduct meetings at least monthly within five days of the proposed schedule data date. Provide a computer with the scheduling software loaded and a projector which allows all meeting participants to view the proposed schedule during the meeting. The Contractor's authorized scheduler must organize, group, sort, filter, perform schedule revisions as needed and review functions as requested by the Contractor and/or Government. The meeting is a working interactive exchange which allows the Government and Contractor the opportunity to review the updated schedule on a real time and interactive basis. The meeting will last no longer than 8 hours. Provide a draft of the proposed narrative report and schedule data file to the Government a minimum of two workdays in advance of the meeting. The Contractor's Project Manager and scheduler must attend the meeting with the authorized representative of the Contracting Officer. Superintendents, foremen and major subcontractors must attend the meeting as required to discuss the project schedule and work. Following the periodic schedule update meeting, make corrections to the draft submission. Include only those changes approved by the Government in the submission and invoice for payment.

#### 3.6.2 Update Submission Following Progress Meeting

Submit the complete Periodic Schedule Update of the Project Schedule containing all approved progress, revisions, and adjustments, pursuant to paragraph SUBMISSION REQUIREMENTS not later than 4 work days after the periodic schedule update meeting.

### 3.7 WEEKLY PROGRESS MEETINGS

Conduct a weekly meeting with the Government (or as otherwise mutually agreed to) between the meetings described in paragraph entitled PERIODIC SCHEDULE UPDATE MEETINGS for the purpose of jointly reviewing the actual progress of the project as compared to the as planned progress and to review planned activities for the upcoming two weeks. Use the current approved schedule update for the purposes of this meeting and for the production and review of reports. At the weekly progress meeting, address the status of RFIs, RFPs and Submittals.

### 3.8 REQUESTS FOR TIME EXTENSIONS

Provide a justification of delay to the Contracting Officer in accordance with the contract provisions and clauses for approval within 10 days of a delay occurring. Also prepare a time impact analysis for each Government request for proposal (RFP) to justify time extensions.

#### 3.8.1 Justification of Delay

Provide a description of the event(s) that caused the delay and/or impact

to the work. As part of the description, identify all schedule activities impacted. Show that the event that caused the delay/impact was the responsibility of the Government. Provide a time impact analysis that demonstrates the effects of the delay or impact on the project completion date or interim completion date(s). Evaluate multiple impacts chronologically; each with its own justification of delay. With multiple impacts consider any concurrency of delay. A time extension and the schedule fragnet becomes part of the project schedule and all future schedule updates upon approval by the Contracting Officer.

#### 3.8.2 Time Impact Analysis (Prospective Analysis)

Prepare a time impact analysis for approval by the Contracting Officer based on industry standard AACE 52R-06. Utilize a copy of the last approved schedule prior to the first day of the impact or delay for the time impact analysis. If Contracting Officer determines the time frame between the last approved schedule and the first day of impact is too great, prepare an interim updated schedule to perform the time impact analysis. Unless approved by the Contracting Officer, no other changes may be incorporated into the schedule being used to justify the time impact.

#### 3.8.3 Forensic Schedule Analysis (Retrospective Analysis)

Prepare an analysis for approval by the Contracting Officer based on industry standard AACE 29R-03.

#### 3.8.4 Fragmentary Network (Fragnet)

Prepare a proposed fragnet for time impact analysis consisting of a sequence of new activities that are proposed to be added to the project schedule to demonstrate the influence of the delay or impact to the project's contractual dates. Clearly show how the proposed fragnet is to be tied into the project schedule including all predecessors and successors to the fragnet activities. The proposed fragnet must be approved by the Contracting Officer prior to incorporation into the project schedule.

#### 3.8.5 Time Extension

The Contracting Officer must approve the Justification of Delay including the time impact analysis before a time extension will be granted. No time extension will be granted unless the delay consumes all available Project Float and extends the projected finish date ("End Project" milestone) beyond the Contract Completion Date. The time extension will be in calendar days.

Actual delays that are found to be caused by the Contractor's own actions, which result in a calculated schedule delay will not be a cause for an extension to the performance period, completion date, or any interim milestone date.

#### 3.8.6 Impact to Early Completion Schedule

No extended overhead will be paid for delay prior to the original Contract Completion Date for an Early Completion IPS unless the Contractor actually performed work in accordance with that Early Completion Schedule. The Contractor must show that an early completion was achievable had it not been for the impact.

### 3.9 FAILURE TO ACHIEVE PROGRESS

Should the progress fall behind the approved project schedule for reasons other than those that are excusable within the terms of the contract, the Contracting Officer may require provision of a written recovery plan for approval. The plan must detail how progress will be made-up to include which activities will be accelerated by adding additional crews, longer work hours, extra work days, etc.

#### 3.9.1 Artificially Improving Progress

Artificially improving progress by means such as, but not limited to, revising the schedule logic, modifying or adding constraints, shortening activity durations, or changing calendars in the project schedule is prohibited. Indicate assumptions made and the basis for any logic, constraint, duration and calendar changes used in the creation of the recovery plan. Any additional resources, manpower, or daily and weekly work hour changes proposed in the recovery plan must be evident at the work site and documented in the daily report along with the Schedule Narrative Report.

#### 3.9.2 Failure to Perform

Failure to perform work and maintain progress in accordance with the supplemental recovery plan may result in an interim and final unsatisfactory performance rating and may result in corrective action directed by the Contracting Officer pursuant to FAR 52.236-15 Schedules for Construction Contracts, FAR 52.249-10 Default (Fixed-Price Construction), and other contract provisions.

#### 3.9.3 Recovery Schedule

Should the Contracting Officer find it necessary, submit a recovery schedule pursuant to FAR 52.236-15 Schedules for Construction Contracts.

### 3.10 OWNERSHIP OF FLOAT

Except for the provision given in the paragraph IMPACT TO EARLY COMPLETION SCHEDULE, float available in the schedule, at any time, may not be considered for the exclusive use of either the Government or the Contractor including activity and/or project float. Activity float is the number of work days that an activity can be delayed without causing a delay to the "End Project" finish milestone. Project float (if applicable) is the number of work days between the projected early finish and the contract completion date milestone.

### 3.11 TRANSFER OF SCHEDULE DATA INTO RMS/QCS

Import the schedule data into the Quality Control System (QCS) and export the QCS data to the Government. This data is considered to be additional supporting data in a form and detail required by the Contracting Officer pursuant to FAR 52.232-5 Payments under Fixed-Price Construction Contracts. The receipt of a proper payment request pursuant to FAR 52.232-27 Prompt Payment for Construction Contracts is contingent upon the Government receiving both acceptable and approvable hard copies and matching electronic export from QCS of the application for progress payment.

### 3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

If Primavera P6 is being used, request a backup file template (.xer) from the Government, if one is available, prior to building the schedule. The following settings are mandatory and required in all schedule submissions to the Government:

- a. Activity Codes must be Project Level, not Global or EPS level.
- b. Calendars must be Project Level, not Global or Resource level.
- c. Activity Duration Types must be set to "Fixed Duration & Units".
- d. Percent Complete Types must be set to "Physical".
- e. Time Period Admin Preferences must remain the default "8.0 hr/day, 40 hr/week, 172 hr/month, 2000 hr/year". Set Calendar Work Hours/Day to 8.0 Hour days.
- f. Set Schedule Option for defining Critical Activities to "Longest Path".
- g. Set Schedule Option for defining progressed activities to "Retained Logic".
- h. Set up cost loading using a single lump sum labor resource. The Price/Unit must be \$1/hr, Default Units/Time must be "8h/d", and settings "Auto Compute Actuals" and "Calculate costs from units" selected.
- i. Activity ID's must not exceed 10 characters.
- j. Activity Names must have the most defining and detailed description within the first 30 characters.

-- End of Section --

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 33 00

SUBMITTAL PROCEDURES

PART 1 GENERAL

- 1.1 SUMMARY
  - 1.1.1 Submittal Information
  - 1.1.2 Project Type
  - 1.1.3 Submission of Submittals
- 1.2 DEFINITIONS
  - 1.2.1 Submittal Descriptions (SD)
  - 1.2.2 Approving Authority
  - 1.2.3 Work
- 1.3 SUBMITTALS
- 1.4 SUBMITTAL CLASSIFICATION
  - 1.4.1 Government Approved (G)
  - 1.4.2 For Information Only
- 1.5 PREPARATION
  - 1.5.1 Transmittal Form
  - 1.5.2 Submittal Format
    - 1.5.2.1 Format of SD-01 Preconstruction Submittals
    - 1.5.2.2 Format for SD-02 Shop Drawings
      - 1.5.2.2.1 Drawing Identification
    - 1.5.2.3 Format of SD-03 Product Data
      - 1.5.2.3.1 Product Information
      - 1.5.2.3.2 Standards
      - 1.5.2.3.3 Data Submission
    - 1.5.2.4 Format of SD-04 Samples
      - 1.5.2.4.1 Sample Characteristics
      - 1.5.2.4.2 Sample Incorporation
      - 1.5.2.4.3 Comparison Sample
    - 1.5.2.5 Format of SD-05 Design Data
    - 1.5.2.6 Format of SD-06 Test Reports
    - 1.5.2.7 Format of SD-07 Certificates
    - 1.5.2.8 Format of SD-08 Manufacturer's Instructions
      - 1.5.2.8.1 Standards
    - 1.5.2.9 Format of SD-09 Manufacturer's Field Reports
    - 1.5.2.10 Format of SD-10 Operation and Maintenance Data (O&M)
    - 1.5.2.11 Format of SD-11 Closeout Submittals
  - 1.5.3 Source Drawings for Shop Drawings
    - 1.5.3.1 Source Drawings
    - 1.5.3.2 Terms and Conditions
  - 1.5.4 Electronic File Format
- 1.6 INFORMATION ONLY SUBMITTALS
- 1.7 PROJECT SUBMITTAL REGISTER
  - 1.7.1 Submittal Management
  - 1.7.2 Preconstruction Use of Submittal Register
  - 1.7.3 Contractor Use of Submittal Register
  - 1.7.4 Approving Authority Use of Submittal Register

- 1.7.5 Action Codes
  - 1.7.5.1 Contractor Action Codes
- 1.7.6 Delivery of Copies
- 1.8 VARIATIONS
  - 1.8.1 Considering Variations
  - 1.8.2 Proposing Variations
  - 1.8.3 Warranting that Variations are Compatible
  - 1.8.4 Review Schedule Extension
- 1.9 SCHEDULING
- 1.10 GOVERNMENT APPROVING AUTHORITY
  - 1.10.1 Review Notations
- 1.11 DISAPPROVED SUBMITTALS
- 1.12 APPROVED SUBMITTALS
- 1.13 APPROVED SAMPLES
- 1.14 WITHHOLDING OF PAYMENT
- 1.15 CERTIFICATION OF SUBMITTAL DATA

PART 2 PRODUCTS

PART 3 EXECUTION

ATTACHMENTS:

ENG Form 4025-R

Appendix A - Submittal Register

-- End of Section Table of Contents --

SECTION 01 33 00

SUBMITTAL PROCEDURES

PART 1 GENERAL

1.1 SUMMARY

1.1.1 Submittal Information

The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective sections. Each submittal is to be complete and in sufficient detail to allow ready determination of compliance with contract requirements.

Units of weights and measures used on all submittals are to be the same as those used in the contract drawings.

1.1.2 Project Type

The Contractor's Quality Control (CQC) System Manager are to check and approve all items before submittal and stamp, sign, and date indicating action taken. Proposed deviations from the contract requirements are to be clearly identified. Include within submittals items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals.

1.1.3 Submission of Submittals

Schedule and provide submittals requiring Government approval before acquiring the material or equipment covered thereby. Pick up and dispose of samples not incorporated into the work in accordance with manufacturer's Safety Data Sheets (SDS) and in compliance with existing laws and regulations.

1.2 DEFINITIONS

1.2.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to or at the start of construction (work) or the next major phase of the construction on a multiphase contract.

Preconstruction Submittals include schedules and a tabular list of

locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

List Of Proposed Products

Baseline Network Analysis Schedule (NAS)

Submittal Register

Schedule Of Prices Or Earned Value Report

Accident Prevention Plan

Work Plan

Quality Control (QC) plan

Environmental Protection Plan

#### SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

#### SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

#### SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

#### SD-06 Test Reports

Report signed by authorized official of testing laboratory that a



material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

#### SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

#### SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (SDS) concerning impedances, hazards and safety precautions.

#### SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

#### SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative

requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

#### 1.2.2 Approving Authority

Office or designated person authorized to approve the submittal.

#### 1.2.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

#### SD-01 Preconstruction Submittals

Submittal Register; G,RO

### 1.4 SUBMITTAL CLASSIFICATION

#### 1.4.1 Government Approved (G)

Government approval is required for extensions of design, critical materials, variations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Government.

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

#### 1.4.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

### 1.5 PREPARATION

#### 1.5.1 Transmittal Form

Use the ENG Form 4025-R transmittal form for submitting both Government-approved and information-only submittals. Submit in accordance with the instructions on the reverse side of the form. These forms or

similar forms will be furnished to the Contractor and are included in the RMS CM software that the Contractor is required to use for this contract. Properly complete this form by filling out all the heading blank spaces and identifying each item submitted. Exercise special care to ensure proper listing of the specification paragraph and sheet number of the contract drawings pertinent to the data submitted for each item.

#### 1.5.2 Submittal Format

##### 1.5.2.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

##### 1.5.2.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

- a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.
- b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Submit an electronic copy of drawings in PDF format.

##### 1.5.2.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 6 inches on the right-hand side of each sheet for the Government disposition stamp.

##### 1.5.2.3 Format of SD-03 Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

#### 1.5.2.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

#### 1.5.2.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

#### 1.5.2.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

#### 1.5.2.4 Format of SD-04 Samples

##### 1.5.2.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

- a. Sample of Equipment or Device: Full size.
- b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.
- d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid

materials are sand and paint.

- f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.
- g. Sample Panel: 4 by 4 feet.
- h. Sample Installation: 100 square feet.

#### 1.5.2.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

#### 1.5.2.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

#### 1.5.2.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper.

#### 1.5.2.6 Format of SD-06 Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

#### 1.5.2.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper.

#### 1.5.2.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

#### 1.5.2.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

#### 1.5.2.9 Format of SD-09 Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

#### 1.5.2.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

#### 1.5.2.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

#### 1.5.3 Source Drawings for Shop Drawings

##### 1.5.3.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

##### 1.5.3.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents.

Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

#### 1.5.4 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system such as the DOD SAFE Web Application located at the following website: <https://safe.apps.mil/>.

#### 1.6 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Provide information-only submittals to the Contracting Officer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical

specifications so prescribe.

#### 1.7 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Appendix A - Submittal Register."

##### 1.7.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required. Maintain a submittal register for the project in accordance with Section 01 45 00.15 10 RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE(RMS CM). The Government will provide the initial submittal register in electronic format with the following fields completed, to the extent that will be required by the Government during subsequent usage.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

##### 1.7.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.



### 1.7.3 Contractor Use of Submittal Register

Update the following fields in the Government-furnished submittal register program or equivalent fields in the program used by the Contractor with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

### 1.7.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

### 1.7.5 Action Codes

#### 1.7.5.1 Contractor Action Codes

DESIGN BID BUILD SUBMITTALS			
Submittal Classifications shown in UFGS Sections	Submittal Classification	Corresponding SpecsIntact Submittal Register Code which is populated in the SI Submittal Register. Software Limitations: (The software shows one character delineation in the SpecsIntact Submittal Register)	RMS - The following Submittal Classifications are populated in RMS when the SpecsIntact Submittal Data File is pulled into RMS)
G	Submittal requires Government	G	GA
BLANK	Submittal is For Information Only (FIO)	BLANK	FIO

DESIGN BID BUILD SUBMITTALS			
S	Submittal is for documentation of Sustainable requirements	S	S/FIO

#### 1.7.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

#### 1.8 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

##### 1.8.1 Considering Variations

Discussion of variations with the Contracting Officer before submission of a variation submittal will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a transmittal letter or variation submittal. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

##### 1.8.2 Proposing Variations

When proposing variation, deliver a submittal, clearly marked as a "VARIATION" to the Contracting Officer, with documentation illustrating the nature and features of the variation including any necessary technical submittals and why the variation is desirable and beneficial to Government. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

The Contracting Officer will indicate an approval or disapproval of the variation request; and if not approved as submitted, will indicate the Government's reasons therefore. Any work done before such approval is received is performed at the Contractor's risk."

Specifically point out variations from contract requirements in a transmittal letter or variation submittal. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

Check the column "variation" of ENG Form 4025 for submittals that include variations proposed by the Contractor. Set forth in writing the reason for any variations and note such variations on the submittal. The Government

reserves the right to rescind inadvertent approval of submittals containing unnoted variations.

#### 1.8.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

#### 1.8.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 calendar days will be allowed for the Government to consider submittals with variations.

### 1.9 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. .

- a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.
- b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."
- c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 30 calendar days after the date of submission.

#### 1.10 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

- a. Note the date on which the submittal was received.
- b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval,

stamp and date submittals. 2 copies of the submittal will be retained by the Contracting Officer and 2 copies of the submittal will be returned to the Contractor.

#### 1.10.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.
- b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.
- c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.
- d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.
- e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

#### 1.11 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

#### 1.12 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained within each submittal accurately conforms with the

requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

#### 1.13 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

#### 1.14 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

#### 1.15 CERTIFICATION OF SUBMITTAL DATA

Certify the submittal data as follows on Form ENG 4025: "I certify that the above submitted items had been reviewed in detail and are correct and in strict conformance with the contract drawings and specifications except as otherwise stated.

\_\_\_\_\_ NAME OF CONTRACTOR \_\_\_\_\_ SIGNATURE OF CONTRACTOR

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

-- End of Section --

**This page was intentionally left blank for duplex printing.**

U.S. Army Corps of Engineers (USACE) <b>TRANSMITTAL OF SHOP DRAWINGS, EQUIPMENT DATA, MATERIAL SAMPLES, OR MANUFACTURER'S CERTIFICATES OF COMPLIANCE</b> For use of this form, see ER 415-1-10; the proponent agency is CECW-CE.					DATE		TRANSMITTAL NO.		
SECTION I - REQUEST FOR APPROVAL OF THE FOLLOWING ITEMS <i>(This section will be initiated by the contractor)</i>									
TO:			FROM:		CONTRACT NO.			CHECK ONE: <input type="checkbox"/> THIS IS A NEW TRANSMITTAL <input type="checkbox"/> THIS IS A RESUBMITTAL OF TRANSMITTAL _____	
SPECIFICATION SEC. NO. <i>(Cover only one section with each transmittal)</i>			PROJECT TITLE AND LOCATION			THIS TRANSMITTAL IS FOR: <i>(Check one)</i> <input type="checkbox"/> FIO <input type="checkbox"/> GA <input type="checkbox"/> DA <input type="checkbox"/> CR <input type="checkbox"/> DA/CR <input type="checkbox"/> DA/GA			
ITEM NO. <i>(See Note 3)</i>  a.	DESCRIPTION OF SUBMITTAL ITEM <i>(Type size, model number/etc.)</i>  b.		SUBMITTAL TYPE CODE <i>(See Note 8)</i>  c.	NO. OF COPIES  d.	CONTRACT DOCUMENT REFERENCE  SPEC. PARA. NO.      DRAWING SHEET NO. e.                                  f.		CONTRACTOR REVIEW CODE  g.	VARIATION Enter "Y" if requesting a variation <i>(See Note 6)</i>  h.	USACE ACTION CODE <i>(Note 9)</i>  i.
REMARKS					I certify that the above submitted items had been reviewed in detail and are correct and in strict conformance with the contract drawings and specifications except as otherwise stated.				
					NAME OF CONTRACTOR			SIGNATURE OF CONTRACTOR	
SECTION II - APPROVAL ACTION									
ENCLOSURES RETURNED <i>(List by item No.)</i>			NAME AND TITLE OF APPROVING AUTHORITY			SIGNATURE OF APPROVING AUTHORITY		DATE	

## INSTRUCTIONS

1. Section I will be initiated by the Contractor in the required number of copies.
2. Each Transmittal shall be numbered consecutively. The Transmittal Number typically includes two parts separated by a dash (-). The first part is the specification section number. The second part is a sequential number for the submittals under that spec section. If the Transmittal is a resubmittal, then add a decimal point to the end of the original Transmittal Number and begin numbering the resubmittal packages sequentially after the decimal.
3. The "Item No." for each entry on this form will be the same "Item No." as indicated on ENG FORM 4288-R.
4. Submittals requiring expeditious handling will be submitted on a separate ENG Form 4025-R.
5. Items transmitted on each transmittal form will be from the same specification section. Do not combine submittal information from different specification sections in a single transmittal.
6. If the data submitted are intentionally in variance with the contract requirements, indicate a variation in column h, and enter a statement in the Remarks block describing the detailed reason for the variation.
7. ENG Form 4025-R is self-transmitting - a letter of transmittal is not required.
8. When submittal items are transmitted, indicate the "Submittal Type" (*SD-01 through SD-11*) in column c of Section I.  
 Submittal types are the following:
 

SD-01 - Preconstruction	SD-02 - Shop Drawings	SD-03 - Product Data	SD-04 - Samples	SD-05 - Design Data	SD-06 - Test Reports
SD-07 - Certificates	SD-08 - Manufacturer's Instructions	SD-09 - Manufacturer's Field Reports	SD-10 - O&M Data	SD-11 - Closeout	
9. For each submittal item, the Contractor will assign Submittal Action Codes in column g of Section I. The U.S. Army Corps of Engineers approving authority will assign Submittal Action Codes in column i of Section I. The Submittal Action Codes are:
 

A -- Approved as submitted. B -- Approved, except as noted on drawings. Resubmission not required. C -- Approved, except as noted on drawings. Refer to attached comments. Resubmission required. D -- Will be returned by separate correspondence. E -- Disapproved. Refer to attached comments.	F -- Receipt acknowledged. X -- Receipt acknowledged, does not comply with contract requirements, as noted. G -- Other action required ( <i>Specify</i> ) K -- Government concurs with intermediate design. ( <i>For D-B contracts</i> ) R -- Design submittal is acceptable for release for construction. ( <i>For D-B contracts</i> )
--	---
10. Approval of items does not relieve the contractor from complying with all the requirements of the contract.



# SUBMITTAL REGISTER

CONTRACT NO.  
W912DQ23B1011

TITLE AND LOCATION

Clinton Lake Reline 24" Pipe and Valve

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION REVIEW	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 11 00	SD-01 Preconstruction Submittals														
			Salvage Plan	1.5	G RO												
		01 30 00	SD-01 Preconstruction Submittals														
			View Location Map	1.3													
			Progress and Completion	1.4													
			Pictures														
		01 32 01.00 10	SD-01 Preconstruction Submittals														
			Project Scheduler Qualifications	1.3	G RO												
			Preliminary Project Schedule	3.4.1	G RO												
			Initial Project Schedule	3.4.2	G RO												
			Periodic Schedule Update	3.6.2	G RO												
		01 33 00	SD-01 Preconstruction Submittals														
			Submittal Register	1.7													
		01 35 26	SD-01 Preconstruction Submittals														
			APP - Construction	1.7.1	G RO												
			Accident Prevention Plan (APP)	1.7	G RO												
			SD-06 Test Reports														
			Monthly Exposure Reports	1.4													
			Notifications and Reports	1.12													
			Accident Reports	1.12.2	G RO												
			LHE Inspection Reports	1.12.3													
			SD-07 Certificates														
			Crane Operators/Riggers	1.6.1.4													
			Standard Lift Plan	1.7.3.2	G RO												
			Critical Lift Plan	1.7.3.3	G RO												
			Activity Hazard Analysis (AHA)	1.8													

# SUBMITTAL REGISTER

CONTRACT NO.  
W912DQ23B1011

TITLE AND LOCATION

Clinton Lake Reline 24" Pipe and Valve

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION REVIEW	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 35 26	Confined Space Entry Permit	1.9.1													
			Hot Work Permit	1.9.1													
			Certificate of Compliance	1.12.4													
			License Certificates	1.14													
		01 45 00.00 10	SD-01 Preconstruction Submittals														
			Contractor Quality Control (CQC)	3.2	G RO												
			Plan														
			SD-06 Test Reports														
			Verification Statement	3.9.2													
		01 50 00	SD-01 Preconstruction Submittals														
			Construction Site Plan	1.3	G RO												
			Traffic Control Plan	3.3.1	G RO												
			Haul Road Plan	2.2.1	G RO												
			SD-06 Test Reports														
			Backflow Preventer Tests	3.4													
			SD-07 Certificates														
			Backflow Tester	1.4.1													
			Backflow Preventers	1.4													
		01 57 19	SD-01 Preconstruction Submittals														
			Preconstruction Survey	1.5.1													
			Regulatory Notifications	1.5.2	G RO												
			Environmental Protection Plan	1.6	G RO												
			Dirt and Dust Control Plan	1.6.9.1	G RO												
			Stormwater Pollution Prevention	3.2.1.1	G RO												
			Plan														
			Stormwater Notice of Intent	3.2.1.2	G RO												

# SUBMITTAL REGISTER

CONTRACT NO.  
W912DQ23B1011

TITLE AND LOCATION

Clinton Lake Reline 24" Pipe and Valve

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION REVIEWER	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 57 19	SD-06 Test Reports														
			Monthly Solid Waste Disposal Report	1.8.1	G RO												
			Inspection Reports	3.2.1.3													
			SD-07 Certificates														
			Employee Training Records	1.5.4	G RO												
			Certificate of Competency	1.5.4.1													
			SD-11 Closeout Submittals														
			Regulatory Notifications	1.5.2	G RO												
			Assembled Employee Training Records	1.5.4	G RO												
			Solid Waste Management Permit	1.8	G RO												
			Stormwater Pollution Prevention Plan Compliance Notebook	3.2.1.4	G RO												
			Stormwater Notice of Termination	3.2.1.5	G RO												
			Waste Determination Documentation	3.5.1	G RO												
			Project Solid Waste Disposal Documentation Report	3.5.2.1	G RO												
			Sales Documentation	3.5.2.1													
			Contractor Certification	3.5.2.1													
			Hazardous Waste/Debris Management	3.5.3.1	G RO												
			Disposal Documentation for Hazardous and Regulated Waste	3.5.3.5	G RO												
		01 74 19	SD-01 Preconstruction Submittals														

# SUBMITTAL REGISTER

CONTRACT NO.  
W912DQ23B1011

TITLE AND LOCATION

Clinton Lake Reline 24" Pipe and Valve

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH #	GOVT CLASSIFICATION REVIEW	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 74 19	Construction Waste Management Plan	1.6	G	RO											
		01 78 00	SD-03 Product Data														
			Warranty Management Plan	1.6.1													
			Warranty Tags	1.6.5													
			Spare Parts Data	1.4													
			SD-08 Manufacturer's Instructions														
			Instructions	1.6.1													
			SD-10 Operation and Maintenance Data														
			Operation and Maintenance Manuals	3.5	G RO												
			SD-11 Closeout Submittals														
			As-Built Drawings	3.1	G RO												
			As-Built Record of Equipment and Materials	1.6.1													
			As-Built Record of Equipment and Materials	3.4													
			Final Approved Shop Drawings	3.2	G RO	DO											
			Construction Contract Specifications	3.3	G RO												
			Certification of EPA Designated Items	2.2	G RO												
			Certification Of USDA Designated Items	2.3	G RO												

# SUBMITTAL REGISTER

CONTRACT NO.  
W912DQ23B1011

TITLE AND LOCATION

Clinton Lake Reline 24" Pipe and Valve

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION REVIEW	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 78 23	SD-10 Operation and Maintenance Data														
			Operation And Maintenance Manual, Prefinal Submittal	2.1.1	G RO												
			Operation And Maintenance Manual, Final Submittal	2.1.2	G RO												
		33 01 98	SD-01 Preconstruction Submittals														
			Superintendent and Contractor Qualifications	1.6.1	G DO												
			Pipe Inspection Procedures	3.1	G DO												
			Construction Progress Schedule	1.4.3	G DO												
			CCTV Recordings and Report Logs	3.1	G DO												
			Digital Photographs and Report Logs	3.1	G DO												
			Detailed Work Plan	1.4.2	G DO												
			Pre- And Post-Lining Conveyance Capacity	2.1.1	G DO												
			SD-03 Product Data														
			Liner Pipe	2.2	G DO												
			UV Protection	2.2.2	G DO												
			SD-05 Design Data														
			Conveyance Capacity	2.1.1	G DO												
			Structural Properties	2.1.2	G DO												
			Structural Calculations	2.1.2	G DO												
			Structural Calculations	2.1.3	G DO												

# SUBMITTAL REGISTER

CONTRACT NO.  
W912DQ23B1011

TITLE AND LOCATION

Clinton Lake Reline 24" Pipe and Valve

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION REVIEW	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		33 01 98	SD-10 Operation and Maintenance														
			Data														
			Maintenance	3.5	G DO												
			SD-11 Closeout Submittals														
			Manufacturer's Warranty	1.8	G DO												
			Post-Installation CCTV	3.4.2	G DO												
			Recordings and Report Logs														
			Post-Installation Digital	3.4.2	G DO												
			Photographs and Report Logs														
		33 11 00	SD-03 Product Data														
			Pipe, Fittings, Joints and	2.1.1	G DO												
			Couplings														
			Meter Tube	2.1.3.2													
			Propeller Type Meters	2.1.3.1													
			Knife Gate Valves	2.1.2.1													
			Rubber-Seated Butterfly Valves	2.1.2.2													
			SD-04 Samples														
			Panel Immersion Sample	2.1.1.1.1.1													
			SD-06 Test Reports														
			Leakage Test	3.3.2.1													
			Paint Dielectric Strength	2.1.1.1.1.1													
			Cathodic Disbondment Test	2.1.1.1.1.1													
			SD-07 Certificates														
			Pipe, Fittings, Joints and	2.1.1													
			Couplings														

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS

PART 1 GENERAL

1.1 REFERENCES

1.2 DEFINITIONS

- 1.2.1 Competent Person (CP)
- 1.2.2 Competent Person, Confined Space
- 1.2.3 Competent Person, Cranes and Rigging
- 1.2.4 Competent Person, Excavation/Trenching
- 1.2.5 Competent Person, Fall Protection
- 1.2.6 Competent Person, Scaffolding
- 1.2.7 Competent Person (CP) Trainer
- 1.2.8 High Risk Activities
- 1.2.9 High Visibility Accident
- 1.2.10 Load Handling Equipment (LHE)
- 1.2.11 Medical Treatment
- 1.2.12 Near Miss
- 1.2.13 Operating Envelope
- 1.2.14 Qualified Person (QP)
- 1.2.15 Qualified Person, Fall Protection (QP for FP)
- 1.2.16 Recordable Injuries or Illnesses
- 1.2.17 Government Property and Equipment
- 1.2.18 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

1.3 SUBMITTALS

1.4 MONTHLY EXPOSURE REPORTS

1.5 REGULATORY REQUIREMENTS

1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.6.1 Personnel Qualifications

1.6.1.1 Site Safety and Health Officer (SSHO)

1.6.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

1.6.1.2 Competent Person Qualifications

1.6.1.2.1 Competent Person for Confined Space Entry

1.6.1.2.2 Competent Person for Scaffolding

1.6.1.2.3 Competent Person for Fall Protection

1.6.1.3 Qualified Trainer Requirements

1.6.1.4 Crane Operators/Riggers

1.6.2 Personnel Duties

1.6.2.1 Duties of the Site Safety and Health Officer (SSHO)

1.6.3 Meetings

1.6.3.1 Preconstruction Conference

1.6.3.2 Safety Meetings

1.7 ACCIDENT PREVENTION PLAN (APP)

1.7.1 APP - Construction

1.7.2 Names and Qualifications

1.7.3 Plans

- 1.7.3.1 Confined Space Entry Plan
- 1.7.3.2 Standard Lift Plan (SLP)
- 1.7.3.3 Critical Lift Plan - Crane or Load Handling Equipment
  - 1.7.3.3.1 Critical Lift Plan Planning and Schedule
  - 1.7.3.3.2 Lifts of Personnel
- 1.7.3.4 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan
- 1.7.3.5 Fall Protection and Prevention (FP&P) Plan
- 1.7.3.6 Rescue and Evacuation Plan
- 1.7.3.7 Hazardous Energy Control Program (HECP)
- 1.8 ACTIVITY HAZARD ANALYSIS (AHA)
  - 1.8.1 AHA Management
  - 1.8.2 AHA Signature Log
- 1.9 DISPLAY OF SAFETY INFORMATION
  - 1.9.1 Safety Bulletin Board
  - 1.9.2 Safety and Occupational Health (SOH) Deficiency Tracking System
- 1.10 SITE SAFETY REFERENCE MATERIALS
- 1.11 EMERGENCY MEDICAL TREATMENT
- 1.12 NOTIFICATIONS and REPORTS
  - 1.12.1 Mishap Notification
  - 1.12.2 Accident Reports
  - 1.12.3 LHE Inspection Reports
  - 1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging
- 1.13 HOT WORK
  - 1.13.1 Permit and Personnel Requirements
  - 1.13.2 Work Around Flammable Materials
- 1.14 RADIATION SAFETY REQUIREMENTS
  - 1.14.1 Site Access and Security
  - 1.14.2 Loss or Release and Unplanned Personnel Exposure
  - 1.14.3 Site Demarcation and Barricade
  - 1.14.4 Security of Material and Equipment
  - 1.14.5 Transportation of Material
  - 1.14.6 Schedule for Exposure or Unshielding
  - 1.14.7 Transmitter Requirements
- 1.15 CONFINED SPACE ENTRY REQUIREMENTS
  - 1.15.1 Entry Procedures
  - 1.15.2 Forced Air Ventilation
  - 1.15.3 Sewer Wet Wells
  - 1.15.4 Rescue Procedures and Coordination with Local Emergency Responders
- 1.16 SEVERE STORM PLAN

## PART 2 PRODUCTS

## PART 3 EXECUTION

- 3.1 CONSTRUCTION AND OTHER WORK
  - 3.1.1 Worksite Communication
  - 3.1.2 Hazardous Material Exclusions
  - 3.1.3 Unforeseen Hazardous Material
- 3.2 UTILITY OUTAGE REQUIREMENTS
- 3.3 OUTAGE COORDINATION MEETING
- 3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)
  - 3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility
  - 3.4.2 Lockout/Tagout Isolation
  - 3.4.3 Lockout/Tagout Removal



- 3.5 FALL PROTECTION PROGRAM
  - 3.5.1 Training
  - 3.5.2 Fall Protection Equipment and Systems
    - 3.5.2.1 Additional Personal Fall Protection Measures
    - 3.5.2.2 Personal Fall Protection Equipment
  - 3.5.3 Fall Protection for Roofing Work
  - 3.5.4 Horizontal Lifelines (HLL)
  - 3.5.5 Guardrails and Safety Nets
  - 3.5.6 Rescue and Evacuation Plan and Procedures
- 3.6 WORK PLATFORMS
  - 3.6.1 Scaffolding
  - 3.6.2 Elevated Aerial Work Platforms (AWPs)
- 3.7 EQUIPMENT
  - 3.7.1 Material Handling Equipment (MHE)
  - 3.7.2 Load Handling Equipment (LHE)
  - 3.7.3 Machinery and Mechanized Equipment
  - 3.7.4 Base Mounted Drum Hoists
  - 3.7.5 Use of Explosives
- 3.8 ELECTRICAL
  - 3.8.1 Conduct of Electrical Work
  - 3.8.2 Qualifications
  - 3.8.3 Arc Flash
  - 3.8.4 Grounding
  - 3.8.5 Testing

-- End of Section Table of Contents --

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B30.3	(2020) Tower Cranes
ASME B30.5	(2021) Mobile and Locomotive Cranes
ASME B30.7	(2021) Winches
ASME B30.8	(2020) Floating Cranes and Floating Derricks
ASME B30.9	(2018) Slings
ASME B30.20	(2018) Below-the-Hook Lifting Devices
ASME B30.22	(2016) Articulating Boom Cranes
ASME B30.23	(2022) Personnel Lifting Systems Safety Standard for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings
ASME B30.26	(2015; R 2020) Rigging Hardware

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.22	(2007; R 2017) Safety Requirements for Rope-Guided and Non-Guided Workers' Hoists
ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSP Z244.1	(2016) The Control of Hazardous Energy Lockout, Tagout and Alternative Methods
ASSP Z359.0	(2018) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSP Z359.1	(2020) The Fall Protection Code
ASSP Z359.2	(2017) Minimum Requirements for a

Comprehensive Managed Fall Protection  
Program

ASSP Z359.3	(2019) Safety Requirements for Lanyards and Positioning Lanyards
ASSP Z359.4	(2013) Safety Requirements for Assisted-Rescue and Self-Rescue Systems, Subsystems and Components
ASSP Z359.6	(2016) Specifications and Design Requirements for Active Fall Protection Systems
ASSP Z359.7	(2019) Qualification and Verification Testing of Fall Protection Products
ASSP Z359.11	(2014) Safety Requirements for Full Body Harnesses
ASSP Z359.12	(2019) Connecting Components for Personal Fall Arrest Systems
ASSP Z359.13	(2013) Personal Energy Absorbers and Energy Absorbing Lanyards
ASSP Z359.14	(2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems
ASSP Z359.15	(2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems
ASSP Z359.16	(2016) Safety Requirements for Climbing Ladder Fall Arrest Systems
ASSP Z359.18	(2017) Safety Requirements for Anchorage Connectors for Active Fall Protection Systems

ASTM INTERNATIONAL (ASTM)

ASTM F855	(2019) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment
-----------	---

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2016) Guide for Protective Grounding of Power Lines
IEEE C2	(2023) National Electrical Safety Code

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10	(2022; ERTA 1 2021) Standard for Portable Fire Extinguishers
---------	--

NFPA 51B	(2019; TIA 20-1) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2023) National Electrical Code
NFPA 70E	(2021) Standard for Electrical Safety in the Workplace
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations
NFPA 306	(2019) Standard for the Control of Gas Hazards on Vessels

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-222	(2018H; Add 1 2019) Structural Standard for Antenna Supporting Structures and Antennas and Small Wind Turbine Support Structures
TIA-1019	(2012; R 2016) Standard for Installation, Alteration and Maintenance of Antenna Supporting Structures and Antennas

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual
------------	--

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.333	Selection and Use of Work Practices
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1915.89	Control of Hazardous Energy (Lockout/Tags-Plus)
29 CFR 1926	Safety and Health Regulations for Construction
29 CFR 1926.16	Rules of Construction
29 CFR 1926.450	Scaffolds

29 CFR 1926.500	Fall Protection
29 CFR 1926.552	Material Hoists, Personal Hoists, and Elevators
29 CFR 1926.553	Base-Mounted Drum Hoists
29 CFR 1926.1400	Cranes and Derricks in Construction
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
CPL 02-01-056	(2014) Inspection Procedures for Accessing Communication Towers by Hoist
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

## 1.2 DEFINITIONS

### 1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

### 1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined EM 385-1-1 Appendix Q, with thorough knowledge of OSHA's Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation and monitoring of the confined space program, who through training, knowledge and experience in confined space entry is capable of identifying, evaluating and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person requirements, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the Crane and Rigging Program, who through training, knowledge and experience in crane and rigging is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.4 Competent Person, Excavation/Trenching

A CP, Excavation/Trenching, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and 29 CFR 1926, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the excavation/trenching program, who through training, knowledge and experience in excavation/trenching is

capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

#### 1.2.5 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSP Z359.0, who has been designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the fall protection program, who through training, knowledge and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

#### 1.2.6 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge and experience in scaffolding to correctly identify, evaluate and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented including experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and personnel, and erection and dismantling. The CP for scaffolding must have a documented minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

#### 1.2.7 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the training material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the knowledge and skills of the competent persons as part of the training process.

#### 1.2.8 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

#### 1.2.9 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

#### 1.2.10 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists and power operated equipment used with rigging to raise, lower or horizontally move a load).

#### 1.2.11 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even when provided by a physician or registered personnel.

#### 1.2.12 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

#### 1.2.13 Operating Envelope

The Operating Envelope is the area surrounding any crane or load handling equipment. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, the lift and rigging procedure.

#### 1.2.14 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

#### 1.2.15 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the definition requirements of EM 385-1-1 Appendix Q, and ASSP Z359.2 standard, having a recognized degree or professional certificate and with extensive knowledge, training and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

#### 1.2.16 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

- a. Death, regardless of the time between the injury and death, or the length of the illness;
- b. Days away from work (any time lost after day of injury/illness onset);
- c. Restricted work;
- d. Transfer to another job;

- e. Medical treatment beyond first aid;
- f. Loss of consciousness; or
- g. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (a) through (f) above

#### 1.2.17 Government Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

#### 1.2.18 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over). Document an LHE mishap using the Crane High Hazard working group mishap reporting form.

#### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

APP - Construction; G, RO

Accident Prevention Plan (APP); G, RO

##### SD-06 Test Reports

Monthly Exposure Reports

Notifications and Reports

Accident Reports; G, RO

LHE Inspection Reports



SD-07 Certificates

Crane Operators/Riggers

Standard Lift Plan; G, RO

Critical Lift Plan ; G,RO

Activity Hazard Analysis (AHA)

Confined Space Entry Permit

Hot Work Permit

Certificate of Compliance

License Certificates

1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher.

1.5 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.6.1 Personnel Qualifications

1.6.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Alternate SSHO must be at the work site at all times to implement and administer the Contractor's safety program and Government-accepted Accident Prevention Plan. The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an

equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

#### 1.6.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The SSHO may not serve as the Quality Control Manager. The SSHO may also serve as the Superintendent.

#### 1.6.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted Accident Prevention Plan, must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the Contracting Officer for information in consultation with the Safety Office.

##### 1.6.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1, Section 34.

Since this work involves operations that handle combustible or hazardous materials, this person must have the ability to understand and follow through on the air sampling, Personal Protective Equipment (PPE), and instructions of a Marine Chemist, Coast Guard authorized persons, or Certified Industrial Hygienist. Confined space and enclosed space work must comply with NFPA 306, OSHA 29 CFR 1915, Subpart B, "Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment," or as applicable, 29 CFR 1910.146 for general industry.

##### 1.6.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

##### 1.6.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

#### 1.6.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness

course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1, Electrical Standards, Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics, and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

- a. Prepare class presentations that cover construction-related safety requirements.
- b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.
- c. Update training course materials whenever an update of the EM 385-1-1 becomes available.
- d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.
- e. Request, review and incorporate student feedback into a continuous course improvement program.

#### 1.6.1.4 Crane Operators/Riggers

Provide Operators, Signal Persons, and Riggers meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators and Signal Persons. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators qualified by a source that qualifies crane operators (i.e., union, a Government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification.

#### 1.6.2 Personnel Duties

##### 1.6.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

- a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.
- b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.
- c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon request. Post and maintain the Form 300A on the site Safety Bulletin Board.

- d. Maintain applicable safety reference material on the job site.
- e. Attend the pre-construction conference, pre-work meetings including preparatory meetings, and periodic in-progress meetings.
- f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement and enforce them.
- g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.
- h. Ensure subcontractor compliance with safety and health requirements.
- i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).
- j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.
- k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above or any other required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

#### 1.6.3 Meetings

##### 1.6.3.1 Preconstruction Conference

- a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, Site Safety and Occupational Health Officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).
- b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.
- c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

#### 1.6.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

### 1.7 ACCIDENT PREVENTION PLAN (APP)

#### 1.7.1 APP - Construction

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor Quality Control Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the

area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSP A10.34), and the environment.

#### 1.7.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

- a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.
- b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance.

#### 1.7.3 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

##### 1.7.3.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this Contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by Contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

##### 1.7.3.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with EM 385-1-1, Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of three months.

##### 1.7.3.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01, using Form 16-3. In addition, Critical Lift Plans are required for the

following:

- a. Lifts over 50 percent of the capacity of barge mounted mobile crane's hoist.
- b. When working around energized power lines where the work will get closer than the minimum clearance distance in EM 385-1-1 Table 16-1.
- c. For lifts with anticipated binding conditions.
- d. When erecting cranes.

#### 1.7.3.3.1 Critical Lift Plan Planning and Schedule

Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

#### 1.7.3.3.2 Lifts of Personnel

In addition to the requirements of EM 385-1-1, Section 16.H.02, for lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.

#### 1.7.3.4 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan

Multi-purpose machines, material handling equipment, and construction equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Written approval from a qualified registered professional engineer, after a safety analysis is performed, is allowed in lieu of the OEM's approval. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

#### 1.7.3.5 Fall Protection and Prevention (FP&P) Plan

The plan must be in accordance with the requirements of EM 385-1-1, Section 21.D and ASSP Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include fall protection and prevention systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the Fall Protection and Prevention Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted Fall Protection and Prevention Plan documentation at the job site for the duration of the project. Include the Fall Protection and Prevention Plan documentation in the Accident Prevention Plan (APP).

#### 1.7.3.6 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSP Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

#### 1.7.3.7 Hazardous Energy Control Program (HECP)

Develop a HECP in accordance with EM 385-1-1 Section 12, 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1915.89, ASSP Z244.1, and ASSP A10.44. Submit this HECP as part of the Accident Prevention Plan (APP). Conduct a preparatory meeting and inspection with all effected personnel to coordinate all HECP activities. Document this meeting and inspection in accordance with EM 385-1-1, Section 12.A.02. Ensure that each employee is familiar with and complies with these procedures.

### 1.8 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

#### 1.8.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

#### 1.8.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.



## 1.9 DISPLAY OF SAFETY INFORMATION

### 1.9.1 Safety Bulletin Board

Prior to commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

- a. Confined space entry permit.
- b. Hot work permit.

### 1.9.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

- a. Date deficiency identified;
- b. Description of deficiency;
- c. Name of person responsible for correcting deficiency;
- d. Projected resolution date;
- e. Date actually resolved.

## 1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

## 1.11 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

## 1.12 NOTIFICATIONS and REPORTS

### 1.12.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than four hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law

enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface); and underwater diving. These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

#### 1.12.2 Accident Reports

- a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable USACE Accident Report Form 3394, and provide the report to the Contracting Officer within 5 calendar days of the accident. The Contracting Officer will provide copies of any required or special forms.
- b. Near Misses: For Army projects, report all "Near Misses" to the GDA, using local mishap reporting procedures, within 24 hrs. The Contracting Officer will provide the Contractor the required forms. Near miss reports are considered positive and proactive Contractor safety management actions.
- c. Conduct an accident investigation for any load handling equipment accident (including rigging accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Accident Report) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report form.

#### 1.12.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

#### 1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

### 1.13 HOT WORK

#### 1.13.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency phone number. REPORT ANY FIRE, NO MATTER HOW SMALL IMMEDIATELY.

#### 1.13.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist, or Certified Industrial Hygienist for "HOT WORK" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1, Section 06.H

### 1.14 RADIATION SAFETY REQUIREMENTS

Submit License Certificates, employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO) for all specialized and licensed material and equipment proposed for use on the construction project (excludes portable machine sources of ionizing radiation including moisture density and X-Ray Fluorescence (XRF)). Maintain on-site records whenever licensed radiological materials or ionizing equipment are on Government property.

Protect workers from radiation exposure in accordance with 10 CFR 20, ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

#### 1.14.1 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. For gamma radiography materials and equipment, a Government escort is required for any travels on the Installation. The Government authorized representative

will meet the Contractor at a designated location outside the Installation, ensure safety of the materials being transported, and will escort the Contractor for gamma sources onto the Installation, to the job site, and off the Installation. For portable machine sources of ionizing radiation, including moisture density and XRF, the Government authorized representative will meet the Contractor at the job site.

Provide a copy of all calibration records, and utilization records for radiological operations performed on the site.

#### 1.14.2 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO, and Base Security Department Emergency Number.

#### 1.14.3 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations to preclude personnel entrance, in accordance with EM 385-1-1, Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting Officer.

#### 1.14.4 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent exiting while external radiographic operations are in process.

#### 1.14.5 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site Radiation Safety Officer (RSO) of any Radioactive Material use.

#### 1.14.6 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

#### 1.14.7 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as

radios and cell phones. Obey Emissions control (EMCON) restrictions.

#### 1.15 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

##### 1.15.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

##### 1.15.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

##### 1.15.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

##### 1.15.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

#### 1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

- a. Secure outside equipment and materials and place materials that could be damaged in protected areas.
- b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.
- c. Ensure that temporary erosion controls are adequate.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

##### 3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA,

Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

- a. Hard Hat
- b. Long Pants
- c. Appropriate Safety Shoes
- d. Appropriate Class Reflective Vests

#### 3.1.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

#### 3.1.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

#### 3.1.1.3 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

### 3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 90 days in advance. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1, Section 11.A.02 (Isolation). Some examples of energy isolation devices and procedures are highlighted in EM 385-1-1, Section 12.D. In accordance with EM 385-1-1, Section 12.A.01, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of HECP and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1, Section 11.A.02 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

### 3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1, Section 12.A. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Public Utilities representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1, Section 12.C. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

### 3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, ASSP A10.44, NFPA 70E, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM (HECP).

#### 3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1, Section 12.A.02. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

### 3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECF. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1, Sections 05.I and 11.B. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1, Section 12.E.06.

### 3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECF. In accordance with EM 385-1-1, Section 12.E.08, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

## 3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with ASSP Z359.2 and EM 385-1-1, Sections 21.A and 21.D.

### 3.5.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards and using personal fall protection equipment. Provide training by a competent person for fall protection in accordance with EM 385-1-1, Section 21.C. Document training and practical application of the competent person in accordance with EM 385-1-1, Section 21.C.04 and ASSP Z359.2 in the AHA.

### 3.5.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 Section 21.I, 29 CFR 1926.500 Subpart M, ASSP Z359.0, ASSP Z359.1, ASSP Z359.2, ASSP Z359.3, ASSP Z359.4, ASSP Z359.6, ASSP Z359.7, ASSP Z359.11, ASSP Z359.12, ASSP Z359.13, ASSP Z359.14, ASSP Z359.15, ASSP Z359.16 and ASSP Z359.18.



### 3.5.2.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1, Sections 21.0 through 21.0.06. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

### 3.5.2.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

### 3.5.3 Fall Protection for Roofing Work

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

#### a. Low Sloped Roofs:

- (1) For work within 6 feet from unprotected edge of a roof having a slope less than or equal to 4:12 (vertical to horizontal), protect personnel from falling by the use of conventional fall protection systems (personal fall arrest/restraint systems, guardrails, or safety nets) in accordance with EM 385-1-1, Section 21 and 29 CFR 1926.500. A safety monitoring system is not adequate fall protection and is not authorized.
- (2) For work greater than 6 feet from the unprotected roof edge, addition to the use of conventional fall protection systems the use of a warning line system is also permitted, in accordance with 29 CFR 1926.500 and EM 385-1-1, Section 21.L.

#### b. Steep-Sloped Roofs: Work on a roof having a slope greater than 4:12 (vertical to horizontal) requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also applies to residential or housing type construction.

#### 3.5.4 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured horizontal lifelines (HLL) must be designed, installed, certified and used, under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

#### 3.5.5 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

#### 3.5.6 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP). The plan must be in accordance with the requirements of EM 385-1-1, ASSP Z359.2, and ASSP Z359.4.

### 3.6 WORK PLATFORMS

#### 3.6.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

- a. Scaffold platforms greater than 20 feet in height must be accessed by use of a scaffold stair system.
- b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than 20 feet maximum in height.
- c. An adequate gate is required.
- d. Employees performing scaffold erection and dismantling must be qualified.
- e. Scaffold must be capable of supporting at least four times the maximum intended load, and provide appropriate fall protection as delineated in the accepted fall protection and prevention plan.
- f. Stationary scaffolds must be attached to structural building components

to safeguard against tipping forward or backward.

- g. Special care must be given to ensure scaffold systems are not overloaded.
- h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the smallest dimension of the scaffold base.
- i. Scaffolding other than suspended types must bear on base plates upon wood mudsills ( 2 in x 10 in x 8 in minimum) or other adequate firm foundation.
- j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than 6 feet.
- k. Delineate fall protection requirements when working above 6 feet or above dangerous operations in the Fall Protection and Prevention (FP&P) Plan and Activity Hazard Analysis (AHA) for the phase of work.

### 3.6.2 Elevated Aerial Work Platforms (AWPs)

Workers must be anchored to the basket or bucket in accordance with manufacturer's specifications and instructions (anchoring to the boom may only be used when allowed by the manufacturer and permitted by the CP). Lanyards used must be sufficiently short to prohibit worker from climbing out of basket. The climbing of rails is prohibited. Lanyards with built-in shock absorbers are acceptable. Self-retracting devices are not acceptable. Tying off to an adjacent pole or structure is not permitted unless a safe device for 100 percent tie-off is used for the transfer.

Use of AWPs must be operated, inspected, and maintained as specified in the operating manual for the equipment and delineated in the AHA. Operators of AWPs must be designated as qualified operators by the Prime Contractor. Maintain proof of qualifications on site for review and include in the AHA.

## 3.7 EQUIPMENT

### 3.7.1 Material Handling Equipment (MHE)

- a. Material handling equipment such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. Material handling equipment fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.
- b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Material Handling Equipment Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.
- c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

### 3.7.2 Load Handling Equipment (LHE)

The following requirements apply. In exception, these requirements do not apply to commercial truck mounted and articulating boom cranes used solely to deliver material and supplies (not prefabricated components, structural steel, or components of a systems-engineered metal building) where the lift consists of moving materials and supplies from a truck or trailer to the ground; to cranes installed on mechanics trucks that are used solely in the repair of shore-based equipment; to crane that enter the activity but are not used for lifting; nor to other machines not used to lift loads suspended by rigging equipment. However, LHE accidents occurring during such operations must be reported.

- a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.
- b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Contractor's operator must remain with the crane during the spot check. Rigging gear must be in accordance with OSHA, ASME B30.9 Standards safety standards.
- c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.
- d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, ASME B30.8 for floating cranes and floating derricks, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices and ASME B30.26 for rigging hardware.
- e. When operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.
- f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.
- g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.
- h. All employees must keep clear of loads about to be lifted and of suspended loads, except for employees required to handle the load.
- i. Use cribbing when performing lifts on outriggers.
- j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.
- k. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a

risk of striking, pinching or crushing personnel.

- l. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.
- m. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.
- n. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).
- o. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger and lift supervisor must cease all crane operations, evaluate conditions and determine if the lift may proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated capacity if applicable. Include this maximum wind speed determination as part of the activity hazard analysis plan for that operation.
- q. Follow FAA guidelines when required based on project location.

#### 3.7.3 Machinery and Mechanized Equipment

- a. Proof of qualifications for operator must be kept on the project site for review.
- b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

#### 3.7.4 Base Mounted Drum Hoists

- a. Operation of base mounted drum hoists must be in accordance with EM 385-1-1 and ASSP A10.22.
- b. Rigging gear must be in accordance with applicable ASME/OSHA standards.
- c. When used on telecommunication towers, base mounted drum hoists must be in accordance with TIA-1019, TIA-222, ASME B30.7, 29 CFR 1926.552, and 29 CFR 1926.553.
- d. When used to hoist personnel, the AHA must include a written standard operating procedure. Operators must have a physical examination in accordance with EM 385-1-1 Section 16.B.05 and trained, at a minimum, in accordance with EM 385-1-1 Section 16.U and 16.T. The base mounted drum hoist must also comply with OSHA Instruction CPL 02-01-056 and ASME B30.23.
- e. Material and personnel must not be hoisted simultaneously.
- f. Personnel cage must be marked with the capacity (in number of persons)

and load limit in pounds.

- g. Construction equipment must not be used for hoisting material or personnel or with trolley/tag lines. Construction equipment may be used for towing and assisting with anchoring guy lines.

### 3.7.5 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

## 3.8 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Sections 11 and 12.

### 3.8.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.147.

### 3.8.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio

must be in accordance with State, Local requirements applicable to where work is being performed.

### 3.8.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

### 3.8.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

### 3.8.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

**This page was intentionally left blank for duplex printing.**



SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 45 00.00 10

QUALITY CONTROL

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 PAYMENT
- 1.3 SUBMITTALS

PART 2 PRODUCTS

PART 3 EXECUTION

- 3.1 GENERAL REQUIREMENTS
- 3.2 CONTRACTOR QUALITY CONTROL (CQC) PLAN
  - 3.2.1 Content of the CQC Plan
  - 3.2.2 Acceptance of Plan
  - 3.2.3 Notification of Changes
- 3.3 COORDINATION MEETING
- 3.4 QUALITY CONTROL ORGANIZATION
  - 3.4.1 Personnel Requirements
  - 3.4.2 CQC System Manager
  - 3.4.3 CQC Personnel
  - 3.4.4 Additional Requirement
  - 3.4.5 Organizational Changes
- 3.5 SUBMITTALS AND DELIVERABLES
- 3.6 CONTROL
  - 3.6.1 Preparatory Phase
  - 3.6.2 Initial Phase
  - 3.6.3 Follow-up Phase
  - 3.6.4 Additional Preparatory and Initial Phases
- 3.7 TESTS
  - 3.7.1 Testing Procedure
  - 3.7.2 Testing Laboratories
    - 3.7.2.1 Capability Check
    - 3.7.2.2 Capability Recheck
  - 3.7.3 Onsite Laboratory
- 3.8 COMPLETION INSPECTION
  - 3.8.1 Punch-Out Inspection
  - 3.8.2 Pre-Final Inspection
  - 3.8.3 Final Acceptance Inspection
- 3.9 DOCUMENTATION
  - 3.9.1 Quality Control Activities
  - 3.9.2 Verification Statement
- 3.10 SAMPLE FORMS
- 3.11 NOTIFICATION OF NONCOMPLIANCE

ATTACHMENTS:

Reline 24" Pipe and Valve  
Lawrence, KS

W912DQ23B1011

Sample forms

-- End of Section Table of Contents --

SECTION 01 45 00.00 10

QUALITY CONTROL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D3740 (2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

ASTM E329 (2021) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Bid Schedule item.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan; G, RO

SD-06 Test Reports

Verification Statement

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC consist of

plans, procedures, and organization necessary to produce an end product which complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and be keyed to the proposed construction sequence. The project superintendent will be held responsible for the quality of work and is subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. In this context the highest level manager responsible for the overall construction activities at the site, including quality and production is the project superintendent. The project superintendent maintains a physical presence at the site at all times and is responsible for all construction and related activities at the site, except as otherwise acceptable to the Contracting Officer.

### 3.2 CONTRACTOR QUALITY CONTROL (CQC) PLAN

Submit no later than 10 days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. The Government will consider an interim plan for the first 30 days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional work.

#### 3.2.1 Content of the CQC Plan

Include, as a minimum, the following to cover all construction-operations, both onsite and offsite, including work by subcontractors fabricators, suppliers and purchasing agents:

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified. Include a CQC System Manager that reports to an individual other than the project superintendent. The individual should be outside of the project superintendent's chain of command and must be shown as at least one level above the project superintendent in the chain of command.
- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the Contract. Letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities will be issued by the CQC System Manager. Furnish copies of these letters to the Contracting Officer.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. These procedures must be in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
- e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph

requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities approved by the Contracting Officer are required to be used.)

- f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.
- g. Procedures for tracking construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected.
- h. Reporting procedures, including proposed reporting formats.
- i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and is identified by different trades or disciplines, or it is work by the same trade in a different environment. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

### 3.2.2 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in the Contractor Quality Control (CQC) Plan and operations including removal of personnel, as necessary, to obtain the quality specified.

### 3.2.3 Notification of Changes

After acceptance of the CQC Plan, notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

### 3.3 COORDINATION MEETING

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's quality control system. Submit the CQC Plan a minimum of 7 calendar days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the Government, signed by both the Contractor and the Contracting Officer and will become a part of the contract file. There can be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

### 3.4 QUALITY CONTROL ORGANIZATION

#### 3.4.1 Personnel Requirements

The requirements for the CQC organization are a Safety and Health Manager, CQC System Manager, and sufficient number of additional qualified personnel to ensure safety and Contract compliance. The Safety and Health Manager reports directly to a senior project (or corporate) official independent from the CQC System Manager. The Safety and Health Manager will also serve as a member of the CQC Staff. Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly will also be included as part of the CQC organization. The Contractor's CQC staff maintains a presence at the site at all times during progress of the work and have complete authority and responsibility to take any action necessary to ensure Contract compliance. The CQC staff will be subject to acceptance by the Contracting Officer. Provide adequate office space, filing systems and other resources as necessary to maintain an effective and fully functional CQC organization. Promptly complete and furnish all letters, material submittals, shop drawing submittals, schedules and all other project documentation to the CQC organization. The CQC organization is responsible for maintaining these documents and records at the site at all times, except as otherwise acceptable to the Contracting Officer.

#### 3.4.2 CQC System Manager

Identify as CQC System Manager an individual within the onsite work organization that is responsible for overall management of CQC and has the authority to act in all CQC matters for the Contractor. The CQC System Manager is required to be a graduate engineer, graduate architect, or a graduate of construction management, with and a minimum of 2 years construction experience on construction similar to this Contract. a construction person with a minimum of 5 years in related work. This CQC System Manager is on the site at all times during construction and is employed by the prime Contractor. The CQC System Manager is assigned as CQC System Manager but has duties as project superintendent in addition to quality control. Identify in the plan an alternate to serve in the event of the CQC System Manager's absence. The requirements for the alternate are the same as the CQC System Manager.

#### 3.4.3 CQC Personnel

In addition to CQC personnel specified elsewhere in the contract, provide as part of the CQC organization specialized personnel to assist the CQC System Manager for the following areas: mechanical, civil, structural, environmental, materials technician, . These individuals or specialized technical companies are directly employed by the prime Contractor and can not be employed by a supplier or subcontractor on this project; be responsible to the CQC System Manager; be physically present at the construction site during work on the specialized personnel's areas of responsibility; have the necessary education or experience in accordance with the experience matrix listed herein. These individuals have no other duties other than quality control. A single person can cover more than one area provided that the single person is qualified to perform quality control activities in each designated and that workload allows.

Experience Matrix	
Area	Qualifications
Civil	Graduate Civil Engineer or Construction Manager with 2 years experience in the type of work being performed on this project or technician with 5 yrs related experience
Mechanical	Graduate Mechanical Engineer with 2 yrs experience or person with 5 years of experience supervising mechanical features of work in the field with a construction company
Electrical	Graduate Electrical Engineer with 2 years related experience or person 5 years of experience supervising electrical features of work in the field with a construction company
Structural	Graduate Civil Engineer (with Structural Track or Focus) or Construction Manager with 2 years experience or person 5 years of experience supervising structural features of work in the field with a construction company
Environmental	Graduate Environmental Engineer with 3 years of experience

#### 3.4.4 Additional Requirement

In addition to the above experience and education requirements, the Contractor Quality Control (CQC) System Manager and Alternate CQC System Manager are required to have completed the Construction Quality Management (CQM) for Contractors course. If the CQC System Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the CQC System Manager's certificate has expired, retake the course to remain current.

#### 3.4.5 Organizational Changes

Maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

### 3.5 SUBMITTALS AND DELIVERABLES

Submittals, if needed, have to comply with the requirements in Section 01 33 00 SUBMITTAL PROCEDURES. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the contract requirements.

### 3.6 CONTROL

CQC is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control are required to be conducted by the CQC System Manager for each definable feature of the construction work as follows:

#### 3.6.1 Preparatory Phase

This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase includes:

- a. A review of each paragraph of applicable specifications, reference codes, and standards. Make available during the preparatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.
- b. Review of the Contract drawings.
- c. Check to assure that all materials and equipment have been tested, submitted, and approved.
- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the Contract.
- f. Examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.
- g. Review of the appropriate activity hazard analysis to assure safety requirements are met.
- h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.
- i. Check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
- j. Discussion of the initial control phase.
- k. The Government needs to be notified at least 48 hours in advance of beginning the preparatory control phase. Include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC



personnel (as applicable), and the foreman responsible for the definable feature. Document the results of the preparatory phase actions by separate minutes prepared by the CQC System Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

### 3.6.2 Initial Phase

This phase is accomplished at the beginning of a definable feature of work. Accomplish the following:

- a. Check work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.
- b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing are in compliance with the contract.
- c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- d. Resolve all differences.
- e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.
- f. The Government needs to be notified at least 48 hours in advance of beginning the initial phase for definable feature of work. Prepare separate minutes of this phase by the CQC System Manager and attach to the daily CQC report. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases.
- g. The initial phase for each definable feature of work is repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

### 3.6.3 Follow-up Phase

Perform daily checks to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. Record the checks in the CQC documentation. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work. Do not build upon nor conceal non-conforming work.

### 3.6.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same definable features of work if: the quality of on-going work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity; or if other problems develop.

### 3.7 TESTS

#### 3.7.1 Testing Procedure

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. Perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

#### 3.7.2 Testing Laboratories

All testing laboratories must be validated by the USACE Material Testing Center (MTC) for the tests to be performed. Information on the USACE MTC with web-links to both a list of validated testing laboratories and for the laboratory inspection request form can be found at:  
<https://mtc.erdc.dren.mil/>.

##### 3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel are required to meet criteria detailed in ASTM D3740 and ASTM E329.

##### 3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed a charge to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the Contract amount due the Contractor.

### 3.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

## 3.8 COMPLETION INSPECTION

### 3.8.1 Punch-Out Inspection

Conduct an inspection of the work by the CQC System Manager near the end of the work, or any increment of the work established by a time stated in FAR 52.211-10 Commencement, Prosecution, and Completion of Work, or by the specifications. Prepare and include in the CQC documentation a punch list of items which do not conform to the approved drawings and specifications, as required by paragraph DOCUMENTATION. Include within the list of deficiencies the estimated date by which the deficiencies will be corrected. The CQC System Manager or staff make a second inspection to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government Pre-Final inspection.

### 3.8.2 Pre-Final Inspection

The Government will perform the pre-final inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. Ensure that all items on this list have been corrected before notifying the Government, so that a Final inspection with the customer can be scheduled. Correct any items noted on the Pre-Final inspection in a timely manner. These inspections and any deficiency corrections required by this paragraph need to be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate completion dates.

### 3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative is required to be in attendance at the final acceptance inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands can also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notify the Contracting Officer at least 14 days prior to the final acceptance inspection and include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the Contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance FAR 52.246-12 Inspection of Construction.

### 3.9 DOCUMENTATION

#### 3.9.1 Quality Control Activities

Maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

- a. The name and area of responsibility of the Contractor/Subcontractor.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Test and control activities performed with results and references to specifications/drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.
- g. Offsite surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
- i. Instructions given/received and conflicts in plans and specifications.

#### 3.9.2 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract. Furnish the original and one copy of these records in report form to the Government daily within 24

hours after the date covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the Contractor Quality Control (CQC) System Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the CQC System Manager Report.

### 3.10 SAMPLE FORMS

Sample forms enclosed at the end of this section.

### 3.11 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, will be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer can issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

-- End of Section --

**This page was intentionally left blank for duplex printing.**

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 45 00.15 10

RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE (RMS CM)

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 MEASUREMENT AND PAYMENT
- 1.3 CONTRACT ADMINISTRATION
  - 1.3.1 Correspondence and Electronic Communications
  - 1.3.2 Other Factors
- 1.4 RMS SOFTWARE
- 1.5 CONTRACT DATABASE - GOVERNMENT
- 1.6 CONTRACT DATABASE - CONTRACTOR
  - 1.6.1 Administration
    - 1.6.1.1 Contractor Information
    - 1.6.1.2 Subcontractor Information
    - 1.6.1.3 Correspondence
    - 1.6.1.4 Equipment
    - 1.6.1.5 Reports
    - 1.6.1.6 Request For Information (RFI)
  - 1.6.2 Finances
    - 1.6.2.1 Pay Activity Data
    - 1.6.2.2 Payment Requests
  - 1.6.3 Quality Control (QC)
    - 1.6.3.1 Quality Control (QC) Reports
    - 1.6.3.2 Deficiency Tracking.
    - 1.6.3.3 Three-Phase Control Meetings
    - 1.6.3.4 Labor and Equipment Hours
    - 1.6.3.5 Accident/Safety Reporting
    - 1.6.3.6 Definable Features of Work
    - 1.6.3.7 Activity Hazard Analysis
  - 1.6.4 Submittal Management
  - 1.6.5 Schedule
  - 1.6.6 Closeout
- 1.7 IMPLEMENTATION
- 1.8 NOTIFICATION OF NONCOMPLIANCE

PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

SECTION 01 45 00.15 10

RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE (RMS CM)

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this section to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety -- Safety and Health  
Requirements Manual

1.2 MEASUREMENT AND PAYMENT

The work of this section is not measured for payment. The Contractor is responsible for the work of this section, without any direct compensation other than the payment received for contract items.

1.3 CONTRACT ADMINISTRATION

The Government will use the Resident Management System (RMS) to assist in its monitoring and administration of this contract. The Government accesses the system using the Government Mode of RMS (RMS GM) and the Contractor accesses the system using the Contractor Mode (RMS CM). The term RMS will be used in the remainder of this section for both RMS GM and RMS CM. The joint Government-Contractor use of RMS facilitates electronic exchange of information and overall management of the contract. The Contractor accesses RMS to record, maintain, input, track, and electronically share information with the Government throughout the contract period in the following areas:

- Administration
- Finances
- Quality Control
- Submittal Monitoring
- Scheduling
- Closeout
- Import/Export of Data

1.3.1 Correspondence and Electronic Communications

For ease and speed of communications, exchange correspondence and other documents in electronic format to the maximum extent feasible. Some correspondence, including pay requests and payrolls, are also to be provided in paper format with original signatures. Paper documents will govern, in the event of discrepancy with the electronic version.

1.3.2 Other Factors

Other portions of this document have a direct relationship to the reporting accomplished through RMS. Particular attention is directed to FAR



52.236-15 Schedules for Construction Contracts; FAR 52.232-27 Prompt Payment for Construction Contracts; FAR 52.232-5 Payments Under Fixed-Priced Construction Contracts; Section 01 32 01.00 10 PROJECT SCHEDULE; Section 01 33 00 SUBMITTAL PROCEDURES; Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS; and Section 01 45 00.00 10 QUALITY CONTROL.

#### 1.4 RMS SOFTWARE

RMS is a web based application. Download, install and be able to utilize the latest version of RMS within 7 calendar days of receipt of the Notice to Proceed. RMS software, user manuals, access and installation instructions, program updates and training information are available from the RMS website (<https://rms.usace.army.mil>). The Government and the Contractor will have different access authorities to the same contract database through RMS. The common database will be updated automatically each time a user finalizes an entry or change.

#### 1.5 CONTRACT DATABASE - GOVERNMENT

The Government will enter the basic contract award data in RMS prior to granting the Contractor access. The Government entries into RMS will generally be related to submittal reviews, correspondence status, and Quality Assurance (QA) comments, as well as other miscellaneous administrative information.

#### 1.6 CONTRACT DATABASE - CONTRACTOR

Contractor entries into RMS establish, maintain, and update data throughout the duration of the contract. Contractor entries generally include prime and subcontractor information, daily reports, submittals, RFI's, schedule updates and payment requests. RMS includes the ability to import attachments and export reports in many of the modules, including submittals. The Contractor responsibilities for entries in RMS typically include the following items:

##### 1.6.1 Administration

##### 1.6.1.1 Contractor Information

Enter all current Contractor administrative data and information into RMS within 7 calendar days of receiving access to the contract in RMS. This includes, but is not limited to, Contractor's name, address, telephone numbers, management staff, and other required items.

##### 1.6.1.2 Subcontractor Information

Enter all missing subcontractor administrative data and information into RMS CM within 7 calendar days of receiving access to the contract in RMS or within 7 calendar days of the signing of the subcontractor agreement for agreements signed at a later date. This includes name, trade, address, phone numbers, and other required information for all subcontractors. A subcontractor is listed separately for each trade to be performed.

##### 1.6.1.3 Correspondence

Identify all Contractor correspondence to the Government with a serial number. Prefix correspondence initiated by the Contractor's site office with "S". Prefix letters initiated by the Contractor's home (main) office

with "H". Letters are numbered starting from 0001. (e.g., H-0001 or S-0001). The Government's letters to the Contractor will be prefixed with "C" or "RFP".

#### 1.6.1.4 Equipment

Enter and maintain a current list of equipment planned for use or being used on the jobsite, including the most recent and planned equipment inspection dates.

#### 1.6.1.5 Reports

Track the status of the project utilizing the reports available in RMS. The value of these reports is reflective of the quality of the data input. These reports include the Progress Payment Request worksheet, Quality Control (QC) comments, Submittal Register Status, and Three-Phase Control worksheets.

#### 1.6.1.6 Request For Information (RFI)

Create and track all Requests For Information (RFI) in the RMS Administration Module for Government review and response.

#### 1.6.2 Finances

##### 1.6.2.1 Pay Activity Data

Develop and enter a list of pay activities in conjunction with the project schedule. The sum of pay activities equals the total contract amount, including modifications. Each pay activity must be assigned to a Contract Line Item Number (CLIN). The sum of the activities assigned to a CLIN equals the amount of each CLIN.

##### 1.6.2.2 Payment Requests

Prepare all progress payment requests using RMS. Update the work completed under the contract at least monthly, measured as percent or as specific quantities. After the update, generate a payment request and prompt payment certification using RMS. Submit the signed prompt payment certification and payment request as well as supporting data either electronically or by hard copy. Unless waived by the Contracting Officer, a signed paper copy of the approved payment certification and request is also required and will govern in the event of discrepancy with the electronic version.

#### 1.6.3 Quality Control (QC)

Enter and track implementation of the 3-phase QC Control System, QC testing, transferred and installed property and warranties in RMS. Prepare daily reports, identify and track deficiencies, document progress of work, and support other Contractor QC requirements in RMS. Maintain all data on a daily basis. Insure that RMS reflects all quality control methods, tests and actions contained within the Contractor Quality Control (CQC) Plan and Government review comments of same within 7 calendar days of Government acceptance of the CQC Plan.

##### 1.6.3.1 Quality Control (QC) Reports

The Contractor's Quality Control (QC) Daily Report in RMS is the official

report. The Contractor can use other supplemental formats to record QC data, but information from any supplemental formats are to be consolidated and entered into the RMS QC Daily Report. Any supplemental information may be entered into RMS as an attachment to the report. QC Daily Reports must be finalized and signed in RMS within 24 hours after the date covered by the report. Provide the Government a printed signed copy of the QC Daily Report, unless waived by the Contracting Officer.

#### 1.6.3.2 Deficiency Tracking.

Use the QC Daily Report Module to enter and track deficiencies. Deficiencies identified and entered into RMS by the Contractor or the Government will be sequentially numbered with a QC or QA prefix for tracking purposes. Enter each deficiency into RMS the same day that the deficiency is identified. Monitor, track and resolve all QC and QA entered deficiencies. A deficiency is not considered to be corrected until the Government indicates concurrence in RMS.

#### 1.6.3.3 Three-Phase Control Meetings

Maintain scheduled and actual dates and times of preparatory and initial control meetings in RMS. Worksheets for the three-phase control meetings are generated within RMS.

#### 1.6.3.4 Labor and Equipment Hours

Enter labor and equipment exposure hours on a daily basis. Roll up the labor and equipment exposure data into a monthly exposure report.

#### 1.6.3.5 Accident/Safety Reporting

Both the Contractor and the Government enter safety related comments in RMS as a deficiency. The Contractor must monitor, track and show resolution for safety issues in the QC Daily Report area of the RMS QC Module. In addition, follow all reporting requirements for accidents and incidents as required in EM 385-1-1, Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS and as required by any other applicable Federal, State or local agencies.

#### 1.6.3.6 Definable Features of Work

Enter each feature of work, as defined in the approved CQC Plan, into the RMS QC Module. A feature of work may be associated with a single or multiple pay activities, however a pay activity is only to be linked to a single feature of work.

#### 1.6.3.7 Activity Hazard Analysis

Import activity hazard analysis electronic document files into the RMS QC Module utilizing the document package manager.

#### 1.6.4 Submittal Management

Enter all current submittal register data and information into RMS within 7 calendar days of receiving access to the contract in RMS. The information shown on the submittal register following the specification Section 01 33 00

SUBMITTAL PROCEDURES will already be entered into the RMS database when access is granted. Group electronic submittal documents into transmittal packages to send to the Government, except very large electronic files, samples, spare parts, mock ups, color boards, or where hard copies are

specifically required. Track transmittals and update the submittal register in RMS on a daily basis throughout the duration of the contract. Submit hard copies of all submittals unless waived by the Contracting Officer.

#### 1.6.5 Schedule

Enter and update the contract project schedule in RMS by either manually entering all schedule data or by importing the Standard Data Exchange Format (SDEF) file, based on the requirements in Section 01 32 01.00 13 PROJECT SCHEDULE.

#### 1.6.6 Closeout

Closeout documents, processes and forms are managed and tracked in RMS by both the Contractor and the Government. Ensure that all closeout documents are entered, completed and documented within RMS.

#### 1.7 IMPLEMENTATION

Use of RMS as described in the preceding paragraphs is mandatory. Ensure that sufficient resources are available to maintain contract data within the RMS system. RMS is an integral part of the Contractor's required management of quality control.

#### 1.8 NOTIFICATION OF NONCOMPLIANCE

Take corrective action within 7 calendar days after receipt of notice of RMS non-compliance by the Contracting Officer.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

-- End of Section --

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS
- 1.3 CONSTRUCTION SITE PLAN
- 1.4 BACKFLOW PREVENTERS CERTIFICATE
  - 1.4.1 Backflow Tester Certificate
  - 1.4.2 Backflow Prevention Training Certificate
- 1.5 DOD CONDITION OF READINESS (COR)

PART 2 PRODUCTS

- 2.1 TEMPORARY SIGNAGE
  - 2.1.1 Bulletin Board
  - 2.1.2 Project Identification Signs
  - 2.1.3 Warning Signs
- 2.2 TEMPORARY TRAFFIC CONTROL
  - 2.2.1 Haul Roads
  - 2.2.2 Barricades
- 2.3 FENCING
  - 2.3.1 Chain Link Panel Fencing
  - 2.3.2 Post-Driven Chain Link Fencing
- 2.4 TEMPORARY WIRING

PART 3 EXECUTION

- 3.1 EMPLOYEE PARKING
- 3.2 AVAILABILITY AND USE OF UTILITY SERVICES
  - 3.2.1 Temporary Utilities
  - 3.2.2 Payment for Utility Services
  - 3.2.3 Meters and Temporary Connections
  - 3.2.4 Advance Deposit
  - 3.2.5 Final Meter Reading
  - 3.2.6 Sanitation
  - 3.2.7 Telephone
  - 3.2.8 Fire Protection
- 3.3 TRAFFIC PROVISIONS
  - 3.3.1 Maintenance of Traffic
  - 3.3.2 Protection of Traffic
  - 3.3.3 Dust Control
- 3.4 REDUCED PRESSURE BACKFLOW PREVENTERS
- 3.5 CONTRACTOR'S TEMPORARY FACILITIES
  - 3.5.1 Administrative Field Offices
  - 3.5.2 Storage Area
  - 3.5.3 Supplemental Storage Area
  - 3.5.4 Appearance of Trailers

Reline 24" Pipe and Valve  
Lawrence, KS

W912DQ23B1011

- 3.5.5 Safety Systems
- 3.5.6 Weather Protection of Temporary Facilities and Stored Materials
  - 3.5.6.1 Building and Site Storm Protection
- 3.6 PLANT COMMUNICATIONS
- 3.7 TEMPORARY PROJECT SAFETY FENCING
- 3.8 CLEANUP
- 3.9 RESTORATION OF STORAGE AREA

-- End of Section Table of Contents --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023) National Electrical Code

NFPA 241 (2022) Standard for Safeguarding  
Construction, Alteration, and Demolition  
Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety -- Safety and Health  
Requirements Manual

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD (2009; Rev 2012) Manual on Uniform Traffic  
Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan; G, RO

Traffic Control Plan; G, RO

Haul Road Plan; G, RO

SD-06 Test Reports

Backflow Preventer TestsSD-07 Certificates

Backflow Tester Certification

## Backflow Preventers Certificate of Full Approval

### 1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

### 1.4 BACKFLOW PREVENTERS CERTIFICATE

#### 1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with a company participating in other phases of this Contract.

#### 1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

### 1.5 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (58 mph) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted Accident Prevention Plan, EM 385-1-1 Section 01 Emergency Planning and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

- a. Condition FOUR (Sustained winds of 58 mph or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 3.3 feet high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.
- b. Condition THREE (Sustained winds of 58 mph or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be



completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

- c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.
- d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

## PART 2 PRODUCTS

### 2.1 TEMPORARY SIGNAGE

#### 2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 Section 01 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

#### 2.1.2 Project Identification Signs

The requirements for the signs, their content, and location are as directed by the COR and as indicated. Erect signs within 15 days after receipt of the notice to proceed. Correct the data required by the safety sign daily, with light colored metallic or non-metallic numerals.

#### 2.1.3 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1 Section 04. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

### 2.2 TEMPORARY TRAFFIC CONTROL

#### 2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this Contract in accordance with EM 385-1-1 Section 04. Construct with suitable grades and widths; avoid sharp curves, blind corners, and dangerous cross traffic. Submit haul road plan for approval.

Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and haul roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

#### 2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

#### 2.3 FENCING

Provide fencing along the construction site and at all open excavations and tunnels to control access by unauthorized personnel. Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. All fencing must meet the requirements of EM 385-1-1. Remove the fence upon completion and acceptance of the work.

##### 2.3.1 Chain Link Panel Fencing

Temporary panel fencing must be galvanized steel chain link panels 6 feet high. Multiple fencing panels may be linked together at the bases to form long spans as needed. Each panel base must be weighted down using sand bags or other suitable materials in order for the fencing to withstand anticipated winds while remaining upright. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

##### 2.3.2 Post-Driven Chain Link Fencing

Temporary post-driven fencing must be galvanized chain link fencing 6 feet high supported by an tightly secured to galvanized steel posts driven below grade. Fence posts must be located on minimum 10 foot centers. Posts may be set in various surfaces such as sand, soil, asphalt or concrete as necessary. Chain link fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection. Completely remove fencing and posts at the completion of construction and restore surfaces disturbed or damaged to its original condition. Locate and identify underground utilities prior to setting fence posts. Equip fence with a lockable gate. Gate must remain locked when construction personnel are not present.

#### 2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1 Section 11, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

## PART 3 EXECUTION

### 3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

### 3.2 AVAILABILITY AND USE OF UTILITY SERVICES

#### 3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

#### 3.2.2 Payment for Utility Services

- a. The Government will make all reasonably required utilities available from existing outlets and supplies, as specified in the Contract. Unless otherwise provided in the Contract, the amount of each utility service consumed will be charged to or paid at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve utilities furnished without charge.

#### 3.2.3 Meters and Temporary Connections

Provide and maintain necessary temporary connections, distribution lines, and meter bases (Government will provide meters) required to measure the amount of each utility used for the purpose of determining charges. Notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established. The Government will provide a meter and make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. Do not make the final electrical connection.

#### 3.2.4 Advance Deposit

An advance deposit for utilities consisting of an estimated month's usage or a minimum of \$50.00 will be required. The last monthly bills for the fiscal year will normally be offset by the deposit and adjustments will be billed or returned as appropriate. Services to be rendered for the next fiscal year, beginning 1 October, will require a new deposit. Notification of the due date for this deposit will be mailed prior to the end of the current fiscal year.

#### 3.2.5 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, notify the Contracting Officer, in writing, 5 working days before termination is desired. The Government will take a final meter reading, disconnect service, and remove the meters. Then remove all the temporary distribution lines, meter bases, and associated appurtenances. Pay all outstanding utility bills before final acceptance of the work by the Government.

### 3.2.6 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1 Section 02. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

### 3.2.7 Telephone

Make arrangements and pay all costs for telephone facilities desired.

### 3.2.8 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

## 3.3 TRAFFIC PROVISIONS

### 3.3.1 Maintenance of Traffic

- a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Make all notifications and obtain all permits required for modification to traffic movements outside Station's jurisdiction.. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.
- b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.
- c. Provide, erect, and maintain, at Contractor's expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.
- d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

### 3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide self-illuminated (lighted) barricades during hours of darkness. Brightly-colored (orange) vests are required for all personnel working in roadways. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

### 3.3.3 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

### 3.4 REDUCED PRESSURE BACKFLOW PREVENTERS

Provide an approved reduced pressure backflow prevention assembly at each location where the Contractor taps into the Government potable water supply.

Perform backflow preventer tests using test equipment, procedures, and certification forms conforming to those outlined in the latest edition of the Manual of Cross-Connection Control published by the FCCCHR Manual. Test and tag each reduced pressure backflow preventer upon initial installation (prior to continued water use) and monthly thereafter. Tag must contain the following information: make, model, serial number, dates of tests, results, maintenance performed, and signature of tester. Record test results on certification forms conforming to requirements cited earlier in this paragraph.

### 3.5 CONTRACTOR'S TEMPORARY FACILITIES

Contractor-owned or -leased trailers must be identified by Government assigned numbers. Size and location of the number will comply with direction from COR. Apply the number to the trailer within 14calendar days of notification, or sooner, if directed by the Government. Temporary facilities must meet requirements as identified in EM 385-1-1 Section 04.

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1 Section 04. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

#### 3.5.1 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will be available to the Contractor's personnel.

### 3.5.2 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts, colored green or brown, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on the current day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

Keep fencing in a state of good repair and proper alignment. If the Contractor elects to traverse grassed or unpaved areas which are not established roadways with construction equipment or other vehicles, cover the grassed or unpaved areas with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways; gravel gradation must be at the Contractor's discretion.. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, structures, under trailers, and in areas not accessible to mowers must be edged or trimmed neatly.

### 3.5.3 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Maintain the area in a clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

### 3.5.4 Appearance of Trailers

- a. Trailers must be roadworthy and comply with all appropriate state and local vehicle requirements. Trailers which are rusted, have peeling paint or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.
- b. Maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal at the Contractor's expense.

### 3.5.5 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection

prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

### 3.5.6 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

#### 3.5.6.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

### 3.6 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices and make available for use by Government personnel.

### 3.7 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

### 3.8 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

### 3.9 RESTORATION OF STORAGE AREA

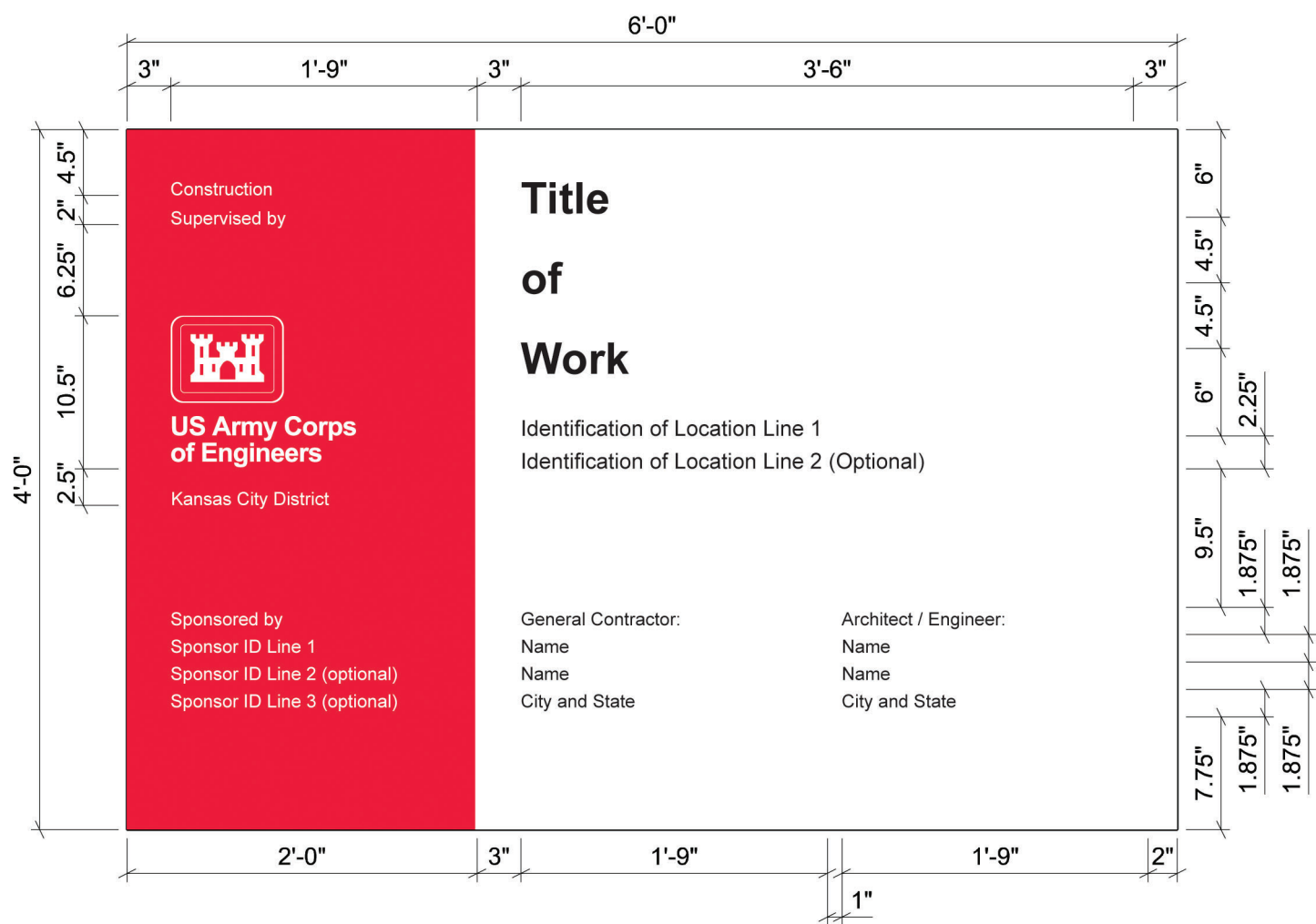
Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

**This page was intentionally left blank for duplex printing.**



The graphic format for this 4'-0" by 6'-0" sign panel follows the legend guidelines and layout as specified below. The large 4'-0" x 4'-0" section on the right is to be white with black text. The 2'-0" x 4'-0" section on the left with the full Corps signature (reverse version) shall be screen printed Communications Red on the White background.



- Legend Group 1: One- to two-line description of Corps relationship to work. Color: White. Typeface: 1.25" Helvetica Regular. Max. line length: 19".
- Legend Group 2: "Kansas City District" placed below 10.5" reverse Signature (6" Castle). Color: White. Typeface: 1.25" Helvetica Regular
- Legend Group 2a: One- to three-line identification of Military or Civil Works sponsor (optional). Place below Corps signature and cross-align with Group 5a-b. Color: White. Typeface: 1.25" Helvetica Regular. Maximum line length: 19".
- Legend Group 3: One- to three-line project title describes the work under contract. Color: Black. Typeface: 3" Helvetica Bold. Max. line length: 42".
- Legend Group 4: One- to two-line identification of location of project or facility (civil works) or military installation and state (military). Cross-align the first line with the first line of the Corps Signature. Color: Black. Typeface: 1.5" Helvetica Regular. Max. line length: 42"
- Legend Group 5: One- to five-line identification of project contractors including: type (architect, general contractor, etc.), firm name, city, state. Use of Legend Group 5 is optional. Color: Black. Typeface: 1.25" Helvetica Regular. Max. line length: 21".

All typography shall be flush left and rag right, upper and lower case with initial capitals only as shown. Letter and word-spacing to follow Corps standards. No logos in addition to the Corps Signature (Castle) are authorized for placement on the sign.

Sign Type	Legend Size	Panel Size	Post Size	Specification Code	Mounting Height	Color Bkg/Lgd
CID-01	Various	4'-0" x 6'-0"	4" x 4"	HDO-3	48"	WH-RD/BK

**FIG. 1**  
**CONSTRUCTION SIGN (CORPS OF ENGINEERS)**  
 (USE WITH FIG. 2)

**This page was intentionally left blank for duplex printing.**

# SAFETY PERFORMANCE SIGN

Each contractor's safety record is to be posted on Corps managed or supervised construction projects and mounted with the construction project identification sign.

The graphic format, color, size and typefaces used on the sign are to be reproduced exactly as specified below. The title with First Aid logo in the top section of the sign and the performance record captions are standard for all signs of the type. Legend Groups 2 and 3 below identify the project and the contractor and are to be placed on the sign as shown.

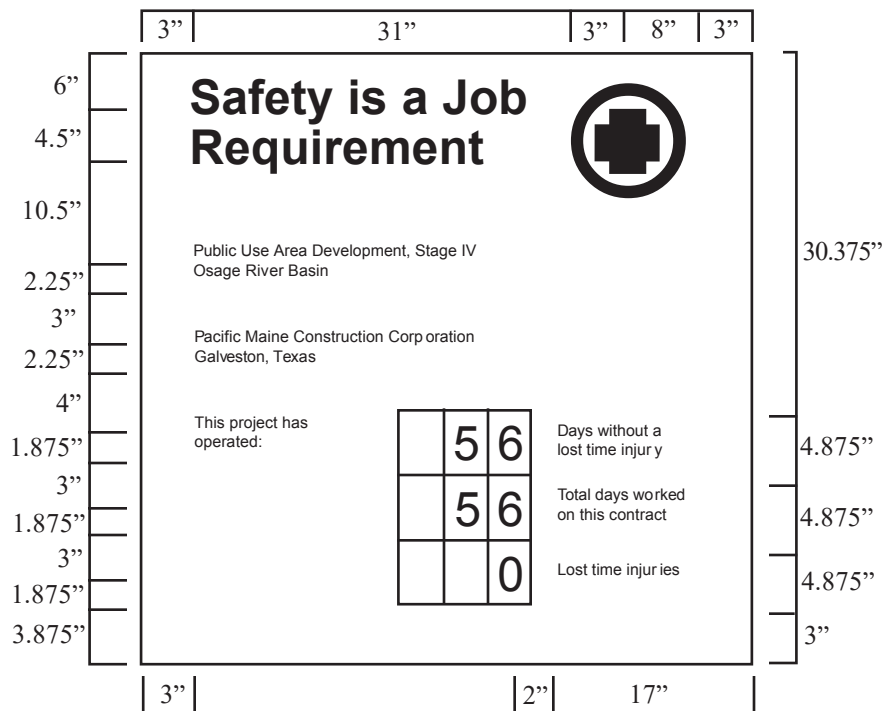
Safety record numbers are mounted on individual metal plates and are screw mounted to the background to allow for daily revisions to posted safety performance record.

**Legend Group 1:** Standard two-line title  
 "Safety is a Job requirement" with (8 od.)  
 Safety Green First Aid logo.  
 Color: to match PMS 347  
 Typeface: 3" Helvetica Bold  
 Color: Black

**Legend Group 2:** One to two-line project  
 title legend describes the work being done  
 under this contract and name of host  
 project.  
 Color: Black  
 Typeface: 1.5" Helvetica Regular  
 Maximum line length: 42"

**Legend Group 3:** One to two-line iden-  
 tification; name of prime contractor and  
 city, state address.  
 Color: Black  
 Typeface: 1.5" Helvetica Regular  
 Maximum line length: 42"

**Legend Group 4:** Standard safety record  
 captions as shown.  
 Color: Black  
 Typeface: 12.5" Helvetica Regular



Sign Type	Legend Size	Panel Size	Post Size	Specification Code	Mounting Height	Color Bkg/Lgd
CID-02	Various	4' X 4'	4" X 4"	HDO-3	48"	WH/BK - GR

Replaceable numbers are to be mounted on white .060 aluminum plates and screw-mounted to back-ground.

Color: Black  
 Typeface: 3" Helvetica Regular  
 Plate size: 2.5" X 5"

All typography is flush left and rag right. Upper and lower case with initial capitals only as shown. Letter - and word - spacing to follow Corps standards.

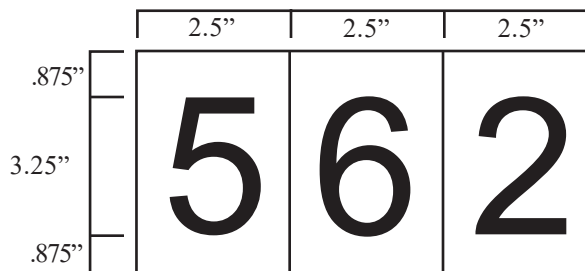


Fig. 2

**This page was intentionally left blank for duplex printing.**

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS

PART 1 GENERAL

1.1 REFERENCES

1.2 DEFINITIONS

- 1.2.1 Class I and II Ozone Depleting Substance (ODS)
- 1.2.2 Contractor Generated Hazardous Waste
- 1.2.3 Electronics Waste
- 1.2.4 Environmental Pollution and Damage
- 1.2.5 Environmental Protection
- 1.2.6 Hazardous Debris
- 1.2.7 Hazardous Materials
- 1.2.8 Hazardous Waste
- 1.2.9 Installation Pest Management Coordinator
- 1.2.10 Land Application
- 1.2.11 Municipal Separate Storm Sewer System (MS4) Permit
- 1.2.12 National Pollutant Discharge Elimination System (NPDES)
- 1.2.13 Oily Waste
- 1.2.14 Pesticide
- 1.2.15 Pesticide Treatment Plan
- 1.2.16 Regulated Waste
- 1.2.17 Solid Waste
  - 1.2.17.1 Debris
  - 1.2.17.2 Green Waste
  - 1.2.17.3 Material Not Regulated As Solid Waste
  - 1.2.17.4 Non-Hazardous Waste
  - 1.2.17.5 Recyclables
  - 1.2.17.6 Scrap Metal
  - 1.2.17.7 Wood
- 1.2.18 Surface Discharge
- 1.2.19 Wastewater
  - 1.2.19.1 Stormwater
- 1.2.20 Waters of the United States
- 1.2.21 Wetlands
- 1.2.22 Universal Waste
- 1.2.23 Location Specific Universal Waste

1.3 SUBMITTALS

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

- 1.4.1 Conformance with the Environmental Management System

1.5 QUALITY ASSURANCE

- 1.5.1 Preconstruction Survey and Protection of Features
- 1.5.2 Regulatory Notifications
- 1.5.3 Environmental Brief
- 1.5.4 Employee Training Records
  - 1.5.4.1 Pest Control Training
- 1.5.5 Non-Compliance Notifications

1.6 ENVIRONMENTAL PROTECTION PLAN

- 1.6.1 General Overview and Purpose
  - 1.6.1.1 Descriptions
  - 1.6.1.2 Duties
  - 1.6.1.3 Procedures
  - 1.6.1.4 Communications
  - 1.6.1.5 Contact Information
- 1.6.2 General Site Information
  - 1.6.2.1 Drawings
  - 1.6.2.2 Work Area
  - 1.6.2.3 Documentation
- 1.6.3 Management of Natural Resources
- 1.6.4 Protection of Historical and Archaeological Resources
- 1.6.5 Stormwater Management and Control
- 1.6.6 Protection of the Environment from Waste Derived from Contractor Operations
- 1.6.7 Prevention of Releases to the Environment
- 1.6.8 Regulatory Notification and Permits
- 1.6.9 Clean Air Act Compliance
  - 1.6.9.1 Haul Route
  - 1.6.9.2 Pollution Generating Equipment
  - 1.6.9.3 Stationary Internal Combustion Engines
  - 1.6.9.4 Compliant Materials
- 1.7 ENVIRONMENTAL RECORDS BINDER
- 1.8 SOLID WASTE MANAGEMENT PERMIT
  - 1.8.1 Monthly Solid Waste Disposal Report

## PART 2 PRODUCTS

## PART 3 EXECUTION

- 3.1 PROTECTION OF NATURAL RESOURCES
  - 3.1.1 Flow Ways
  - 3.1.2 Vegetation
  - 3.1.3 Streams
- 3.2 STORMWATER
  - 3.2.1 Construction General Permit
    - 3.2.1.1 Stormwater Pollution Prevention Plan
    - 3.2.1.2 Stormwater Notice of Intent for Construction Activities
    - 3.2.1.3 Inspection Reports
    - 3.2.1.4 Stormwater Pollution Prevention Plan Compliance Notebook
    - 3.2.1.5 Stormwater Notice of Termination for Construction Activities
  - 3.2.2 Work Area Limits
  - 3.2.3 Contractor Facilities and Work Areas
- 3.3 SURFACE AND GROUNDWATER
  - 3.3.1 Waters of the United States
- 3.4 WASTE MINIMIZATION
  - 3.4.1 Salvage, Reuse and Recycle
  - 3.4.2 Nonhazardous Solid Waste Diversion Report
- 3.5 WASTE MANAGEMENT AND DISPOSAL
  - 3.5.1 Waste Determination Documentation
  - 3.5.2 Solid Waste Management
    - 3.5.2.1 Project Solid Waste Disposal Documentation Report
    - 3.5.2.2 Control and Management of Solid Wastes
  - 3.5.3 Control and Management of Hazardous Waste
    - 3.5.3.1 Hazardous Waste/Debris Management
    - 3.5.3.2 Hazardous Waste Disposal
      - 3.5.3.2.1 Responsibilities for Contractor's Disposal
        - 3.5.3.2.1.1 Services

- 3.5.3.2.1.2 Samples
- 3.5.3.2.1.3 Analysis
- 3.5.3.2.1.4 Labeling
- 3.5.3.2.2 Contractor Disposal Turn-In Requirements
- 3.5.3.3 Universal Waste Management
- 3.5.3.4 Electronics End-of-Life Management
- 3.5.3.5 Disposal Documentation for Hazardous and Regulated Waste
- 3.5.4 Releases/Spills of Oil and Hazardous Substances
  - 3.5.4.1 Response and Notifications
  - 3.5.4.2 Clean Up
- 3.5.5 Wastewater
  - 3.5.5.1 Disposal of Wastewater
    - 3.5.5.1.1 Treatment
    - 3.5.5.1.2 Surface Discharge
    - 3.5.5.1.3 Land Application
- 3.6 HAZARDOUS MATERIAL MANAGEMENT
- 3.7 PREVIOUSLY USED EQUIPMENT
- 3.8 CONTROL AND MANAGEMENT OF ASBESTOS-CONTAINING MATERIAL (ACM)
- 3.9 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)
- 3.10 CONTROL AND MANAGEMENT OF POLYCHLORINATED BIPHENYLS (PCBs)
- 3.11 CONTROL AND MANAGEMENT OF LIGHTING BALLAST AND LAMPS CONTAINING PCBs
- 3.12 POST CONSTRUCTION CLEANUP

-- End of Section Table of Contents --

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1200	Hazard Communication
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 122.26	Storm Water Discharges (Applicable to State NPDES Programs, see section 123.25)
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 262.11	Hazardous Waste Determination and Recordkeeping
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment,



Storage, and Disposal Facilities

40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.3	Standards for Universal Waste Management - Pesticides
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 273.6	Applicability - Aerosol Cans
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications
40 CFR 355	Emergency Planning and Notification
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution
40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 172.101	Hazardous Material Regulation-Purpose and Use of Hazardous Material Table
49 CFR 173	Shippers - General Requirements for Shipments and Packagings

49 CFR 178

Specifications for Packagings

WASHINGTON STATE ADMINISTRATIVE CODE (WAC)

WAC-173-303-573	Standards for Universal Waste Management
WAC-173-303-573(2)	Standards for Universal Waste Management - Batteries
WAC-173-303-573(3)	Standards for Universal Waste Management - Mercury-containing Equipment
WAC-173-303-573(5)	Standards for Universal Waste Management - Lamps

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink.  
<https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances>.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink.  
<https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances>.

1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e., methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally or historically.

1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other

pollutants.

#### 1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

#### 1.2.7 Hazardous Materials

Hazardous material is any material that: Is defined in 49 CFR 171, listed in 49 CFR 172, regulated as a hazardous material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.1200; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

#### 1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibits a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D, or meets a state, local, or host nation definition of a hazardous waste.

#### 1.2.9 Installation Pest Management Coordinator

Installation Pest Management Coordinator (IPMC) is the individual officially designated by the Installation Commander to oversee the Installation Pest Management Program and the Installation Pest Management Plan.

#### 1.2.10 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

#### 1.2.11 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by municipalities or installations to obtain NPDES permit coverage for their stormwater discharges.

#### 1.2.12 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point

sources that discharge pollutants into waters of the United States.

#### 1.2.13 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, perform a hazardous waste determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

#### 1.2.14 Pesticide

Pesticide is any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest, or intended for use as a plant regulator, defoliant or desiccant.

#### 1.2.15 Pesticide Treatment Plan

A plan for the prevention, monitoring, and control to eliminate pest infestation.

#### 1.2.16 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

#### 1.2.17 Solid Waste

Solid waste is a solid, liquid, semi-solid or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

##### 1.2.17.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may not be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual

inspection.

#### 1.2.17.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included.

#### 1.2.17.3 Material Not Regulated As Solid Waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

#### 1.2.17.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 261.

#### 1.2.17.5 Recyclables

Recyclables are materials, equipment and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may not be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

#### 1.2.17.6 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

#### 1.2.17.7 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

#### 1.2.18 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, or creeks meeting the definition of "waters of the United States".

Surface discharges from construction sites are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

#### 1.2.19 Wastewater

Wastewater is the used water and solids that flow through a sanitary sewer to a treatment plant.

##### 1.2.19.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

#### 1.2.20 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

#### 1.2.21 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

#### 1.2.22 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

#### 1.2.23 Location Specific Universal Waste

Any of the following dangerous waste that are subject to the universal waste requirements of WAC-173-303-573: Batteries as described in WAC-173-303-573(2)); Lamps as described in WAC-173-303-573(5); Mercury-containing equipment as described in WAC-173-303-573(3).

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey

Regulatory Notifications; G, RO

Environmental Protection Plan; G, RO

Dirt and Dust Control Plan; G, RO

Stormwater Pollution Prevention Plan (SWPPP); G, RO

Stormwater Notice of Intent (for NPDES coverage under the general permit for construction activities); G, RO

#### SD-06 Test Reports

Monthly Solid Waste Disposal Report; G, RO

Inspection Reports

#### SD-07 Certificates

Employee Training Records; G,RO

Certificate of Competency

#### SD-11 Closeout Submittals

Regulatory Notifications; G, RO

Assembled Employee Training Records; G,RO

Solid Waste Management Permit; G,RO

Stormwater Pollution Prevention Plan Compliance Notebook; G, RO

Stormwater Notice of Termination (for NPDES coverage under the general permit for construction activities); G,RO

Waste Determination Documentation; G,RO

Project Solid Waste Disposal Documentation Report; G,RO

Sales Documentation;

Contractor Certification

Hazardous Waste/Debris Management; G, RO

Disposal Documentation for Hazardous and Regulated Waste; G, RO

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

1.4.1 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Office will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

1.5 QUALITY ASSURANCE

1.5.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the



Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

#### 1.5.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 5 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

#### 1.5.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and installation Environmental Office to discuss the proposed Environmental Protection Plan (EPP) or equipment local requirement. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

#### 1.5.4 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet EPA and state requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Conduct additional meetings for new personnel and

when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area.

#### 1.5.4.1 Pest Control Training

Trained personnel in pest control. Conduct a pest control meeting for personnel prior to commencing construction activities. Conduct additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and pest infestation; familiarization with statutory and contractual pest control standards; installation and care of devices, and instruments, if required, for monitoring purposes to ensure adequate and continuous pest control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of waters of the United States, and endangered species and their habitat that are known to be in the area. Provide a Certificate of Competency for the personnel who will be conducting the pesticide application and management of pest control.

#### 1.5.5 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. FAR 52.242-14 Suspension of Work provides that a suspension, delay, or interruption of work due to the fault or negligence of the Contractor allows for no adjustments to the contract for time extensions or equitable adjustments. In addition to a suspension of work, the Contracting Officer may use additional authorities under the contract or law.

#### 1.6 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after Contractor award and not less than 10 days before the preconstruction meeting. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any

additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

#### 1.6.1 General Overview and Purpose

##### 1.6.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as stormwater pollution prevention plan, spill control plan, solid waste management plan, wastewater management plan, air pollution control plan, contaminant prevention plan, traffic control plan Hazardous, Toxic and Radioactive Waste (HTRW) Plan Non-Hazardous Solid Waste Disposal Plan.

##### 1.6.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

##### 1.6.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

##### 1.6.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

##### 1.6.1.5 Contact Information

Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

#### 1.6.2 General Site Information

##### 1.6.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

##### 1.6.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

Show where any fuels, hazardous substances, solvents, or lubricants will be stored. Provide a spill plan to address any releases of those materials.

#### 1.6.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

#### 1.6.3 Management of Natural Resources

- a. Land resources
- b. Tree protection
- c. Replacement of damaged landscape features
- d. Temporary construction
- e. Stream crossings
- f. Fish and wildlife resources
- g. Wetland areas

#### 1.6.4 Protection of Historical and Archaeological Resources

- a. Objectives
- b. Methods

#### 1.6.5 Stormwater Management and Control

- a. Ground cover
- b. Erodible soils
- c. Temporary measures
  - (1) Structural Practices
  - (2) Temporary and permanent stabilization
- d. Effective selection, implementation and maintenance of Best Management Practices (BMPs).
- e. Stormwater Pollution Prevention Plan (SWPPP).

#### 1.6.6 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste.

Control and disposal of hazardous waste.

This item consist of the management procedures for hazardous waste to be

generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan when within an installation. The Contracting Officer will provide a copy of the Installation Hazardous Waste Management Plan as applicable.

As a minimum, include the following:

- a. List of the types of hazardous wastes expected to be generated
- b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated
- c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications
- d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)
- e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)
- f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268)
- g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar
- h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures
- i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

#### 1.6.7 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

#### 1.6.8 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

#### 1.6.9 Clean Air Act Compliance

##### 1.6.9.1 Haul Route

Submit truck and material haul routes along with a Dirt and Dust Control Plan for controlling dirt, debris, and dust on Installation roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

#### 1.6.9.2 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery or operations that could generate air emissions during the project to the Installation Environmental Office (Air Program Manager). Ensure required permits are obtained prior to installing and operating applicable equipment/processes.

#### 1.6.9.3 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60 Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between maintenance/testing, emergency, and non-emergency operation.

#### 1.6.9.4 Compliant Materials

Provide the Government a list of SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet installation permit requirements for emissions.

### 1.7 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

### 1.8 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

#### 1.8.1 Monthly Solid Waste Disposal Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

## PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

### 3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with the Installation Environmental Office as applicable, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of the Installation Environmental Office or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

#### 3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

#### 3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and Installation Environmental Office to determine appropriate action for trees and other landscape features scarred or damaged by equipment operations.

#### 3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in compliance with all required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

### 3.2 STORMWATER

Do not discharge stormwater from construction sites to the sanitary sewer. If the water is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization in advance from the Installation Environmental Office for any release of contaminated water.

#### 3.2.1 Construction General Permit

Provide a Construction General Permit as required by 40 CFR 122.26 or the State of Kansas General Permit. Under the terms and conditions of the permit, install, inspect, maintain BMPs, prepare stormwater erosion and sediment control inspection reports, and submit SWPPP inspection reports. Maintain construction operations and management in compliance with the terms and conditions of the general permit for stormwater discharges from construction activities.

##### 3.2.1.1 Stormwater Pollution Prevention Plan

Submit a project-specific Stormwater Pollution Prevention Plan (SWPPP) to the Contracting Officer for approval, within 30 days of Contract Award and prior to the commencement of work. The SWPPP must meet the requirements of 40 CFR 122.26 and the Kansas State General Permit for stormwater discharges from construction sites.

Include the following:

- a. Comply with terms of the state general permit for stormwater discharges from construction activities. Prepare SWPPP in accordance with state requirements. Use stateEPA guide Developing your Stormwater Pollution Prevention Plan located at <https://www.epa.gov/npdes/developing-stormwater-pollution-prevention-plan-swppp> to prepare the SWPPP.
- b. Select applicable BMPs from EPA Fact Sheets located at <https://www.epa.gov/npdes/national-menu-best-management-practices-bmps-stormwater#constr> or in accordance with applicable state or local requirements.
- c. Include a completed copy of the Notice of Intent, BMP Inspection Report Template, and Stormwater Notice of Termination, except for the effective date.

##### 3.2.1.2 Stormwater Notice of Intent for Construction Activities

Prepare and submit the Notice of Intent for NPDES coverage under the general permit for construction activities to the Contracting Officer for review.

Prepare and submit the Notice of Intent for NPDES coverage under the general permit for construction activities to the Contracting Officer for review and approval.

Prepare and submit a Notice of Intent as a co-permittee to the Contracting Officer, for review and approval.

Submit the approved NOI and appropriate permit fees onto the appropriate federal or state agency for approval. No land disturbing activities may



commence without permit coverage. Maintain an approved copy of the SWPPP at the onsite construction office, and continually update as regulations require, reflecting current site conditions.

#### 3.2.1.3 Inspection Reports

Submit "Inspection Reports" to the Contracting Officer in accordance with the State of Kansas Construction General Permit.

#### 3.2.1.4 Stormwater Pollution Prevention Plan Compliance Notebook

Create and maintain a three ring binder of documents that demonstrate compliance with the Construction General Permit. Include a copy of the permit Notice of Intent, proof of permit fee payment, SWPPP and SWPPP update amendments, inspection reports and related corrective action records, copies of correspondence with the Kansas State Permitting Agency, and a copy of the permit Notice of Termination in the binder. At project completion, the notebook becomes property of the Government. Provide the compliance notebook to the Contracting Officer.

#### 3.2.1.5 Stormwater Notice of Termination for Construction Activities

Submit a Notice of Termination to the Contracting Officer for approval once construction is complete and final stabilization has been achieved on all portions of the site for which the permittee is responsible. Once approved, submit the Notice of Termination to the appropriate state or federal agency.

#### 3.2.2 Work Area Limits

Mark the areas that need not be disturbed under this Contract prior to commencing construction activities. Mark or fence isolated areas within the general work area that are not to be disturbed. Protect monuments and markers before construction operations commence. Where construction operations are to be conducted during darkness, all markers must be visible in the dark. Personnel must be knowledgeable of the purpose for marking and protecting particular objects.

#### 3.2.3 Contractor Facilities and Work Areas

Place field offices, staging areas, stockpile storage, and temporary buildings in areas designated on the drawings or as directed by the Contracting Officer. Move or relocate the Contractor facilities only when approved by the Government. Provide erosion and sediment controls for onsite borrow and spoil areas to prevent sediment from entering nearby waters. Control temporary excavation and embankments for plant or work areas to protect adjacent areas.

### 3.3 SURFACE AND GROUNDWATER

#### 3.3.1 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States

### 3.4 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/ hazardous waste minimization

in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

#### 3.4.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

#### 3.4.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	as appropriate
C&D Debris Recycled	as appropriate
C&D Debris Composted	as appropriate
Total C&D Debris Generated	as appropriate
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	as appropriate

### 3.5 WASTE MANAGEMENT AND DISPOSAL

#### 3.5.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g., scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 262.11 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the Installation environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a

Waste Determination form for the following waste (this listing is not inclusive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

### 3.5.2 Solid Waste Management

#### 3.5.2.1 Project Solid Waste Disposal Documentation Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentation Contractor certification must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

#### 3.5.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

### 3.5.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer and Installation Hazardous Waste Manager.

#### 3.5.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste

Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed by personnel from the Installation Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

### 3.5.3.2 Hazardous Waste Disposal

#### 3.5.3.2.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the Installations Environmental Office for review, approval, and signature prior to shipping waste off Government property.

##### 3.5.3.2.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260 - 40 CFR 279, local, and state, laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

##### 3.5.3.2.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

##### 3.5.3.2.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

##### 3.5.3.2.1.4 Labeling

During waste accumulation label all containers in accordance with 40 CFR 262. Prior to offering a waste for off-site transport, determine the Department of Transportation's (DOT's) proper shipping names for waste in accordance with 49 CFR 172 (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262 and applicable state or local regulations.

#### 3.5.3.2.2 Contractor Disposal Turn-In Requirements

Hazardous waste generated must be disposed of in accordance with the following conditions to meet installation requirements:

- a. Drums must be compatible with waste contents and drums must meet DOT requirements for 49 CFR 173 for transportation of materials.

- b. Band drums to wooden pallets.
- c. No more than three 55 gallon drums or two 85 gallon over packs are to be banded to a pallet.
- d. Band using 1-1/4 inch minimum band on upper third of drum.
- e. Provide label in accordance with 49 CFR 172.101.
- f. Leave 3 to 5 inches of empty space above volume of material.

#### 3.5.3.3 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

- a. Batteries as described in 40 CFR 273.2
- b. Lamps as described in 40 CFR 273.5
- c. Mercury-containing equipment as described in 40 CFR 273.4
- d. Aerosol cans as described in 40 CFR 273.6
- e. Pesticides as described in 40 CFR 273.3

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

#### 3.5.3.4 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

#### 3.5.3.5 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or state permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifests must be reviewed, signed, and approved by the Contracting Officer before the Contractor may ship waste. To obtain specific disposal instructions, coordinate with the Installation Environmental Office. Refer to location special requirements for the Installation Point of Contact information.

### 3.5.4 Releases/Spills of Oil and Hazardous Substances

#### 3.5.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Installation Fire Department, the Installation Command Duty Officer, the Installation Environmental Office, the Contracting Officer.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government.

#### 3.5.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

### 3.5.5 Wastewater

#### 3.5.5.1 Disposal of Wastewater

Disposal of wastewater must be as specified below.

##### 3.5.5.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations.

##### 3.5.5.1.2 Surface Discharge

For discharge of ground water, Surface discharge in accordance with federal, state, and local laws and regulations.

##### 3.5.5.1.3 Land Application

Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing must be land- applied in accordance with federal, state, and local laws and regulations for land

application.

### 3.6 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261 and state requirements.

### 3.7 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

### 3.8 CONTROL AND MANAGEMENT OF ASBESTOS-CONTAINING MATERIAL (ACM)

Manage and dispose of asbestos- containing waste in accordance with all applicable federal, state, and local (or Host Nation) requirements. Manifest asbestos-containing waste and provide the manifest to the Contracting Officer. Notifications to the regulatory authorities and Installation Air Program Manager are required before starting any asbestos work.

### 3.9 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)

Manage and dispose of lead-contaminated waste in accordance with 40 CFR 745 . Manifest any lead-contaminated waste and provide the manifest to the Contracting Officer.

### 3.10 CONTROL AND MANAGEMENT OF POLYCHLORINATED BIPHENYLS (PCBs)

Manage and dispose of PCB-contaminated waste in accordance with 40 CFR 761

### 3.11 CONTROL AND MANAGEMENT OF LIGHTING BALLAST AND LAMPS CONTAINING PCBs

Manage and dispose of contaminated waste in accordance with 40 CFR 761.

### 3.12 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction

Reline 24" Pipe and Valve  
Lawrence, KS

W912DQ23B1011

prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --



SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

PART 1 GENERAL

1.1 DEFINITIONS

- 1.1.1 Co-mingle
- 1.1.2 Construction Waste
- 1.1.3 Demolition Debris/Waste
- 1.1.4 Disposal
- 1.1.5 Diversion
- 1.1.6 Final Construction Waste Diversion Report
- 1.1.7 Recycling
- 1.1.8 Reuse
- 1.1.9 Salvage
- 1.1.10 Source Separation

1.2 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

1.3 CONSTRUCTION WASTE MANAGEMENT

- 1.3.1 Implementation of Construction Waste Management Program
- 1.3.2 Oversight
- 1.3.3 Special Programs
- 1.3.4 Special Instructions
- 1.3.5 Waste Streams

1.4 SUBMITTALS

1.5 MEETINGS

1.6 CONSTRUCTION WASTE MANAGEMENT PLAN

1.7 RECORDS (DOCUMENTATION)

- 1.7.1 General
- 1.7.2 Accumulated

1.8 COLLECTION

- 1.8.1 Source Separation Method
- 1.8.2 Co-Mingled Method
- 1.8.3 Other Methods

1.9 DISPOSAL

- 1.9.1 Reuse
- 1.9.2 Recycle
- 1.9.3 Waste

PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.1.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.1.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.1.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.1.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.1.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.1.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.1.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

#### 1.1.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

#### 1.1.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

### 1.2 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

### 1.3 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

#### 1.3.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

#### 1.3.2 Oversight

#### 1.3.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

#### 1.3.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

#### 1.3.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste streams not listed:

- a. Land Clearing Debris
- b. Asphalt
- c. Masonry and CMU
- d. Concrete
- e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)
- f. Wood (nails and staples allowed)
- g. Glass
- h. Paper
- i. Plastics (PET, HDPE, PVC, LDPE, PP, PS, Other)
- j. Gypsum
- k. Non-hazardous paint and paint cans
- l. Carpet
- m. Ceiling Tiles
- n. Insulation
- o. Beverage Containers

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are .for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan; G ,RO

## 1.5 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

- a. Preconstructionmeeting.
- b. Regular site meetings.
- c. Work safety meeting (if applicable).

## 1.6 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 45 calendar days after contract award. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin.. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

- a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project..
- b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.
- c. Description of the regular meetings to be held to address waste management.
- d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.
- e. Name of landfill and incinerator to be used.
- f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit

or license for each facility.

- g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.
- h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.
- i. Description of the means by which materials identified in item (g) above will be protected from contamination.
- j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).
- k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

Distribute copies of the waste management plan to each subcontractor, , and the Contracting Officer.

#### 1.7 RECORDS (DOCUMENTATION)

##### 1.7.1 General

Maintain records to document the types and quantities of waste generated and diverted through re-use, recycling and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

##### 1.7.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

#### 1.8 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent

contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS and Section 02 81 00 TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIALS. Separate materials by one of the following methods described herein:

#### 1.8.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

#### 1.8.2 Co-Mingled Method

Place waste products and recyclable materials into a single container and then transport to an authorized recycling facility, which meets all applicable requirements to accept and dispose of recyclable materials in accordance with all applicable local, state and federal regulations. The Co-mingled materials must be sorted and processed in accordance with the approved Construction Waste Management Plan.

#### 1.8.3 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

### 1.9 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

#### 1.9.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

#### 1.9.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are

not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

#### 1.9.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

#### PART 2 PRODUCTS

Not used.

#### PART 3 EXECUTION

Not used.            -- End of Section --



SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 78 00

CLOSEOUT SUBMITTALS

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 DEFINITIONS
  - 1.2.1 As-Built Drawings
- 1.3 SUBMITTALS
- 1.4 SPARE PARTS DATA
- 1.5 QUALITY CONTROL
- 1.6 WARRANTY MANAGEMENT
  - 1.6.1 Warranty Management Plan
  - 1.6.2 Performance Bond
  - 1.6.3 Pre-Warranty Conference
  - 1.6.4 Contractor's Response to Construction Warranty Service Requirements
  - 1.6.5 Warranty Tags

PART 2 PRODUCTS

- 2.1 RECORD DRAWINGS
  - 2.1.1 Additional Drawings
    - 2.1.1.1 Sheet Numbers and File Names
- 2.2 CERTIFICATION OF EPA DESIGNATED ITEMS
- 2.3 CERTIFICATION OF USDA DESIGNATED ITEMS
- 2.4 PDF AS-BUILT FILES
- 2.5 REDLINES AND MARKUPS
- 2.6 GEO-DATA-BASE FILES
- 2.7 AS-BUILT RE-SUBMISSION REQUIREMENTS

PART 3 EXECUTION

- 3.1 AS-BUILT DRAWINGS
  - 3.1.1 Markup Guidelines
  - 3.1.2 As-Built Drawings Content
- 3.2 FINAL APPROVED SHOP DRAWINGS
- 3.3 CONSTRUCTION CONTRACT SPECIFICATIONS
- 3.4 AS-BUILT RECORD OF EQUIPMENT AND MATERIALS
- 3.5 OPERATION AND MAINTENANCE MANUALS
- 3.6 CLEANUP

-- End of Section Table of Contents --

SECTION 01 78 00

CLOSEOUT SUBMITTALS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

ERDC/ITL TR-19-6 (2019) A/E/C Graphics Standard, Release 2.1

ERDC/ITL TR-19-7 (2019) A/E/C CAD Standard - Release 6.1

1.2 DEFINITIONS

1.2.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site or red-lined PDF files. These files serve as the basis for the creation of the record drawings and are to be submitted at the conclusion of the project.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan

Warranty Tags

Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G,RO

SD-11 Closeout Submittals

As-Built Drawings; G, RO , DO

As-Built Record of Equipment and Materials

Final Approved Shop Drawings; G,RO,DO

Construction Contract Specifications; G, RO, DO

Certification of EPA Designated Items; G, RO

Certification Of USDA Designated Items; G,RO

1.4 SPARE PARTS DATA

Submit twocopies of the Spare Parts Data list.

- a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.
- b. At acceptance of commissioning, ensure the required stock level is supplied as indicated in subparagraph a for maintenance and repair activities through the facilities warranty period. Provision of spare parts does not relieve the Contractor of responsibilities listed under the contract guarantee provisions.

1.5 QUALITY CONTROL

Additions and corrections to the contract drawings must be equal in quality and detail to that of the originals. Line colors, line weights, lettering, layering conventions, and symbols must conform to ERDC/ITL TR-19-7/

1.6 WARRANTY MANAGEMENT

1.6.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection,

measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

- a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.
- b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.
- c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.
- d. As-Built Record of Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:
  - (1) Name of item.
  - (2) Model and serial numbers.
  - (3) Location where installed.
  - (4) Name and phone numbers of manufacturers or suppliers.
  - (5) Names, addresses and telephone numbers of sources of spare parts.
  - (6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
  - (7) Cross-reference to warranty certificates as applicable.
  - (8) Starting point and duration of warranty period.
  - (9) Summary of maintenance procedures required to continue the warranty in force.
  - (10) Cross-reference to specific pertinent Operation and Maintenance manuals.
  - (11) Organization, names and phone numbers of persons to call for warranty service.
  - (12) Typical response time and repair time expected for various warranted equipment.
- e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.
- f. Procedure and status of tagging of equipment covered by warranties longer than one year.
- g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

#### 1.6.2 Performance Bond

The Performance Bond must remain effective throughout the construction and warranty period

- a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited

to administrative expenses.

- b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.
- c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

#### 1.6.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

#### 1.6.4 Contractor's Response to Construction Warranty Service Requirements

Following oral or written notification by the Contracting Officer, respond to construction warranty service requirements in accordance with the "Construction Warranty Service Priority List" and the three categories of priorities listed below. Submit a report on any warranty item that has been repaired during the warranty period. Include within the report the cause of the problem, date reported, corrective action taken, and when the repair was completed. If the Contractor does not perform the construction warranty within the timeframe specified, the Government will perform the work and back charge the construction warranty payment item established.

- a. First Priority Code 1. Perform onsite inspection to evaluate situation, and determine course of action within 4 hours, initiate work within 6 hours and work continuously to completion or relief.
- b. Second Priority Code 2. Perform onsite inspection to evaluate situation, and determine course of action within 8 hours, initiate work within 24 hours and work continuously to completion or relief.
- c. Third Priority Code 3. All other work to be initiated within 3 work days and work continuously to completion or relief.
- d. The "Construction Warranty Service Priority List" is as follows:

Code 1-Life Safety Systems

- (1) Fire suppression systems.

- (2) Fire alarm system(s) in place in the building.

Code 1-Air Conditioning Systems

- (1) Recreational support.
- (2) Air conditioning leak in part of building, if causing damage.
- (3) Air conditioning system not cooling properly.

Code 1-Doors

- (1) Overhead doors not operational, causing a security, fire, or safety problem.
- (2) Interior, exterior personnel doors or hardware, not functioning properly, causing a security, fire, or safety problem.

Code 3-Doors

- (1) Overhead doors not operational.
- (2) Interior/exterior personnel doors or hardware not functioning properly.

Code 1-Electrical

- (1) Power failure (entire area or any building operational after 1600 hours).
- (2) Security lights
- (3) Smoke detectors

Code 2-Electrical

- (1) Power failure (no power to a room or part of building).
- (2) Receptacle and lights (in a room or part of building).

Code 3-Electrical

Street lights.

Code 1-Gas

- (1) Leaks and breaks.
- (2) No gas to family housing unit or cantonment area.

Code 1-Heat

- (1) Area power failure affecting heat.
- (2) Heater in unit not working.

Code 2-Kitchen Equipment

- (1) Dishwasher not operating properly.
- (2) All other equipment hampering preparation of a meal.

Code 1-Plumbing

- (1) Hot water heater failure.
- (2) Leaking water supply pipes.

Code 2-Plumbing

- (1) Flush valves not operating properly.
- (2) Fixture drain, supply line to commode, or any water pipe leaking.
- (3) Commode leaking at base.

Code 3 -Plumbing

Leaky faucets.

Code 3-Interior

- (1) Floors damaged.
- (2) Paint chipping or peeling.
- (3) Casework.

Code 1-Roof Leaks

Temporary repairs will be made where major damage to property is occurring.

Code 2-Roof Leaks

Where major damage to property is not occurring, check for location of leak during rain and complete repairs on a Code 2 basis.

Code 2-Water (Exterior)

No water to facility.

Code 2-Water (Hot)

No hot water in portion of building listed.

Code 3-All other work not listed above.

1.6.5 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	

WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.
--

## PART 2 PRODUCTS

### 2.1 RECORD DRAWINGS

Prepare the CAD drawing files in format compatible with a MicroStation V8 format compatible operating system. Request additional information from the COR if needed.

#### 2.1.1 Additional Drawings

If additional drawings are required, prepare them using the specified electronic file format applying ERDC/ITL TR-19-7 and ERDC/ITL TR-19-6. The title block and drawing border to be used for any new final record drawings must be identical to that used on the contract drawings.

##### 2.1.1.1 Sheet Numbers and File Names

If a sheet needs to be added between two sequential sheets, append a Supplemental Drawing Designator in accordance with ERDC/ITL TR-19-7 Adding a drawing sheet, and ERDC/ITL TR-19-6 Adding or deleting drawing sheets and index sheet procedures.

### 2.2 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the Certification of EPA Designated Items as required by FAR 52.223-9 Estimate of Percentage of Recovered Material Content for EPA Designated Items and FAR 52-223-17 Affirmative Procurement of EPA designated items in Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current EPA standards for recycled/recovered materials content. The following exemptions may apply to the non-procurement of recycled/recovered content materials:

- a. The product does not meet appropriate performance standards;
- b. The product is not available within a reasonable time frame;
- c. The product is not available competitively (from two or more sources);
- d. The product is only available at an unreasonable price (compared with a comparable non-recycled content product)."

Record each product used in the project that has a requirement or option of containing recycled content, noting total price, total value of post-industrial recycled content, total value of post-consumer recycled content, exemptions (a, b, c, or d, as indicated), and comments. Recycled content values may be determined by weight or volume percent, but must be consistent throughout.



## 2.3 CERTIFICATION OF USDA DESIGNATED ITEMS

Submit the Certification of USDA Designated Items as required by FAR 52-223-1 Bio-based Product Certifications and FAR 52.223-2 Affirmative Procurement of Biobased Products Under Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current USDA standards for biobased materials content. The following exemptions may apply to the non-procurement of biobased content materials:

- a. The product does not meet appropriate performance standards;
- b. The product is not available within a reasonable time frame;
- c. The product is not available competitively (from two or more sources);
- d. The product is only available at an unreasonable price (compared with a comparable bio-based content product)."

Record each product used in the project that has a requirement or option of containing biobased content, noting total price, total value of post-industrial recycled content, total value of post-consumer recycled content, exemptions (a, b, c, or d, as indicated), and comments. Biobased content values may be determined by weight or volume percent, but must be consistent throughout.

## 2.4 PDF AS-BUILT FILES

Provide electronic PDF "plots" of all contract drawings sheets associated with the as-built drawing submittal. Compile and organize the PDF set to match the contract drawings.

## 2.5 REDLINES AND MARKUPS

Provide PDFs of the current working redlines and/or markups complying with the as-builts drawing and markup requirements contained in this specification.

## 2.6 GEO-DATA-BASE FILES

Provide a SDSFIE/FGDC GeoReferenced personal GeoDataBase. For all information outside of the building walls, provide a personal GeoDataBase in .mdb format using the latest version of Spatial Data Standard for Facilities, Infrastructure, and Environment (SDSFIE) as the database structure. Provide a shell database to define the projection and database structure.

For all drawings within and including the exterior walls, utilize the advanced modeling formats described and referenced herein. Provide a short GeoDataBase read-me file explaining the deliverable. The read-me file will include a description of the software used to create the data, projection, and include the attribute tables used.

## 2.7 AS-BUILT RE-SUBMISSION REQUIREMENTS

If elements of an as-built submittal package are rejected, provide the following for each re-submission, in addition to any information required in Section 01 33 00 SUBMITTAL PROCEDURES:

- a. Re-submit all components required under paragraph As-Built or Advanced Modeling Package, including a new Advanced Modeling Submittal Checklist and updated content in response to Government comments.
- b. Provide a copy of all Government review comments.
- c. Provide a disposition/response to each Government review comment for a back-check of the re-submission deliverable.

## PART 3 EXECUTION

### 3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site or red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

#### 3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

- a. Use base colors of red, green, and blue. Color code for changes as follows:
  - (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.
  - (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.
  - (3) Additions (Green) - Added items, lettering in notes and leaders.
- b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.
- c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.
- d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.
- e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.
- f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules,

notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

- g. For deletions, cross out all features, data and captions that relate to that revision.
- h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.
- i. Indicate one of the following when attaching a print or sketch to a markup print:
  - 1) Add an entire drawing to contract drawings
  - 2) Change the contract drawing to show changes on the drawing.
  - 3) Provided for reference only to further detail the initial design.
- j. Incorporate all shop and fabrication drawings into the markup drawings.

### 3.1.2 As-Built Drawings Content

Revise As-Built Drawings or red-lined PDF files in accordance with ERDC/ITL TR-19-6 and ERDC/ITL TR-19-7. Keep these working as-built markup drawings current on a weekly basis and at least one set available on the jobsite at all times. Changes from the contract drawings which are made during construction or additional information which might be uncovered in the course of construction must be accurately and neatly recorded as they occur by means of details and notes. Submit the working as-built markup drawings for approval prior to submission of each monthly pay estimate. For failure to maintain the working and final record drawings as specified herein, the Contracting Officer will withhold 10 percent of the monthly progress payment until approval of updated drawings. Show on the as-built drawings, but not limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.
- b. The location and dimensions of any changes within the building structure.
- c. Layout and schematic drawings of electrical circuits and piping.
- d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

- f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.
- g. Changes or Revisions which result from the final inspection.
- h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.
- i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.
- j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.
- k. Changes in location of equipment and architectural features.
- l. Modifications.
- m. Actual location of anchors, construction and control joints, etc., in concrete.
- n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.
- o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

### 3.2 FINAL APPROVED SHOP DRAWINGS

Submit final approved project shop drawings 30 days after transfer of the completed facility.

### 3.3 CONSTRUCTION CONTRACT SPECIFICATIONS

Submit final PDF file record construction contract specifications, including revisions thereto, 30 days after transfer of the completed facility.

### 3.4 AS-BUILT RECORD OF EQUIPMENT AND MATERIALS

Furnish one copy of preliminary record of equipment and materials used on the project 15 days prior to final inspection. This preliminary submittal will be reviewed and returned 2days after final inspection with Government comments. Submit Two sets of final record of equipment and materials 10 days after final inspection. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used

### 3.5 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide one electronic copies of the Operation and Maintenance Manual files. Submit to the Contracting Officer for approval within 30 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

### 3.6 CLEANUP

Leave premises "broom clean." Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site..

-- End of Section --

**This page was intentionally left blank for duplex printing.**

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS
- 1.3 MEETINGS
  - 1.3.1 Post-Award Kickoff Meeting
  - 1.3.2 Operation and Maintenance Manual and Facility Data Workbook Coordination Meeting
  - 1.3.3 Submittal Coordination Meeting
  - 1.3.4 Facility Turnover Meeting
- 1.4 OPERATION AND MAINTENANCE MANUAL MEDIA
  - 1.4.1 CD or DVD Label and Disk Holder or Case
  - 1.4.2 O&M Manual Tabbed Hard Copy
- 1.5 O&M MANUAL CONTENT
  - 1.5.1 Part 1: Executive Summary
  - 1.5.2 Part 2: Facility Design and Construction
    - 1.5.2.1 General Facility and Systems Description
    - 1.5.2.2 Basis of Design
    - 1.5.2.3 Contract Documents, RFP, Amendments, and Modifications
  - 1.5.3 Part 3: Facilities, Systems, and Assemblies Information
    - 1.5.3.1 Organization
    - 1.5.3.2 Related Specifications
    - 1.5.3.3 Manufacturer's Operations and Maintenance Data
    - 1.5.3.4 Approved Submittals and Certificates
    - 1.5.3.5 Approved Coordination/Shop Drawings
  - 1.5.4 Sequence of Operation for Operating Equipment
    - 1.5.4.1 Safety Precautions and Hazards
    - 1.5.4.2 Operator Prestart
    - 1.5.4.3 Startup, Shutdown, and Post-Shutdown Procedures
    - 1.5.4.4 Normal Operations
    - 1.5.4.5 Emergency Operations
    - 1.5.4.6 Operator Service Requirements
    - 1.5.4.7 Environmental Conditions
    - 1.5.4.8 Operating Log
    - 1.5.4.9 Additional Requirements for Equipment Control Systems
    - 1.5.4.10 Testing Equipment Information and Performance Data
  - 1.5.5 Routine Maintenance Requirements
    - 1.5.5.1 Preventive Maintenance Plan, Schedule, and Procedures
    - 1.5.5.2 Lubrication Data
  - 1.5.6 Repair Procedures
    - 1.5.6.1 Troubleshooting Guides and Diagnostic Techniques
    - 1.5.6.2 Removal and Replacement Instructions
    - 1.5.6.3 Repair Work-Hours
    - 1.5.6.4 Warranty Information
    - 1.5.6.5 Extended Warranty Information
    - 1.5.6.6 Personnel Training Requirements

- 1.5.6.7 Contractor / Supplier Listing and Contact Information
- 1.5.7 Part 4: Facility Operations
  - 1.5.7.1 Testing Equipment and Special Tool Information
  - 1.5.7.2 Testing and Performance Data
  - 1.5.7.3 Approved Field Test Reports and Manufacturer's Field Reports
  - 1.5.7.4 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory
    - 1.5.7.4.1 Maintenance Schedules
    - 1.5.7.4.2 Ongoing Commissioning Operational and Maintenance Record Keeping
    - 1.5.7.4.3 Janitorial and Cleaning Plans and Procedures
- 1.5.8 Part 5: Training
- 1.5.9 Part 6: Cx Project Report and TAB Report
- 1.5.10 Part 7: Regulatory Requirements
- 1.5.11 Part 8: Permits
- 1.5.12 Part 9: Operations and Maintenance Manual Approval
- 1.6 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES
  - 1.6.1 Package Quality
  - 1.6.2 Data Package 1
  - 1.6.3 Data Package 2
  - 1.6.4 Data Package 3
  - 1.6.5 Data Package 4
  - 1.6.6 Data Package 5
  - 1.6.7 Changes to Submittals

### PART 3 EXECUTION

- 2.1 SUBMITTAL SCHEDULING
  - 2.1.1 Operation and Maintenance Manual, Prefinal Submittal
  - 2.1.2 Operation and Maintenance Manual, Final Submittal

-- End of Section Table of Contents --



SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING  
ENGINEERS (ASHRAE)

ASHRAE GUIDELINE 1.4 (2019) Preparing Systems Manuals for  
Facilities

ASTM INTERNATIONAL (ASTM)

ASTM E1971 (2005; R 2011) Standard Guide for  
Stewardship for the Cleaning of Commercial  
and Institutional Buildings

ASTM E2166 (2016) Standard Practice for Organizing  
and Managing Building Data

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Operation And Maintenance Manual, Prefinal Submittal; G,RO

Operation And Maintenance Manual, Final Submittal; G,RO

SD-11 Closeout Submittals

1.3 MEETINGS

To assure that Operation and Maintenance Manual and Facility Data Workbook requirements are being met through the duration of the project, organize the following meetings and discuss the subsequent topics:

#### 1.3.1 Post-Award Kickoff Meeting

At a minimum, discuss the following:

- a. The requirement for Operation and Maintenance Manuals and Facility Data deliverables under this contract including coordination meetings
- b. Processes and method of gathering Facility Data information during construction
- c. Primary roles and responsibilities associated with the development and delivery of the Operation and Maintenance Manuals and Facility Data deliverables, and
- d. Identify and agree upon a date and attendance list for the meetings described below:

#### 1.3.2 Operation and Maintenance Manual and Facility Data Workbook Coordination Meeting

Facilitate a meeting after the Post-Award Kickoff Meeting prior to the submission of the Operation and Maintenance Manual Progress Submittal. Meeting attendance must include the Contractor's Operation and Maintenance Manual and Facility Data Workbook Preparer, Designer of Record (DOR), Quality Control Manager, the Government's Design Manager (DM), Contracting Officer's Representative, and Government's facility data reviewer. Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for Operation and Maintenance Manual and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation and timely Government review of Operation and Maintenance Manual.

#### 1.3.3 Submittal Coordination Meeting

Facilitate a meeting following submission and Government review of each design or progress submittal of the Operation and Maintenance Manual and Facility Data Workbook.

- a. Include personnel from the Coordination meeting and any additional personnel identified.
- b. The purpose of this meeting is to demonstrate ongoing compliance with the requirements identified in this specification. Discuss Government review comments and unresolved items preventing completion and Government approval of the Operation and Maintenance Manuals and Facility Data Workbook (FDW).
- c. The applicable deliverables, along with Government remarks associated with review of these submittals serve as the primary guide and agenda for this meeting.

#### 1.3.4 Facility Turnover Meeting

Include Operation and Maintenance Manual in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in Section 01 30 00, ADMINISTRATIVE REQUIREMENTS.

#### 1.4 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble Operation and Maintenance Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete bookmarked operation and maintenance directory.

##### 1.4.1 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

- a. Building Number
- b. Project Title
- c. Activity and Location
- d. Construction Contract Number
- e. Prepared For: (Contracting Agency)
- f. Prepared By: (Name, title, phone number and email address)
- g. Include the disk content on the disk label
- h. Date
- i. Virus scanning program used

##### 1.4.2 O&M Manual Tabbed Hard Copy

Provide a hard copy of the O&M manual upon completion of the project. Provide tabs for each section and subsection for ease of navigation by the user.

#### 1.5 O&M MANUAL CONTENT

Organize the bookmarked Operation and Maintenance Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified and detailed below. Word template for O&M Manual is available at:  
<https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-78-23>.

##### 1.5.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the purpose of the manual and a description of the manual's organization.

##### 1.5.2 Part 2: Facility Design and Construction

###### 1.5.2.1 General Facility and Systems Description

Provide an overview of the intent for design and use of the facility. Provide a PDF of the Record Drawings prepared in accordance with 01 78 00 CLOSEOUT SUBMITTALS and bookmarked using the sheet title and sheet number. Include uncluttered 11 by 17 inches floor plans with room numbers, type or

function of space, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

Detail the overall dimensions of the facility, number of floors, foundation type, expected number of occupants, and facility Category Code list and generally describe all the facility systems and any special building features (for example, HVAC Controls, Sprinkler Systems, Cranes, Elevators, and Generators). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

#### 1.5.2.2 Basis of Design

Provide a copy of the contract Basis of Design.

#### 1.5.2.3 Contract Documents, RFP, Amendments, and Modifications

Provide the contract construction documents complete, to include specifications, drawings, Request for Proposal, amendments, and modifications.

### 1.5.3 Part 3: Facilities, Systems, and Assemblies Information

#### 1.5.3.1 Organization

Bookmark information in this section using the current version of ASTM E2166 Uniformat II, UFGS numbers, and document type as outlined in the example below. Bookmark/tab each item to the third level for easy navigation of the manual.

Example as shown in Table below:

PARTS AND SUBPART NUMBERING	
3.1 B20 EXTERIOR CLOSURE (System)	
3.1.1 B2030 EXTERIOR DOORS (Subsystem)	
3.1.1.1 B2030110 GLAZED DOORS (Component)	
3.1.1.1.1 Applicable specifications List in UFGS Format	
3.1.1.1.2 Manufacturer's Operations and Maintenance Data	
3.1.1.1.3 Approved Submittal	
3.1.1.1.4 Coordination/Shop Drawings	
3.1.1.1.5 Sequence of Operation for Operating Equipment	
3.1.1.1.6 Testing Equipment Information and Performance Data	
3.1.1.1.7 Routine Maintenance Requirements	
3.1.1.1.8 Repair Procedures	
3.1.1.1.9 Emergency Procedures & Locations of Applicable	
3.1.1.1.10 Warranties	
3.1.1.1.11 Record Drawings and Utility Systems	

<b>PARTS AND SUBPART NUMBERING</b>
3.1.1.1.12 Contractor / Supplies Listing and Contact Information

#### 1.5.3.2 Related Specifications

Reference each specification related to the subsystem in this section, and locate the actual specification section in Part 2 of the O&M Manual. List specifications in table format as shown in the below example.

UFGS Number	Specification Title	Page Spec Begins in Part 2

#### 1.5.3.3 Manufacturer's Operations and Maintenance Data

Provide a copy of all manufacturer specifications and cutsheets. Provide text-searchable, high-quality document files from the manufacturer's online or electronic documentation. Color documents are preferred. Provide documents specific to the product(s) installed under this Contract. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Provide Uniformat II Level 3 identification for D20, D30, D40 installed equipment. When possible, do not submit document files containing multiple product catalogs from the same manufacturer, or product data from multiple manufacturers in the same files. Provide documents directly from the manufacturer whenever possible. Do not provide scanned copies of hardcopy documents. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master part catalog.

#### 1.5.3.4 Approved Submittals and Certificates

Provide a copy of all submittals documented with the required approval as applicable for each UFGS specification listed in the table outlined in applicable specifications. Include copies of SD-07 Certificates submittals documented with the required approval, SD-08 Manufacturer's Instructions submittals documented with the required approval, and SD-10 Operation and

Maintenance Data submittals documents with the required approval.

#### 1.5.3.5 Approved Coordination/Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work. Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

#### 1.5.4 Sequence of Operation for Operating Equipment

Provide record one-line diagrams for each floor, delineating mechanical equipment location within the building. Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

##### 1.5.4.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard. Specify if any certifications or licenses are required to operate the equipment.

##### 1.5.4.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

##### 1.5.4.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

##### 1.5.4.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

##### 1.5.4.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

##### 1.5.4.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

#### 1.5.4.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

#### 1.5.4.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

#### 1.5.4.9 Additional Requirements for Equipment Control Systems

Provide Data Package 5 and the following for all control systems:

- a. Provide a narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.
- b. Submit complete controls equipment schedules, full as-built sequence of operations, wiring and logic diagrams, Input/Output Tables, equipment schedules, copies of checkout tests and calibrations performed by the Contractor (not Cx tests), and all associates information.
- c. Full points list. Provide a listing of rooms with the following information for each room:
  - (1) Floor
  - (2) Room number
  - (3) Room name
  - (4) Air handler unit ID
  - (5) Reference drawing number
  - (6) Air terminal unit tag ID
  - (7) Heating or cooling valve tag ID
  - (8) Minimum cfm
  - (9) Maximum cfm
- d. Full print out of all schedules and set points after testing and acceptance of the system.
- e. Full as-built print out of software program.
- f. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

#### 1.5.4.10 Testing Equipment Information and Performance Data

Include information on test equipment required to perform specified tests

and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

#### 1.5.5 Routine Maintenance Requirements

##### 1.5.5.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

- a. Define the anticipated time required to perform each of each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.
- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

##### 1.5.5.2 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities. Provide procedural instructions for Oil Sampling for all equipment.
- c. A Lubrication Schedule showing service interval frequency.

#### 1.5.6 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each



system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

#### 1.5.6.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

#### 1.5.6.2 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

#### 1.5.6.3 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

#### 1.5.6.4 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

#### 1.5.6.5 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

#### 1.5.6.6 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

#### 1.5.6.7 Contractor / Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email and website of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the

project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

#### 1.5.7 Part 4: Facility Operations

##### 1.5.7.1 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

##### 1.5.7.2 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

##### 1.5.7.3 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals.

##### 1.5.7.4 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

###### 1.5.7.4.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

###### 1.5.7.4.2 Ongoing Commissioning Operational and Maintenance Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

###### 1.5.7.4.3 Janitorial and Cleaning Plans and Procedures

Include a copy of facility cleaning and janitorial plan with procedures and intended chemicals and equipment.

Provide environmentally friendly cleaning recommendations in accordance with ASTM E1971.

#### 1.5.8 Part 5: Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

#### 1.5.9 Part 6: Cx Project Report and TAB Report

Provide the final Cx Plan and complete Cx reports with evaluation and testing forms and records for each building system. Include relevant commissioned system assemblies test reports including installers checklists of assemblies. Provide all Cx Progress Reports, issues and resolutions logs with resolution or status of each item, and a list of any open items and seasonal or additional testing required.

1.5.10 Part 7: Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.5.11 Part 8: Permits

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.5.12 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.6 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.6.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.6.2 Data Package 1

- a. Safety precautions and hazards
- b. Cleaning recommendations
- c. Maintenance and repair procedures
- d. Warranty information
- e. Extended warranty information
- f. Contractor information
- g. Spare parts and supply list

1.6.3 Data Package 2

- a. Safety precautions and hazards
- b. Normal operations
- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan, schedule, and procedures
- f. Cleaning recommendations
- g. Maintenance and repair procedures

- h. Removal and replacement instructions
- i. Spare parts and supply list
- j. Parts identification
- k. Warranty information
- l. Extended warranty information
- m. Contractor information

1.6.4 Data Package 3

- a. Safety precautions and hazards
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Environmental conditions
- g. Operating log
- h. Lubrication data
- i. Preventive maintenance plan, schedule, and procedures
- j. Cleaning recommendations
- k. Troubleshooting guides and diagnostic techniques
- l. Wiring diagrams and control diagrams
- m. Maintenance and repair procedures
- n. Removal and replacement instructions
- o. Spare parts and supply list
- p. Product submittal data
- q. O&M submittal data
- r. Parts identification
- s. Warranty information
- t. Extended warranty information
- u. Testing equipment and special tool information
- v. Testing and performance data

w. Contractor information

x. Field test reports

1.6.5 Data Package 4

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Operator service requirements

g. Environmental conditions

h. Operating log

i. Lubrication data

j. Preventive maintenance plan, schedule, and procedures

k. Cleaning recommendations

l. Troubleshooting guides and diagnostic techniques

m. Wiring diagrams and control diagrams

n. Repair procedures

o. Removal and replacement instructions

p. Spare parts and supply list

q. Repair work-hours

r. Product submittal data

s. O&M submittal data

t. Parts identification

u. Warranty information

v. Extended warranty information

w. Personnel training requirements

x. Testing equipment and special tool information

y. Testing and performance data

z. Contractor information

aa. Field test reports

1.6.6 Data Package 5

- a. Safety precautions and hazards
- b. Operator prestart
- c. Start-up, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Environmental conditions
- f. Preventive maintenance plan, schedule, and procedures
- g. Troubleshooting guides and diagnostic techniques
- h. Wiring and control diagrams
- i. Maintenance and repair procedures
- j. Removal and replacement instructions
- k. Spare parts and supply list
- l. Product submittal data
- m. Manufacturer's instructions
- n. O&M submittal data
- o. Parts identification
- p. Testing equipment and special tool information
- q. Warranty information
- r. Extended warranty information
- s. Testing and performance data
- t. Contractor information
- u. Field test reports
- v. Additional requirements for HVAC control systems

1.6.7 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 3 EXECUTION

2.1 SUBMITTAL SCHEDULING

2.1.1 Operation and Maintenance Manual, Prefinal Submittal

Submit the 100 percent submittal of the Operation and Maintenance Prefinal Submittal to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of Operation and Maintenance Progress submittal must be corrected prior to the Prefinal submission. The Prefinal Submittal must include Operation and Maintenance Manual Files (Bookmarked PDF).

2.1.2 Operation and Maintenance Manual, Final Submittal

Submit completed Operation and Maintenance Manual Files (Bookmarked PDF) and one hard copy of the Operation and Maintenance Manuals. The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal submittal, including the Field Verification, must be corrected prior to the Final submission.

-- End of Section --

**This page was intentionally left blank for duplex printing.**



SECTION TABLE OF CONTENTS

DIVISION 33 - UTILITIES

SECTION 33 01 98

LINING OF EXISTING PIPE FOR CLINTON DAM

PART 1 GENERAL

- 1.1 SUMMARY
- 1.2 MEASUREMENT AND PAYMENT
- 1.3 REFERENCES
- 1.4 ADMINISTRATIVE REQUIREMENTS
  - 1.4.1 Pre-Installation Conference
  - 1.4.2 Detailed Work Plan
  - 1.4.3 Sequencing and Scheduling
- 1.5 SUBMITTALS
- 1.6 QUALITY ASSURANCE
  - 1.6.1 Qualifications and Supervision
- 1.7 DELIVERY, STORAGE, AND HANDLING
- 1.8 WARRANTY

PART 2 MATERIALS

- 2.1 DESIGN REQUIREMENTS
  - 2.1.1 Conveyance Capacity
  - 2.1.2 Design Criteria
  - 2.1.3 Structural Calculations
- 2.2 LINER PIPE
  - 2.2.1 Cured-In-Place Pipe (CIPP)
  - 2.2.2 UV Protection

PART 3 EXECUTION

- 3.1 PREPARATION AND INSPECTION
  - 3.1.1 Safety
  - 3.1.2 Host Pipe Cleaning
- 3.2 EXCAVATION
- 3.3 INSTALLATION
  - 3.3.1 General
  - 3.3.2 Cured-In-Place Pipe
  - 3.3.3 Installation General
- 3.4 TESTING AND ACCEPTANCE
  - 3.4.1 Rework
  - 3.4.2 Acceptance Inspection
    - 3.4.2.1 Defects
    - 3.4.2.2 Final Acceptance
- 3.5 MAINTENANCE

ATTACHMENTS:

Ace Pipe DFW Infra Clinton Dam Report

Reline 24" Pipe and Valve  
Lawrence, KS

W912DQ23B1011

Clinton Asbestos Report

-- End of Section Table of Contents --

SECTION 33 01 98

LINING OF EXISTING PIPE FOR CLINTON DAM

PART 1 GENERAL

1.1 SUMMARY

An inspection of the pipe system at Clinton Reservoir, by Ace Pipe, showed cavitation damage to the pipe caused by lodged debris which was removed, see Ace Pipe DFW Infra Clinton Dam Report for details and information. This section of the specification discusses the requirements and work needed to rehabilitate the existing 24" diameter low flow outlet pipe in the Clinton Dam Outlet Works related to pipe lining materials, performance testing, labor, and other materials and equipment necessary to accomplish the work as stated herein. This is considered a gravity pipe with hydrostatic head pressure due to lake water surface elevation. Rehabilitation will consist of a Cured In Place Pipe (CIPP) liner pipe that meets NSF/ANSI 61 standards for drinking water purposes. Submit details of the proposed lining materials and method, including any necessary modifications and/or appurtenances for approval.

1.2 MEASUREMENT AND PAYMENT

Payment will be made for work performed under this item in accordance with the pipe to be lined as shown in the bid schedule. Payment includes all costs associated with labor, equipment, material, supervision, cleaning, inspection, sheeting, water control, installation, safety, dust/erosion control, testing, waste recovery and disposal, site restoration and all other work specified or not which is reasonably required to provide a completed installation. Items not specified are considered incidental to the work. Include all incidental cost in the bid price for the liner.

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM F1743	(2016) Standard Practice for Rehabilitation of Existing Pipeline and Conduits by Pulled-In-Place Installation of Cured-In-Place Thermosetting Resin Pipe (CIPP)
ASTM F2019	(2011) Standard Practice for Rehabilitation of Existing Pipelines and Conduits by the Pulled in Place Installation of Glass Reinforced Plastic (GRP) Cured-in-Place Thermosetting Resin Pipe (CIPP)
ASTM F1216	(2016) Standard Practice for Rehabilitation of Existing Pipelines and

Conduits by the Inversion and Curing of a  
Resin-Impregnated Tube

NSF INTERNATIONAL (NSF)

NSF/ANSI 61

(2022) Drinking Water System Components -  
Health Effects

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1

(2014) Safety -- Safety and Health  
Requirements Manual

1.4 ADMINISTRATIVE REQUIREMENTS

1.4.1 Pre-Installation Conference

Within 30 calendar days of notice to proceed, conduct a scope-validation meeting, including potential Sub-Contractors, and Contracting Officer to facilitate common agreement and understanding of the work to be performed. At this time, supply the resumes of Sub-Contractors, project superintendent or project manager to the Contracting Officer or be eliminated from consideration.

1.4.2 Detailed Work Plan

Submit a Detailed Work Plan that complies with ASTM F1216 and not less than 30 days prior to commencement of work. The plan includes but is not limited to the following:

- a. Proposed construction sequencing and scheduling
- b. Plan for removal of any obstructions encountered. Existing host pipe has coal tar interior coating which is to be removed. A Plan for cleaning the host pipe interior surface should include sand blasting to a SSPC SP-6, both sides of any joint/valve and at entrance and exit ends for a distance of 2 feet. Access for sand blasting can be made from entrance and exit ends and from removed valve sections. The remainder of the host pipe can be cleaned by whatever means necessary to adequately remove coal tar coating with out causing damage to the host pipe to ensure proper adhesion of re-lining system. Plan for negotiating bends, slope, diameter, valves/valve gaps.
- c. Detail Drawings in an approved form, for lining including pipe manufacturer's instructions for installation
- d. List of proposed product showing new diameter of lining to be installed along with existing pipe diameter and reduced (or increased) flow amount calculations.
- e. Details for procedures of area requiring special construction techniques
- f. Proposed methods for water flow control or diversion during the lining process
- g. Proposed access and staging area
- h. Joints, gaskets, proposed resins, coatings, forms, and other pertinent information as applicable

- i. Method for capturing waste material/debris (from cleaning)
- j. Health & Safety Plan in compliance with applicable portions of EM 385-1-1.
- k. Manufacturer's recommendation regarding methods for repair of damage to liner pipe following installation
- l. List of proposed subcontractors with qualifications and work history, number of years re-lining pipes with CIPP methods, and number of projects with method. Highlight examples of re-lining pipes that are similar with the proposed method.
- m. Coordination with City of Lawrence water supply requirements.
- n. Coordination with Kansas Department of Wildlife and Parks environmental requirements

#### 1.4.3 Sequencing and Scheduling

Installation can not proceed until the contractor's Construction Progress Schedule has been approved. The Contracting Officer must be provided 72 hours notice prior to placing liner pipe. Do not proceed with lining operations for pipe if the replacement valves and the flow meter are not on-site or the lake levels are nearing flood control stage phase I elevation within 5 days as forecasted by the National Weather Service. Sequence work to minimize stoppages as a result of high water.

The upper Water Supply sluice gate located on the control tower exhibits minimal leakage while closed. Any leakage can be mitigated by opening the drain line while performing the relining. The Contractor shall verify that the leakage will not impact relining or valve installation prior to beginning work.

#### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

Superintendent and Contractor Qualifications; G, DO

Pipe Inspection Procedures; G, DO

Construction Progress Schedule; G, DO

CCTV Recordings and Report Logs; G, DO

Digital Photographs and Report Logs; G, DO

Detailed Work Plan; G, DO

Pre- And Post-Lining Conveyance Capacity; G, DO

SD-03 Product Data

Liner Pipe; G, DO

UV Protection; G, DO

SD-05 Design Data

Conveyance Capacity; G, DO

Structural Properties; G, DO

Structural Calculations; G, DO

SD-10 Operation and Maintenance Data

Maintenance; G,DO

SD-11 Closeout Submittals

Manufacturer's Warranty; G, DO

Post-Installation CCTV Recordings and Report Logs; G, DO

Post-Installation Digital Photographs and Report Logs; G, DO

1.6 QUALITY ASSURANCE

1.6.1 Qualifications and Supervision

Submit Superintendent and Contractor Qualifications for lining pipe systems for at least 5 years. Provide a superintendent on site at all times and with full authority to direct the means, methods, equipment, and personnel and performance of the work.

1.7 DELIVERY, STORAGE, AND HANDLING

Pipe sections are to be handled and stored in such a way as to prevent damage to the pipe or creating a safety hazard to workers; this includes using restraining measures to prevent uncontrolled rolling or dropping. Handle and store in accordance with the manufacturer's published recommendations. Reference paragraph LINER PIPE for lists actions for damaged pipe sections.

1.8 WARRANTY

Submit 3 copies of the signed Manufacturer's Warranty for all products within 21 days of final completion of the work.

PART 2 MATERIALS

2.1 DESIGN REQUIREMENTS

2.1.1 Conveyance Capacity

The conveyance capacity of the lined pipe must not be reduced more than 30% from the original host pipe capacity, unless a government-reviewed and

approved study indicates a reduced capacity is allowed. In some cases, the capacity can be increased with improvements to the entrance geometry, but any such improvements must be submitted for approval. Submit all pre- and post-lining conveyance capacity calculations for approval, including supporting information for assumptions.

#### 2.1.2 Design Criteria

Furnish a liner pipe system designed by a State of Kansas registered/licensed Professional Engineer (PE) meeting the standards outlined in the following sub-paragraphs. The PE must be a structural engineer with 5 years of experience with pipe lining. Submit structural calculations and structural properties of the system prior to construction. Support all assumptions utilized in calculations according to ASTM F1216 with product data, NSF/ANSI 61 compliant material certifications/reports, test reports, or referenced publications.

- a. Structurally design the new liner pipe system using the fully flood-loaded dam water elevation of 928 to establish internal and external hydrostatic pressure of the pipe to be lined.

Design Assumptions:

1. Top of Dam Elevation=928.0
2. Soil Above Pipe Elevation=857.0
3. Pipe Elevation=828.3
4. Safety Factor=2.0
5. Soil density=120 pcf
6. Live Load above Pipe=10,000 lbs.

- b. Design the new liner pipe system for maximum 5 percent ovality and a maximum allowable long-term deflection of 5 percent.
- c. Design the new liner system using a safety factor of 2.5 for buckling and 2.0 for bending, and wall crushing. Perform separate buckling analyses for the pressures associated with for a full hydrostatic loading. Ignore the cross-sectional shape distortion of the host pipe in the buckling analysis.

#### 2.1.3 Structural Calculations

Submit detailed structural calculations, stamped by a licensed Kansas P.E., not less than 30 days prior to commencement of work. Demonstrate suitable application of products based on the following parameters:

- a. Calculations verifying conveyance capacity and velocity of outlet flow
- b. Design calculations showing that the proposed pipe satisfies the current ASTM F1216 design criteria neglecting any contribution from the host pipe, or host pipe being fully deteriorated.

#### 2.2 LINER PIPE

Cured-in-place-pipe (CIPP) liners may only be used.

- a. Select liner material to ensure that the anticipated maximum thermal expansion or contraction does not interfere with valve closures or the flow meter devices or allow the liner to retreat into the host pipe past the stilling basin wall throughout the range of ambient air

temperatures anticipated during the service life of the liner pipe. Assume ambient air temperatures for this item to range from -30 degrees F to 115 degrees F.

- b. Submit manufacturer's detailed product data with complete information on liner pipe materials (pipes, joints, gaskets, fittings, entrance bells), physical properties, dimensions, installation minimum/maximum allowable parameters such as maximum recommended external grout pressure, axial compressive stress, minimum bending radius or maximum joint angular deflection, etc. Include a manufacturer's certificate of compliance with specifications for proposed materials.
- c. Pipe liner materials other than those stated below may be submitted for consideration and approval by the Contracting Officer based on meeting the design requirements as stated herein.
- d. Repair liners with cuts, gashes, nicks, abrasions, or other physical damage deeper than 10 percent of the wall thickness to the Government's satisfaction or replace at no additional cost to the Government.

#### 2.2.1 Cured-In-Place Pipe (CIPP)

Provide CIPP liner material manufactured in accordance with ASTM F1743 or ASTM F2019. Protect liners that conform to ASTM F2019 from UV exposure over the lifetime of the liner material.

#### 2.2.2 UV Protection

For all CIPP liners materials that are not UV stabilized that will be exposed to UV light, apply a field-applied coating on the end and interior surface of the pipe to resist deterioration from ultraviolet radiation. Apply the UV protective coating (white or light colored preferred) from the cut end of the pipe to a distance inside the pipe equal to two times the inside diameter of the liner. Touch up nicks, scratches and minor abrasions to the coating in the field following final installation.

### PART 3 EXECUTION

#### 3.1 PREPARATION AND INSPECTION

The Contracting Officer is not responsible for and makes no guarantees regarding the information, data, and physical condition of underground facilities or existing pipe. Submit pipe inspection procedures to locate breaks, obstacles and connections in pre-construction submittals for approval. Note all connections and any conditions which may prevent proper installation of the liner. Correct these conditions prior to liner installation. Coordinate lining efforts with the Contracting Officer. Submit the CCTV Recordings and Report Logs and/or Digital Photographs and Report Logs to the USACE for approval prior to pipe liner installation. Base the work plan on a thorough review of the inspection video and/or digital photographs and report logs.

##### 3.1.1 Safety

Use remote CCTV inspections for small diameter or unsafe pipes, but walk-through inspections with digital photography are preferred over remote video inspections for pipes large enough and safe to enter when confined space entry procedures are followed. Note any areas that may involve entry and/or work in confined spaces and address in the project Health and Safety



Plan provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS prior to ordering supplies or performing work in these areas.

### 3.1.2 Host Pipe Cleaning

The host pipe should be visually inspected by CCTV camera, prior to cleaning to identify any areas of concern for the cleaning process (penetrating corrosion exposing erodable backfill or heavily corroded areas that may excessively delaminate during cleaning) where there is potential to damage the pipe or the surrounding soil.

Cleaning the host pipe, as described below, is required prior to final video inspection and installation of the liner. Cleaning constitutes removal of all loose debris (soil, rocks, trash, blasting media, etc.), roots, original coal tar coating, and other matter which would preclude proper installation of the liner.

Thoroughly clean either side of joints for 2' of the existing pipe by sand blasting to SSPC-SP6 and at the entrance and exit of the host pipe. Access to accomplish sand blasting can be at the removed valve locations and at the entrance and exit end of the host pipe. Remove all coal tar from interior of host pipe.

The coal tar coating was tested for asbestos and the results were negative. Please see the attached Clinton Asbestos Report. Perform cleaning such that no damage occurs to the host pipe. If the integrity of the host pipe is suspect, a test section should be cleaned and re-inspected to see if damage to the pipe has occurred. Handle any water used for flushing and cleaning the pipe prior to liner system installation to comply with regulatory agencies having jurisdiction regarding erosion prevention and sediment control procedures for storm water discharge.

### 3.2 EXCAVATION

The installation of a liner is anticipated to only use existing points of entry such as the stilling basin wall area, manhole "C", and the pump plant. Other access to the host pipe through excavations along its length will be prohibited.

### 3.3 INSTALLATION

#### 3.3.1 General

- a. Point repairs, deemed necessary at any location on the existing pipeline prior to lining must be approved by the Contracting Officer prior to start of work.
- b. Plug valve and flow meter voids according to the details submitted in the work plan. Expedite the lining so that normal City water supply operations can resume as quickly as possible. Valves and flow meter areas are to be reopened, trimmed, and sealed to a neat, clean opening concentric with the connection pipe in a manner that provides water tight seals on both ends of the reconnection. This will require precise measurements from the connection and careful trimming to prevent removing material outside the connection area.

Provide maximum and minimum lengths in accordance with manufacturer's requirements and any constraints based on work limits as prescribed by the Contracting Officer.

- d. Insertion of the liner may proceed from either upstream or downstream as per available access.
- e. Correct conditions that would either prevent insertion of or cause unacceptable damage to the liner during insertion, such as bulges or jagged edges.
- f. Consider thermal expansion/contraction effects such that the ends of the liner pipe are flush with the existing stilling basin wall to within 0.5 inch. Where a liner meets a valve or flow meter, the liner cannot project beyond the end of the host pipe. Make reasonable attempts to achieve a flush surface between the liner and the valves/flow meter.
- g. At the completion of construction the exposed ends of the lined pipe must have a clean and finished look.
- h. Restore project site to original condition prior to final payment. Include the cost to repair all damages resulting from the work in the base bid.

### 3.3.2 Cured-In-Place Pipe

Follow the manufacturer's recommendations on the medium used to cure the liner (i.e. water, steam, or UV) and note special considerations regarding temperature, transport, and removal of the medium. Perform surface preparation of the host pipe according to the manufacturer's written recommendations to ensure adhesion between the liner material and host pipe.

### 3.3.3 Installation General

- a. Notify the Contracting Officer at least 24 hours in advance of operations.
- b. During cold weather ( 32-40 degrees F) the use of an internal heater is permitted if it does not exceed the pipe's maximum localized temperature.

## 3.4 TESTING AND ACCEPTANCE

### 3.4.1 Rework

Remove any material that has not received prior approval from the Contracting Officer or is not accepted as suitable work by the Contracting Officer and replaced or repaired to the satisfaction of the Contracting Officer with an approved method/material at the Contractor's expense. Materials left in place, but not meeting these Specifications, will be paid for at a reduced price.

### 3.4.2 Acceptance Inspection

After all work is completed, perform an inspection of the pipe that received a pipe liner, documenting the post-installation conditions. For pipes large enough and safe to enter, a walk-through inspection with digital photography is preferred when confined space entry procedures are followed. Inspect small or unsafe pipes using CCTV. Submit the Post-Installation CCTV Recordings and Report Logs and/or Post-Installation Digital Photographs and Report Logs.

- a. All connections must be accounted for and be unobstructed.

#### 3.4.2.1 Defects

Correct all defects discovered during the post-installation inspection before the work under the Contract will be considered for Substantial Completion. After the defects, if any, are corrected in accordance with manufacturer's recommendations, inspect the affected pipe segments a second time as a follow-up inspection. All follow-up inspections will be performed by the Contractor, and all costs associated with such follow-up inspections associated with the correction of work must be borne by the Contractor.

#### 3.4.2.2 Final Acceptance

Provide final digital photographs and/or video and report logs to the Contracting Officer for review and approval of finished work for the pipe lined prior to receiving final payment.

### 3.5 MAINTENANCE

Submit manufacturer's recommendations for care and maintenance upon completion of installation.

-- End of Section --

**This page was intentionally left blank for duplex printing.**



# ACE PIPE CLEANING

*A Carylton Company*

In association with DFW Infrastructure

## Clinton Dam & Reservoir, Lawrence, Kansas Public Water Supply Line Pipe

*Color Video Inspection  
Project Report  
November 5-8, 2018*

### Table of Contents

<b>Introduction.....</b>	<b>1</b>
<b>Summary of Field Notes.....</b>	<b>1-4</b>
November 5 <sup>th</sup> .....	1
November 6 <sup>th</sup> .....	1-2
November 7 <sup>th</sup> .....	2-3
November 8 <sup>th</sup> .....	3-4
<b>Summary of Intake CCTV Footage.....</b>	<b>4</b>
<b>Attachment A: Plan &amp; Profile As-built.....</b>	<b>5-6</b>
<b>Attachment B: CCTV Project Summary Report.....</b>	<b>7-14</b>
<b>Attachment C: Snapshots from CCTV Video.....</b>	<b>15-25</b>

**Electronic Files of CCTV Inspection Provided Separately**

November 23, 2018

## **Introduction**

The US Army Corps of Engineer project, CLINTON DAM AND RESERVOIR, LAWRENCE, KANSAS PUBLIC WATER SUPPLY LINE PIPE CLEANING AND COLOR VIDEO INSPECTION, was performed by Ace Pipe Cleaning Company (APC) of Kansas City, Missouri as a subcontractor to the Small Business Enterprise, DFW Infrastructure, Inc of Ft. Worth Texas. The purpose of the project was to evaluate and clean the raw water intake structures from the Clinton Dam and Reservoir in Lawrence, Kansas to the City of Lawrence pump station. Field work was performed starting on November 5, 2018 and ended on November 8, 2018. The following is a summary of the field notes taken of the work performed. Plan & profile drawing excerpt from the original as-builts can be found on Attachment A.

## **Summary of Field Notes:**

### **November 5, 2018**

The first day started with a meeting with USACOE representative Brian Turk reviewing the lockout/tagout procedures that were necessary to access the tunnel. It was also discussed that no pictures were to be taken within the tower and shared with anyone on social media.

The crews mobilized out onto the Tower at 11:00 a.m. Crane subcontractor, Blue Hat, set up the crane as APC brought in pumps and hoses for dewatering. The two 200 GPM submersibles did not have capacity to keep up with the amount of flow leaking through the stop logs. It was pouring out from under the bottom log, most likely due to some surface blockage/buildup.

APC called in a larger pump with generator, from the APC shop, in Kansas City, Missouri. The pump arrived around 12:30pm, but the generator didn't arrive until 2:30 p.m. However, the generator that was ordered from a rental company would not start, because it was brand new and had never been started before and necessary preparations were not performed by the rental company before it was sent to the site. The rental company sent a technician who arrived at 5 p.m. and got the generator running. It had to be calibrated for the voltage. APC turned the new pump on, which is rated to flow at 810 GPM. After running for about 15 minutes it didn't appear to be gaining any momentum on dewatering the from the access point.

### **November 6, 2018**

The day started with pumping using the 810 GPM pump. Jason Diekemper, project manager of APC went down in the trench in the man basket on the crane. Blue Hat filled out a Critical Lift plan that was given to Brian Turk. It was observed that there was still quite a bit of water coming out of the stop logs and the basket could not get all the way down to the bottom on the north side, due to the pump. The man basket was pulled out and moved to south side of trench. It was able to get to the water level. There appeared to be about 2-3 inches of water running down the pipe. There also was at least 18 inches of water in the trench below the pipe flowline. This would be manageable water to pump down if the pumps were able to keep pace with the stop log leakage. After talking with Brian, we agreed that there was no good way to determine the amount of water leaking past the stop logs and he thought if we reset them the leakage would be reduced.

At approximately 11:00 a.m. the gate was closed, and the stop logs started to be removed. Blue Hat worked with 3 Corps of Engineers field crew representatives. APC moved over to the east side of the dam and entered the tunnel with a sump pump. APC filled out a Confined Space permit and the valves to the tower slide gate and 24-inch discharge were locked out by James Smith, of APC and a Corps of Engineers representative.

With the help of the Corps of Engineers crew, APC removed the lid to manhole A and pumped down the water for Close-Circuit Television (CCTV) camera access. APC attempted to run the camera west up to the slide gate but was unable. There was algae and silt coating the inside of the pipe and due to the vertical angle of the pipe, it was impossible to ascend. The camera was turned around and moved east toward the pump station. At 240-feet from Manhole A, water filled the pipe. The camera continued to the east, underwater. A Jetter/Vac Combo truck from APC was brought on site. The jetter hose was sent up the 6-inch drain pipe from the stilling basin to Manhole B, approximately 150-feet. This did not seem to alleviate any of the water. The CCTV operator was able to get the camera up to the Tee in the station where the 24-inch pipe splits off from the 36-inch pipe. There was a log wedged in the butterfly valve (BFV) in the 24-inch pipe, sticking out into the middle of the 36" pipe. Corps of Engineers notified The City of Lawrence and scheduled a meeting to discuss removal. Removal of this log was not part of the original contract. The best option appeared to be the removal of the log from inside of the City of Lawrence pump station.

The stop logs were reset by 3:00 p.m. by the Corps of Engineers crew. The 810 GPM pump was turned back on, but it could not keep up with the water in the trench. The crew had to leave the tunnel to reopen the slide gate. The actual leakage rates needed to be determined and the stop logs had to be reset to lessen the volume of water that was leaking. When the gate was opened only the 6-inch drain remained open for discharge, so the water level in the trench took quite a while to drain to observe the stop logs.

From the initial CCTV data, the walls of the pipe were coated with a thin layer of biological growth, algae, but no large buildups nor many zebra mussels. It was planned for the next day, that the APC crew would try to jet the pipe several times from the tower gate and then flush by closing the gate to allow water to fill, and then opening. The plan was for the jetter to break down everything and the forced water could push all sediment out the stilling basin. The BFV at the pump station needed to be closed while the 6-inch drain remains open to flush everything out.

### **November 7, 2018**

APC pulled out the pumps from the trench and had to wait for the Corp of Engineers crew to reset the logs for a third time. The Corps of Engineers' second attempt at setting the logs the previous night after the APC crew left, was leaking worse than the first stop log setting. The APC crew had to wait until access was again available after the stop logs were set.

At 11:00 a.m. the stop logs were reset, and the water was emptied from the trench to see how this setup held. There was more water coming through this time than the previous observations. See CCTV inspection video for more details. The work from this area was aborted and all operations

would then have to be attempted from Manhole A. Safe working conditions could not be provided in trench at the tower as intended.

At 12:30 p.m. the APC crew and Blue Hat hoisted out all the equipment from the trash platform below the tower. Another Jetter/Vac Combo truck was brought to site from APC to accommodate the longer distance for cleaning through Manhole A. Blue Hat loaded the large pump and hoses on their boom truck and left at 1:45pm.

At 2:00 p.m., APC crew and Corps of Engineers unloaded the jon boat and entered the tunnel for inspection and jetting of the line. The jetter hose from the Jetter/Vac Combo truck was pulled into the tunnel up to Manhole A and the line from the manhole to slide gate on the tower. Approximately 60-feet of pipe was cleaned. Three passes with the jetter hose was made to accommodate the 60-feet of cleaning required. Almost 80-ft of hose was measured, which more than accounted for the 45 bend and vertical incline to the gate.

At 4:00 p.m., APC was ready to start cleaning to the east toward the pump station, but it was decided to pull the hose from the Jetter/Vac Combo truck down into the tunnel. At the end of the day Jetter/Vac Combo truck had to be driven back to the shop because temperatures were projected to be below freezing overnight.

It was planned for the next day to clean the remainder of the pipe and inspect the entire run from gate to pump station. A small camera would be used to attempt the inspection of the 24-inch discharge at the wing wall as well as CCTV the last approximately 50-60 feet back up to the pump station.

### **November 8, 2018**

The day began at the top of the tower to pick up the generator and camera truck. Corps of Engineers unlocked the gate and the boat arrived around 7:30 a.m. Shortly after the Jetter/Vac Combo truck arrived filled with water.

The confined space permit was completed with entry at 8:45 a.m. The Jetter/Vac Combo truck was hooked up to the hose in the tunnel and ran up to the pump station. Two passes were made, 2000 psi up and 500 psi on pull back. Pulling back by hand in the tunnel, prevented a higher pressure jetter with the retrieval. The initial shot of the jetter could not be controlled due to the slack that had to be left in the line as the manhole was 600 feet from the truck. This created inconsistent coverage when jetting. The jetting process also could not be operated hydraulically due to the distance between access point and truck.

After jetting the hose was pulled back to the truck. A small nozzle was then used to jet the 24-inch pipe from the wing wall up to the pump station. It was attempted to bump the log in the BFV, but it didn't get to that distance. Once the hose was pulled the camera was dropped in. The camera still could not climb the vertical 45 degree bend toward the slide gate. There was obvious cleaning from the jetter, however algae remained on the walls in places. The remaining algae and the angle prevented the camera from crawling up the pipe. The crawler on the camera was reversed at Manhole A and ran the distance to the pump station. This side still showed some



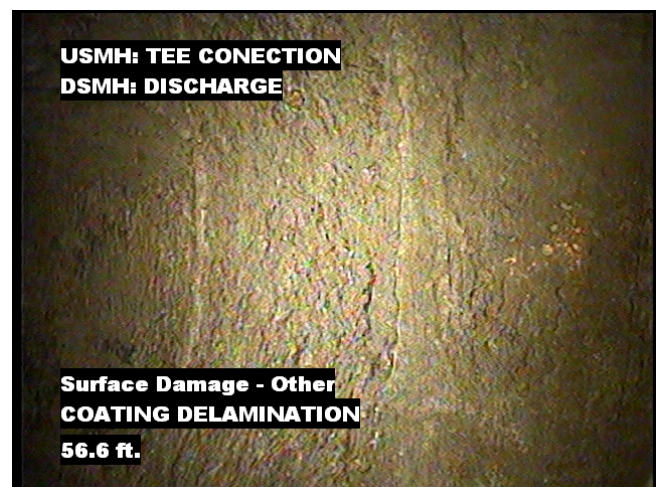
algae on the walls as well, including occasional zebra mussels. A lot of mussels were also observed on the flowline of the pipe having been knocked down from jetting operations. The log was still wedged in the 24-inch BFV. The camera was pulled back and the Corps of Engineers representative, Brian Turk viewed the video. He was not satisfied with the cleaning and wanted to see the line again after it was flushed.

Manhole A lid was replaced, and all entrants cleared the tunnel. The slide gate was opened, and the waterline was flushed out through a partial open 24-inch wingwall and fully open 6-inch drain. Water was very dirty but started to clear up considerably after about 10 minutes. Once drained, the APC crew re-entered the pipe and inserted the CCTV crawler at manhole A. The crawler still could not climb the angle pipe to the slide gate. Not much difference was noticed in this section after flushing. The camera was turned around and ran up to the pump station. These walls did look cleaner, but there was still a trail of shells and debris in the flow line of the pipe. This was believed to be from most of the water in the flush, ending up draining through the 6-inch line. Most of the debris settled out as the 42-inch and 36-inch pipes discharged through the 6-inch pipe. Initial flush was good, but a few heavier residuals remain. It was recommended that the 24-inch pipe have a full flush. This could not be done as the ladder on the stilling basin slope was still in place and would have washed out. Corps of Engineers indicated that they will do this at a later date.

The Corps of Engineers representative, Brian Turk indicated he was happy with the work completed relative to the challenges that were encountered on the project. It was understood that the jetter could not be controlled due to the insertion point and the inability to drag out debris as would normally happen with the jetter operation. Access to the pipe, because of leaky stop logs, prevented a better outcome. The work was completed, and the crew left the site at 5:30 p.m.

### **Summary of Intake CCTV Inspection**

Two CCTV inspections were recorded between Manhole A and the pump station on the 42-inch pipe. One CCTV inspection was recorded on the 24-inch pipe between the tee connection and the discharge. The CCTV Project Summary is included with this report as Attachment B. Snapshots from the CCTV video are provided as Attachment C. It must be noted that damage was identified on the 24-inch pipe as shown below (larger photos of the damage are on pages 24 and 25). The actual electronic video is also being provided to the Corps of Engineers as part of this project.



# Attachment A: Plan & Profile As-built



# Attachment B: CCTV Project Summary Report



4921 Alexander Blvd  
Albuquerque, NM  
505-341-0109

## Project Summary

Project Name:		CLINTON LAKE						
US MH	DS MH	Pipe ID	Date	Street	Material	Size	Total	Insp
TEE CONNECTION	DISCHARGE		11/8/2018	CLINTON PKWY	Steel Pipe	24	0	57

Pipe Size: 24

Total Ln.: 0

Inspected Ln.: 57

US MH	DS MH	Pipe ID	Date	Street	Material	Size	Total	Insp
MH A	PUMP STATION		11/8/2018	CLINTON PKWY	Steel Pipe	42	0	682
MH A	PUMP STATION		11/8/2018	CLINTON PKWY	Steel Pipe	42	0	682
MH A	PUMP STATION		11/6/2018	CLINTON PKWY	Steel Pipe	42	0	682

Pipe Size: 42

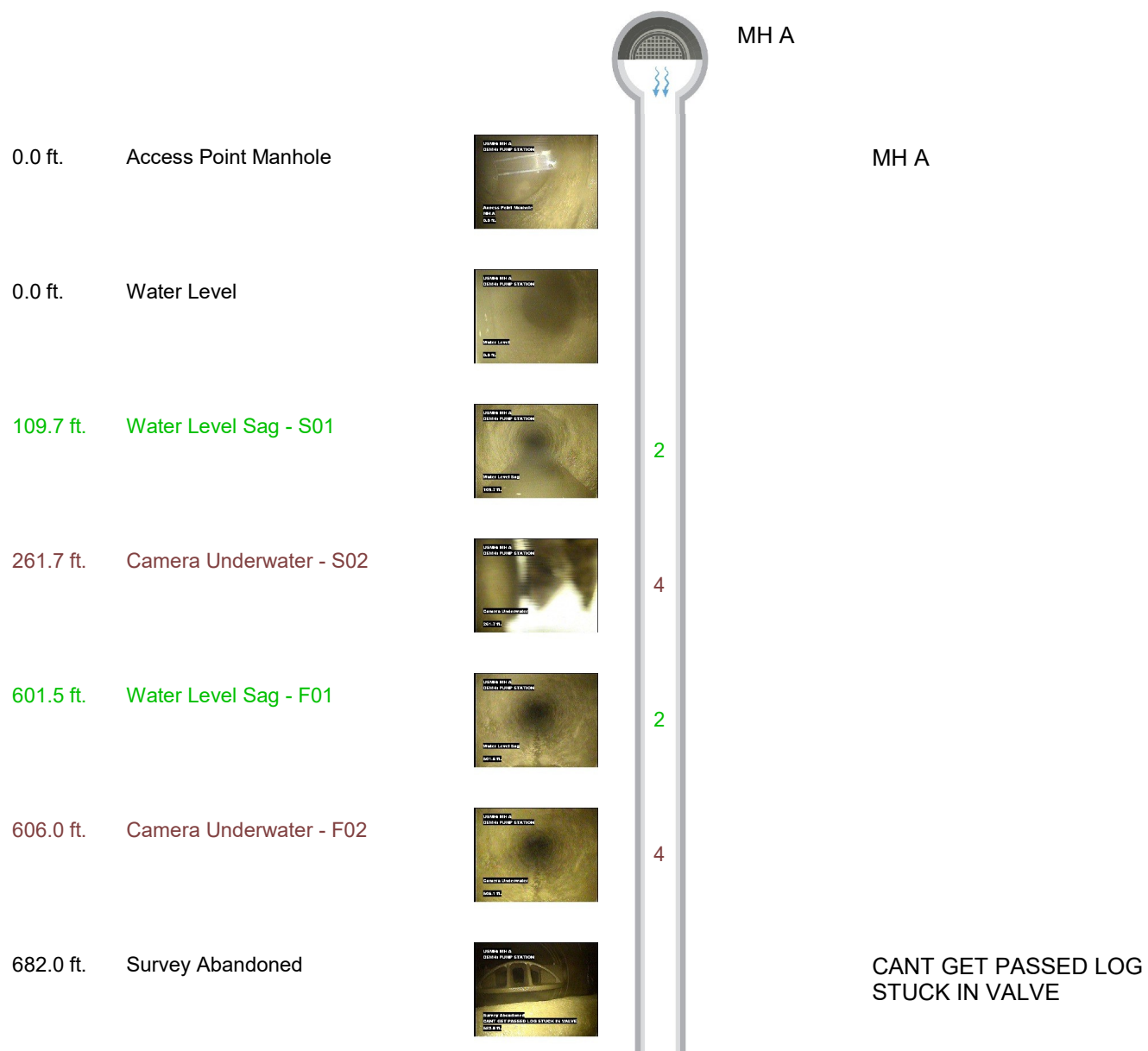
Total Ln.: 0

Inspected Ln.: 2046

Project Total Ln.: 0.0

Project Inspected Ln.: 2103.0

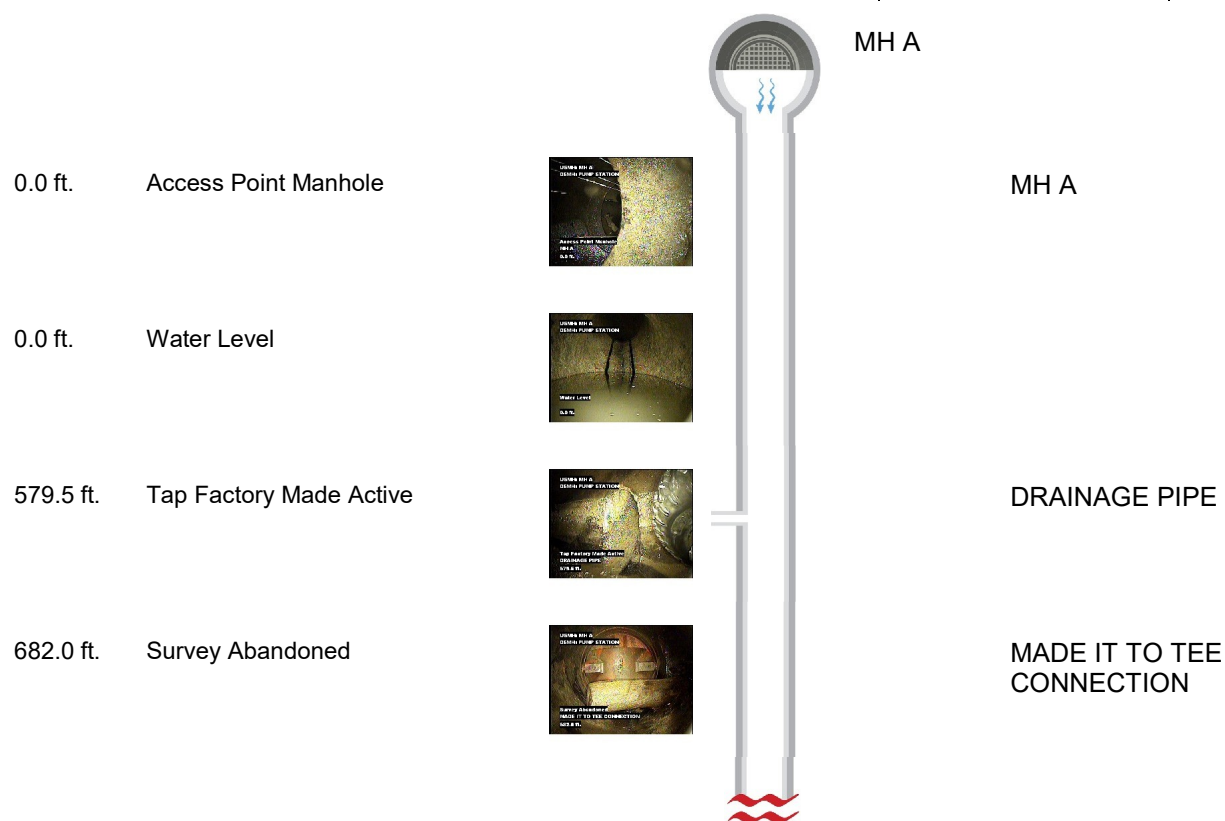
Pipe Segment Refere...	City <b>LAWERNC KS</b>	Street <b>CLINTON PKWY</b>	Material <b>Steel Pipe</b>		Location C... <b>Other</b>	Sewer Use <b>Stormwater</b>
Upstream MH <b>MH A</b>	Total Length	Year Laid	Shape <b>Circular</b>		Location Details	
DS Manhole <b>PUMP STATION</b>	Length surveyed <b>682</b>	Year Renewed	Height <b>42</b>	Width <b>42</b>	Pipe Joint...	
SPR <b>196</b>	MPR <b>276</b>	PO Number		Customer		
SPRI <b>2</b>	MPRI <b>4</b>	Work Order		Purpose		
QSR <b>2R00</b>	QMR <b>4L00</b>			<b>Maintenance Related</b>		
OPR <b>472</b>	Surveyed By <b>J_Smith</b>	Direction <b>Downstream</b>	Date <b>20181106</b>		Media label	
OPRI <b>2.8</b>	Certificate Number <b>U-314-06020875</b>	Pre-Cleaning <b>No Pre-Cleaning</b>	Time <b>11:44</b>		Weather <b>Light Rain</b>	
Date Cleaned			End Time <b>16:22</b>		Additional Info	



Pipe Segment Refere...	City	Street	Material		Location C...	Sewer Use
	<b>LAWERNCE KS</b>	<b>CLINTON PKWY</b>	<b>Steel Pipe</b>		<b>Other</b>	<b>Stormwater</b>
<b>Upstream MH</b>	Total Length	Year Laid	<b>Shape</b>		Location Details	
<b>MH A</b>			<b>Circular</b>			
<b>DS Manhole</b>	Length surveyed	Year Renewed	<b>Height</b>	Width	Pipe Joint...	
<b>PUMP STATION</b>	<b>682</b>		<b>42</b>	<b>42</b>		

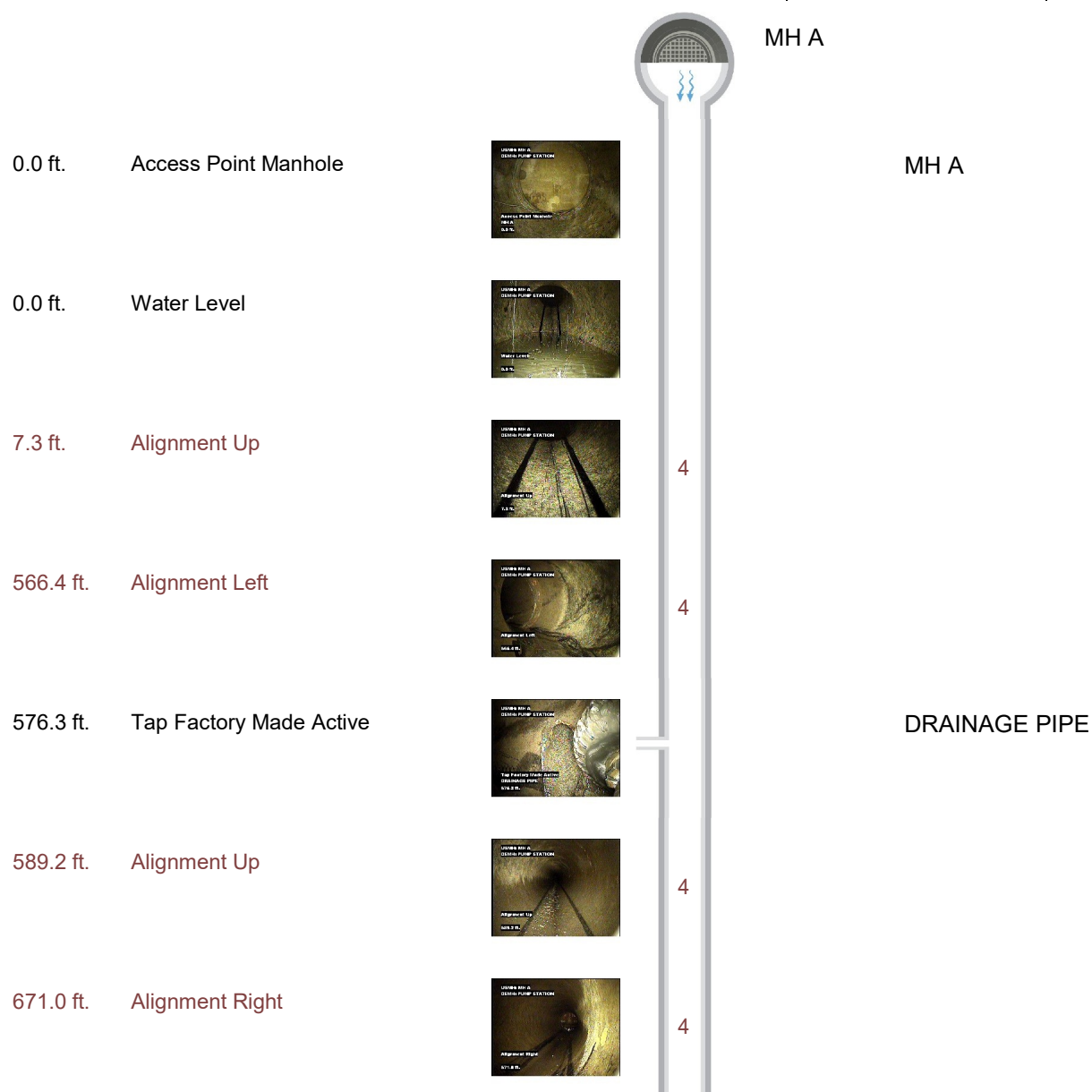


Pipe Segment Refere...	City <b>LAWERNCE KS</b>	Street <b>CLINTON PKWY</b>	Material <b>Steel Pipe</b>		Location C... <b>Other</b>	Sewer Use <b>Stormwater</b>
<b>Upstream MH</b> <b>MH A</b>	Total Length	Year Laid	<b>Shape</b> <b>Circular</b>		Location Details	
<b>DS Manhole</b> <b>PUMP STATION</b>	Length surveyed <b>682</b>	Year Renewed	<b>Height</b> <b>42</b>	Width <b>42</b>	Pipe Joint...	
SPR <b>0</b>	MPR <b>0</b>	PO Number		Customer		
SPRI <b>0</b>	MPRI <b>0</b>	Work Order		Purpose <b>Resurvey</b>		
QSR <b>0000</b>	QMR <b>0000</b>					
OPR <b>0</b>	Surveyed By <b>J_Smith</b>	Direction <b>Downstream</b>	Date <b>20181108</b>		Media label	
OPRI <b>0</b>	Certificate Number <b>U-314-06020875</b>	Pre-Cleaning <b>Jetting</b>	Time <b>11:47</b>		Weather <b>Snow</b>	
Date Cleaned			End Time <b>12:17</b>		Additional Info	





Pipe Segment Refere...	City <b>LAWERNCE KS</b>	Street <b>CLINTON PKWY</b>	Material <b>Steel Pipe</b>		Location C... <b>Other</b>	Sewer Use <b>Stormwater</b>
Upstream MH <b>MH A</b>	Total Length	Year Laid	Shape <b>Circular</b>		Location Details	
DS Manhole <b>PUMP STATION</b>	Length surveyed <b>682</b>	Year Renewed	Height <b>42</b>	Width <b>42</b>	Pipe Joint...	
SPR <b>0</b>	MPR <b>16</b>	PO Number		Customer		
SPRI <b>0</b>	MPRI <b>4</b>	Work Order		Purpose <b>Resurvey</b>		
QSR <b>0000</b>	QMR <b>4400</b>					
OPR <b>16</b>	Surveyed By <b>J_Smith</b>	Direction <b>Downstream</b>	Date <b>20181108</b>		Media label	
OPRI <b>4</b>	Certificate Number <b>U-314-06020875</b>	Pre-Cleaning <b>Jetting</b>	Time <b>14:56</b>		Weather <b>Snow</b>	
Date Cleaned			End Time <b>15:31</b>		Additional Info	



Pipe Segment Refere...	City LAWERNCE KS	Street CLINTON PKWY	Material Steel Pipe		Location C...	Sewer Use
Upstream MH MH A	Total Length	Year Laid	Shape Circular		Location Details	
DS Manhole PUMP STATION	Length surveyed 682	Year Renewed	Height 42	Width 42	Pipe Joint...	

674.2 ft.      General Observation



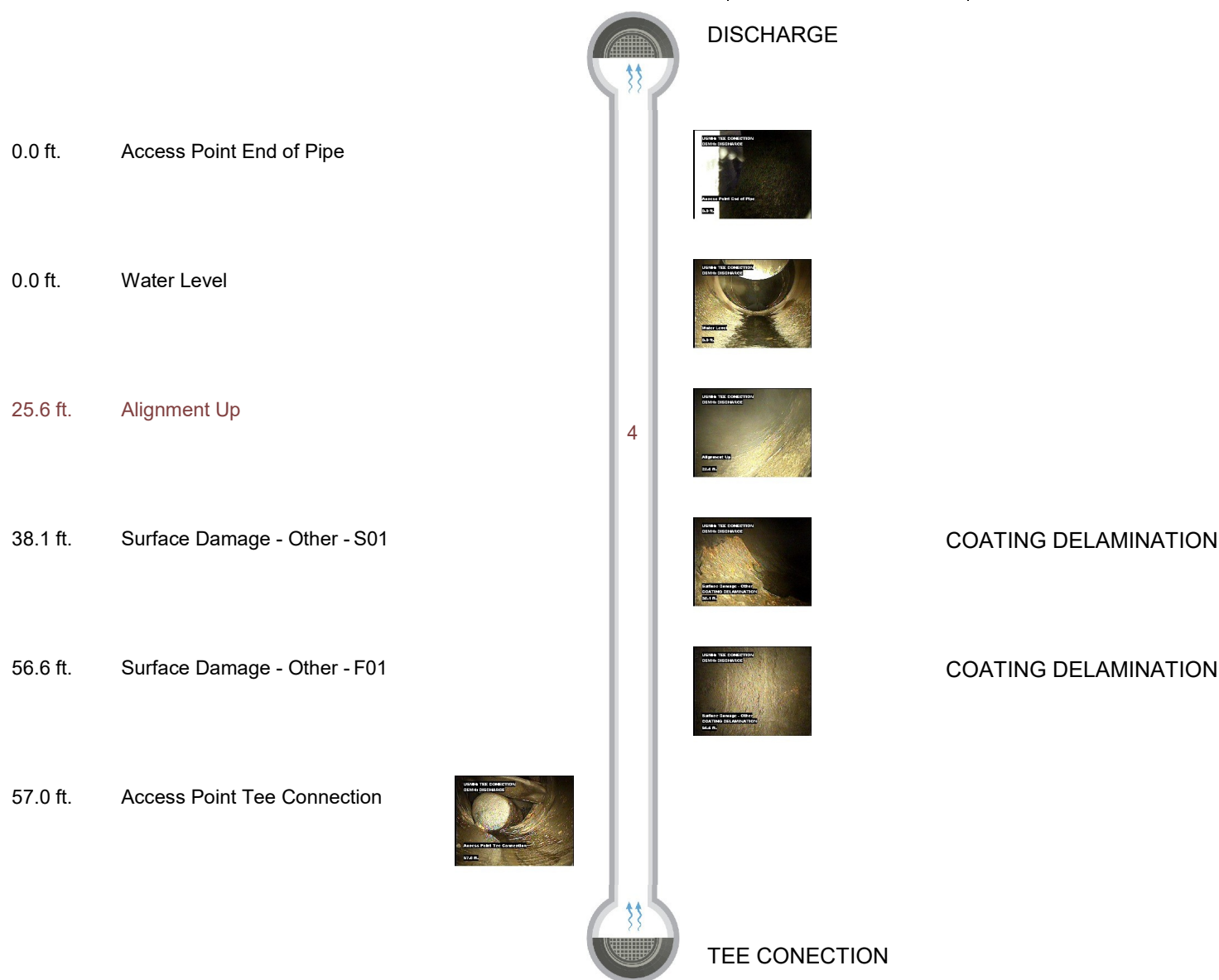
POSSIBLE JET PLUGGED  
DURING CLEANING

682.0 ft.      Access Point Tee Connection



PUMP STATION

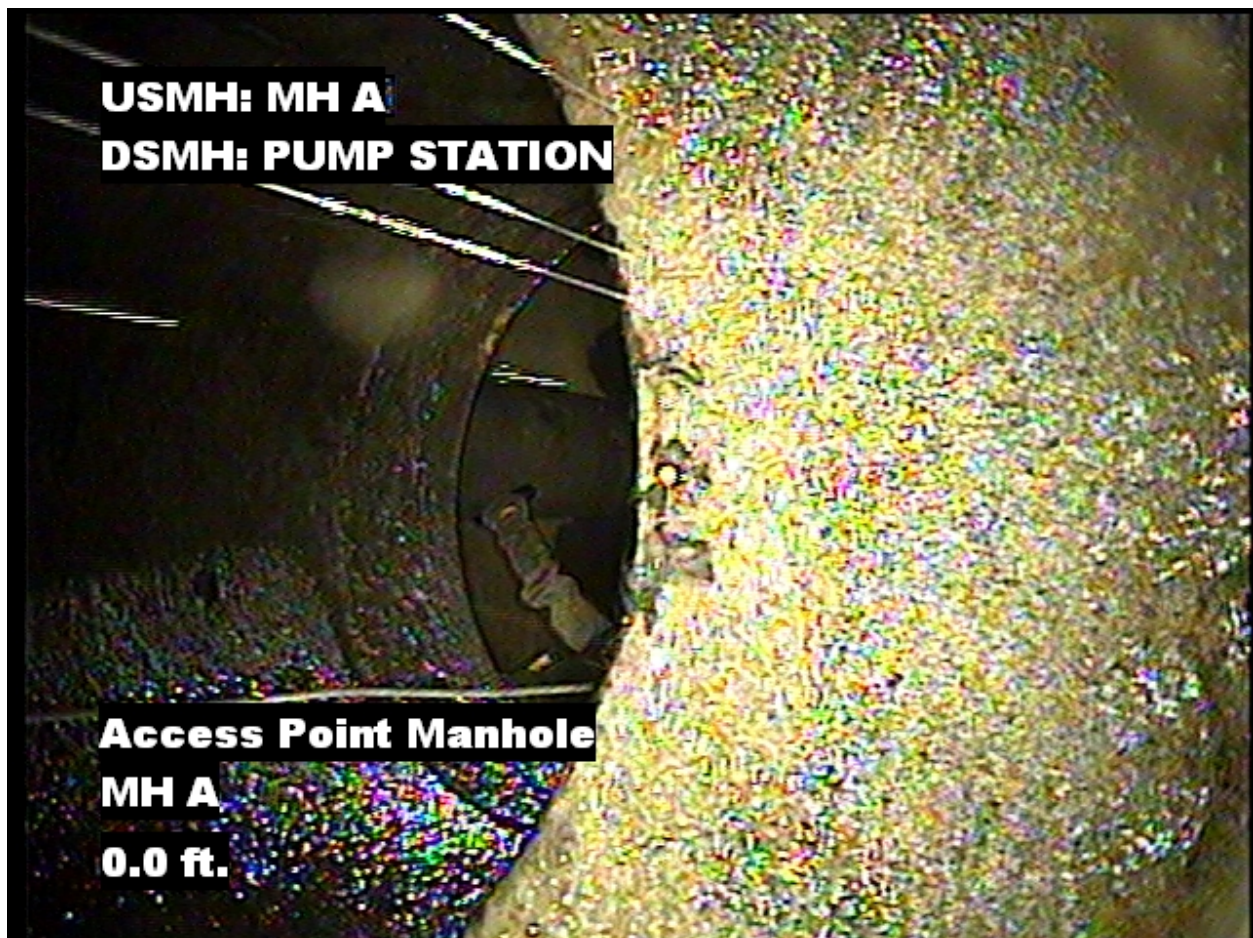
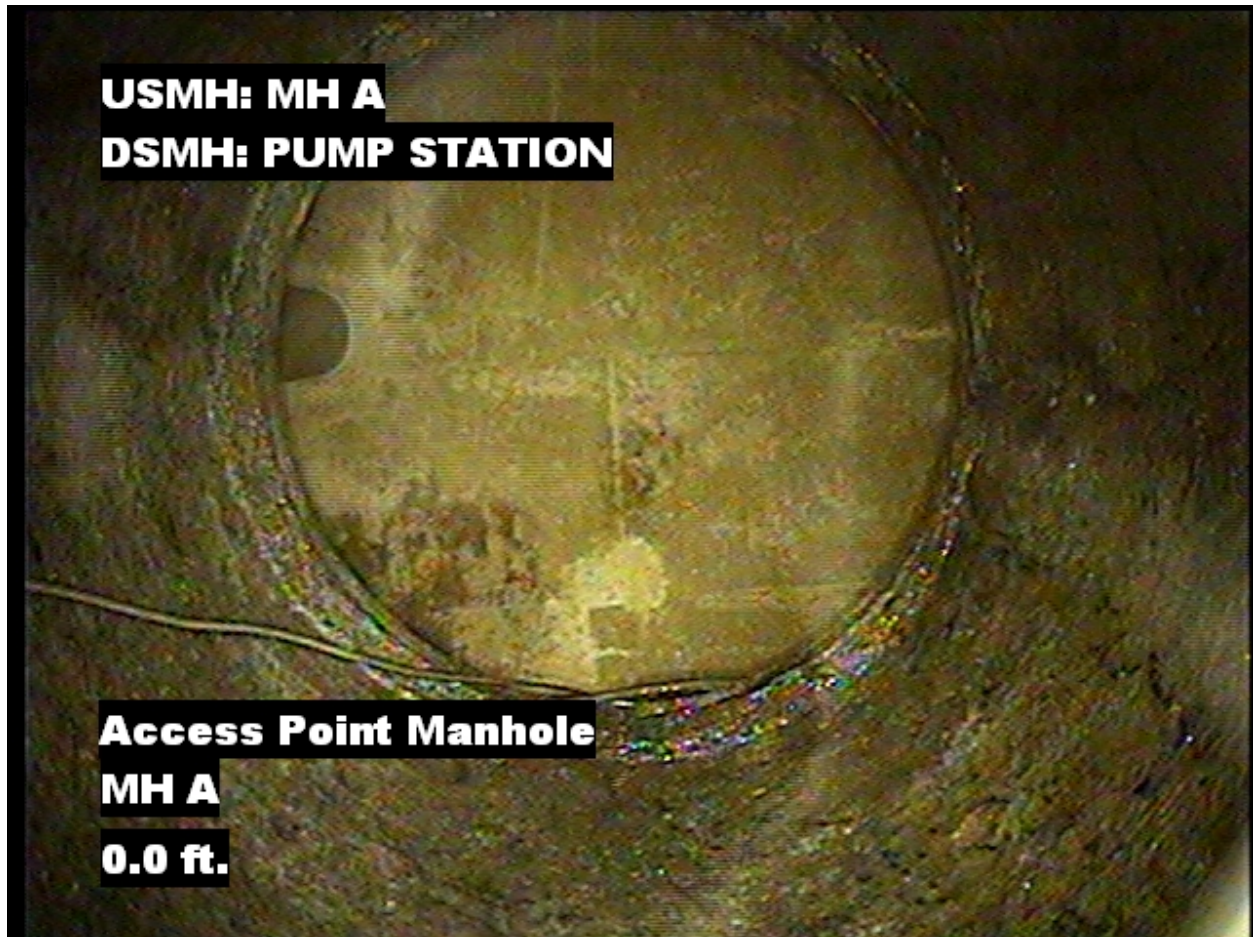
Pipe Segment Refere...	City <b>LAWERNCE KS</b>	Street <b>CLINTON PKWY</b>	Material <b>Steel Pipe</b>		Location C... <b>Other</b>	Sewer Use <b>Stormwater</b>
Upstream MH <b>TEE CONECTION</b>	Total Length	Year Laid	Shape <b>Circular</b>		Location Details	
DS Manhole <b>DISCHARGE</b>	Length surveyed <b>57</b>	Year Renewed	Height <b>24</b>	Width <b>24</b>	Pipe Joint...	
SPR <b>0</b>	MPR <b>4</b>	PO Number		Customer		
SPRI <b>0</b>	MPRI <b>4</b>	Work Order		Purpose <b>Maintenance Related</b>		
QSR <b>0000</b>	QMR <b>4100</b>					
OPR <b>4</b>	Surveyed By <b>J_Smith</b>	Direction <b>Upstream</b>	Date <b>20181108</b>		Media label	
OPRI <b>0.8</b>	Certificate Number <b>U-314-06020875</b>	Pre-Cleaning <b>Jetting</b>	Time <b>16:15</b>		Weather <b>Snow</b>	
Date Cleaned			End Time <b>16:49</b>		Additional Info	



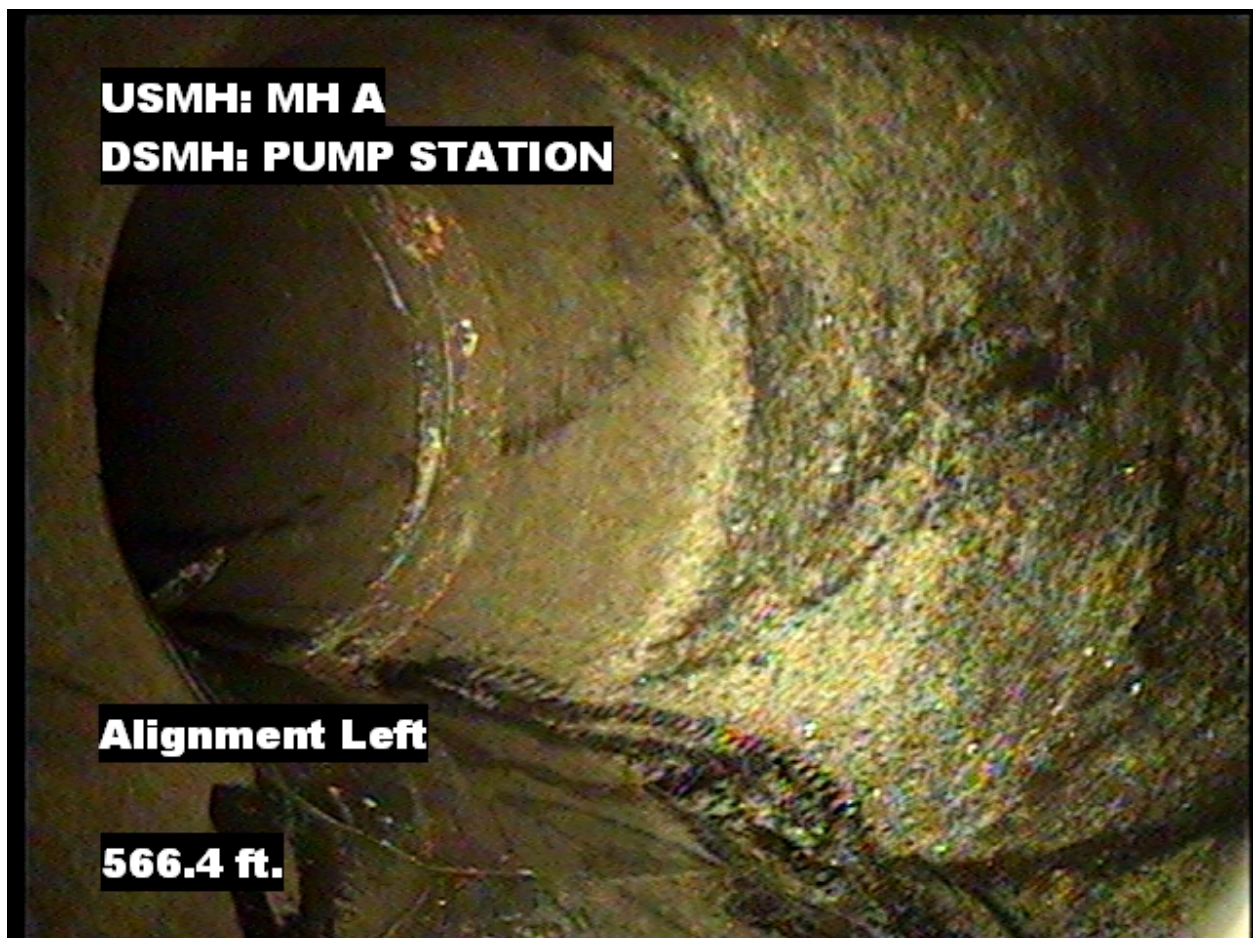
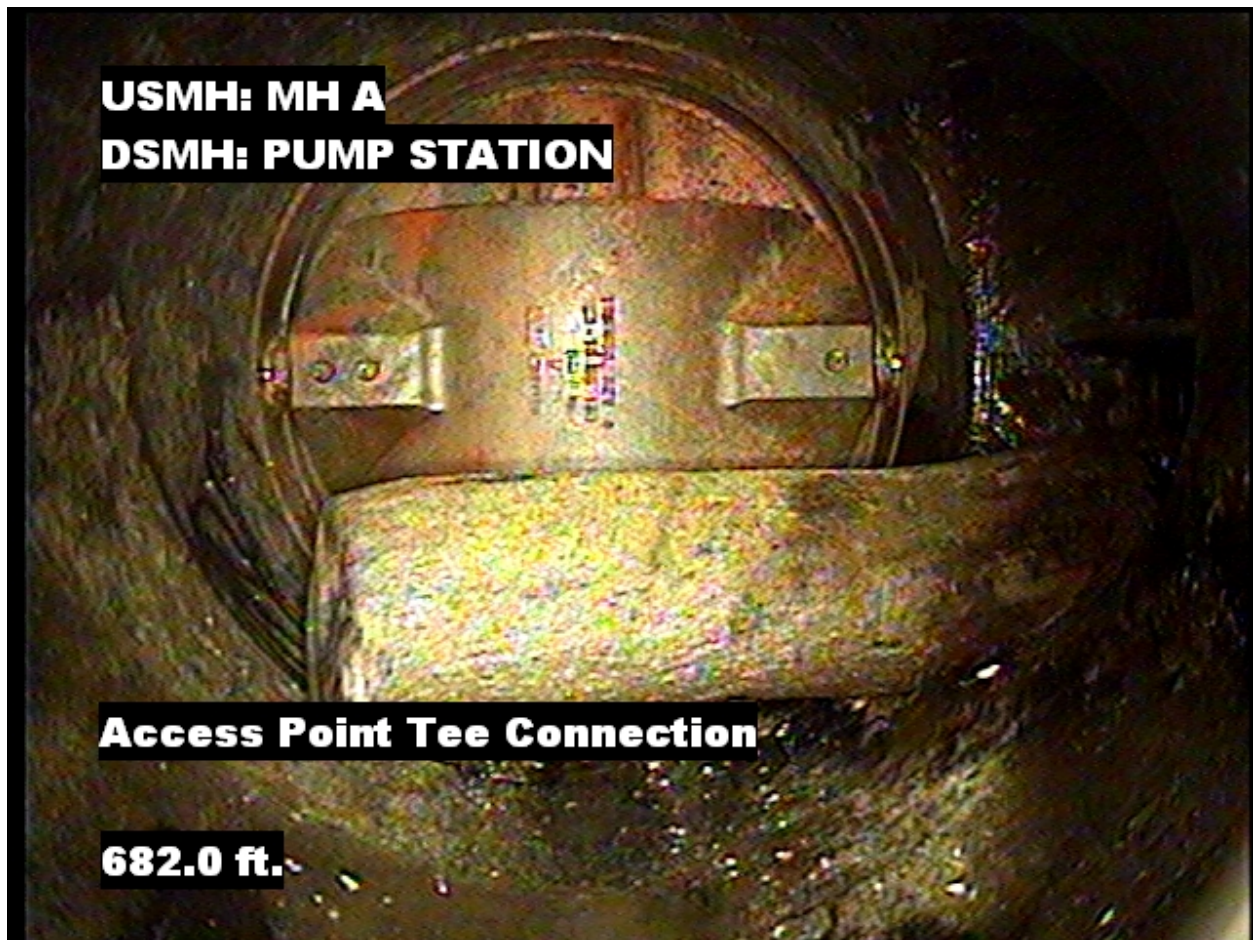
# Attachment C: Snapshots from CCTV Video



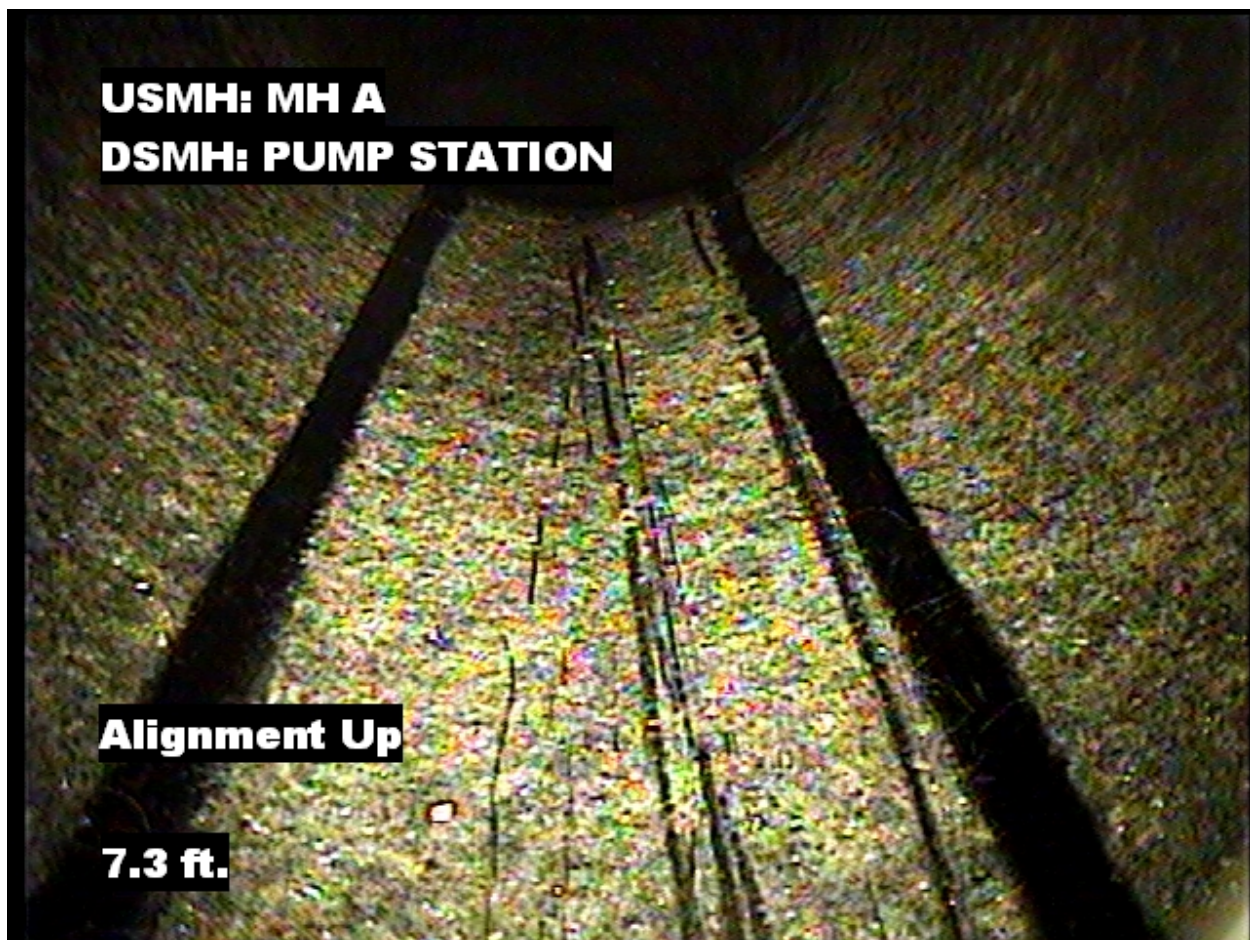
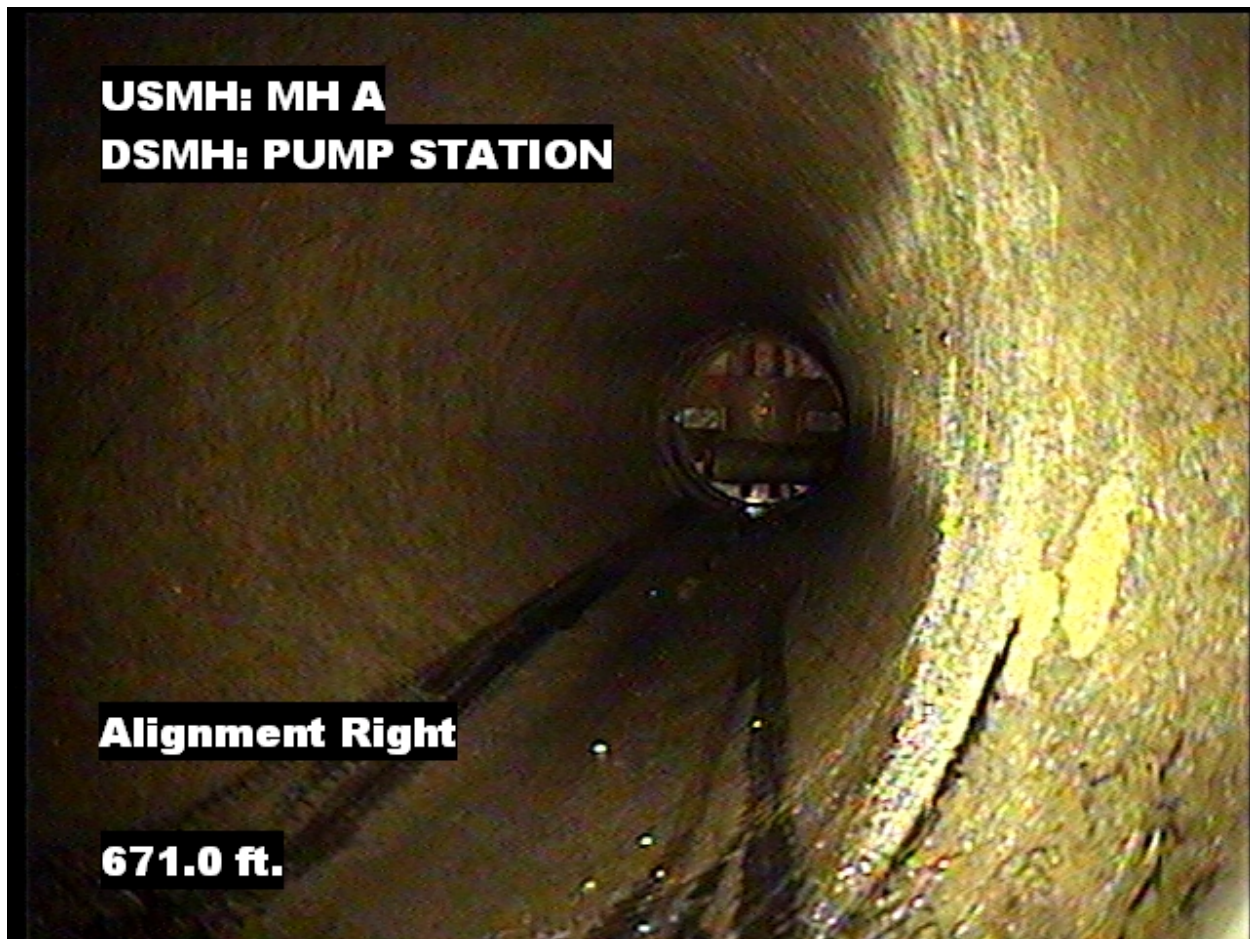
**Manhole A:**



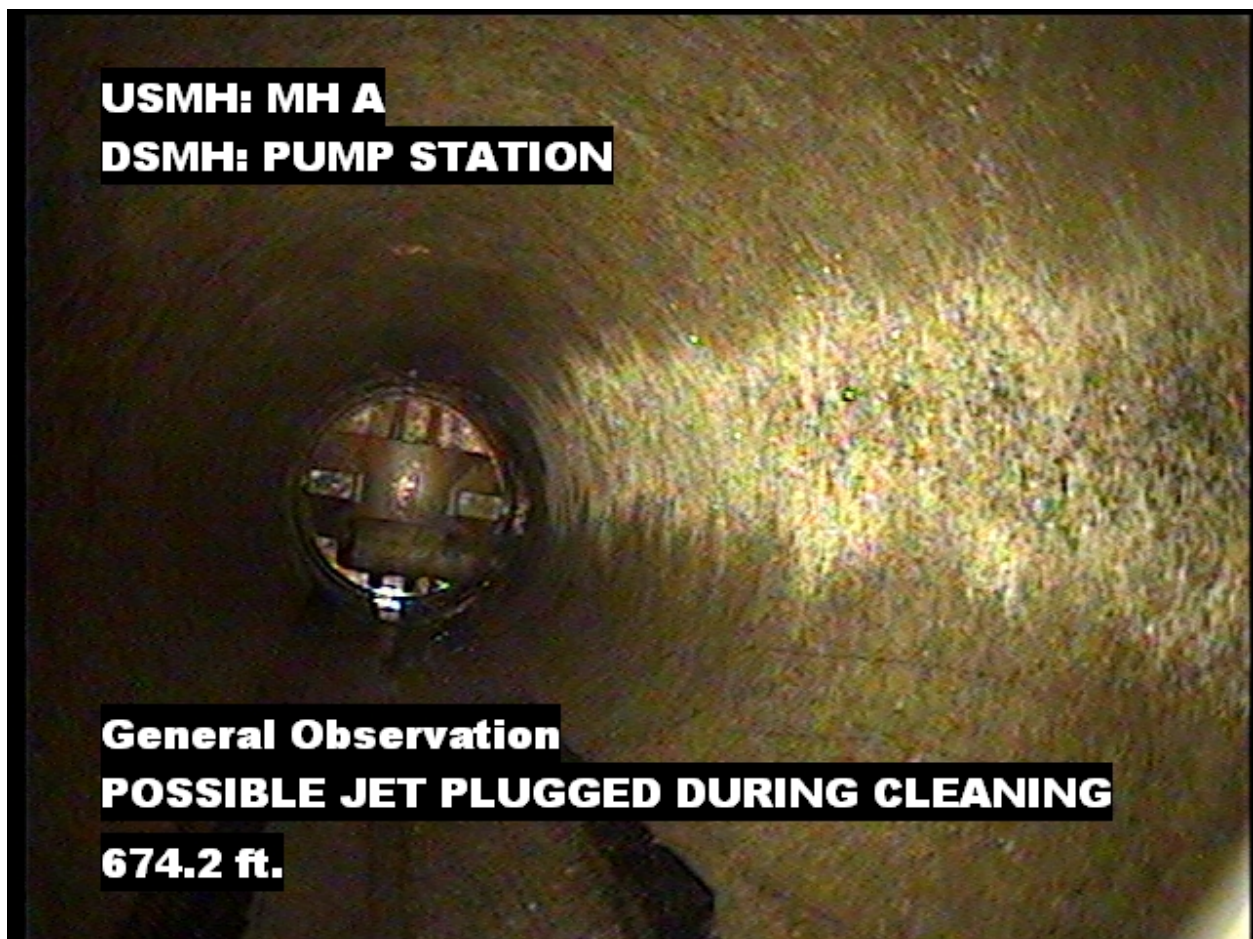
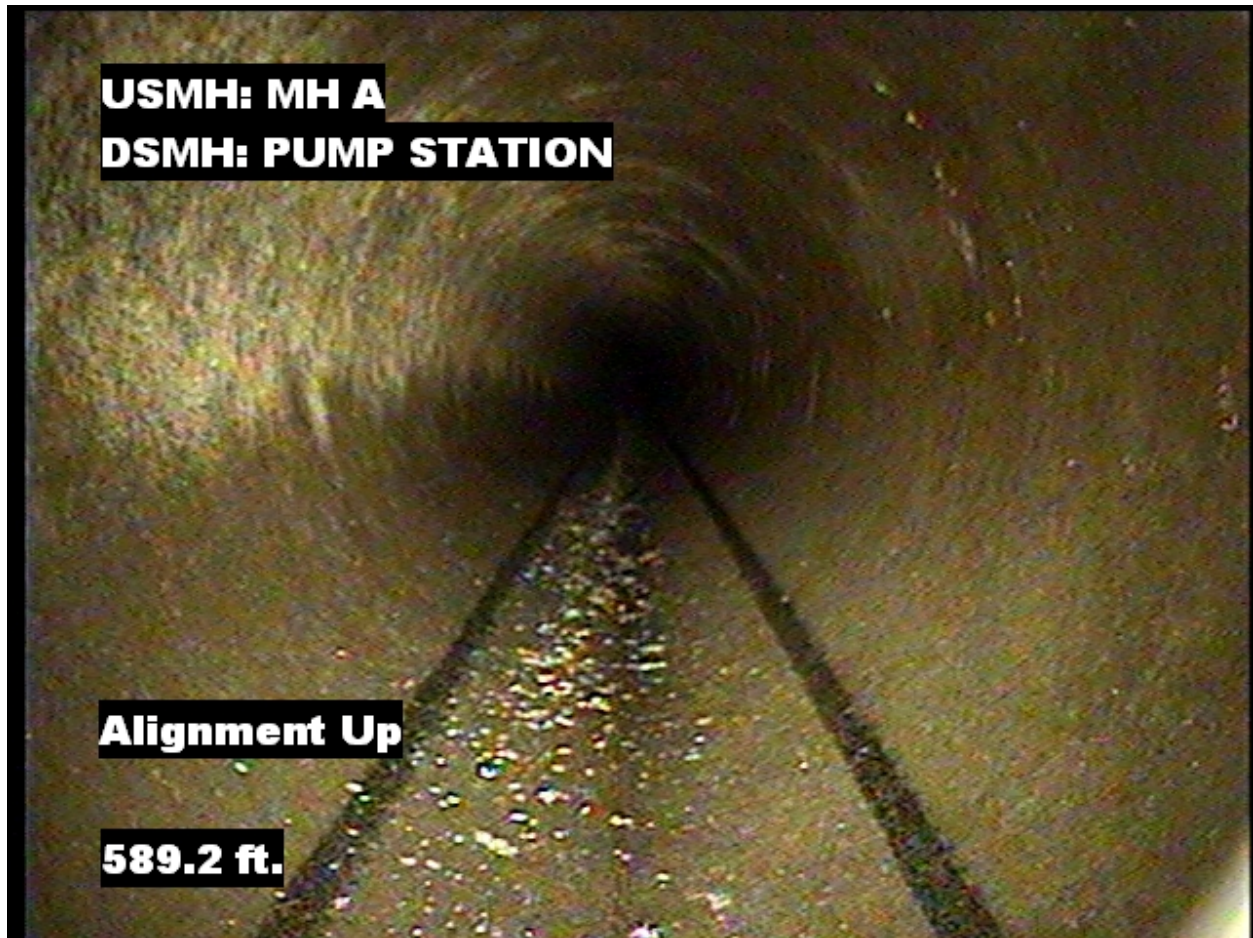




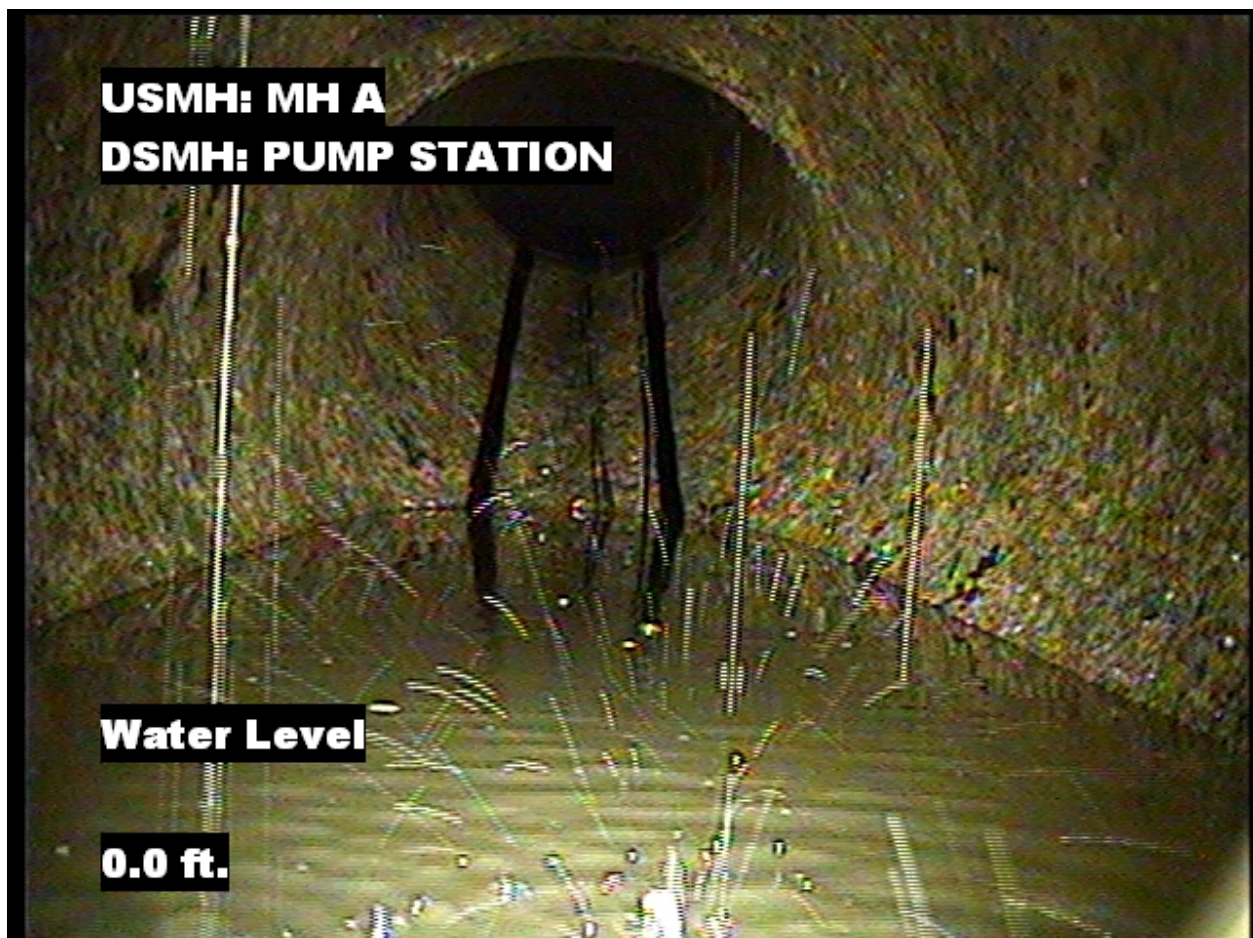
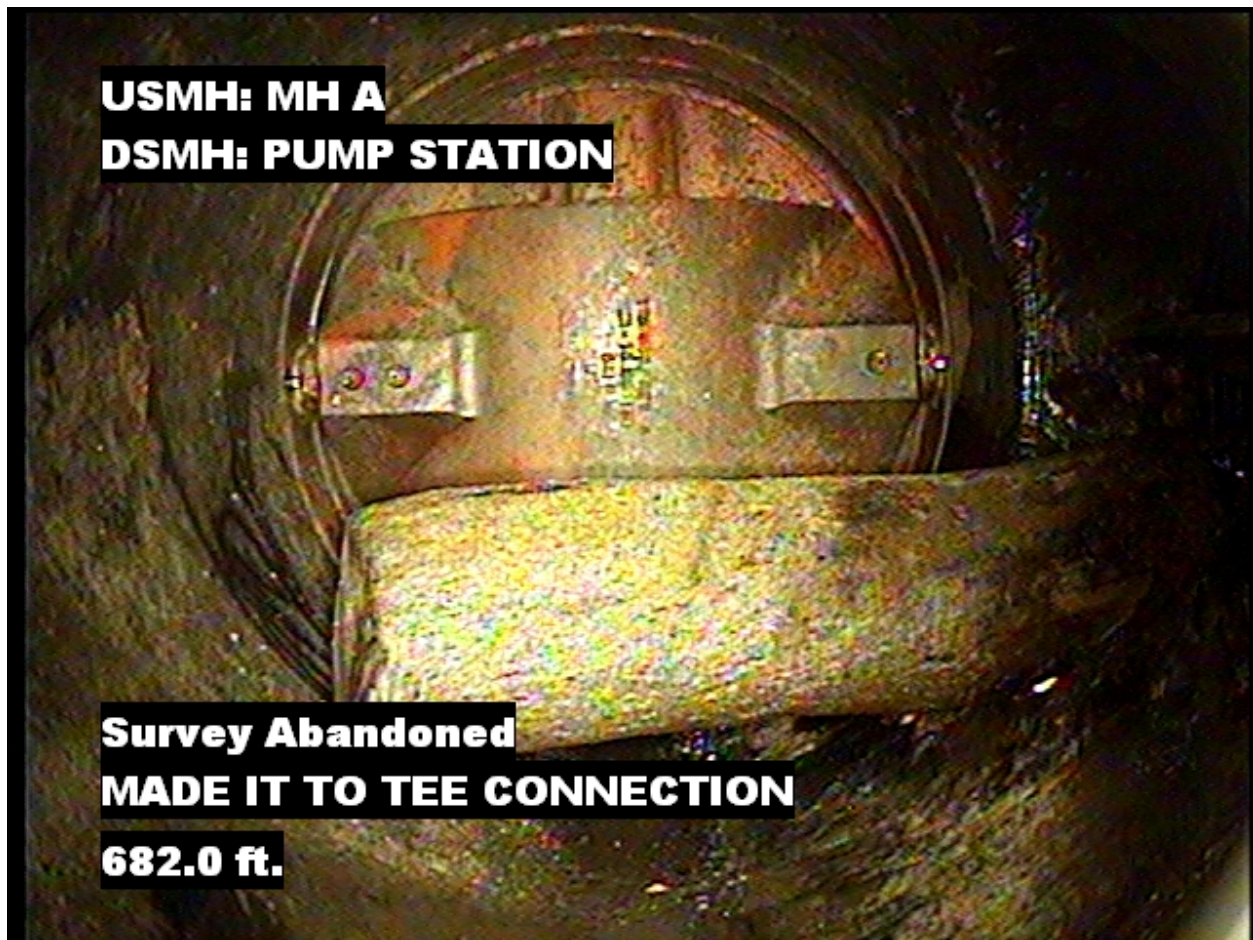




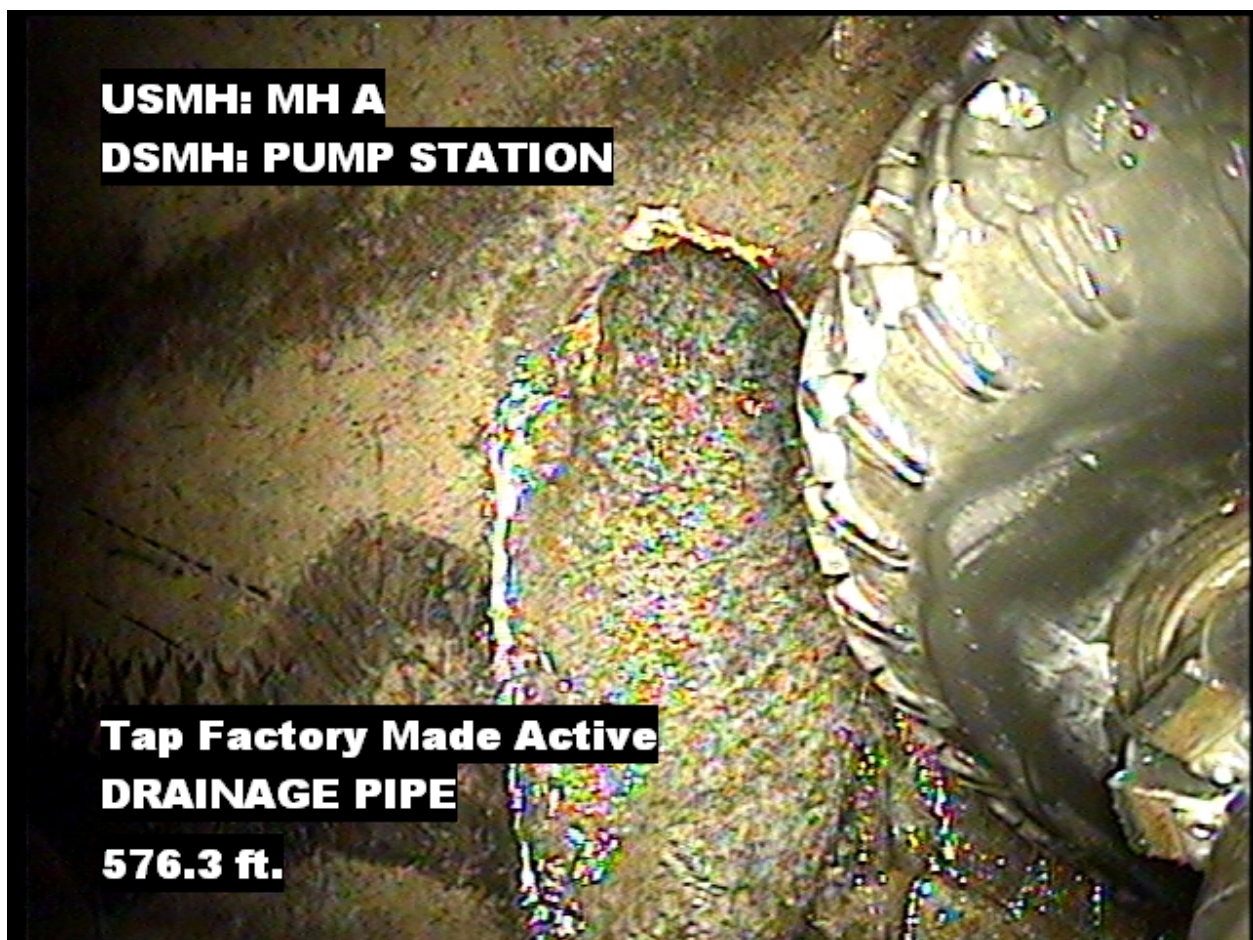
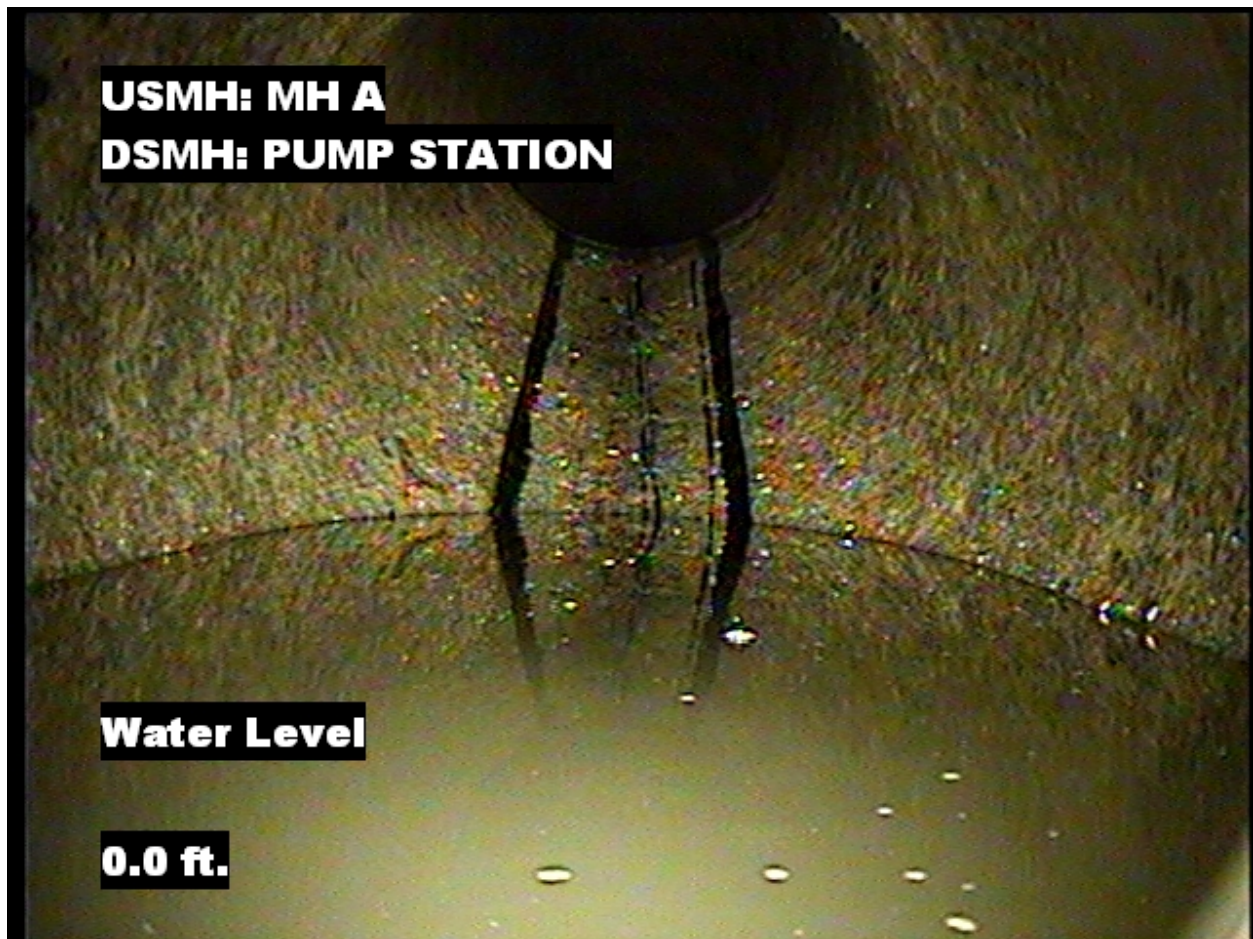




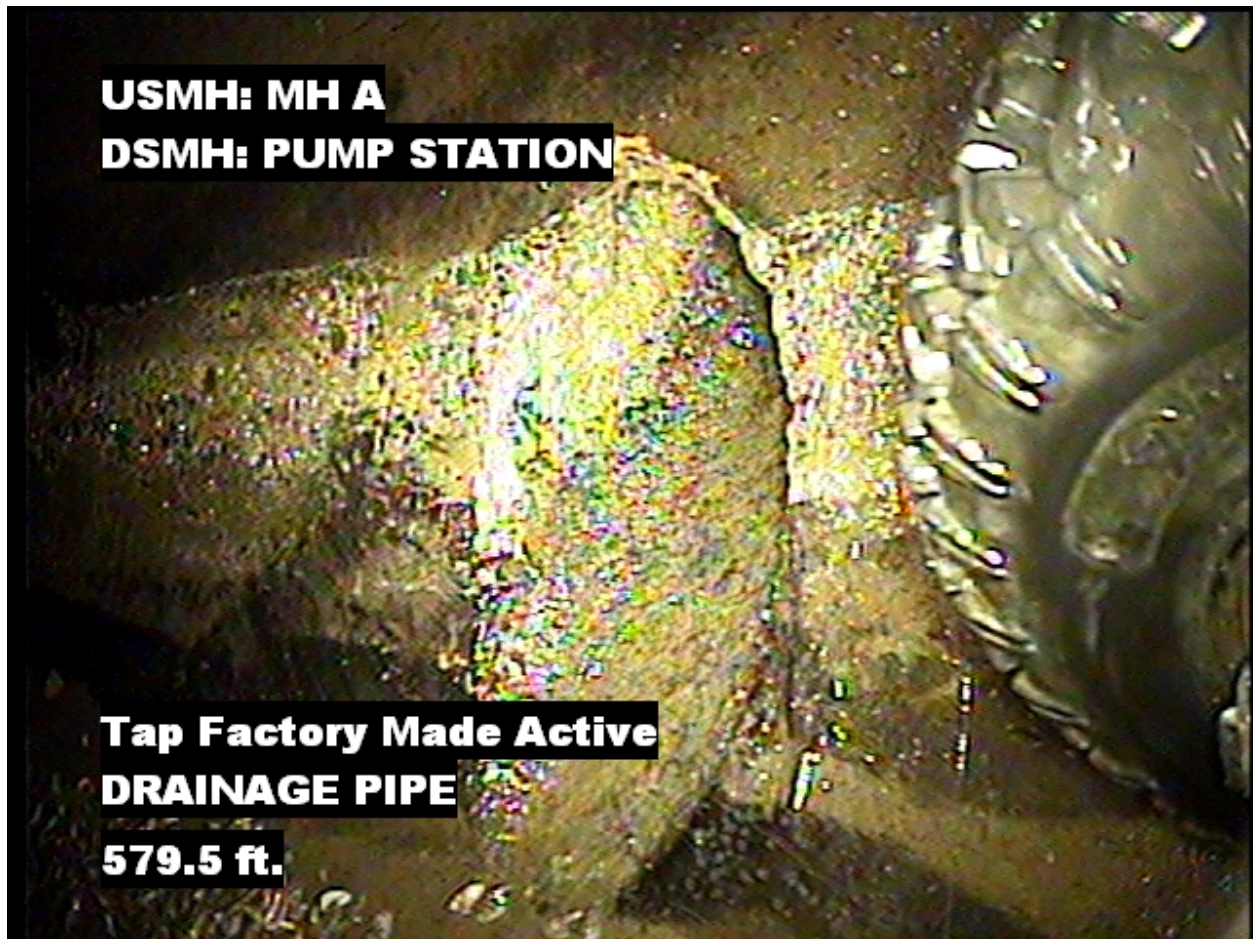




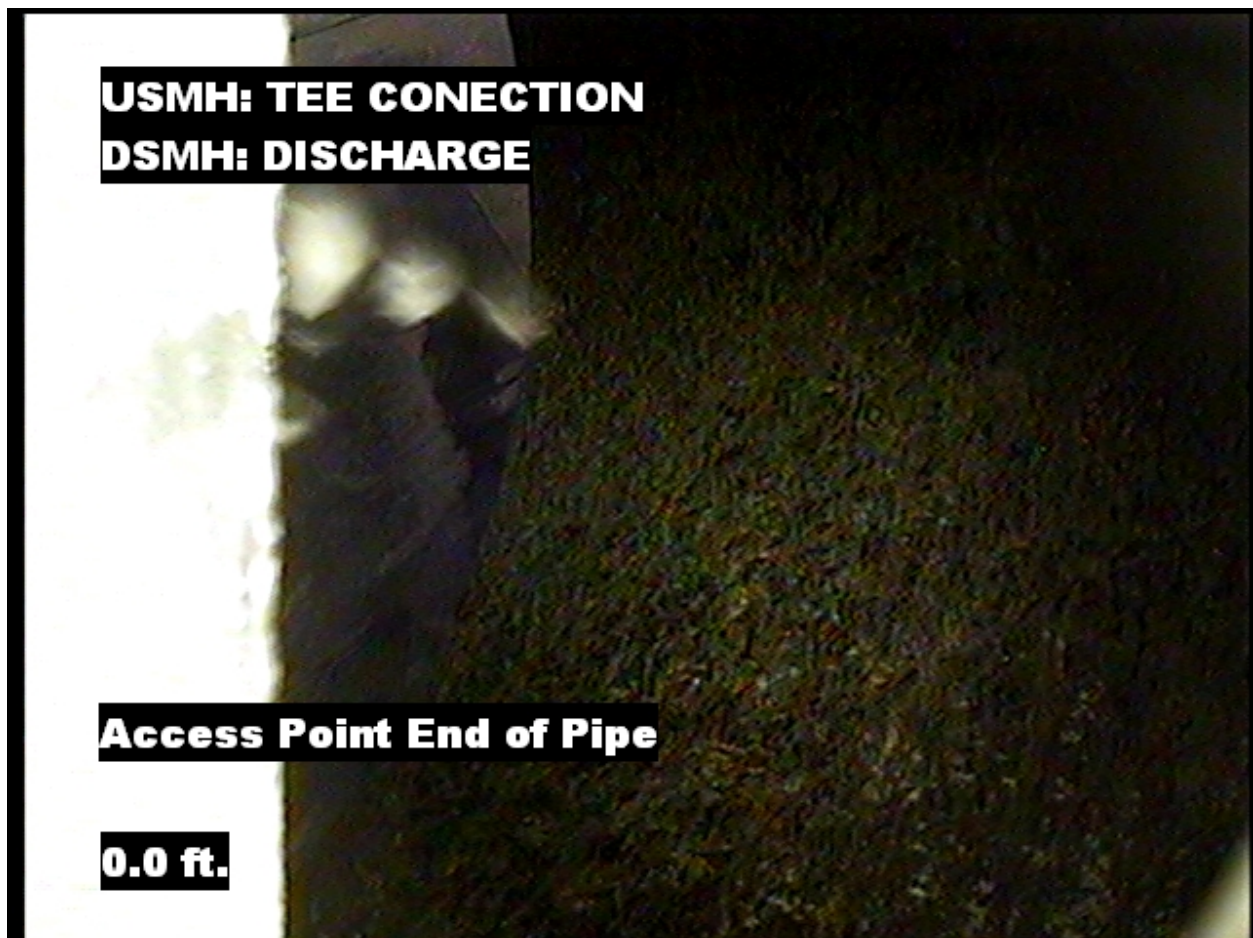




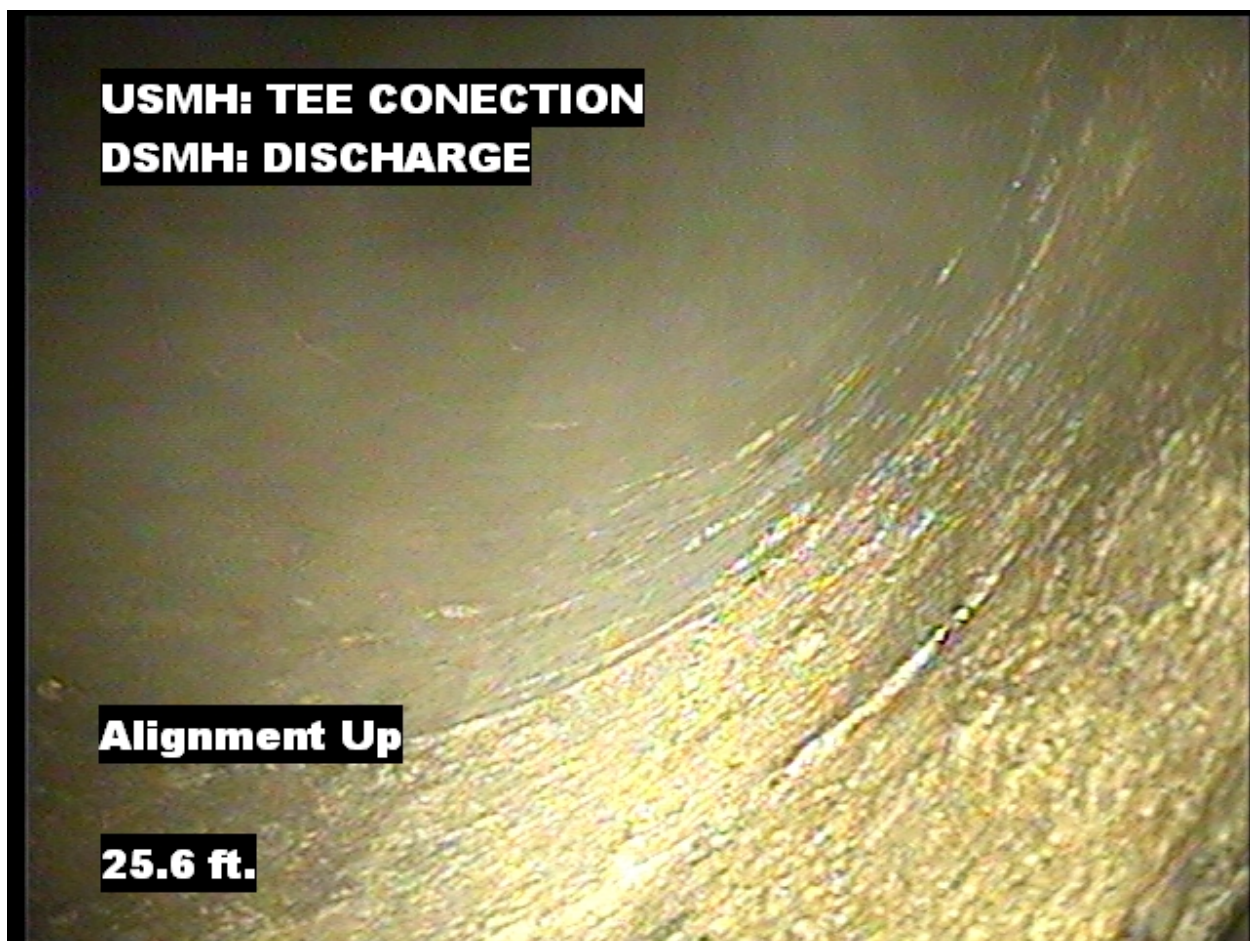
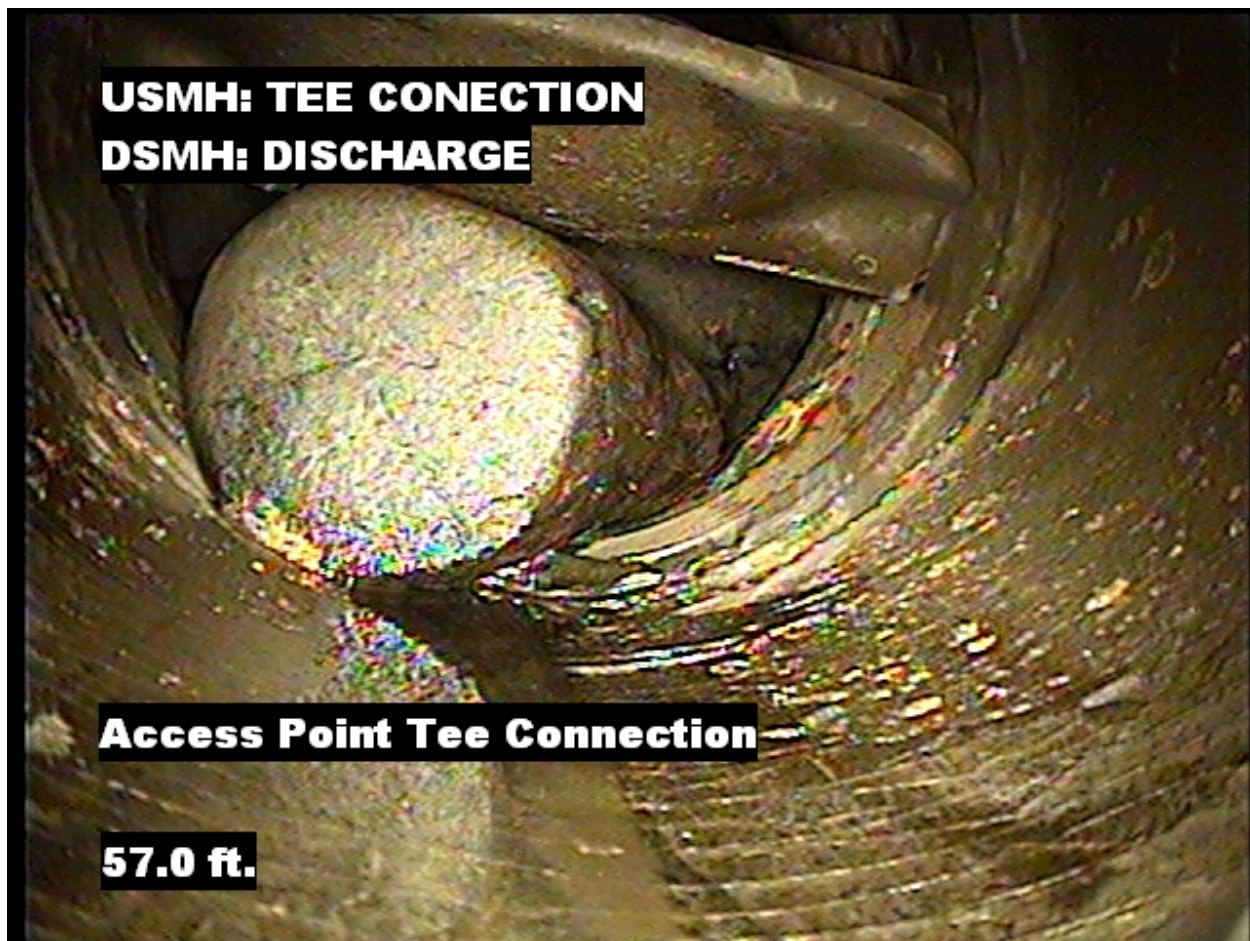




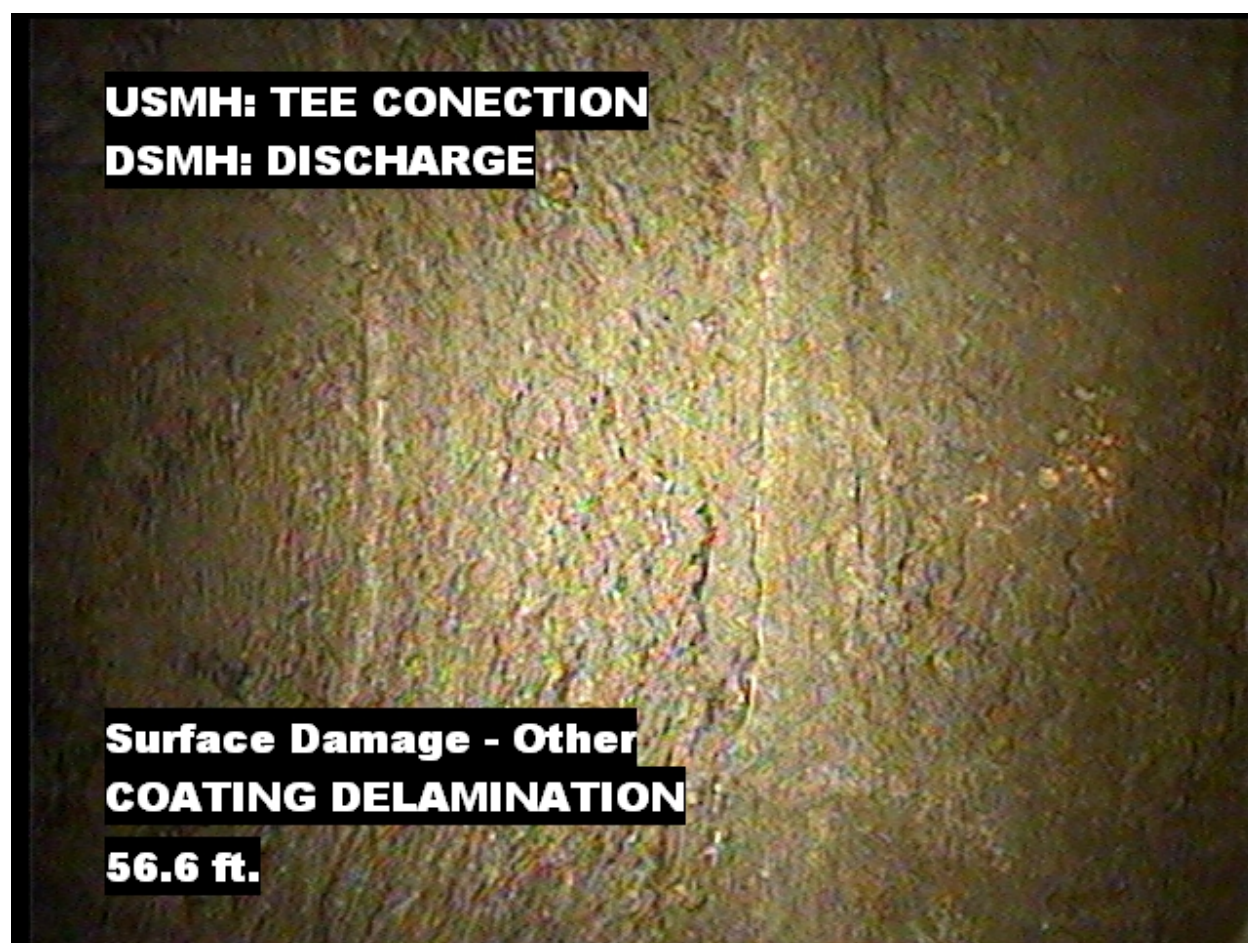
Tee Connection:













**USMH: TEE CONECTION**  
**DSMH: DISCHARGE**

**Surface Damage - Other**  
**COATING DELAMINATION**

**38.1 ft.**

# ACT

14953 W. 101st Terrace  
Lenexa, Kansas 66215  
913-492-1337

June 29, 2023

US Army Corps of Engineers  
601 E. 12th Street  
Kansas City, MO 64106

PROJECT: Clinton Lake liner  
REPORT NO. B-81165

Enclosed please find results for bulk samples submitted to our laboratory for asbestos analysis from the above referenced project.

The asbestos analysis was performed using Polarized Light Microscopy (PLM) with dispersion staining in accordance with the required EPA Interim Method for the Determination of Asbestos in Bulk Insulation Samples, as found in 40 CFR, Part 763, Subpart E, Appendix E.

The asbestos fiber type and percentage are reported. The method of measurement is based on calibrated visual estimation. The data provided herein is related only to those samples submitted for analysis. Samples comprised of **greater than one percent (1%) asbestos** are to be considered an asbestos containing material.


Verification by PLM point counting is available upon request. Due to limitations of the PLM microscope and the matrix of floor tile, any floor tile sample found to contain NO asbestos may be verified by TEM analysis upon the client's request. An additional fee will apply.

If samples submitted are not homogeneous, sub-samples of the components are analyzed separately as layers. A composite result may be requested.

This report may not be used by the client to claim product endorsement by NIST, NVLAP or any agency of the U.S. Government. This report shall not be reproduced, except in full, without the written approval of ACT.

If you have any questions, please contact me at 913-492-1337.

Respectfully submitted,



Tami L. Van  
Laboratory Director



TESTING

NVLAP Lab Code: 101649-0

# Asbestos Bulk Analysis Laboratory Report

Client Name: US Army Corps of Engineers  
Project Name: Clinton Lake liner

REPORT NO.: **B-81165**  
RUSH TAT \_\_\_\_\_

Date collected: 6/28/2023  
Collected by: Eric C

Submitted by: Christopher Kennedy  
Date sample submitted: 6/28/2023

ANALYST: Tami Van

Analysis date: 6/29/2023

Sample No./Lab ID: <u>1 / B81165-1</u>		Location of Material: <u>Lower half pipe liner</u>		
Layer No.: _____		Description of Material: <u>Black cementitious</u>		
<u>Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Fibrous Percentage</u>
<b>NONE DETECTED</b>				Bulk/Binder 100

---

Sample No./Lab ID: <u>2 / B81165-2</u>		Location of Material: <u>Upper half pipe liner</u>		
Layer No.: _____		Description of Material: <u>Black cementitious</u>		
<u>Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Fibrous Percentage</u>
<b>NONE DETECTED</b>				Bulk/Binder 100

---

Sample No./Lab ID: _____		Location of Material: _____		
Layer No.: _____		Description of Material: _____		
<u>Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Fibrous Percentage</u>
				Bulk/Binder

---

Sample No./Lab ID: _____		Location of Material: _____		
Layer No.: _____		Description of Material: _____		
<u>Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Fibrous Percentage</u>
				Bulk/Binder

---

Sample No./Lab ID: _____		Location of Material: _____		
Layer No.: _____		Description of Material: _____		
<u>Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Asbestos Fiber Type</u>	<u>Percentage</u>	<u>Non-Fibrous Percentage</u>
				Bulk/Binder



SECTION TABLE OF CONTENTS

DIVISION 33 - UTILITIES

SECTION 33 11 00

WATER UTILITY DISTRIBUTION PIPING

PART 1 GENERAL

- 1.1 UNIT PRICES
  - 1.1.1 Measurement
  - 1.1.2 Payment
- 1.2 REFERENCES
- 1.3 DEFINITIONS
  - 1.3.1 Water Transmission Mains
  - 1.3.2 Additional Definitions
- 1.4 SUBMITTALS
- 1.5 QUALITY CONTROL
  - 1.5.1 Regulatory Requirements
- 1.6 DELIVERY, STORAGE, AND HANDLING
  - 1.6.1 Delivery and Storage
  - 1.6.2 Handling

PART 2 PRODUCTS

- 2.1 MATERIALS
  - 2.1.1 Pipe, Fittings, Joints And Couplings
    - 2.1.1.1 Steel Piping
      - 2.1.1.1.1 Lining and Coating
        - 2.1.1.1.1.1 Testing
  - 2.1.2 Valves
    - 2.1.2.1 Knife Gate Valves 2 Inch Size and Larger in Valve Pit(s)
    - 2.1.2.2 Rubber-Seated Butterfly Valves
  - 2.1.3 Flow Meter
    - 2.1.3.1 Propeller Type Meters
    - 2.1.3.2 Meter Tube
- 2.2 ACCESSORIES
  - 2.2.1 Dielectric Fittings

PART 3 EXECUTION

- 3.1 INSTALLATION
  - 3.1.1 Piping
    - 3.1.1.1 General Requirements
      - 3.1.1.1.1 Pipe Laying and Jointing
    - 3.1.1.2 Steel Piping
  - 3.1.2 Meters
  - 3.1.3 Valves
    - 3.1.3.1 Knife Gate Valves
    - 3.1.3.2 Butterfly Valves
- 3.2 LINING AND COATING
  - 3.2.1 Surface Preparation
  - 3.2.2 Epoxy Thickness

Reline 24" Pipe and Valve  
Lawrence, KS

W912DQ23B1011

- 3.3 FIELD QUALITY CONTROL
  - 3.3.1 Applied Epoxy System
    - 3.3.1.1 Appearance
    - 3.3.1.2 Cure
    - 3.3.1.3 Total Dry Film Thickness
    - 3.3.1.4 Electrical Continuity Inspection
    - 3.3.1.5 Rejection
  - 3.3.2 Tests
    - 3.3.2.1 Leakage Test
- 3.4 CLEANUP

-- End of Section Table of Contents --

SECTION 33 11 00

WATER UTILITY DISTRIBUTION PIPING

PART 1 GENERAL

1.1 UNIT PRICES

Measurement and payment will be based on completed work performed in accordance with the drawings, specifications, and the Contract payment schedules.

1.1.1 Measurement

The length of water lines will be determined by measuring along the centerlines of the various sizes of pipe provided. Pipe will be measured from center of fitting to center of fitting, and from center of water main to end of service connection. No deduction will be made for the space occupied by valves or fittings.

1.1.2 Payment

Payment will be made for water lines at the Contract unit price per linear foot for the various types and sizes of water lines, and will be full compensation for all pipes, joints, specials, and fittings, complete and in place. Payment for fire hydrants, valves, and valve boxes will be made at the respective Contract unit price each for such items complete and in place. Payment will include providing all testing, plant, labor, and material and incidentals necessary to complete the work, as specified and as shown.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.5	(2020) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
------------	---

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C200	(2012) Steel Water Pipe - 6 In. (150 mm) and Larger
AWWA C207	(2018) Standard for Steel Pipe Flanges for Waterworks Service, Sizes 4 in. through 144 in. (100 mm through 3600 mm)
AWWA C210	(2015) Standard for Liquid Epoxy Coating Systems for the Interior and Exterior of Steel Water Pipelines
AWWA C504	(2015) Standard for Rubber-Seated

Butterfly Valves

AWWA C520	(2015) Knife Gate Valves, Sized 2 In. Through 96 In.
AWWA C600	(2017) Installation of Ductile-Iron Mains and Their Appurtenances
AWWA C604	(2011) Installation of Buried Steel Water Pipe—4 In. (100 mm) and Larger
AWWA C800	(2021) Underground Service Line Valves and Fittings
AWWA M6	(2012) Water Meters - Selection, Installation, Testing, and Maintenance
AWWA M11	(2016) Steel Pipe: A Guide for Design and Installation
AWWA M41	(2009; 3rd Ed) Ductile-Iron Pipe and Fittings

ASTM INTERNATIONAL (ASTM)

ASTM A193/A193M	(2020) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A240/A240M	(2020a) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications
ASTM A276/A276M	(2017) Standard Specification for Stainless Steel Bars and Shapes
ASTM D149	(2020) Dielectric Breakdown Voltage and Dielectric Strength of Solid Electrical Insulating Materials at Commercial Power Frequencies
ASTM D4417	(2021) Standard Test Methods for Field Measurement of Surface Profile of Blast Cleaned Steel

NACE INTERNATIONAL (NACE)

NACE SP0188	(1999; R 2006) Discontinuity (Holiday) Testing of New Protective Coatings on Conductive Substrates
-------------	--

NSF INTERNATIONAL (NSF)

NSF/ANSI 14	(2021) Plastics Piping System Components and Related Materials
NSF/ANSI 61	(2022) Drinking Water System Components -

Health Effects

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC PA 2	(2015; E 2018) Procedure for Determining Conformance to Dry Coating Thickness Requirements
SSPC Paint 16	(2006; R 2015; E 2015) Coal Tar Epoxy-Polyamide Black (or Dark Red) Paint
SSPC SP 1	(2015) Solvent Cleaning
SSPC SP 10/NACE No. 2	(2007) Near-White Blast Cleaning

1.3 DEFINITIONS

1.3.1 Water Transmission Mains

Water transmission mains include water piping having diameters greater than 14 inches, specific materials, methods of joining and any appurtenances deemed necessary for a satisfactory system.

1.3.2 Additional Definitions

For additional definitions refer to the definitions in the applicable referenced standard.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Pipe, Fittings, Joints and Couplings; G, DO

Meter Tube; G, DO

Propeller Type Meters; G, DO

Knife Gate Valves; G, DO

Rubber-Seated Butterfly Valves; G, DO

SD-04 Samples

Panel Immersion Sample; G, DO

SD-06 Test Reports

Leakage Test; G, DO

Paint Dielectric Strength; G, DO

Cathodic Disbondment Test; G, DO

SD-07 Certificates

Pipe, Fittings, Joints and Couplings; G, DO

1.5 QUALITY CONTROL

1.5.1 Regulatory Requirements

Use NSF/ANSI 61 or NSF/ANSI 14 materials for potable water systems to comply with lead free content requirements as defined by the U.S. Safe Drinking Water Act effective January 2014.

1.6 DELIVERY, STORAGE, AND HANDLING

1.6.1 Delivery and Storage

Inspect materials delivered to site for required pipe markings and damage. Unload and store with minimum handling and in accordance with manufacturer's instructions to prevent cuts, scratches and other damage. Store materials on site in enclosures or under protective covering. Store plastic piping, jointing materials and rubber gaskets under cover out of direct sunlight. Do not store materials directly on the ground. Keep inside of pipes, fittings, valves, and other accessories free of dirt and debris or other contaminants.

1.6.2 Handling

Handle pipe, fittings, valves, and other accessories in accordance with applicable AWWA standard, manufacturer's instructions and in a manner to ensure delivery to the trench in sound undamaged condition. Avoid injury to coatings and linings on pipe and fittings; make repairs if coatings or linings are damaged. Do not place other material, hooks, or pipe inside a pipe or fitting after the coating has been applied. Inspect the pipe for defects before installation. Carry, do not drag pipe to the trench. Use of pinch bars and tongs for aligning or turning pipe will be permitted only on the bare ends of the pipe. Clean the interior of pipe and accessories of foreign matter before being lowered into the trench and keep them clean during laying operations by plugging. Replace defective material without additional expense to the Government. Store rubber gaskets, not immediately installed, under cover or out of direct sunlight.

Handle ductile iron pipe, fittings, and accessories in accordance with AWWA C600 and AWWA M41. Handle steel pipe, fittings and accessories in accordance with AWWA C604.

PART 2 PRODUCTS

2.1 MATERIALS

All materials are intended for potable water use unless otherwise indicated.

Provide all materials in accordance with AWWA C800 and as indicated herein. Provide valves and fittings with pressure ratings equivalent to the pressure ratings of the pipe.

## 2.1.1 Pipe, Fittings, Joints And Couplings

Submit manufacturer's standard drawings or catalog cuts, except submit both drawings and cuts for push-on joints. Include information concerning gaskets with submittal for joints and couplings.

### 2.1.1.1 Steel Piping

#### 2.1.1.1.1 Lining and Coating

Coal-Tar Epoxy Coating and Lining: Clean, prime, and topcoat piping with Corps of Engineers Formula C-200a, SSPC Paint 16, coal-tar epoxy system as shown on the Contract Drawings in accordance with AWWA C210.

##### 2.1.1.1.1.1 Testing

The Following prequalification tests shall be submitted for approval prior to coating application.

Panel Immersion Sample - Three steel panels of dimensions 2 in. x 6 in. x 0.25 in. shall be prepared, coated, and cured in accordance with AWWA C210 Sec. 4.4.3. The uncoated side and edges shall be sealed with hot wax or other resistant material. Suitable containers shall be filled to a depth of 4 in. one with deionized water, one with 1 percent by weight solution sulfuric acid, and one with 1 percent by weight solution of sodium hydroxide. The panels shall be placed in the containers to allow exposure to both the liquid and vapor phases of the fluids. If multiple panels are placed in the same container, a distance of 1 in. shall be maintained between panels. The containers shall be covered but not sealed, and allowed to stand at 75°F ± 2°F for 30 days, maintaining liquid levels as required. The panels shall be removed, rinsed, and allowed to dry for 24 hr. Blistering, peeling, or disbondment of the epoxy shall constitute failure to pass the test.

Cathodic disbondment Test - The cathodic disbondment of the coating system shall be determined in accordance with ASTM G8. The test shall run for 30 days. Each specimen shall be a laboratory-coated steel panel with minimum dimensions of 4 in. x 6 in. x 0.25 in. prepared in accordance with AWWA C210 Sec. 4.4. A single intentional holiday 0.25 in. in diameter shall be made in each specimen. The disbondment shall be measured from the edge of the initial holiday along each radial cut. The average of these measurements shall be the result for each specimen. Three specimens shall be tested and the results averaged.

Paint Dielectric Strength - The dielectric strength of the epoxy shall be tested in accordance with ASTM D149 Method A (at 16 mils). Perform five breakdown tests. An average value below the limit of 250 V/mil shall constitute a failure to meet the dielectric strength requirement.

## 2.1.2 Valves

### 2.1.2.1 Knife Gate Valves 2 Inch Size and Larger in Valve Pit(s)

AWWA C520: Nonrising stem type with knife gates and flanged ends with the following specifications:

Size	24-inch
Flange Class	ASME B16.5 Class 150
Body Material	ASTM A240/A240M 304 Stainless Steel
Body Style	Bonnetless
Stem Material	ASTM A276/A276M 316 Stainless Steel
Stem Type	Non-rising
Gate Material	ASTM A240/A240M 304 Stainless Steel
Seat Material	ASTM A240/A240M Stainless Steel
Shutoff	Uni-directional
Service	Isolation
Packing	PTFE
Design Pressure	100 PSIG (MIN.)

Knife Gate valves are nonrising stem type. Bolt and construct stuffing boxes so as to permit easy removal of parts for repair. Provide knife gate valves with 24 Inch handwheels that open by counterclockwise rotation of the valve stem. The handwheel shall be connected to floorstand as shown on the contract drawings. The floorstand shall be made of cast steel or cast iron and factory protective coated with a gate position indicator. Bearings shall be self-lubricating. Stem and extension shall be 1-1/4 inch minimum steel shaft. Drive adapter for the valve shall be fabricated tubular type or manufacturer's standard type suitable for the rising stem valve with the 2 Inch drive nut. The floorstand shall be equipped with a hasp and chain for locking. Chain and hasp shall be 3/8 Inch minimum material diameter.

The use of spool pieces to achieve the required face-to-face distance is permitted with approval.

Flange studs shall be ASTM A193/A193M Grade 7, 1-1/4" Dia., 6-3/4" Length, per ASME B16.5.

#### 2.1.2.2 Rubber-Seated Butterfly Valves

AWWA C504: Butterfly valve and flanged ends with the following specifications:

Size	24-inch
Flange Class	ASME B16.5 Class 150
Shaft Material	ASTM A240/A240M 304 Stainless Steel
Bearings	Non-lubricated
Disc Material	ASTM A240/A240M 304 Stainless Steel



Size	24-inch
Valve Seat	Buna-N
Packing	PTFE
Body Type	Wafer
Design Pressure	100 PSIG (MIN.)

Provide Rubber-Seated Butterfly Valves and wafer type valves that match the performance requirements of AWWA C504. Wafer type valves not meeting laying length requirements are acceptable if supplied and installed with a spacer, providing the specified laying length. Meet all tests required by AWWA C504. Provide a geared valve box, means for manual operation. Provide valve operators that restrict closing to a rate requiring approximately 60 seconds, from fully open to fully closed. The valve operator shall have a simple mechanical method so that the disc can be locked in any position.

Flange studs shall be ASTM A193 Grade 7, 1-1/4" Dia., 6-3/4" Length, per ASME B16.5.

#### 2.1.3 Flow Meter

Submit certificates certifying all required and recommended tests set forth in the referenced standard and AWWA M6 have been performed and comply with all applicable requirements of the referenced standard and AWWA M6 within the past three years. Include certification that each meter has been tested for accuracy of registration and that each meter complies with the accuracy and capacity requirements of the referenced standard when tested in accordance with AWWA M6.

Include a register with all meters whether they are or are not connected to a remote reading system.

##### 2.1.3.1 Propeller Type Meters

Procure a replacement electronic flow meter with the following specifications:

Type	Electronic propeller meter
Range	0-35 Cubic Feet per Second
Accuracy	+/-2% (MAX.)
Environmental Rating	NEMA 4X
Display	Digital
Power	Photoelectric with battery backup
Remote Cable	30 Feet (MIN.)

Type	Electronic propeller meter
Units	Cubic Feet per Second (CFS)
Cover Material	Cast Iron
Coating	Factory applied epoxy paint

#### 2.1.3.2 Meter Tube

The Contractor shall procure and install a Meter Tube with Saddle as shown on S-107. The Meter Tube shall be from the same manufacturer as the Flow Meter or approved equal. The Meter Tube shall be a 22-inch DN Extra Strong fabricated steel pipe in accordance with AWWA C200. The 22-inch pipe shall be fitted with 24-inch 150# AWWA C207 flanged ends. Once the final, relined, inner diameter of the 24-inch supply line has been reached, the Contractor shall chamfer each end of the Meter Tube to 22.5-degrees to match the ID of the lined 24-inch pipe to a tolerance of 0.1-inch

The Tube shall be factory fitted with three (3) internally mounted straightening vanes. The vanes shall be equally spaced, symmetric, and trapezoidal in shape to resist clogging from debris.

The Meter Tube shall have a factory installed Dresser Style 38 flexible coupling with neoprene seals or approved equal. The Meter Tube shall span the distance from the 24-inch knife valve and the upstream 24-inch pipe flange without the use of additional spool pieces. The Meter Tube shall be factory coated with an anti-corrosive paint system for use in damp enclosed areas or coal tar epoxy as specified in Part 2 Products.

The Saddle shall be fabricated steel with a AWWA C207 Flange Compliant bolt pattern. Strap on style saddles are not approved for use.

Saddle flange fasteners shall be ASTM A193 Grade 7 or better. Tube flange studs shall be ASTM A193 Grade 7, 1-1/4" Dia., 6-3/4" Length, per ASME B16.5.

## 2.2 ACCESSORIES

### 2.2.1 Dielectric Fittings

Dielectric isolation must be provided where dissimilar metals are used for connection and support.

## PART 3 EXECUTION

### 3.1 INSTALLATION

Install all materials in accordance with the applicable reference standard, manufacturers instructions and as indicated herein. The Contractor is permitted to use the access port as shown on C-101 and annotated on S-101 to complete valve installation and/or pipe rehabilitation.

#### 3.1.1 Piping

##### 3.1.1.1 General Requirements

Install pipe, fittings, joints and couplings in accordance with the applicable referenced standard, the manufacturer's instructions and as

specified herein.

#### 3.1.1.1.1 Pipe Laying and Jointing

Remove fins and burrs from pipe and fittings. Before placing in position, clean pipe, fittings, valves, and accessories, and maintain in a clean condition. Provide proper facilities for lowering sections of pipe into trenches. Under no circumstances is it permissible to drop or dump pipe, fittings, valves, or other water line material into trenches. Cut pipe cleanly, squarely, and accurately to the length established at the site and work into place without springing or forcing. Replace a pipe or fitting that does not allow sufficient space for installation of jointing material. Support pipe at the design elevation and grade. Secure firm, uniform support. Wood support blocking is not permitted.

#### 3.1.1.2 Steel Piping

Unless otherwise specified, install pipe and fittings in accordance with AWWA C604 and AWWA M11, Chapter 12, "Transportation, Installation, and Testing."

#### 3.1.2 Meters

Install meters and meter boxes at the locations shown on the drawings. Center meters in the boxes to allow for reading and ease of removal or maintenance. Set top of box or vault at finished grade.

#### 3.1.3 Valves

##### 3.1.3.1 Knife Gate Valves

Install gate valves, AWWA C520 in accordance with the requirements of AWWA C600 for valve-and-fitting installation. Bolt all all flanges in accordance with ASME B16.5

##### 3.1.3.2 Butterfly Valves

Install gate valves, AWWA C504 in accordance with the requirements of AWWA C600 for valve-and-fitting installation. Bolt all all flanges in accordance with ASME B16.5

#### 3.2 LINING AND COATING

The pipe coating and lining processes shall be performed in accordance with the manufacturer's recommendations and AWWA C210.

The Coal Tar Epoxy touch up area is located inside of the 36-inch line around the newly installed access port, as marked on the drawings, where the existing coal tar is missing and/or damaged.

##### 3.2.1 Surface Preparation

Prior to abrasive blast cleaning, surfaces shall be inspected and, if required, cleaned according to SSPC SP 1 to remove oil, grease, or other foreign matter. Preheating to remove oil, grease, mill scale, water, and ice may be used, provided the pipe is preheated in a uniform manner to avoid distorting the pipe.

Pipe surfaces shall be abrasive blast cleaned in accordance with

SSPC SP 10/NACE No. 2. The blast anchor pattern or profile depth shall be 2.0 mils to 4.0 mils measured in accordance with ASTM D4417. When using steel abrasives, a sufficient quality of steel grit shall be provided to ensure an angular blast profile is achieved.

### 3.2.2 Epoxy Thickness

The minimum dry film thickness (DFT) provided shall be 16 mils. The maximum DFT of the coating and lining shall conform to the manufacturer's recommendation unless otherwise specified.

## 3.3 FIELD QUALITY CONTROL

### 3.3.1 Applied Epoxy System

#### 3.3.1.1 Appearance

All coated or lined pipe shall be visually inspected. The epoxy shall be generally smooth and free of sharp protrusions. A minor amount of sags, dimpling, scuffing, and curtaining that does not exceed 10 percent of the surface shall not be considered cause for rejection. The epoxy shall have no blisters, cracks, bubbles, delaminations, or any other visible defects. There shall be no wet or sticky areas in the epoxy. All imperfections shall be identified and repaired according to AWWA C210 Sec. 4.5.

#### 3.3.1.2 Cure

The epoxy manufacturer shall be consulted to ascertain the proper cure time and methods. The cure time shall be seven (7) days minimum before returning the line to service. The cure test shall be performed in accordance with the solvent rub procedures as outlined in ASTM D5402. An epoxy system that has not cured in accordance with the manufacturer's instructions shall be rejected. After curing the epoxy shall be a continuous film, free of thin spots and other imperfections as defined in para. 3.3.1.1, and shall pass electrical inspection for continuity defined in AWWA C210 Sec. 5.5.4.

#### 3.3.1.3 Total Dry Film Thickness

Total dry film thickness shall be tested in accordance with SSPC PA 2, Level 2. Total epoxy thickness below that stated in Table 2 shall constitute failure of the coating or lining to meet the requirements. Maximum total dry film thickness is determined by the manufacturer's recommendation. Total dry film thickness on the inside diameter (ID) of small-diameter pipe shall be tested as reasonably accessible.

#### 3.3.1.4 Electrical Continuity Inspection

Electrical continuity inspection shall be conducted in accordance with NACE SP0188 any time after the epoxy has reached a "cure to handle" state as recommended by the epoxy manufacturer but prior to installation. The voltage setting shall be in accordance with the manufacturer's recommendation except the minimum shall be 100 V/mil based on the minimum specified epoxy thickness. Any holidays indicated by the detector shall be marked to identify the area to be repaired in accordance with AWWA C210 Sec. 4.5.

#### 3.3.1.5 Rejection

The Government may reject any pipe or fitting if the surface condition does not comply with the requirements of Part 2. Pipe or fittings rejected because of inadequate cleaning shall be recleaned.

If any sample of epoxy material does not comply with this standard, the epoxy materials represented by the sample shall be rejected.

The application work shall be performed by personnel trained in the epoxy application process. If, at any time, it is determined that the procedure of applying the epoxy material is not according to this standard, the epoxy shall be rejected on the affected pipe and fittings.

Finished work not meeting the minimum requirements of this Section shall be repaired or rejected.

#### 3.3.2 Tests

Notify the Contracting Officer a minimum of five days in advance of hydrostatic testing. Coordinate the proposed method for disposal of waste water from hydrostatic testing. Perform field tests, and provide labor, equipment, and incidentals required for testing. Provide documentation that all items of work have been constructed in accordance with the Contract documents.

##### 3.3.2.1 Leakage Test

For leakage test, use a hydrostatic pressure not less than the maximum working pressure of the system. Leakage test may be performed at the same time and at the same test pressure as the pressure test.

#### 3.4 CLEANUP

Upon completion of the installation of water lines and appurtenances, remove all debris and surplus materials resulting from the work.

-- End of Section --

**This page was intentionally left blank for duplex printing.**