

# **SPECIFICATIONS**

## **(For Construction Contract)**

**Solicitation Number W9128F23B0001**

---

# **Powerhouse Unwatering Sump Valve Actuators, Piping, Ladder, and Platform Replacement Big Bend Dam, SD**

**September 2022**



**US Army Corps  
of Engineers**  
Omaha District

**This page was intentionally left blank for duplex printing.**

## PROJECT TABLE OF CONTENTS

### DIVISION 01 - GENERAL REQUIREMENTS

01 11 00	SUMMARY OF WORK
01 12 00	CONSTRUCTION GENERAL
01 20 00	PRICE AND PAYMENT PROCEDURES
01 30 00.24	OTHER ADMINISTRATIVE AND SPECIAL REQUIREMENTS
01 32 01.00 10	PROJECT SCHEDULE
01 33 00	SUBMITTAL PROCEDURES
01 35 26	GOVERNMENTAL SAFETY REQUIREMENTS
01 45 00.00 10	QUALITY CONTROL
01 45 00.15 10	RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE (RMS CM)
01 57 20.00 10	ENVIRONMENTAL PROTECTION
01 78 23	OPERATION AND MAINTENANCE DATA

### DIVISION 02 - EXISTING CONDITIONS

02 82 00	ASBESTOS REMEDIATION
02 83 00	LEAD IN CONSTRUCTION

### DIVISION 05 - METALS

05 05 20.00 27	POST-INSTALLED CONCRETE ANCHORS
05 12 00	STRUCTURAL STEEL
05 50 13	MISCELLANEOUS METAL FABRICATIONS
05 51 33	METAL LADDERS
05 52 00	METAL RAILINGS

### DIVISION 22 - PLUMBING

22 15 14.00 40	SERVICE COMPRESSED-AIR SYSTEMS, LOW PRESSURE (125 PSI MAX)
----------------	--

### DIVISION 23 - HEATING, VENTILATING, AND AIR CONDITIONING (HVAC)

23 64 26	SUMP PUMP PIPING SYSTEMS
----------	--------------------------

-- End of Project Table of Contents --

**This page was intentionally left blank for duplex printing.**

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 11 00

SUMMARY OF WORK

PART 1 GENERAL

- 1.1 PROJECT DESCRIPTION
- 1.2 REFERENCES
- 1.3 SCOPE OF WORK
- 1.4 LOCATION
- 1.5 SPECIAL REQUIREMENTS DURING CONTRACT WORK
- 1.6 EXISTING WORK

PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

## SECTION 01 11 00

## SUMMARY OF WORK

## PART 1 GENERAL

## 1.1 PROJECT DESCRIPTION

The work includes demolition and installation of various items including but not limited to ladders, fall protection devices, platforms, structural frames, guardrails, piping, piping accessories, motorized valve actuators, floor operator stand, valve extension stems, stem guides and guide bearings associated with unwatering sump system in Big Bend powerhouse. It shall be noted that the primary work area (unwatering sump) is categorized as a Permit-Required Confined Spaces (PRCS). It shall be the contractor's responsibility to provide all necessary personnel, equipment, air monitoring devices, communication devices, competent persons, and appropriate ventilation systems during the work in this area. All work shall be performed in accordance with U.S. ARMY CORPS OF ENGINEERS (USACE) EM 385-1-1, Safety and Health Requirements Manual and all applicable codes and standards including OSHA requirements. Contractor must coordinate with Big Bend project staff, and meet all additional Big Bend project specific safety requirements.

## 1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety -- Safety and Health Requirements Manual

## 1.3 SCOPE OF WORK

The following is a list of the major work items included in this Contract. All items listed here are described in greater details throughout the rest of the technical sections and bridging drawings. The work includes but not limited to the following:

- a. Remove and dispose existing ladders, guardrails, platforms, and structural frames in unwatering sump. (During this work, many items such as piping, valve stems, stem guides with guide bearings, and other items will need to be temporarily braced and/ or removed, salvaged, and reinstalled after installation of new ladders, platforms, and frames.)
- b. Remove and dispose existing 1-1/4" compressed air piping (approx. 100 linear ft), and all 1/4" plastic tubing (approx. 100 linear ft/tubing-previously used for bubbler control) in unwatering sump. (Contractor to field verify tubing to be removed with Big Bend project personnel prior to removal).
- c. Remove existing motorized valve actuators U-1, 3, 4, 5, and 6 from the operator floor of the unwatering sump. All removed actuators

must be turned over to government.

d. Remove and dispose one (1) existing 18" x 18"x 24" cast iron tee pipe fitting, anchoring hardware, and grout pad at the bottom of the unwatering sump. (Note that flanges on the existing tee may contain asbestos gaskets, and lead based paint on the body of the tee. Provide proper remediation of asbestos containing gaskets and lead base painted materials during the removal and disposal of such items-see specification sections 02 82 00 and 02 83 00 for additional and detailed requirements).

e. Provide new cast iron tee, replicating the existing side anchorage design, grout pad, anchoring devices and hardware, and complete the required piping connections with new gaskets.

f. Provide new ladders, guardrails, fall protection devices, platforms, and structural frames. Reinstall temporarily braced and / or salvaged items back to original locations or on new structural frames.

g. Provide new 1-1/4" compressed air piping (approx. 100 linear ft), piping accessories, and service taps (two(2) locations).

h. Provide new motorized valve actuators (total 5 ea.) for the existing valves (12" and 18" resilient wedge type gate valves).

i. Provide new floor operator stand, valve extension stem (approx. 60 linear ft.), stem guides and self lubricated guide bearings for existing 6" ball valve.

j. Provide Operation and Maintenance training for equipment provided with O&M manuals (electronic .pdf format).

#### 1.4 LOCATION

The work area is located at the Big Bend Dam Powerhouse, located near Ft. Thompson, South Dakota.

#### 1.5 SPECIAL REQUIREMENTS DURING CONTRACT WORK

The unwatering sump system capabilities must be maintained from September 1st through December 15th and March 1st through May 15th. This will require that the 18" x 18" x 24" tee fitting to be in service during these time frames including motorized valve actuators for associated valves connected to this tee and pump systems. The existing tee and piping must not be disturbed until all new parts are available and onsite, fit-ups have been verified to minimize the system downtime. Other work in the sump associated with the ladders, frames, platforms, or compressed air piping may be performed during these time frames.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, roadway, parking lots, corridors, and stairways.

#### 1.6 EXISTING WORK

In addition to "FAR 52.236-9, Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements":

- a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.
- b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 12 00

CONSTRUCTION GENERAL

PART 1 GENERAL

- 1.1 SCOPE
- 1.2 CONSTRUCTION RIGHT-OF-WAY
- 1.3 PROTECTION OF EXISTING FACILITIES AND WORKS
  - 1.3.1 Dust Control and Removal
- 1.4 CARE OF WATER
- 1.5 DISPOSITION OF CONSTRUCTION FACILITIES
- 1.6 COOPERATION WITH OTHER CONTRACTORS
- 1.7 ORDER OF WORK
- 1.8 COORDINATION AND OUTAGES
- 1.9 UNLOADING, HANDLING AND STORAGE
- 1.10 SUBMITTALS
- 1.11 WARRANTY OF CONSTRUCTION (MAR 1994)
- 1.12 TELEPHONE/INTERNET
- 1.13 USE OF GOVERNMENT EQUIPMENT
  - 1.13.1 Powerhouse Bridge Crane Operation
  - 1.13.2 Working Hours
  - 1.13.3 Hours of Use and Overtime Payment
- 1.14 Restrooms
- 1.15 Trash Disposal
- 1.16 AS-BUILT DRAWINGS
- 1.17 FEDERAL HOLIDAYS AND WORKING HOURS

PART 2 NOT USED

PART 3 NOT USED

-- End of Section Table of Contents --

## SECTION 01 12 00

## CONSTRUCTION GENERAL

## PART 1 GENERAL

## 1.1 SCOPE

The work covered in this section is outlined as a statement of construction requirements common to all the work. Specific requirements for materials and installations are provided under the Technical Sections herewith. No claims for extras shall be made on account of items presumed to have been omitted from this section.

## 1.2 CONSTRUCTION RIGHT-OF-WAY

The Contractor will be assigned working areas or working right-of-way limits for use in the prosecution of work under this contract, subject to the SECTION 00 72 00, GENERAL CONDITIONS (CONTRACT CLAUSES) clause entitled "Operations and Storage Areas."

## 1.3 PROTECTION OF EXISTING FACILITIES AND WORKS

The Contractor shall be responsible for the protection of the work area from damage and upon completion of the work shall leave existing works in a condition equal to that which existed when the work started. All work, storage of materials, and construction plant shall be kept within the limits of the areas assigned. Prior to construction operations, the Contractor shall confer with the Contracting Officer's representative to determine the proximity of any possible under-ground obstructions, pipe or equipment which could be damaged as a result of construction operations. Existing utility lines that are shown on the drawings or the locations are otherwise made known to the Contractor shall be protected from damage, and if damaged, shall be repaired by the Contractor at no additional expense to the Government. In the event that the Contractor damages any existing utility lines that are not shown or the locations of which have not been made known to the Contractor, the Contractor shall immediately notify the Contracting Officer. The Contracting Officer will review the information and discuss with the Contractor how to proceed. The Contractor will be responsible for the protection of structures from any structural damage during the construction operations. Roads and surfaces shall be protected from damage by the work or if damaged shall be repaired with equal materials at no additional expense to the Government. At all times the plant and work areas shall be kept in a condition conducive to safety of workmen and the public and neat in appearance. Waste or surplus materials shall not be allowed to accumulate in the construction areas.

## 1.3.1 Dust Control and Removal

Special measures shall be taken to minimize air-borne dust in work areas. Dust shall not be allowed to accumulate about the powerhouse in general. Return air ducts for heating, ventilating and air conditioning shall be blocked, where practicable, or equipped with filters in areas where dust is being produced or agitated. Removal of dust shall be by sweeping with dust wetted down or overlain with sweeping compound, or by vacuum cleaner

as approved. Where air-borne dust in objectionable amount is unavoidable, equipment, which contain commutators, contactors, bearing, etc., shall be covered. Adequate air filters shall be provided in covers over equipment which requires circulation of air while operating. Dust shall be removed daily on a continuing basis during the life of this contract. Dust shall be removed as directed from the interior of equipment housed in cabinets by method approved by the Contracting Officer.

#### 1.4 CARE OF WATER

Full responsibility for care of water shall be borne by the Contractor until completion of work under this contract. The Contractor shall provide the materials and equipment and perform all work necessary to facilitate construction and to protect the work from damage by water. The Contractor shall make the needed investigations and determinations of conditions, both existing and anticipated concerning care of water. Plans for care of water are subject to approval by the Contracting Officer prior to construction. Facilities shall be removed upon completion of the work.

#### 1.5 DISPOSITION OF CONSTRUCTION FACILITIES

All buildings and facilities constructed by the Contractor shall be maintained in a satisfactory condition with strict observance of the rules of sanitation, safety and order as may be established by the Contracting Officer. Prior to final payment under the contract, all buildings and facilities constructed by the Contractor for the Contractor's use shall be removed from the site by the Contractor.

#### 1.6 COOPERATION WITH OTHER CONTRACTORS

The Contractor shall cooperate and coordinate work with that of others working in the area during the life of this contract. The Contractor shall coordinate work with others to avoid undue interference and shall conduct operations, other than approved required access, within the limits of the assigned construction area or construction right-of-way limits. The Contractor shall cooperate with others as necessary in the interest of timely completion of all work and in the event of disagreement the decision of the Contracting Officer shall be final.

#### 1.7 ORDER OF WORK

##### (a) General:

The purpose of this paragraph is to outline specific requirements for the times for constructing and completing the various features of work. This is necessary so that other work may begin while this contract is in force and to make certain features available for usage prior to completion of the total work. The specific features shall be scheduled for priority construction so that the dates specified for completion will be met.

For this contract the unwatering system capabilities must be ensured from

Sept 1 through Dec. 15 and March 1st through May 15th. This will require that the 18"x18"x24" Tee is in service during these time frames to include valve operators for associated valves connected to this Tee. The existing tee and piping will not be disturbed until all parts are available and onsite to minimize downtime. Other work in the sump with the ladders and platforms could take place during these time frames however.

#### 1.8 COORDINATION AND OUTAGES

The Contractor's employees shall not open, close or tamper with switches, valves or control devices for existing installed equipment. Only Government operating personnel will be authorized to open or close existing switches, valves and control devices to enable the Contractor to make connections or modifications to existing equipment. Work shall be coordinated and scheduled to reduce the "Down" time of operating equipment or systems to a minimum.

#### 1.9 UNLOADING, HANDLING AND STORAGE

##### (a) Unloading:

The Contractor shall be responsible for prompt acceptance on delivery, and shall pay all demurrage charges for delay in release of railroad cars. When practical the Contractor shall check material and equipment received against shipping lists; however, the Contractor shall not open shipments which are provided with protective coverings for storage until such materials and equipment are needed unless the shipment indicates possible damages. Protective coverings on damaged shipments shall be replaced or repaired by the Contractor after inspection. Shipments which are stored when received shall be opened when needed and checked against the shipping list in the presence of the Contracting Officer's representative. Any shortage shall be reported to the Contracting Officer.

##### (b) Handling:

The Contractor shall exercise due care in the unloading, hauling and handling of materials and equipment and shall make use of special handling means provided by the manufacturer. Care shall be taken not to damage materials and equipment during handling.

##### (c) Storage:

The Contractor shall be responsible for the care and storage of material and equipment including Government-furnished property and they shall be stored in a suitable manner to protect them from the elements, pilfering, distortion, or any other damage. Immediately after unloading Government-furnished items, all spare parts and maintenance items not required for installation shall be clearly tagged or otherwise identified and shall be stored where directed by the Contracting Officer. If these items cannot be clearly ascertained during unloading of large crates and boxes, delivery to the Government storage area may be delayed until such are individually inspected. All costs incurred by the transfer of spare

parts to permanent storage shall be included in the applicable contract price.

#### 1.10 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

Proposed Methods of Operation; G-AO

Progress Charts; G-AO

Construction Right-of-Way

(Right-of-Way Agreements)

##### SD-02 Shop Drawings

Care of Water; G-AO

##### SD-11 Closeout Submittals

Warranty of Construction

(List of warranties with copy of each)

#### 1.11 WARRANTY OF CONSTRUCTION (MAR 1994)

(a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.

(b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.

(c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--

(1) The Contractor's failure to conform to contract requirements; or

(2) Any defect of equipment, material, workmanship, or design furnished.

(d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to

work repaired or replaced will run for 1 year from the date of repair or replacement.

(e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.

(f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--

(1) Obtain all warranties that would be given in normal commercial practice;

(2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and

(3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.

(h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

(i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.

(j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud. (FAR 52.246-21)

#### 1.12 TELEPHONE/INTERNET

The Contractor is responsible for arranging telephone/Internet service for the Contractor's trailer through the local telephone company.

#### 1.13 USE OF GOVERNMENT EQUIPMENT

##### 1.13.1 Powerhouse Bridge Crane Operation

Government personnel only will operate the powerhouse bridge crane. The Contractor will be responsible for furnishing and performing all rigging for all lifts. The Government must inspect and approve all rigging prior to performing the lift. The Contractor will be responsible for all off-loading, movement, and loading of required construction equipment. Equipment will be lowered to and from the working level with the powerhouse bridge crane.

### 1.13.2 Working Hours

The Contractor shall properly prepare and plan all lifts such that Government operators are required for a minimum number of occasions. Use of the crane will only be during normal Government working hours. A crane operator will not be furnished on a standby basis. The Contractor shall coordinate with the powerplant superintendent, in writing 24 hours in advance, the need for a crane operator. The Government will operate the crane during the normal daytime working hours of 6:30 am to 3:30 pm Monday thru Thursday

### 1.13.3 Hours of Use and Overtime Payment

The Contractor will be allowed 8 hours of crane time during the life of the Contract. If more than 8 hours is required, the Contractor will be charged \$100.00 per hour for the additional use.

### 1.14 Restrooms

The Contractor will be allowed to use the powerhouse restroom facilities designated for their use. The contractor shall negotiate with the existing janitorial contractor a monthly fee for additional cleaning and supplies to maintain these facilities during their use. This fee in the past as ranged from \$100-200 per month to be paid directly to the janitorial contractor.

The Contractor will be allowed to use the powerhouse sanitation facilities designated for their use. The Contractor and his staff are expected to be respectful of the restroom privileges. Should the restroom privileges be abused, the Contractor will be required to bring portable toilets for his staff.

### 1.15 Trash Disposal

The contractor will be responsible for providing their own trash disposal dumpster and paying for the removal services.

### 1.16 AS-BUILT DRAWINGS

The Contractor shall provide a marked-up half size drawing to show actual work performed to include necessary sketches, modification drawings, shop drawings and notes. Red ink is to be used for additions and deviations from the contract. Green ink is to be used to indicate work deleted from the contract. The drawings shall be maintained by the Contractor as the work progresses and available for review by the government representatives. The as-built drawings shall be submitted for approval at the completion of the project. The completed set shall be available at time of final inspection.

In accordance with the clause "Payment Under Fixed - Price Construction Contracts", \$5,000 will be withheld from payment for the creation of As-Built Drawings until Final As-Built Drawings are delivered to and accepted by the COR.

### 1.17 FEDERAL HOLIDAYS AND WORKING HOURS

The Contractor will have access to the facility for work during normal plant business hours from 0630 to 1630 hrs Monday through Friday,

excluding any federally recognized holidays or observance days. The Contractor shall plan all work accordingly. These hours will be strictly adhered to unless the Government determines work outside this time is beneficial to the Government or a bilateral modification for extended working hours is executed.

The following Federal legal holidays are observed by this installation:

New Year's Day	1 January
Martin Luther King's Birthday	Third Monday in January
President's Day	Third Monday in February
Memorial Day	Last Monday in May
Juneteenth	19 June
Independence Day	4 July
Labor Day	First Monday in September
Columbus Day	Second Monday in October
Veterans Day	11 November
Thanksgiving Day	Fourth Thursday in November
Christmas Day	25 December

If the wage determination has a discrepancy with the above list of observed Federal holidays, then the wage determination takes precedence.

PART 2 NOT USED

PART 3 NOT USED

-- End of Section --

SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES

PART 1 GENERAL

- 1.1 GENERAL INFORMATION
- 1.2 PAYMENTS TO THE CONTRACTOR
  - 1.2.1 Obligation of Government Payments
  - 1.2.2 Payment for Onsite and Offsite Materials
- 1.3 RETAINAGE OF PAYMENT
- 1.4 JOB PAYMENT ITEMS
- 1.5 BASE BID ITEMS
  - 1.5.1 CLIN 0001
  - 1.5.2 CLIN 0002
  - 1.5.3 CLIN 0003

PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

## SECTION 01 20 00

## PRICE AND PAYMENT PROCEDURES

## PART 1 GENERAL

## 1.1 GENERAL INFORMATION

a. The following measurement and payment paragraphs constitute full compensation for all work incidental to completion of the work under those terms. In the event any work is required by the specifications sections or by the drawings and not specifically mentioned in the measurement and payment paragraphs, separate or direct payment will not be made and all costs thereof are incidental to and included in the Contract prices and payments for all items listed in the price schedule. The word "provided" means "furnished and installed" when used in this Section or elsewhere in the technical Sections.

b. In each instance the Contract price for an item will constitute full compensation as herein specified, as shown, or as otherwise approved. The Contract price and payment will also constitute full compensation for all work incidental to completion of the item, unless such work is otherwise specifically mentioned for separate payment under another line item. In the event any work is required by the specification Sections or by the drawings and not specifically mentioned in the measurement and payment paragraphs, separate or direct payment will not be made and all costs thereof are incidental to and included in the Contract prices and payments for all items listed in the schedule. Payment for work activities involving lead based paint will be incidental to the related Contract Line Item Number (CLIN).

## 1.2 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

## 1.2.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this Contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR 32.103 Progress Payments Under Construction Contracts:

- a. Reasonable deductions due to defects in material or workmanship;
- b. Claims which the Government may have against the Contractor under or in connection with this Contract;
- c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and
- d. Failure to maintain accurate "as-built" or record drawings in

accordance with FAR 52.236.21.

#### 1.2.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the Contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

- a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.
- b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment must be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, non-magnetic steel, equipment, machinery, pipe and fittings. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, and insulation.
- c. Materials to be considered for progress payment prior to installation must be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with price schedule of this Contract. Requests for progress payment consideration for such items must be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 Payments Under Fixed-Price Construction Contracts have been met.
- d. Materials are adequately insured and protected from theft and exposure.
- e. Provide a written consent from the company with each payment request for offsite materials.
- f. Materials to be considered for progress payments prior to installation must be stored either in the Continental United States.
- g. Materials in transit to the job site or storage site are not acceptable for payment.

#### 1.3 RETAINAGE OF PAYMENT

Retainage in the amount of \$10,000 will be withheld until all final submittals including Operations and Maintenance Manuals and as-built drawings have been approved by the Government.

#### 1.4 JOB PAYMENT ITEMS

Payment items for the work of this Contract for which Contract job payments will be made are listed in the PRICE SCHEDULE and described below. Include all costs for items of work, which are not specifically mentioned to be included in a particular job or unit price payment item, in the listed job item most closely associated with the work involved. The job price and payment made for each item listed constitutes full compensation for furnishing all plant, labor, materials, and equipment, and performing any associated Contractor quality control, environmental protection, meeting safety requirements, and for performing all work required for which separate payment is not otherwise provided.

## 1.5 BASE BID ITEMS

Base Bid Items for the work of this contract are listed in the SCHEDULE and described below. The Base Bid Items include Single Job Payment Items.

## 1.5.1 CLIN 0001

This CLIN will be measured for payment as a complete pay item (JOB). Payment shall constitute full compensation for all plant, material, equipment, and labor to complete structural work items including but not limited to replacement of existing ladders, guardrails, platforms, and structural frames and providing a new platform (w/structural frame) and new ladder fall protection systems in unwatering sump.

## 1.5.2 CLIN 0002

This CLIN will be measured for payment as a complete pay item (JOB). Payment shall constitute full compensation for all plant, material, equipment, and labor to complete mechanical work items including but not limited to replacement of motorized valve actuators, compressed air piping and pipe supports, cast iron tee, grout pad, anchoring hardware, and providing new valve floor stand, extension stem, stem guides and guide bearings. .

## 1.5.3 CLIN 0003

This CLIN will be measured for payment as a complete pay item (JOB). Payment shall constitute full compensation for all remaining work. The work for this item shall include but not be limited to mobilization and demobilization; general clean-up; and any other incidental and customarily performed work needed to complete work not covered by CLIN 0001 and CLIN 0002.

## PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

Not Used

-- End of Section --

## SECTION TABLE OF CONTENTS

## DIVISION 01 - GENERAL REQUIREMENTS

## SECTION 01 30 00.24

## OTHER ADMINISTRATIVE AND SPECIAL REQUIREMENTS

## PART 1 GENERAL

- 1.1 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE
- 1.2 CONTRACTOR SUPPLY AND USE OF ELECTRONIC SOFTWARE FOR PROCESSING  
CONSTRUCTION WAGE RATE REQUIREMENTS STATUTE CERTIFIED LABOR  
PAYROLLS
- 1.3 VETERANS EMPLOYMENT EMPHASIS FOR U.S. ARMY CORPS OF ENGINEERS  
CONTRACTS
- 1.4 CONTRACTOR PERFORMANCE EVALUATIONS
- 1.5 ANTITERRORISM (AT)/OPERATIONS SECURITY (OPSEC) PROVISIONS
- 1.6 COMPUTING COMPLETION DATES FOR NON-WORK PERIOD
- 1.7 CONTRACT DRAWINGS AND SPECIFICATIONS
  - 1.7.1 SETS FURNISHED
  - 1.7.2 DISTRIBUTION
  - 1.7.3 NOTIFICATION OF DISCREPANCIES
  - 1.7.4 OMISSIONS
- 1.8 SUBMITTALS
- 1.9 PAYMENT
  - 1.9.1 PROMPT PAYMENT ACT
  - 1.9.2 PAYMENT FOR MATERIALS STORED OFFSITE
- 1.10 AVAILABILITY AND USE OF UTILITY SERVICES
- 1.11 TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER
- 1.12 INSURANCE REQUIRED
- 1.13 CONTRACTOR QUALITY CONTROL (CQC)
- 1.14 NONDOMESTIC CONSTRUCTION MATERIALS
- 1.15 DAILY WORK SCHEDULES AND WEEKLY COORDINATION MEETINGS
- 1.16 ASBESTOS AND LEAD
- 1.17 PARTNERING
- 1.18 PROFIT
- 1.19 LABOR CONDITIONS APPLICABLE TO TEMPORARY FACILITIES
- 1.20 DRAWING SCALES

## PART 2 NOT USED

## PART 3 NOT USED

-- End of Section Table of Contents --

## SECTION 01 30 00.24

## OTHER ADMINISTRATIVE AND SPECIAL REQUIREMENTS

## PART 1 GENERAL

## Attachments:

## 1.1 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE

In accordance with FAR 31.105(d)(2)(i)(b), for the predetermined schedule of construction equipment use rates, use Engineer Pamphlet (EP) 1110-1-8, Construction Equipment Ownership and Operating Expense Schedule. Copies of each regional schedule may be obtained through the following internet site:

<https://www.publications.usace.army.mil/USACE-Publications/Engineer-Pamphlets/>  
on pages 10 and 11 of 13.

1.2 CONTRACTOR SUPPLY AND USE OF ELECTRONIC SOFTWARE FOR PROCESSING  
CONSTRUCTION WAGE RATE REQUIREMENTS STATUTE CERTIFIED LABOR PAYROLLS

a. Use a commercially-available electronic system to process and submit certified payrolls electronically to the Government. The requirements for preparing, processing and providing certified labor payrolls are established by the Wage Rate Requirements statute.

b. Obtain and provide for all access, licenses, and other services required to provide for receipt, processing, certifying, electronically transmitting to the Government, and storing weekly payrolls and other data required for the Contractor to comply with the Wage Rate Requirements statute. Use the electronic payroll service to prepare, process, and maintain the relevant payrolls and basic records during all work under this construction contract. The electronic payroll service must be capable of preserving these payrolls and related basic records for the required three years after contract completion. Obtain and provide electronic system access to the Government, as required to comply with the Wage Rate Requirements over the duration of the construction contract.

c. The Contractor's provision and use of an electronic payroll processing system must meet the following basic functional criteria:

- (1) commercially available;
- (2) compliant with appropriate Wage Rate Requirements statute payroll provisions in the FAR;
- (3) able to accommodate the required numbers of employees and subcontractors planned to be employed under the contract;
- (4) capable of producing an Excel spreadsheet-compatible electronic output

of weekly payroll records for export into an Excel spreadsheet to be imported into the contractor's mode of Resident Management System 3.0;

(5) demonstrated security of data and data entry rights;

(6) ability to produce Contractor-certified electronic versions of weekly payroll data;

(7) ability to identify erroneous entries and track the data/time of all versions of the certified Wage Rate Requirements statute payrolls submitted to the government over the life of the contract;

(8) capable of generating a durable record copy in a Compact Disc (CD) or Digital Versatile Disc (DVD) and Portable Document Format (PDF) file record of data from the system database at the end of the contract closeout. This durable record copy of data from the electronic payroll processing system must be provided to the Government during contract closeout.

d. All Contractor-incurred costs related to the Contractor's provision and use of an electronic payroll processing service must be included in the Contractor's price for the overall work under the contract. The costs for compliance with the Wage Rate Requirements statute by using electronic payroll processing services must not be a separately bid or reimbursed item under this contract.

#### 1.3 VETERANS EMPLOYMENT EMPHASIS FOR U.S. ARMY CORPS OF ENGINEERS CONTRACTS

In addition to complying with the requirements outlined in FAR Part 22.13, FAR Provision 52.222-38, FAR Clause 52.222-35, FAR Clause 52.222-37, DFARS 222.13 and Department of Labor regulations, U.S. Army Corps of Engineers (USACE) contractors and subcontractors at all tiers are encouraged to promote the training and employment of U.S. veterans while performing under a USACE contract. While no set-aside, evaluation preference, or incentive applies to the solicitation or performance under the resultant contract, USACE contractors are encouraged to seek out highly qualified veterans to perform services under this contract. The following resources are available to assist USACE contractors in their outreach efforts:

- U.S. Department of Labor Veterans' Employment and Training Service (VETS):  
<https://www.dol.gov/vets/>
- Federal Veteran Employment Information: <https://www.fedshirevets.gov/>
- Veterans Opportunity to Work (VOW) Program:  
<https://www.benefits.va.gov/vow/>
- U.S. Army Warrior Transition Command Employment Index:  
<https://wct.army.mil/modules/employers/index.html>
- Hiring Our Heroes: <https://www.uschamberfoundation.org/hiring-our-heroes>

#### 1.4 CONTRACTOR PERFORMANCE EVALUATIONS

See Federal Acquisition Regulation (FAR) Subpart 42.1502(e) for the requirements on past performance evaluations for construction contracts. For construction contracts valued at or above \$750,000.00, including all modifications, the USACE will evaluate Contractor's performance using the web-based Contractors Performance Assessment Reporting System (CPARS). After the USACE drafts an evaluation (interim or final), the Contractor

will have the opportunity to access, review, comment and either concur or non-concur with the evaluation in the CPARS system for a period of 60 days. Access to the CPARS system requires either specific software called PKI certification (recommended method) or a username and password. The PKI certification is a Department of Defense recommendation and to provide security in electronic transactions. The certification software could cost approximately \$110 - \$125 per certificate per year and may be purchased from an External Certificate Authorities (ECA) vendor. Current information about the PKI certification process and contacting vendors can be found on the web site: <https://www.cpars.gov>.

## 1.5 ANTITERRORISM (AT)/OPERATIONS SECURITY (OPSEC) PROVISIONS

### 1. AT Level I Training

All Contractor employees, to include subcontractor employees, requiring access to Army installations, facilities and controlled access areas shall complete AT Level I awareness training within 30 calendar days prior to mobilization. The Contractor shall submit certificates of completion and memo listing names of each affected Contractor employee and subcontractor employee who took the training on the date listed on the submitted certificate, to the COR or to the contracting officer, if a COR is not assigned, within 5 calendar days after completion of training by all employees and subcontractor personnel (submittal: AT Level I Training Sign In Sheets). Any following trainings documented via memo shall require at least one formal certificate matching the dates listed on the memo. AT Level I awareness training is available at the following website: <https://jko.jten.mil/courses/at11/launch.html>.

### 2. Access and General Protection/Security Policy and Procedures

All Contractor and all associated sub-contractors employees shall comply with applicable installation, facility and area commander installation/facility access and local security policies and procedures (provided by government representative). The Contractor shall also provide all information required for background checks to meet installation access requirements to be accomplished by installation Provost Marshal Office, Director of Emergency Services or Security Office. Contractor workforce must comply with all personal identity verification requirements as directed by DOD, HQDA and/or local policy. In addition to the changes otherwise authorized by the changes clause of this contract, should the Force Protection Condition (FPCON) at any individual facility or installation change, the Government may require changes in Contractor security matters or processes.

2.1 Submit a complete, updated and signed, list of all Contractor and subcontractor personnel, including their titles and intended working hours, who will be working on site prior to start of work. This listing shall be revised and resubmitted when personnel changes occur. (SUBMITTAL FIO)

### 2.2 Personnel Risk Assessment

A minimum of seven days prior to engaging in work submit, to the CO or Project POC, a Personnel Risk Assessment (PRA) for each employee requiring authorized unescorted access to the Jobsite. The Contractor employee will only be allowed authorized unescorted physical access after the PRA is shown to and approved by the Government.

### 2.3 Authorized Unescorted Access Requirements

Perform a Personnel Risk Assessment (PRA) on all Contractor personnel that require authorized unescorted access to the Jobsite. Costs associated with the execution of the PRA shall be at the expense of the Contractor. The content of the PRA is defined by the requirements as follows:

a. Criminal Check - Obtain a criminal background check, completed within the last seven years (assuming continuous employment, otherwise a new one must be accomplished), on all Contractor personnel that require authorized unescorted access to the Jobsite. A minimum of a 7-year criminal background check with the state patrol office shall be performed from all states of residence and employment, for the past seven years. The Project Security Officer through the Contracting Officer will approve, disapprove, or revoke authorized unescorted access to the Jobsite as a result of the seven-year background check.

b. Identity Verification - Contractor employees shall provide positive verification of individual identity prior to authorized unescorted access to the Jobsite. Acceptable forms of identity verification are documents issued by a federal Government agency that include: the individual's photograph, name, and date of birth, such as a passport or military identification (ID) card. Additionally, a state issued driver's license or ID card is acceptable for identity verification.

c. The Criminal Check and Identity Verification shall be updated at least every seven years for each employee requiring authorized unescorted access to the Jobsite.

d. Escort Requirements - Contractor personnel not cleared for authorized access to the Jobsite may be escorted by Government or Contractor personnel that have authorized unescorted access to the Jobsite. All costs related to the escorting of non-cleared personnel shall be at the expense of the Contractor. Additional burden shall not be placed upon the Government to provide these escorts. Prior to access, coordination with the Project Security Officer is required, including but not limited to:

- (1) Verification of identity with photo identification
- (2) Name of escorting individual and verification of unescorted status
- (3) Time of entry into the Jobsite
- (4) Time exiting the Jobsite.

### 3. Not Used

### 4. iWATCH and/or CorpsWatch Training

The Contractor and all associated subcontractors shall brief all employees on the local iWATCH, Corps Watch, or See Something, Say Something program (training standards provided by the requiring activity ATO). This locally developed training will be used to inform employees of the types of behavior to watch for and instruct employees to report suspicious activity to the COR. This training shall be completed within 10 days prior to mobilization and within 30 calendar days of new employees commencing performance with the results reported to the COR. (submittal: iWATCH and/or CorpsWatch Training Sign In Sheets).

[http://www.myarmyonesource.com/cmsresources/Army%20OneSource/Media/Videos/Family%20Programs%20and%20Services/iWatch\\_Program/iWATCH%2060\\_4streaming.wmv](http://www.myarmyonesource.com/cmsresources/Army%20OneSource/Media/Videos/Family%20Programs%20and%20Services/iWatch_Program/iWATCH%2060_4streaming.wmv)

5. and 6 NOT USED.

7. OPSEC Training

All new Contractor employees will complete Level I OPSEC training within 30 calendar days prior to mobilization. Additionally, all Contractor employees must complete annual OPSEC awareness training. (submittal: OPSEC Training Sign In Sheets) <https://securityawareness.usalearning.gov/opsec/>

8. thru 12 NOT USED

13. Will be Escorted in Areas Where They May be Exposed to Classified and/or Sensitive Materials and/or Sensitive or Restricted Areas  
If applicable, all contract employees, including subcontractor employees who are not in possession of the appropriate security clearance, will be escorted in areas where they may be exposed to classified and/or sensitive materials and/or sensitive or restricted areas. There is NO classified material at this project location.

14. Contractor Company to Obtain a Facility Clearance and Individual Clearances at the Appropriate Level

The Prime Contractor Company must have (or will have) a Facility Clearance (FCL) at the appropriate level (IAW the NISPOM DOD 5220.22-M and AR 380-49) prior to the start of the contract awarded period of performance. Contractor personnel performing work under this contract must have the required security clearance, per AR 380-67, at the appropriate level at the start of the period of performance. Security Clearances and FCL requirements are required to be maintained for the life of the contract IAW the DD254 attached to the contract. If no FCL, the supporting Government Contracting Activity will sponsor the prime contract company in obtaining the FCL. This location does NOT require a Facility Clearance/FCL.

15. Pre-Screen Candidates using E-Verify Program

The Contractor must pre-screen Candidates using the E-verify Program (<http://www.dhs.gov/E-Verify>) website to meet the established employment eligibility requirements. The Vendor must ensure that the Candidate has two valid forms of Government issued identification prior to ensure the correct information is entered into the E-verify system. An initial list of verified/eligible Candidates must be provided to the COR no later than 3 business days after the initial contract award.

16. & 17 Not Used

#### 1.6 COMPUTING COMPLETION DATES FOR NON-WORK PERIOD

No work will be required at the construction site during the period 01 March through 15 May inclusive and 01 September through 15 December inclusive. The pumps will be required for inspections during these periods. The days in this period have been included in computing the calendar days for completion of the work. The Contractor may perform work at the site during all or any part of this period upon giving prior written notice to the Contracting Officer. Working during this non-work period requires approval by the Contracting Officer. No time extensions will be granted for delays during this period.

## 1.7 CONTRACT DRAWINGS AND SPECIFICATIONS

### 1.7.1 SETS FURNISHED

Utilize the bid drawings and specifications as amended in the performance of the work until the electronic Adobe Acrobat.pdf conformed specifications and contract drawings (i.e., bid drawings that have been posted with all amendment changes) are sent electronically to the Contractor. The work must conform to the contract drawings, set out in the drawing index, all of which form a part of these specifications. The work must also conform to any of the standard details bound or referenced herein. The Contractor shall be responsible for making copies of all plans and specifications as needed for the duration of the contract.

### 1.7.2 DISTRIBUTION

The Government will provide the Contractor with a CD-ROM or DVD-ROM or sent electronically containing Adobe Acrobat.pdf contract drawings.

### 1.7.3 NOTIFICATION OF DISCREPANCIES

Check all drawing files furnished by the Government immediately upon their receipt and promptly notify the Contracting Officer of any discrepancies. Follow dimensions marked on drawings in lieu of scale measurements. Enlarged plans and details govern where the same work is shown at smaller scales. All scales shown are based on a standard drawing size of 22" x 34". If any other size drawings are furnished or plotted adjust the scales accordingly. Advise sub-contractors of the above. Compare all drawings and verify the figures before laying out the work and take responsibility for any errors which might have been avoided thereby.

### 1.7.4 OMISSIONS

Omissions from the drawings or specifications or the misdescription of details of work which are manifestly necessary to carry out the intent of the drawings and specifications, or which are customarily performed, does not relieve the Contractor from performing such omitted or misdescribed details of the work but work must be performed as if fully and correctly set forth and described in the drawings and specifications.

## 1.8 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit items below in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Equipment Room Drawings; G-RO.

## 1.9 PAYMENT

### 1.9.1 PROMPT PAYMENT ACT

Pay requests authorized in GENERAL CONDITIONS (CONTRACT CLAUSES) clause: "Payments Under Fixed-Price Construction Contracts", will be paid pursuant to the clause, "Prompt Payment for Construction Contracts". Submit pay requests on ENG Form 93 and 93a, "Payment Estimate-Contract Performance" and "Continuation". All information and substantiation required by the identified contract clauses must be submitted with the ENG Form 93, and the required certification included on the last page of the ENG Form 93a, signed by an authorized contractor official and dated when signed. The designated billing office is the Office of the Area Engineer.

### 1.9.2 PAYMENT FOR MATERIALS STORED OFFSITE

a. As allowed under (FAR) 52.232-5 "Payments Under Fixed Price Construction Contracts", the Administrative Contracting Officer, at their discretion, may authorize progress payments for any material stored off-site provided:

(1) The Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this contract,

(2) Material is stored in such a manner to protect it from damage, fire, theft, etc.

(3) The Contractor provides evidence of insurance for material, and,

(4) Material is clearly identified and delineated by contract number for use on the applicable project.

b. The Administrative Contracting Officer reserves the right to inspect any off-site material prior to authorizing progress payments. Provide paid invoices listing the value of material and labor incorporated in the items.

## 1.10 AVAILABILITY AND USE OF UTILITY SERVICES

a. The Government will make available, from existing outlets and supplies, all reasonably required amounts of water, compressed air and electricity required in the performance of the work without charge to the Contractor.

b. Carefully conserve utilities furnished without charge. Install and maintain all necessary temporary connections and distribution lines in a workmanlike manner satisfactory to the Contracting Officer, pay all associated costs, and remove the same prior to final acceptance of the construction.

## 1.11 TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER

a. This provision specifies the procedure for the determination of time extensions for unusually severe weather in accordance with the GENERAL CONDITIONS (CONTRACT CLAUSES) clause entitled "Default:

(Fixed-Price Construction)." In order for the Contracting Officer to award a time extension under this clause, the following conditions must be satisfied:

(1) The weather experienced at the project site during the contract period must be found to be unusually severe, that is, more severe than the adverse weather anticipated for the project location during any given month.

(2) The unusually severe weather must actually cause a delay to the completion of the project. The delay must be beyond the control and without the fault or negligence of the contractor.

b. The following schedule of monthly anticipated adverse weather delays is based on National Oceanic and Atmospheric Administration (NOAA) or similar data for the project location and will constitute the base line for monthly weather time evaluations. The Contractor's progress schedule must reflect these anticipated adverse weather delays in all weather dependent activities.

MONTHLY ANTICIPATED ADVERSE WEATHER DELAY  
WORK DAYS BASED ON (5) DAY WORK WEEK

Jan	14
Feb	12
Mar	8
Apr	4
May	4
Jun	5
Jul	3
Aug	3
Sep	3
Oct	3
Nov	5
Dec	13

c. Upon acknowledgment of the Notice to Proceed (NTP) and continuing throughout the contract, the contractor will record on the RMS daily CQC report, any occurrence of adverse weather and resultant impact to normally scheduled work, within 24 hours of the event. Actual adverse weather delay days must prevent work on critical activities for 50 percent or more of the contractor's scheduled work day. Describe in the RMS daily CQC reports the critical path item that is being affected and provide the critical path activity number(s) from the current schedule. The COR must acknowledge and accept the agreed upon occurrence of each adverse weather delay in RMS for the delays to be considered as adverse weather delays.

At the end of each month, identify the number of actual adverse weather delay days that includes days impacted by actual adverse weather (even if adverse weather occurred in previous month), calculated chronologically from the first to the last day of each month, and recorded as full days. If the number of actual adverse weather delay days exceeds the number of days anticipated in paragraph b. above, the Contracting Officer will convert any qualifying delays to calendar days, giving full consideration for equivalent fair weather work days, and issue a modification in accordance with the GENERAL CONDITIONS (CONTRACT CLAUSES) clause entitled "Default (Fixed Price Construction)". (ER 415-1-15)

## 1.12 INSURANCE REQUIRED

In accordance with GENERAL CONDITIONS (CONTRACT CLAUSES) clause:  
 "Insurance Work on a Government Installation," procure the following  
 minimum insurance:

Type	Amount
Workmen's Compensation and Employer's Liability Insurance	\$100,000
General Liability Insurance	\$500,000 per occurrence
Automobile Liability Insurance	
Bodily injury	\$200,000 per person and \$500,000 per occurrence
Property damage	\$ 20,000 per occurrence

(Coverages per FAR 28.307-2)

## 1.13 CONTRACTOR QUALITY CONTROL (CQC)

See Section 01 45 00.00 10 QUALITY CONTROL.

## 1.14 NONDOMESTIC CONSTRUCTION MATERIALS

The list of excepted nondomestic construction materials or their  
 components referenced in the Buy American Construction Material Contract  
 Clauses includes the list set forth in paragraph 25.104 of the Federal  
 Acquisition Regulation.

## 1.15 DAILY WORK SCHEDULES AND WEEKLY COORDINATION MEETINGS

In order to closely coordinate work under this contract, prepare a  
 written agenda/meeting minutes and attend a weekly coordination meeting  
 with the Contracting Officer and Using Service at which time the  
 Contractor must submit for coordination and approval, their proposed daily  
 work schedule for the next two week period. Provide a copy of  
 modifications (MODs), Serial Letters, Requests for Information (RFIs) and  
 any other information that is needed in the minutes of the meeting.  
 Include required temporary utility services, time and duration of  
 interruptions, and protection of adjoining areas with the Contractor's  
 proposed 2-week work schedule. At this meeting, the Contractor must also  
 submit their schedule of proposed dates and times of all preparatory  
 inspections to be performed during the next 2 weeks. All schedules shall  
 be developed in accordance with Section 01 32 01.00 10 PROJECT SCHEDULE  
 Coordination action by the Contracting Officer relative to these schedules  
 will be accomplished during these weekly meetings. Daily reports must be  
 completed and given to the Contracting Officer or Representative within 24  
 hours of work. All official correspondence such as serial letters and  
 RFIs, with attachments are to be provided in one hardcopy original with  
 original signatures and one electronic (Adobe pdf format) copy by email.  
 The Government will consider the correspondence to be received when the  
 official hardcopy or electronic copy is received by the designated  
 office.

## 1.16 ASBESTOS AND LEAD

- a. The Contractor is warned that inhalation of asbestos and lead has

been associated with health hazards.

b. Asbestos-containing materials have been identified in area(s) where contract work is to be performed. All contract work activities where the potential exists for worker exposure to airborne asbestos fibers shall be performed in accordance with the requirements set forth in Section 02 82 00 ASBESTOS REMEDIATION.

c. Lead has been determined to be present in some painted surfaces which are scheduled for removal/renovation. See Section 02 83 00 LEAD REMEDIATION for locations and proper procedures.

#### 1.17 PARTNERING

a. The Government intends to encourage the formation of a cohesive partnership with the Contractor. This partnership will be structured to draw on the strengths of each organization to identify and achieve reciprocal goals. The objective is effective contract performance in achieving completion within budget, on schedule and in accordance with plans and specifications. This partnership between the Contractor and the Government will be voluntary and its implementation will not be part of the contract requirements nor will it result in a change to contract price or terms.

b. Not Applicable.

#### 1.18 PROFIT

a. Use the weighted guidelines method of determining profit on any equitable adjustment change order or modification issued under this contract. The profit factors must be as follows:

Factor	Rate	Weight	Value
Degree of Risk	20	See Item	
Relative difficulty of work	15	b. below	
Size of Job	15		
Period of performance	15		
Contractor's investment	5		
Assistance by Government	5		
Subcontracting	25		
	100		

b. Based on the circumstances of each procurement action, each of the above factors must be weighted from .03 to .12 as indicated below. Obtain the value by multiplying the rate by the weight. The value column when totaled indicates the fair and reasonable profit percentage under the circumstances of the particular procurement.

(1) Degree of Risk. Where the work involves no risk or the degree of risk is very small, the weighting should be .03; as the degree of risk increases, the weighting should be increased up to a maximum of .12. Lump sum items will have, generally, a higher weighted value than the unit price items for which quantities are provided. Other things to consider: the portion of the work to be done by subcontractors, nature of work, where work is to be performed, reasonableness of negotiated costs, amount of labor included in costs, and whether the negotiation is before or after performance of work.

(2) Relative Difficulty of Work. If the work is most difficult

and complex, the weighting should be .12 and should be proportionately reduced to .03 on the simplest of jobs. This factor is tied in to some extent with the degree of risk. Some things to consider: the nature of the work, by whom it is to be done, where, and what is the time schedule.

(3) Size of Job. All work not in excess of \$100,000 shall be weighted at .12. Work estimated between \$100,000 and \$5,000,000 shall be proportionately weighted from .12 to .05.

(4) Periods of Performance. Jobs in excess of 24 months are to be weighted at .12. Jobs of lesser duration are to be proportionately weighted to a minimum of .03 for jobs not to exceed 30 days. No weight where additional time not required.

(5) Contractor's Investment. To be weighted from .03 to .12 on the basis of below average, average, and above average. Things to consider: amount of subcontracting, mobilization payment item, Government furnished property, equipment and facilities, and expediting assistance.

(6) Assistance by Government. To be weighted from .12 to .03 on the basis of average to above average. Things to consider: use of Government-owned property, equipment and facilities, and expediting assistance.

(7) Subcontracting. To be weighted inversely proportional to the amount of subcontracting. Where 80 percent or more of the work is to be subcontracted, the weighting is to be .03 and such weighting proportionately increased to .12 where all the work is performed by the Contractor's own forces.

#### 1.19 LABOR CONDITIONS APPLICABLE TO TEMPORARY FACILITIES

It is the position of the Department of Defense that the Davis-Bacon Act, 40 U.S.C. 276a is applicable to temporary facilities such as job headquarters, tool yards, batch plants, borrow pits, sandpits, rock quarries, and similar operations, provided they are dedicated exclusively, or nearly so, to performance of the contract or project, and provided they are adjacent or virtually adjacent to the site of the work and are established after receipt of the proposal or bid. Clause "Payrolls and Basic Records" of the GENERAL CONDITIONS (CONTRACT CLAUSES) is applicable to such operations.

#### 1.20 DRAWING SCALES

All scales shown are based on a standard drawing size of 22" x 34". If any other size drawings are furnished or plotted, the contractor adjust the scales accordingly. The Contractor must also advise their sub-contractors of the above.

PART 2 NOT USED

PART 3 NOT USED

-- End of Section --

**This page was intentionally left blank for duplex printing.**

## SECTION TABLE OF CONTENTS

## DIVISION 01 - GENERAL REQUIREMENTS

## SECTION 01 32 01.00 10

## PROJECT SCHEDULE

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS
- 1.3 PROJECT SCHEDULER QUALIFICATIONS

## PART 2 PRODUCTS

- 2.1 SOFTWARE
  - 2.1.1 Government Default Software
  - 2.1.2 Contractor Software
    - 2.1.2.1 Primavera
    - 2.1.2.2 Other Than Primavera

## PART 3 EXECUTION

- 3.1 GENERAL REQUIREMENTS
- 3.2 BASIS FOR PAYMENT AND COST LOADING
  - 3.2.1 Activity Cost Loading
  - 3.2.2 Withholdings / Payment Rejection
- 3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS
  - 3.3.1 Level of Detail Required
  - 3.3.2 Activity Durations
  - 3.3.3 Procurement Activities
  - 3.3.4 Mandatory Tasks
  - 3.3.5 Government Activities
  - 3.3.6 Standard Activity Coding Dictionary
    - 3.3.6.1 Workers Per Day (WRKP)
    - 3.3.6.2 Responsible Party Coding (RESP)
    - 3.3.6.3 Area of Work Coding (AREA)
    - 3.3.6.4 Modification Number (MODF)
    - 3.3.6.5 Bid Item Coding (BIDI)
    - 3.3.6.6 Phase of Work Coding (PHAS)
    - 3.3.6.7 Category of Work Coding (CATW)
    - 3.3.6.8 Feature of Work Coding (FOW)
  - 3.3.7 Contract Milestones and Constraints
    - 3.3.7.1 Project Start Date Milestone and Constraint
    - 3.3.7.2 End Project Finish Milestone and Constraint
    - 3.3.7.3 Interim Completion Dates and Constraints
      - 3.3.7.3.1 Start Phase
      - 3.3.7.3.2 End Phase
  - 3.3.8 Calendars
  - 3.3.9 Open Ended Logic
  - 3.3.10 Default Progress Data Disallowed
  - 3.3.11 Out-of-Sequence Progress
  - 3.3.12 Added and Deleted Activities

- 3.3.13 Original Durations
- 3.3.14 Leads, Lags, and Start to Finish Relationships
- 3.3.15 Retained Logic
- 3.3.16 Percent Complete
- 3.3.17 Remaining Duration
- 3.3.18 Cost Loading of Closeout Activities
  - 3.3.18.1 As-Built Drawings
  - 3.3.18.2 O & M Manuals
- 3.3.19 Early Completion Schedule and the Right to Finish Early
- 3.4 PROJECT SCHEDULE SUBMISSIONS
  - 3.4.1 Preliminary Project Schedule Submission
  - 3.4.2 Initial Project Schedule Submission
  - 3.4.3 Periodic Schedule Updates
- 3.5 SUBMISSION REQUIREMENTS
  - 3.5.1 Submission
  - 3.5.2 Narrative Report
  - 3.5.3 Schedule Reports
    - 3.5.3.1 Activity Report
    - 3.5.3.2 Logic Report
    - 3.5.3.3 Total Float Report
    - 3.5.3.4 Earnings Report by CLIN
    - 3.5.3.5 Schedule Log
    - 3.5.3.6 Critical Path
  - 3.5.4 Network Diagram
    - 3.5.4.1 Continuous Flow
    - 3.5.4.2 Project Milestone Dates
    - 3.5.4.3 Critical Path
    - 3.5.4.4 Banding
    - 3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram
- 3.6 PERIODIC SCHEDULE UPDATE
  - 3.6.1 Periodic Schedule Update Meetings
  - 3.6.2 Update Submission Following Progress Meeting
- 3.7 WEEKLY PROGRESS MEETINGS
- 3.8 REQUESTS FOR TIME EXTENSIONS
  - 3.8.1 Justification of Delay
  - 3.8.2 Time Impact Analysis (Prospective Analysis)
  - 3.8.3 Forensic Schedule Analysis (Retrospective Analysis)
  - 3.8.4 Fragmentary Network (Fragnet)
  - 3.8.5 Time Extension
  - 3.8.6 Impact to Early Completion Schedule
- 3.9 FAILURE TO ACHIEVE PROGRESS
  - 3.9.1 Artificially Improving Progress
  - 3.9.2 Failure to Perform
  - 3.9.3 Recovery Schedule
- 3.10 OWNERSHIP OF FLOAT
- 3.11 TRANSFER OF SCHEDULE DATA INTO RMS
- 3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

-- End of Section Table of Contents --

## SECTION 01 32 01.00 10

## PROJECT SCHEDULE

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## AACE INTERNATIONAL (AACE)

AACE 29R-03 (2011) Forensic Schedule Analysis

AACE 52R-06 (2006) Time Impact Analysis - As Applied  
in Construction

## U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1-1-11 (2017) Administration -- Project Schedules

## 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00  
SUBMITTAL PROCEDURES:

## SD-01 Preconstruction Submittals

Project Scheduler Qualifications; G, AO

Preliminary Project Schedule; G, AO

Initial Project Schedule; G, AO

Periodic Schedule Update; G, AO

## 1.3 PROJECT SCHEDULER QUALIFICATIONS

Designate an authorized representative to be responsible for the preparation of the schedule and all required updating and production of reports. The authorized representative must have a minimum of 2-years experience scheduling construction projects similar in size and nature to this project with scheduling software that meets the requirements of this specification. Representative must have a comprehensive knowledge of CPM scheduling principles and application.

## PART 2 PRODUCTS

## 2.1 SOFTWARE

The scheduling software utilized to produce and update the schedules

required herein must be capable of meeting all requirements of this specification.

#### 2.1.1 Government Default Software

The Government default software is Primavera P6.

#### 2.1.2 Contractor Software

Scheduling software used by the contractor must be commercially available from the software vendor for purchase with vendor software support agreements available. The software routine used to create the required sdef file must be created and supported by the software manufacturer.

##### 2.1.2.1 Primavera

If Primavera P6 is selected for use, provide the "xer" export file in a version of P6 importable by the Government system.

##### 2.1.2.2 Other Than Primavera

Use of software other than Primavera P6 must be approved by the Contracting Officer. If a different software system is approved, the Contracting Officer may require the Contractor to provide for the Government's use up to two licenses, two computers, and training for two Government employees in the use of the software. These computers will be stand-alone and not connected to Government network. Computers and licenses will be returned at project completion.

### PART 3 EXECUTION

#### 3.1 GENERAL REQUIREMENTS

Prepare for approval a Project Schedule, as specified herein, pursuant to FAR Clause 52.236-15 Schedules for Construction Contracts. Show in the schedule the proposed sequence to perform the work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire project is required. The scheduling of construction is the responsibility of the Contractor. Contractor management personnel must actively participate in its development. Subcontractors and suppliers working on the project must also contribute in developing and maintaining an accurate Project Schedule. Provide a schedule that is a forward planning as well as a project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules. Prepare each Project Schedule using the Precedence Diagram Method (PDM).

#### 3.2 BASIS FOR PAYMENT AND COST LOADING

The schedule is the basis for determining contract earnings during each update period and therefore the amount of each progress payment. The aggregate value of all activities coded to a contract CLIN must equal the value of the CLIN.

##### 3.2.1 Activity Cost Loading

Activity cost loading must be reasonable and without front-end loading. Provide additional documentation to demonstrate reasonableness if requested by the Contracting Officer.

### 3.2.2 Withholdings / Payment Rejection

Failure to meet the requirements of this specification may result in the disapproval of the preliminary, initial or periodic schedule updates and subsequent rejection of payment requests until compliance is met.

In the event that the Contracting Officer directs schedule revisions and those revisions have not been included in subsequent Project Schedule revisions or updates, the Contracting Officer may withhold 10 percent of pay request amount from each payment period until such revisions to the project schedule have been made.

## 3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS

### 3.3.1 Level of Detail Required

Develop the Project Schedule to the appropriate level of detail to address major milestones and to allow for satisfactory project planning and execution. Failure to develop the Project Schedule to an appropriate level of detail will result in its disapproval. The Contracting Officer will consider, but is not limited to, the following characteristics and requirements to determine appropriate level of detail:

### 3.3.2 Activity Durations

Reasonable activity durations are those that allow the progress of ongoing activities to be accurately determined between update periods. Less than 2 percent of all non-procurement activities may have Original Durations (OD) greater than 20 work days or 30 calendar days.

### 3.3.3 Procurement Activities

Include activities associated with the critical submittals and their approvals, procurement, fabrication, and delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 90 calendar days.

### 3.3.4 Mandatory Tasks

Include the following activities/tasks in the initial project schedule and all updates.

- a. Submission, review and acceptance of SD-01 Preconstruction Submittals (individual activity for each).
- b. Submission, review and acceptance of features require design completion
- c. Submission of mechanical/electrical/information systems layout drawings.
- d. Long procurement activities
- e. Submission and approval of O & M manuals.
- f. Submission and approval of as-built drawings.
- g. Submission and approval of DD1354 data and installed equipment lists.

- h. Submission and approval of testing and air balance (TAB).
- i. Submission of TAB specialist design review report.
- j. Submission and approval of fire protection specialist.
- k. Submission and approval of Building Commissioning Plan, test data, and reports: Develop the schedule logic associated with testing and commissioning of mechanical systems to a level of detail consistent with the contract commissioning requirements. All tasks associated with all building testing and commissioning will be completed prior to submission of building commissioning report and subsequent contract completion.
- l. Air and water balancing.
- m. Building commissioning - Functional Performance Testing.
- n. Controls testing plan submission.
- o. Controls testing.
- p. Performance Verification testing.
- q. Other systems testing, if required.
- r. Contractor's pre-final inspection.
- s. Correction of punch list from Contractor's pre-final inspection.
- t. Government's pre-final inspection.
- u. Correction of punch list from Government's pre-final inspection.
- v. Final inspection.

### 3.3.5 Government Activities

Show Government and other agency activities that could impact progress. These activities include, but are not limited to: approvals, environmental permit approvals by State regulators, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

### 3.3.6 Standard Activity Coding Dictionary

Use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in ER 1-1-11. This exact structure is mandatory. Develop and assign all Activity Codes to activities as detailed herein. A template SDEF compatible schedule backup file is available on the web site: <http://rms.usace.army.mil>.

The SDEF format is as follows:

Field	Activity Code	Length	Description
1	WRKP	3	Workers per day
2	RESP	4	Responsible party
3	AREA	4	Area of work
4	MODF	6	Modification Number
5	BIDI	6	Bid Item (CLIN)
6	PHAS	2	Phase of work
7	CATW	1	Category of work
8	FOW	20	Feature of work*
*Some systems require that FEATURE OF WORK values be placed in several activity code fields. The notation shown is for Primavera P6. Refer to the specific software guidelines with respect to the FEATURE OF WORK field requirements.			

#### 3.3.6.1 Workers Per Day (WRKP)

Assign Workers per Day for all field construction or direct work activities, unless directed otherwise by the Contracting Officer. Workers per day is based on the average number of workers expected each day to perform a task for the duration of that activity.

#### 3.3.6.2 Responsible Party Coding (RESP)

Assign responsibility code for all activities to the Prime Contractor, Subcontractor(s) or Government agency(ies) responsible for performing the activity.

- a. Activities coded with a Government Responsibility code include, but are not limited to: Government approvals, Government design reviews, environmental permit approvals by State regulators, Government Furnished Property/Equipment (GFP) and Notice to Proceed (NTP) for phasing requirements.
- b. Activities cannot have more than one Responsibility Code. Examples of acceptable activity code values are: DOR (for the designer of record); ELEC (for the electrical subcontractor); MECH (for the mechanical subcontractor); and GOVT (for USACE).

#### 3.3.6.3 Area of Work Coding (AREA)

Assign Work Area code to activities based upon the work area in which the activity occurs. Define work areas based on resource constraints or space constraints that would preclude a resource, such as a particular trade or craft work crew from working in more than one work area at a time due to restraints on resources or space. Examples of Work Area Coding include different areas within a floor of a building, different floors within a

building, and different buildings within a complex of buildings. Activities cannot have more than one Work Area Code.

Not all activities are required to be Work Area coded. A lack of Work Area coding indicates the activity is not resource or space constrained.

#### 3.3.6.4 Modification Number (MODF)

Assign a Modification Number Code to any activity or sequence of activities added to the schedule as a result of a Contract Modification, when approved by Contracting Officer. Key all Code values to the Government's modification numbering system. An activity can have only one Modification Number Code.

#### 3.3.6.5 Bid Item Coding (BIDI)

Assign a Bid Item Code to all activities using the Contract Line Item Schedule (CLIN) to which the activity belongs, even when an activity is not cost loaded. An activity can have only one BIDI Code.

#### 3.3.6.6 Phase of Work Coding (PHAS)

Assign Phase of Work Code to all activities. Examples of phase of work are procurement phase and construction phase. Each activity can have only one Phase of Work code.

- a. Code proposed fast track design and construction phases proposed to allow filtering and organizing the schedule by fast track design and construction packages.
- b. If the contract specifies phasing with separately defined performance periods, identify a Phase Code to allow filtering and organizing the schedule accordingly.

#### 3.3.6.7 Category of Work Coding (CATW)

Assign a Category of Work Code to all activities. Category of Work Codes include, but are not limited to construction submittal, procurement, fabrication, weather sensitive installation, non-weather sensitive installation, start-up, and testing activities. Each activity can have no more than one Category of Work Code.

#### 3.3.6.8 Feature of Work Coding (FOW)

Assign a Feature of Work Code to appropriate activities based on the Definable Feature of Work to which the activity belongs based on the approved QC plan.

Definable Feature of Work is defined in Section 01 45 00.00 10 QUALITY CONTROL. An activity can have only one Feature of Work Code.

#### 3.3.7 Contract Milestones and Constraints

Milestone activities are to be used for significant project events including, but not limited to, project phasing, project start and end activities, or interim completion dates. The use of artificial float constraints such as "zero free float" or "zero total float" are prohibited.

Mandatory constraints that ignore or effect network logic are prohibited. No constrained dates are allowed in the schedule other than those specified herein. Submit additional constraints to the Contracting Officer for approval on a case by case basis.

#### 3.3.7.1 Project Start Date Milestone and Constraint

The first activity in the project schedule must be a start milestone titled "NTP Acknowledged," which must have a "Start On" constraint date equal to the date that the NTP is acknowledged.

#### 3.3.7.2 End Project Finish Milestone and Constraint

The last activity in the schedule must be a finish milestone titled "End Project."

Constrain the project schedule to the Contract Completion Date in such a way that if the schedule calculates an early finish, then the float calculation for "End Project" milestone reflects positive float on the longest path. If the project schedule calculates a late finish, then the "End Project" milestone float calculation reflects negative float on the longest path. The Government is under no obligation to accelerate Government activities to support a Contractor's early completion.

#### 3.3.7.3 Interim Completion Dates and Constraints

Constrain contractually specified interim completion dates to show negative float when the calculated late finish date of the last activity in that phase is later than the specified interim completion date.

##### 3.3.7.3.1 Start Phase

Use a start milestone as the first activity for a project phase. Call the start milestone "Start Phase X" where "X" refers to the phase of work.

##### 3.3.7.3.2 End Phase

Use a finish milestone as the last activity for a project phase. Call the finish milestone "End Phase X" where "X" refers to the phase of work.

#### 3.3.8 Calendars

Schedule activities on a Calendar to which the activity logically belongs. Develop calendars to accommodate any contract defined work period such as a 7-day calendar for Government Acceptance activities, concrete cure times, etc. Develop the default Calendar to match the physical work plan with non-work periods identified including weekends and holidays. Develop sSeasonal Calendar(s) and assign to seasonally affected activities as applicable.

If an activity is weather sensitive it should be assigned to a calendar showing non-work days on a monthly basis, with the non-work days selected at random across the weeks of the calendar, using the anticipated adverse weather delay work days provided in the Special Contract Clauses . Assign non-work days over a seven-day week as weather records are compiled on seven-day weeks, which may cause some of the weather related non-work days to fall on weekends.

### 3.3.9 Open Ended Logic

Only two open ended activities are allowed: the first activity "NTP Acknowledged" may have no predecessor logic, and the last activity -"End Project" may have no successor logic.

Predecessor open ended logic may be allowed in a time impact analyses upon the Contracting Officer's approval.

### 3.3.10 Default Progress Data Disallowed

Actual Start and Finish dates must not automatically update with default mechanisms included in the scheduling software. Updating of the percent complete and the remaining duration of any activity must be independent functions. Disable program features that calculate one of these parameters from the other. Activity Actual Start (AS) and Actual Finish (AF) dates assigned during the updating process must match those dates provided in the Contractor Quality Control Reports. Failure to document the AS and AF dates in the Daily Quality Control report will result in disapproval of the Contractor's schedule.

### 3.3.11 Out-of-Sequence Progress

Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case basis subject to approval by the Contracting Officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule. Address out of sequence progress or logic changes in the Narrative Report and in the periodic schedule update meetings.

### 3.3.12 Added and Deleted Activities

Do not delete activities from the project schedule or add new activities to the schedule without approval from the Contracting Officer. Activity ID and description changes are considered new activities and cannot be changed without Contracting Officer approval.

### 3.3.13 Original Durations

Activity Original Durations (OD) must be reasonable to perform the work item. OD changes are prohibited unless justification is provided and approved by the Contracting Officer.

### 3.3.14 Leads, Lags, and Start to Finish Relationships

Lags must be reasonable as determined by the Government and not used in place of realistic original durations, must not be in place to artificially absorb float, or to replace proper schedule logic.

- a. Leads (negative lags) are prohibited.
- b. Start to Finish (SF) relationships are prohibited.

### 3.3.15 Retained Logic

Schedule calculations must retain the logic between predecessors and successors ("retained logic" mode) even when the successor activity(s) starts and the predecessor activity(s) has not finished (out-of-sequence

progress). Software features that in effect sever the tie between predecessor and successor activities when the successor has started and the predecessor logic is not satisfied ("progress override") are not be allowed.

#### 3.3.16 Percent Complete

Update the percent complete for each activity started, based on the realistic assessment of earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiation of successor activities may be declared 100 percent complete to allow for proper schedule management.

#### 3.3.17 Remaining Duration

Update the remaining duration for each activity based on the number of estimated work days it will take to complete the activity. Remaining duration may not mathematically correlate with percentage found under paragraph entitled Percent Complete.

#### 3.3.18 Cost Loading of Closeout Activities

Cost load the "Correction of punch list from Government pre-final inspection" activity(ies) not less than 1 percent of the present contract value. Activity(ies) may be declared 100 percent complete upon the Government's verification of completion and correction of all punch list work identified during Government pre-final inspection(s).

##### 3.3.18.1 As-Built Drawings

If there is no separate contract line item (CLIN) for as-built drawings, cost load the "Submission and approval of as-built drawings" activity not less than \$35,000 or 1 percent of the present contract value, whichever is greater, up to \$200,000. Activity will be declared 100 percent complete upon the Government's approval.

##### 3.3.18.2 O & M Manuals

Cost load the "Submission and approval of O & M manuals" activity not less than \$20,000. Activity will be declared 100 percent complete upon the Government's approval of all O & M manuals.

#### 3.3.19 Early Completion Schedule and the Right to Finish Early

An Early Completion Schedule is an Initial Project Schedule (IPS) that indicates all scope of the required contract work will be completed before the contractually required completion date.

- a. No IPS indicating an Early Completion will be accepted without being fully resource-loaded (including crew sizes and manhours) and the Government agreeing that the schedule is reasonable and achievable.
- b. The Government is under no obligation to accelerate work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the contractor's accelerated work.

### 3.4 PROJECT SCHEDULE SUBMISSIONS

Provide the submissions as described below. The files, reports, and network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS. If the Contractor fails or refuses to furnish the information and schedule updates as set forth herein, then the Contractor will be deemed not to have provided an estimate upon which a progress payment can be made.

Review comments made by the Government on the schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents.

#### 3.4.1 Preliminary Project Schedule Submission

Within 15 calendar days after the NTP is acknowledged submit the Preliminary Project Schedule defining the planned operations detailed for the first 90 calendar days for approval. The approved Preliminary Project Schedule will be used for payment purposes not to exceed 90 calendar days after NTP. Completely cost load the Preliminary Project Schedule to balance the contract award CLINS shown on the Price Schedule. The Preliminary Project Schedule may be summary in nature for the remaining performance period. It must be early start and late finish constrained and logically tied as specified. The Preliminary Project Schedule forms the basis for the Initial Project Schedule specified herein and must include all of the required plan and program preparations, submissions and approvals identified in the contract (for example, Quality Control Plan, Safety Plan, and Environmental Protection Plan) as well as design activities, planned submissions of all early design packages, permitting activities, design review conference activities, and other non-construction activities intended to occur within the first 90 calendar days. Government acceptance of the associated design package(s) and all other specified Program and Plan approvals must occur prior to any planned construction activities. Activity code any activities that are summary in nature after the first 90 calendar days with Bid Item (CLIN) code (BIDI), Responsibility Code (RESP) and Feature of Work code (FOW).

#### 3.4.2 Initial Project Schedule Submission

Submit the Initial Project Schedule for approval within 42 calendar days after notice to proceed is issued. The schedule must demonstrate a reasonable and realistic sequence of activities which represent all work through the entire contract performance period. No payment will be made for work items not fully detailed in the Project Schedule.

#### 3.4.3 Periodic Schedule Updates

Update the Project Schedule routinely at an interval approved by the Contracting Officer or designated representative. Provide a draft Periodic Schedule Update for review at the schedule update meetings as prescribed in the paragraph PERIODIC SCHEDULE UPDATE MEETINGS. These updates will enable the Government to assess Contractor's progress.

- a. Update information including Actual Start Dates (AS), Actual Finish Dates (AF), Remaining Durations (RD), and Percent Complete is subject to the approval of the Government at the meeting.
- b. AS and AF dates must match the date(s) reported on the Contractor's Quality Control Report for an activity start or finish.

### 3.5 SUBMISSION REQUIREMENTS

Submit the following items for the Preliminary Schedule, Initial Schedule, and every Periodic Schedule Update throughout the life of the project:

#### 3.5.1 Submission

Submit the current project schedule, the narrative report and all required schedule reports electronically using the project submittal/transmittal process or by serialized letter. Each schedule must have a unique file name and use project specific settings.

#### 3.5.2 Narrative Report

Provide a Narrative Report with each schedule submission. The Narrative Report is expected to communicate to the Government the thorough analysis of the schedule output and the plans to compensate for any problems, either current or potential, which are revealed through that analysis. Include the following information as minimum in the Narrative Report:

- a. Identify and discuss the work scheduled to start in the next update period.
- b. A description of activities along the two most critical paths where the total float is less than or equal to 20 work days.
- c. A description of current and anticipated problem areas or delaying factors and their impact and an explanation of corrective actions taken or required to be taken.
- d. Identify and explain why activities based on their calculated late dates should have either started or finished during the update period but did not.
- e. Identify and discuss all schedule changes by activity ID and activity name including what specifically was changed and why the change was needed. Include at a minimum new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish date changes.
- f. Identify and discuss out-of-sequence work.

#### 3.5.3 Schedule Reports

The format, filtering, organizing and sorting for each schedule report will be as directed by the Contracting Officer or designated representative. Typically, reports contain Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float, Actual Start Date, Actual Finish Date, and Percent Complete. Provide the reports electronically in .pdf format. The following reports are required for schedule submission reviews unless directed otherwise by the Contracting Officer.

##### 3.5.3.1 Activity Report

List of all activities sorted according to activity number.

#### 3.5.3.2 Logic Report

List of detailed predecessor and successor activities for every activity in ascending order by activity number.

#### 3.5.3.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. List activities which have the same amount of total float in ascending order of Early Start Dates. Do not show completed activities on this report.

#### 3.5.3.4 Earnings Report by CLIN

A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN number and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

#### 3.5.3.5 Schedule Log

Provide a Scheduling/Leveling Report generated from the current project schedule being submitted.

#### 3.5.3.6 Critical Path

Provide an Adobe .pdf report showing the critical path.

#### 3.5.4 Network Diagram

The Network Diagram is required for the Preliminary, Initial and Periodic Updates. Depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

##### 3.5.4.1 Continuous Flow

Show a continuous flow from left to right with no arrows from right to left. Show the activity number, description, duration, and estimated earned value on the diagram.

##### 3.5.4.2 Project Milestone Dates

Show dates on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

##### 3.5.4.3 Critical Path

Show all activities on the critical path. The critical path is defined as the longest path.

#### 3.5.4.4 Banding

Organize activities using the WBS or as otherwise directed to assist in the understanding of the activity sequence. Typically, this flow will group activities by major elements of work, category of work, work area and/or responsibility.

#### 3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram

With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates, and 2) Earned Value to-date.

### 3.6 PERIODIC SCHEDULE UPDATE

#### 3.6.1 Periodic Schedule Update Meetings

Conduct periodic schedule update meetings for the purpose of reviewing the proposed percent complete, Periodic Schedule Update, Narrative Report, Schedule Reports, and progress payment. Conduct meetings at least monthly and within five days of the proposed schedule data date. The Contractor may be requested to provide a computer with the scheduling software loaded and a projector which allows all meeting participants to view the proposed schedule during the meeting. The Contractor's authorized scheduler must organize, group, sort, filter, perform schedule revisions as needed and review functions as requested by the Contractor and/or Government. The meeting is a working interactive exchange which allows the Government and Contractor the opportunity to review the updated schedule on a real time and interactive basis. The meeting will last no longer than 8 hours. The Contractor's Project Manager and scheduler must attend the meeting with the authorized representative of the Contracting Officer. Superintendents, foremen and major subcontractors must attend the meeting as required to discuss the project schedule and work. Following the periodic schedule update meeting, make updates to the draft submission. Include only those items approved by the Government in the submission. Upon Government approval of the schedule submission, submit an invoice for payment.

#### 3.6.2 Update Submission Following Progress Meeting

Submit the complete Periodic Schedule Update of the Project Schedule containing all approved progress, revisions, and adjustments, pursuant to paragraph SUBMISSION REQUIREMENTS not later than 4 work days after the periodic schedule update meeting.

### 3.7 WEEKLY PROGRESS MEETINGS

Conduct a weekly meeting with the Government (or as otherwise mutually agreed to) between the meetings described in paragraph entitled PERIODIC SCHEDULE UPDATE MEETINGS for the purpose of jointly reviewing the actual progress of the project as compared to the as planned progress and to review planned activities for the upcoming two weeks. Use the current approved schedule update for the purposes of this meeting and for the production and review of reports. At the weekly progress meeting, address the status of RFIs, RFPs and Submittals.

### 3.8 REQUESTS FOR TIME EXTENSIONS

Provide a justification of delay to the Contracting Officer in accordance

with the contract provisions and clauses for approval within 10 days of a delay occurring. Also prepare a time impact analysis for each Government request for proposal (RFP). All time impact analysis must be resource loaded and to the same level of detail as the schedule.

#### 3.8.1 Justification of Delay

Provide a description of the event(s) that caused the delay and/or impact to the work. As part of the description, identify all schedule activities impacted. Show that the event that caused the delay/impact was the responsibility of the Government. Provide a time impact analysis that demonstrates the effects of the delay or impact on the project completion date or interim completion date(s). Evaluate multiple impacts chronologically; each with its own justification of delay. With multiple impacts consider any concurrency of delay. A time extension and the schedule fragnet becomes part of the project schedule and all future schedule updates upon approval by the Contracting Officer.

#### 3.8.2 Time Impact Analysis (Prospective Analysis)

Prepare a time impact analysis for approval by the Contracting Officer based on industry standard AACE 52R-06. Utilize a copy of the last approved schedule prior to the first day of the impact or delay for the time impact analysis. If Contracting Officer determines the time frame between the last approved schedule and the first day of impact is too great, prepare an interim updated schedule to perform the time impact analysis. Unless approved by the Contracting Officer, no other changes may be incorporated into the schedule being used to justify the time impact.

#### 3.8.3 Forensic Schedule Analysis (Retrospective Analysis)

Prepare an analysis for approval by the Contracting Officer based on industry standard AACE 29R-03.

#### 3.8.4 Fragmentary Network (Fragnet)

Prepare a proposed fragnet for time impact analysis consisting of a sequence of new activities that are proposed to be added to the project schedule to demonstrate the influence of the delay or impact to the project's contractual dates. Clearly show how the proposed fragnet is to be tied into the project schedule including all predecessors and successors to the fragnet activities. The proposed fragnet must be approved by the Contracting Officer prior to incorporation into the project schedule.

#### 3.8.5 Time Extension

The Contracting Officer must approve the Justification of Delay including the time impact analysis before a time extension will be granted. No time extension will be granted unless the delay consumes all available Project Float and extends the projected finish date ("End Project" milestone) beyond the Contract Completion Date. The time extension will be in calendar days.

Actual delays that are found to be caused by the Contractor's own actions, which result in a calculated schedule delay will not be a cause for an extension to the performance period, completion date, or any interim milestone date.

### 3.8.6 Impact to Early Completion Schedule

No extended overhead will be paid for delay prior to the original Contract Completion Date for an Early Completion IPS unless the Contractor actually performed work in accordance with that Early Completion Schedule. The Contractor must show that an early completion was achievable had it not been for the impact.

### 3.9 FAILURE TO ACHIEVE PROGRESS

Should the progress fall behind the approved project schedule for reasons other than those that are excusable within the terms of the contract, the Contracting Officer may require provision of a written recovery plan for approval. The plan must detail how progress will be made-up to include which activities will be accelerated by adding additional crews, longer work hours, extra work days, etc.

#### 3.9.1 Artificially Improving Progress

Artificially improving progress by means such as, but not limited to, revising the schedule logic, modifying or adding constraints, shortening activity durations, or changing calendars in the project schedule is prohibited. Indicate assumptions made and the basis for any logic, constraint, duration and calendar changes used in the creation of the recovery plan. Any additional resources, manpower, or daily and weekly work hour changes proposed in the recovery plan must be evident at the work site and documented in the daily report along with the Schedule Narrative Report.

#### 3.9.2 Failure to Perform

Failure to perform work and maintain progress in accordance with the supplemental recovery plan may result in an interim and final unsatisfactory performance rating and may result in corrective action directed by the Contracting Officer pursuant to FAR 52.236-15 Schedules for Construction Contracts, FAR 52.249-10 Default (Fixed-Price Construction), and other contract provisions.

#### 3.9.3 Recovery Schedule

Should the Contracting Officer find it necessary, submit a recovery schedule pursuant to FAR 52.236-15 Schedules for Construction Contracts.

### 3.10 OWNERSHIP OF FLOAT

Except for the provision given in the paragraph IMPACT TO EARLY COMPLETION SCHEDULE, float available in the schedule, at any time, may not be considered for the exclusive use of either the Government or the Contractor including activity and/or project float. Activity float is the number of work days that an activity can be delayed without causing a delay to the "End Project" finish milestone. Project float (if applicable) is the number of work days between the projected early finish and the contract completion date milestone.

### 3.11 TRANSFER OF SCHEDULE DATA INTO RMS

Once the schedule is approved by the Government via submittal or serialized letter, upload the schedule data (SDEF) into the Resident

Management System - Contractor Module (RMS CM) unless directed otherwise by the Contracting Officer. The contractor will then create the invoice and complete the Prompt Payment certificate and submit to the Government. After this is complete, create the invoice, complete the Prompt Payment certificate and submit to the Government. This data is considered to be additional supporting data in a form and detail required by the Contracting Officer pursuant to FAR 52.232-5 Payments under Fixed-Price Construction Contracts and FAR 52.232-27 Prompt Payment for Construction Contracts.

### 3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

The following settings are mandatory and required in all schedule submissions to the Government, if Primavera P6 is used:

- a. Activity Codes must be Project Level, not Global or EPS level.
- b. Calendars must be Project Level, not Global or Resource level.
- c. Activity Duration Types must be set to "Fixed Duration & Units".
- d. Percent Complete Types must be set to "Physical".
- e. Time Period Admin Preferences must remain the default "8.0 hr/day, 40 hr/week, 172 hr/month, 2000 hr/year". Set Calendar Work Hours/Day to 8.0 Hour days.
- f. Set Schedule Option for defining Critical Activities to "Longest Path".
- g. Set Schedule Option for defining progressed activities to "Retained Logic".
- h. Set up cost loading using a single lump sum labor resource. The Price/Unit must be \$1/hr, Default Units/Time must be "8h/d", and settings "Auto Compute Actuals" and "Calculate costs from units" selected.
- i. Activity ID's must not exceed 10 characters.
- j. Activity Names must have the most defining and detailed description within the first 30 characters.

-- End of Section --

## SECTION TABLE OF CONTENTS

## DIVISION 01 - GENERAL REQUIREMENTS

## SECTION 01 33 00

## SUBMITTAL PROCEDURES

## PART 1 GENERAL

## 1.1 SUMMARY

- 1.1.1 Submittal Information
- 1.1.2 Project Type
- 1.1.3 Submission of Submittals

## 1.2 DEFINITIONS

- 1.2.1 Submittal Descriptions (SD)
- 1.2.2 Approving Authority
- 1.2.3 Work

## 1.3 SUBMITTALS

- 1.3.1 Action Codes
  - 1.3.1.1 Contractor Action Codes
  - 1.3.1.2 Government Reviewer Designations

## 1.4 SUBMITTAL CLASSIFICATION

- 1.4.1 Government Approved (G)
- 1.4.2 For Information Only

## 1.5 PREPARATION

- 1.5.1 Transmittal Form
- 1.5.2 Submittal Format
  - 1.5.2.1 Format of SD-01 Preconstruction Submittals
  - 1.5.2.2 Format for SD-02 Shop Drawings
    - 1.5.2.2.1 Drawing Identification
  - 1.5.2.3 Format of SD-03 Product Data
    - 1.5.2.3.1 Product Information
    - 1.5.2.3.2 Standards
    - 1.5.2.3.3 Data Submission
  - 1.5.2.4 Format of SD-04 Samples
    - 1.5.2.4.1 Sample Characteristics
    - 1.5.2.4.2 Sample Incorporation
    - 1.5.2.4.3 Comparison Sample
  - 1.5.2.5 Format of SD-05 Design Data
  - 1.5.2.6 Format of SD-06 Test Reports
  - 1.5.2.7 Format of SD-07 Certificates
  - 1.5.2.8 Format of SD-08 Manufacturer's Instructions
    - 1.5.2.8.1 Standards
  - 1.5.2.9 Format of SD-09 Manufacturer's Field Reports
  - 1.5.2.10 Format of SD-10 Operation and Maintenance Data (O&M)
  - 1.5.2.11 Format of SD-11 Closeout Submittals
- 1.5.3 Source Drawings for Shop Drawings
  - 1.5.3.1 Source Drawings
  - 1.5.3.2 Terms and Conditions
- 1.5.4 Electronic File Format

## 1.6 QUANTITY OF SUBMITTALS

- 1.6.1 Number of SD-04 Samples

## 1.7 INFORMATION ONLY SUBMITTALS

- 1.8 PROJECT SUBMITTAL REGISTER
  - 1.8.1 Submittal Management
  - 1.8.2 Preconstruction Use of Submittal Register
  - 1.8.3 Contractor Use of Submittal Register
  - 1.8.4 Approving Authority Use of Submittal Register
  - 1.8.5 Action Codes
  - 1.8.6 Delivery of Copies
- 1.9 VARIATIONS
  - 1.9.1 Considering Variations
  - 1.9.2 Proposing Variations
  - 1.9.3 Warranting that Variations are Compatible
  - 1.9.4 Review Schedule Extension
- 1.10 SCHEDULING
- 1.11 GOVERNMENT APPROVING AUTHORITY
  - 1.11.1 Review Notations
- 1.12 DISAPPROVED SUBMITTALS
- 1.13 APPROVED SUBMITTALS
- 1.14 APPROVED SAMPLES
- 1.15 WITHHOLDING OF PAYMENT
- 1.16 CERTIFICATION OF SUBMITTAL DATA

PART 2 PRODUCTS

PART 3 EXECUTION

ATTACHMENTS:

ENG Form 4025

Project Submittal Register

-- End of Section Table of Contents --

## SECTION 01 33 00

## SUBMITTAL PROCEDURES

## PART 1 GENERAL

## 1.1 SUMMARY

## 1.1.1 Submittal Information

The Contractor is responsible for total management of their work including, but not limited to, approval, scheduling, control, certification of all submittals and compliance with all applicable Buy-American and Trade Agreement clauses. The submittal management system provided in these specifications is intended to be a complete system for the Contractor to use to control the quality of materials, equipment and workmanship provided by manufacturers, fabricators, suppliers and subcontractors. Review each submittal for contract compliance.

**Compliance with all applicable Buy American and Trade Agreement Clauses is to be included in this review. The Contractor must provide the country of origin on ENG Form 4025 for each item submitted.** The Submittal Register (ENG Form 4288) will be utilized to log and monitor all submittal activities.

The Contracting Officer may request submittals, in addition to those specified, when deemed necessary to adequately describe the work covered in the respective sections. Each submittal is to be complete and in sufficient detail to allow ready determination of compliance with contract requirements.

Units of weights and measures used on all submittals are to be the same as those used in the contract drawings.

## 1.1.2 Project Type

The Contractor's Quality Control (CQC) System Manager is to check and approve all items before submittal and stamp, sign, and date indicating action taken. Clearly identify proposed deviations from the contract requirements. Include within submittals items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required items.

## 1.1.3 Submission of Submittals

Schedule and provide submittals requiring Government approval, after notice to proceed (NTP). Provide ample lead time to ensure the submittal's processing by the Government and any lead time needed by the manufacturer upon ordering after approval. Dispose of samples not incorporated into the work in accordance with manufacturer's Safety Data Sheets (SDS) and in compliance with existing laws and regulations.

## 1.2 DEFINITIONS

### 1.2.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

#### SD-01 Preconstruction Submittals

Submittals that are required prior to or at the start of construction (work) or the next major phase of the construction on a multiphase contract.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

The Government reserves the right to handle pre-construction submittals (listed below) as administrative submittals via a Serial Letter, as directed by the Project, Area or Resident Office. When directed by the Project, Area or Resident Office (as directed), submit administrative submittals for acceptance by the Government. Format for the Serial Letter will be as directed by the Project, Area or Resident Office.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

List Of Proposed Products

Baseline Network Analysis Schedule (NAS)

Submittal Register

Schedule Of Prices Or Earned Value Report

Accident Prevention Plan

Work Plan

Quality Control (QC) plan

Permits

Environmental Protection Plan

#### SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

#### SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

#### SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

#### SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

#### SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

#### SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

#### SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (SDS) concerning impedances, hazards and safety precautions.

#### SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

#### SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

#### 1.2.2 Approving Authority

Office or designated person authorized to approve the submittal.

#### 1.2.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to

produce SD-01 submittals.

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having any designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with this section. When directed by the Government, the submittal register may be submitted via Section of 01 45 00.15 10 RESIDENTIAL MANAGMENT SYSTEM CONTRACTOR MODE (RMS-CM) in lieu of the copy attached to this section. SpecsIntact is the software system used by Government to generate the Submittal Register that is loaded into RMS-CM.

#### SD-01 Preconstruction Submittals

Submittal Register; G-AO

#### 1.3.1 Action Codes

##### 1.3.1.1 Contractor Action Codes

DESIGN BID BUILD SUBMITTALS			
Submittal Classifications shown in UFGS Sections	Submittal Classification	Corresponding SpecsIntact Submittal Register Code which is populated in the SI Submittal Register. Software Limitations: (The software shows one character delineation in the SpecsIntact Submittal Register)	RMS - The following Submittal Classifications are populated in RMS when the SpecsIntact Submittal Data File is pulled into RMS)
G	Submittal requires Government Approval	G	GA
BLANK	Submittal is For Information Only (FIO)	BLANK	FIO

##### 1.3.1.2 Government Reviewer Designations

Following the Submittal Classification designation "G", the following reviewer designations may be included:

RO - Resident Office  
AO - Area Office  
DO - District Office

Additional information will be provided at the pre-design and/or pre-construction conference.

Corps of Engineers, Omaha District Office for "DO" reviewer designations, submittals will be coordinated through:

Michael Hebert  
U.S. Army Corps of Engineers  
Omaha District  
Attn: CENWO-CDS-T  
1616 Capitol Ave  
Omaha, NE 68102-4901  
E-mail: CENWO.ConstructionSubmittal@usace.army.mil

#### 1.4 SUBMITTAL CLASSIFICATION

##### 1.4.1 Government Approved (G)

Government approval is required for extensions of design, critical materials, variations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Government.

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

##### 1.4.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

#### 1.5 PREPARATION

##### 1.5.1 Transmittal Form

Use the ENG Form 4025 transmittal form for submitting both Government-approved and information-only submittals. Submit in accordance with the instructions on the reverse side of the form. These forms are included in the RMS CM software that the Contractor is required to use for this contract. Properly complete this form by filling out all the heading blank spaces and identifying each item submitted. If there are multiple Item numbers listed on a particular ENG Form 4025 submittal, combine all submitted items for review into a single Adobe file with bookmarks (for ease of review). Exercise special care to ensure proper listing of the specification paragraph and sheet number of the contract drawings pertinent to the data submitted for each item.

##### 1.5.2 Submittal Format

###### 1.5.2.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

#### 1.5.2.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

- a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.
- b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Submit an electronic copy of drawings in PDF format.

#### 1.5.2.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

#### 1.5.2.3 Format of SD-03 Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

#### 1.5.2.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

#### 1.5.2.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters

Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

#### 1.5.2.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

#### 1.5.2.4 Format of SD-04 Samples

##### 1.5.2.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

- a. Sample of Equipment or Device: Full size.
- b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.
- d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.
- f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.
- g. Sample Panel: 4 by 4 feet.
- h. Sample Installation: 100 square feet.

##### 1.5.2.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

#### 1.5.2.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

#### 1.5.2.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch page size.

#### 1.5.2.6 Format of SD-06 Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

#### 1.5.2.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch page size.

#### 1.5.2.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

##### 1.5.2.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

#### 1.5.2.9 Format of SD-09 Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

#### 1.5.2.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

#### 1.5.2.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

### 1.5.3 Source Drawings for Shop Drawings

#### 1.5.3.1 Source Drawings

The entire set of source drawing files (DWG or DGN) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

#### 1.5.3.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic

source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

#### 1.5.4 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. All documents must make use of optical character recognition (OCR) routines to make text searchable and selectable, so that the text can be copied. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer, unless directed otherwise by COR. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system, such as secure ftp site or DoD SAFE located at the following website: <https://safe.apps.mil/>. Use of the Government web application must be initiated by the Government, unless Contractor has a Government CAC card. This Government web application restricts the number of days files are available to download.

#### 1.6 QUANTITY OF SUBMITTALS

Submittals are to be transmitted electronically, unless directed otherwise.

##### 1.6.1 Number of SD-04 Samples

- a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.
- b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.
- c. Submit one sample installation, where directed.
- d. Submit one sample of nonsolid materials.

#### 1.7 INFORMATION ONLY SUBMITTALS

Submittals without an action code must be certified by the QC manager and submitted to the Contracting Officer for information-only. Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the

Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

#### 1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing submittals required by the specifications is attached to this section as "Project Submittal Register."

##### 1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required. Maintain a submittal register for the project in accordance with Section 01 45 00.15 10 RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE(RMS CM). The Government will provide the initial submittal register in electronic format with the following fields completed, to the extent that will be required by the Government during subsequent usage.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

##### 1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register as an electronic database, using the submittal management program furnished to Contractor, unless directed otherwise by COR. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register database submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the

approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

#### 1.8.3 Contractor Use of Submittal Register

Update the following fields in the Government-furnished submittal register program or equivalent fields in the program used by the Contractor with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

#### 1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

#### 1.8.5 Action Codes

See paragraph Action Codes above.

#### 1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request, unless a paper copy is requested by the Contracting Officer. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

#### 1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

##### 1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design

changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor. When contemplating a variation that results in lower cost, consider submission of the variation as a Value Engineering Change Proposal (VECP).

Specifically point out variations from contract requirements in transmittal letters. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

#### 1.9.2 Proposing Variations

When proposing variations, deliver a written request to the Contracting Officer, with documentation of the nature and features of the variation and why the variation is desirable and beneficial to Government. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

Check the column "variation" of ENG Form 4025 for submittals that include variations proposed by the Contractor. Set forth in writing the reason for any variations and note such variations on the submittal. The Government reserves the right to rescind inadvertent approval of submittals containing unnoted variations.

#### 1.9.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

#### 1.9.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 calendar days will be allowed for the Government to consider submittals with variations.

### 1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. .

- a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.
- b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the

contract documents but that have been omitted from the register or marked "N/A."

- c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 20 calendar days after the date of submission.

The Government review period for each construction submittal does not begin until the submittal is delivered via RMS CM. Contract compliance for all submittals are the Contractor's responsibility. Government acceptance or receipt acknowledged does not remove this responsibility for contract compliance on any construction submittal.

#### 1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

- a. Note the date on which the submittal was received.
- b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. An electronic copy of the submittal will be retained by the Contracting Officer and an electronic copy of the submittal will be returned to the Contractor. The Government may process submittals in the RMS CM System.

##### 1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.
- b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.
- c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.
- d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals

returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

- e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

#### 1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

#### 1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained within each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

#### 1.14 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not to be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of

the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

#### 1.15 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

#### 1.16 CERTIFICATION OF SUBMITTAL DATA

Certify the submittal data as follows on Form ENG 4025: "I certify that the above submitted items had been reviewed in detail and are correct and in strict conformance with the contract drawings and specifications except as otherwise stated.

\_\_\_\_NAME OF CONTRACTOR \_\_\_\_\_ SIGNATURE OF CONTRACTOR

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

-- End of Section --

**This page was intentionally left blank for duplex printing.**

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Powerhouse Unwatering Sump Valve Act, Piping, Ladder & Plat Repl

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	CLASSIFICATION GOVT OR ASSISTANCE REVIEWER	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/	APPROVING AUTHORITY				MAILED TO CONTR/	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 12 00	SD-01 Preconstruction Submittals														
			Proposed Methods of Operation		G AO												
			Progress Charts		G AO												
			Construction Right-of-Way	1.2													
			SD-02 Shop Drawings														
			Care of Water	1.4	G AO												
			SD-11 Closeout Submittals														
			Warranty of Construction	1.11													
		01 30 00.24	SD-02 Shop Drawings														
			Equipment Room Drawings		G RO												
		01 32 01.00 10	SD-01 Preconstruction Submittals														
			Project Scheduler Qualifications	1.3	G AO												
			Preliminary Project Schedule	3.4.1	G AO												
			Initial Project Schedule	3.4.2	G AO												
			Periodic Schedule Update	3.6.2	G AO												
		01 33 00	SD-01 Preconstruction Submittals														
			Submittal Register	1.8	G AO												
		01 35 26	SD-01 Preconstruction Submittals														
			ACCIDENT PREVENTION PLAN (APP)	1.7.1	G AO												
			SD-06 Test Reports														
			Monthly Exposure Reports	1.4													
			Notifications and Reports	1.12													
			Accident Reports	1.12.2	G AO												
			LHE Inspection Reports	1.12.3													
			SD-07 Certificates														

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Powerhouse Unwatering Sump Valve Act, Piping, Ladder & Plat Repl

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/	APPROVING AUTHORITY				MAILED TO CONTR/	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 35 26	Crane Operators/Riggers	1.6.1.4													
			Standard Lift Plan	1.7.3.2	G AO												
			Critical Lift Plan	1.7.3.3	G AO												
			Activity Hazard Analysis (AHA)	1.8													
			Confined Space Entry Permit	1.9.1													
			Hot Work Permit	1.9.1													
			Certificate of Compliance	1.12.4													
			License Certificates														
		01 45 00.00 10	SD-01 Preconstruction Submittals														
			Contractor Quality Control (CQC)	3.2	G AO												
			Plan														
			SD-06 Test Reports														
			Verification Statement	3.9													
		01 57 20.00 10	SD-01 Preconstruction Submittals														
			Environmental Protection Plan	1.7	G												
		02 82 00	SD-03 Product Data														
			Safety Data Sheets (SDS) for All	1.3.6	G PO												
			Materials														
			SD-06 Test Reports														
			Air Sampling Results	1.5.2	G PO												
			SD-07 Certificates														
			Asbestos Hazard Abatement Plan	1.3.7	G PO												
			Waste Shipment Records	1.3.8	G PO												
		02 83 00	SD-01 Preconstruction Submittals														
			Lead Waste Management Plan	1.5.2.4	G PO												
			Lead Compliance Plan	1.5.2.2	G PO												

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Powerhouse Unwatering Sump Valve Act, Piping, Ladder & Plat Repl

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASS SIF CATION REVIEW OR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/	APPROVING AUTHORITY				MAILED TO CONTR/  DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		02 83 00	SD-11 Closeout Submittals														
			Hazardous Waste Manifest	3.4.2.1	G PO												
			Turn-In Documents or Weight Tickets	3.4.2.1	G PO												
		05 05 20.00 27	SD-01 Preconstruction Submittals														
			Installer Qualifications	1.5.1.1	G PO												
			Post-Installed Anchor Special Inspector Qualifications	1.5.1.2	G PO												
			SD-03 Product Data														
			Mechanical Anchors in Concrete	2.1.1.3	G DO												
			Non-Shrink, Non-Metallic Grout	3.1.2	G PO												
			SD-06 Test Reports														
			Post-Installed Anchor Special Inspections Report	3.3.3	G PO												
			SD-07 Certificates														
			Post-Installed Anchor Certification	2.1.1.1	G PO												
			SD-08 Manufacturer's Instructions														
			Manufacturer's Printed Installation Instructions	2.1.1.2	G PO												
			Non-Shrink, Non-Metallic Grout	3.1.2	G PO												
			Manufacturer's Material Safety Data Sheets	1.5													
		05 12 00	SD-01 Preconstruction Submittals														
			Erection and Erection Bracing Drawings	1.3.1.1	G												

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Powerhouse Unwatering Sump Valve Act, Piping, Ladder & Plat Repl

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/	APPROVING AUTHORITY				MAILED TO CONTR/  DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		05 12 00	SD-02 Shop Drawings														
			Fabrication Drawings	1.3.2	G DO												
			SD-03 Product Data														
			Welding Electrodes and Rods	2.4.1													
			Non-Shrink Grout	2.4.2													
			SD-06 Test Reports														
			Bolts, Nuts, and Washers	2.3													
			Weld Inspection Reports														
			Embrittlement Test Reports	3.6.2													
			SD-07 Certificates														
			Steel	2.2													
			Bolts, Nuts, and Washers	2.3													
			Galvanizing	2.5													
			Welding Procedures and	1.3.3.1													
			Qualifications														
			Welding Electrodes and Rods	2.4.1													
			Certified Welding Inspector	3.6.1.1													
			Welding Procedure Specifications	3.4													
			(WPS)														
		05 50 13	SD-02 Shop Drawings														
			Floor Gratings		G DO												
			SD-03 Product Data														
			Floor Gratings		G DO												
			SD-04 Samples														
			Certificates of Compliance		G PO												
		05 51 33	SD-02 Shop Drawings														

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Powerhouse Unwatering Sump Valve Act, Piping, Ladder & Plat Repl

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASS SIF CATION REVIEW OR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/	APPROVING AUTHORITY				MAILED TO CONTR/  DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		05 51 33	Ladders	2.3													
			SD-03 Product Data														
			Ladder Climbing Devices	2.3.1													
		05 52 00	SD-02 Shop Drawings														
			Fabrication Drawings	1.2.1	G DO												
			Iron and Steel Hardware		G DO												
			Steel Shapes, Plates, Bars and Strips		G DO												
			Safety Gates	2.3	G DO												
			SD-03 Product Data														
			Structural-Steel Plates, Shapes, and Bars	2.2.1	G PO												
			Safety Gates	2.3	G DO												
			SD-07 Certificates														
			Welding Procedures	1.4.1	G PO												
			Welder Qualification	1.4.2	G PO												
			SD-08 Manufacturer's Instructions														
			Installation Instructions														
		22 15 14.00 40	SD-02 Shop Drawings														
			Installation Drawings	2.1	G DO												
			SD-03 Product Data														
			Aboveground Piping Materials	2.3.1	G DO												
			Supporting Elements	2.4.2	G DO												
			Valves	2.2.1	G DO												
			SD-06 Test Reports														
			Piping System Test Report	3.2.1.4	G PO												

# SUBMITTAL REGISTER

CONTRACT NO.

## TITLE AND LOCATION

## Powerhouse Unwatering Sump Valve Act, Piping, Ladder & Plat Repl

CONTRACTOR

[illegible]

U.S. Army Corps of Engineers (USACE) <b>TRANSMITTAL OF SHOP DRAWINGS, EQUIPMENT DATA, MATERIAL SAMPLES, OR MANUFACTURER'S CERTIFICATES OF COMPLIANCE</b> For use of this form, see ER 415-1-10; the proponent agency is CECW-CE.						DATE		TRANSMITTAL NO.	
SECTION I - REQUEST FOR APPROVAL OF THE FOLLOWING ITEMS <i>(This section will be initiated by the contractor)</i>									
TO:			FROM:		CONTRACT NO.		CHECK ONE: <input type="checkbox"/> THIS IS A NEW TRANSMITTAL <input type="checkbox"/> THIS IS A RESUBMITTAL OF TRANSMITTAL _____		
SPECIFICATION SEC. NO. <i>(Cover only one section with each transmittal)</i>			PROJECT TITLE AND LOCATION			THIS TRANSMITTAL IS FOR: <i>(Check one)</i> <input type="checkbox"/> FIO <input type="checkbox"/> GA <input type="checkbox"/> DA <input type="checkbox"/> CR <input type="checkbox"/> DA/CR <input type="checkbox"/> DA/GA			
ITEM NO. <i>(See Note 3)</i>  a.	DESCRIPTION OF SUBMITTAL ITEM <i>(Type size, model number/etc.)</i>  b.	SUBMITTAL TYPE CODE <i>(See Note 8)</i>  c.	NO. OF COPIES  d.	CONTRACT DOCUMENT REFERENCE		CONTRACTOR REVIEW CODE  g.	VARIATION Enter "Y" if requesting a variation <i>(See Note 6)</i>  h.	USACE ACTION CODE <i>(Note 9)</i>  i.	
				SPEC. PARA. NO.  e.	DRAWING SHEET NO.  f.				
REMARKS				I certify that the above submitted items had been reviewed in detail and are correct and in strict conformance with the contract drawings and specifications except as otherwise stated.					
				NAME OF CONTRACTOR			SIGNATURE OF CONTRACTOR		
SECTION II - APPROVAL ACTION									
ENCLOSURES RETURNED <i>(List by item No.)</i>		NAME AND TITLE OF APPROVING AUTHORITY			SIGNATURE OF APPROVING AUTHORITY		DATE		

## INSTRUCTIONS

1. Section I will be initiated by the Contractor in the required number of copies.
2. Each Transmittal shall be numbered consecutively. The Transmittal Number typically includes two parts separated by a dash (-). The first part is the specification section number. The second part is a sequential number for the submittals under that spec section. If the Transmittal is a resubmittal, then add a decimal point to the end of the original Transmittal Number and begin numbering the resubmittal packages sequentially after the decimal.
3. The "Item No." for each entry on this form will be the same "Item No." as indicated on ENG FORM 4288-R.
4. Submittals requiring expeditious handling will be submitted on a separate ENG Form 4025-R.
5. Items transmitted on each transmittal form will be from the same specification section. Do not combine submittal information from different specification sections in a single transmittal.
6. If the data submitted are intentionally in variance with the contract requirements, indicate a variation in column h, and enter a statement in the Remarks block describing the detailed reason for the variation.
7. ENG Form 4025-R is self-transmitting - a letter of transmittal is not required.
8. When submittal items are transmitted, indicate the "Submittal Type" (*SD-01 through SD-11*) in column c of Section I.  
 Submittal types are the following:
 

SD-01 - Preconstruction	SD-02 - Shop Drawings	SD-03 - Product Data	SD-04 - Samples	SD-05 - Design Data	SD-06 - Test Reports
SD-07 - Certificates	SD-08 - Manufacturer's Instructions	SD-09 - Manufacturer's Field Reports	SD-10 - O&M Data	SD-11 - Closeout	
9. For each submittal item, the Contractor will assign Submittal Action Codes in column g of Section I. The U.S. Army Corps of Engineers approving authority will assign Submittal Action Codes in column i of Section I. The Submittal Action Codes are:
 

A -- Approved as submitted. B -- Approved, except as noted on drawings. Resubmission not required. C -- Approved, except as noted on drawings. Refer to attached comments. Resubmission required. D -- Will be returned by separate correspondence. E -- Disapproved. Refer to attached comments.	F -- Receipt acknowledged. X -- Receipt acknowledged, does not comply with contract requirements, as noted. G -- Other action required ( <i>Specify</i> ) K -- Government concurs with intermediate design. ( <i>For D-B contracts</i> ) R -- Design submittal is acceptable for release for construction. ( <i>For D-B contracts</i> )
--	---
10. Approval of items does not relieve the contractor from complying with all the requirements of the contract.

## SECTION TABLE OF CONTENTS

## DIVISION 01 - GENERAL REQUIREMENTS

## SECTION 01 35 26

## GOVERNMENTAL SAFETY REQUIREMENTS

## PART 1 GENERAL

## 1.1 REFERENCES

## 1.2 DEFINITIONS

- 1.2.1 Competent Person (CP)
- 1.2.2 Competent Person, Confined Space
- 1.2.3 Competent Person, Cranes and Rigging
- 1.2.4 Competent Person, Fall Protection
- 1.2.5 Competent Person, Scaffolding
- 1.2.6 Competent Person (CP) Trainer
- 1.2.7 High Risk Activities
- 1.2.8 High Visibility Accident
- 1.2.9 Load Handling Equipment (LHE)
- 1.2.10 Medical Treatment
- 1.2.11 Near Miss
- 1.2.12 Operating Envelope
- 1.2.13 Qualified Person (QP)
- 1.2.14 Qualified Person, Fall Protection (QP for FP)
- 1.2.15 Recordable Injuries or Illnesses
- 1.2.16 Government Property and Equipment
- 1.2.17 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

## 1.3 SUBMITTALS

## 1.4 MONTHLY EXPOSURE REPORTS

## 1.5 REGULATORY REQUIREMENTS

## 1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

## 1.6.1 Personnel Qualifications

## 1.6.1.1 Site Safety and Health Officer (SSHO)

## 1.6.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

## 1.6.1.2 Competent Person Qualifications

## 1.6.1.2.1 Competent Person for Confined Space Entry

## 1.6.1.2.2 Competent Person for Scaffolding

## 1.6.1.2.3 Competent Person for Fall Protection

## 1.6.1.3 Qualified Trainer Requirements

## 1.6.1.4 Crane Operators/Riggers

## 1.6.2 Personnel Duties

## 1.6.2.1 Duties of the Site Safety and Health Officer (SSHO)

## 1.6.3 Meetings

## 1.6.3.1 Preconstruction Conference

## 1.6.3.2 Safety Meetings

## 1.7 ACCIDENT PREVENTION PLAN (APP)

## 1.7.1 ACCIDENT PREVENTION PLAN (APP)

## 1.7.2 Names and Qualifications

## 1.7.3 Plans

## 1.7.3.1 Confined Space Entry Plan

- 1.7.3.2 Standard Lift Plan (SLP)
- 1.7.3.3 Critical Lift Plan - Crane or Load Handling Equipment
  - 1.7.3.3.1 Critical Lift Plan Planning and Schedule
  - 1.7.3.3.2 Lifts of Personnel
- 1.7.3.4 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan
- 1.7.3.5 Fall Protection and Prevention (FP&P) Plan
- 1.7.3.6 Rescue and Evacuation Plan
- 1.7.3.7 Hazardous Energy Control Program (HECP)
- 1.7.3.8 Lead, Cadmium, and Chromium Compliance Plan
- 1.7.3.9 Asbestos Hazard Abatement Plan
- 1.8 ACTIVITY HAZARD ANALYSIS (AHA)
  - 1.8.1 AHA Management
  - 1.8.2 AHA Signature Log
- 1.9 DISPLAY OF SAFETY INFORMATION
  - 1.9.1 Safety Bulletin Board
  - 1.9.2 Safety and Occupational Health (SOH) Deficiency Tracking System
- 1.10 SITE SAFETY REFERENCE MATERIALS
- 1.11 EMERGENCY MEDICAL TREATMENT
- 1.12 NOTIFICATIONS and REPORTS
  - 1.12.1 Mishap Notification
  - 1.12.2 Accident Reports
  - 1.12.3 LHE Inspection Reports
  - 1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging
- 1.13 HOT WORK
  - 1.13.1 Permit and Personnel Requirements
- 1.14 CONFINED SPACE ENTRY REQUIREMENTS
  - 1.14.1 Entry Procedures
  - 1.14.2 Forced Air Ventilation
  - 1.14.3 Sewer Wet Wells
  - 1.14.4 Rescue Procedures and Coordination with Local Emergency Responders
- 1.15 SEVERE STORM PLAN

## PART 2 PRODUCTS

## PART 3 EXECUTION

- 3.1 CONSTRUCTION AND OTHER WORK
  - 3.1.1 Worksite Communication
  - 3.1.2 Hazardous Material Exclusions
  - 3.1.3 Unforeseen Hazardous Material
- 3.2 CONTROL OF HAZARDOUS ENERGY AND SAFE CLEARANCE PROCEDURES (LOCKOUT/TAGOUT)
- 3.3 FALL PROTECTION PROGRAM
  - 3.3.1 Training
  - 3.3.2 Fall Protection Equipment and Systems
    - 3.3.2.1 Additional Personal Fall Protection Measures
    - 3.3.2.2 Personal Fall Protection Equipment
  - 3.3.3 Horizontal Lifelines (HLL)
  - 3.3.4 Guardrails and Safety Nets
  - 3.3.5 Rescue and Evacuation Plan and Procedures
- 3.4 WORK PLATFORMS
  - 3.4.1 Scaffolding
  - 3.4.2 Elevated Aerial Work Platforms (AWPs)
- 3.5 EQUIPMENT
  - 3.5.1 Material Handling Equipment (MHE)

- 3.5.2 Load Handling Equipment (LHE)
- 3.5.3 Machinery and Mechanized Equipment
- 3.5.4 Use of Explosives
- 3.6 ELECTRICAL
  - 3.6.1 Conduct of Electrical Work
  - 3.6.2 Qualifications
  - 3.6.3 Arc Flash
  - 3.6.4 Grounding
  - 3.6.5 Testing

-- End of Section Table of Contents --

## SECTION 01 35 26

## GOVERNMENTAL SAFETY REQUIREMENTS

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B30.3	(2020) Tower Cranes
ASME B30.5	(2018) Mobile and Locomotive Cranes
ASME B30.8	(2020) Floating Cranes and Floating Derricks
ASME B30.9	(2018) Slings
ASME B30.20	(2018) Below-the-Hook Lifting Devices
ASME B30.22	(2016) Articulating Boom Cranes
ASME B30.26	(2015; R 2020) Rigging Hardware

## AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSP Z244.1	(2016) The Control of Hazardous Energy Lockout, Tagout and Alternative Methods
ASSP Z359.0	(2018) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSP Z359.1	(2020) The Fall Protection Code
ASSP Z359.2	(2017) Minimum Requirements for a Comprehensive Managed Fall Protection Program
ASSP Z359.3	(2019) Safety Requirements for Lanyards and Positioning Lanyards
ASSP Z359.4	(2013) Safety Requirements for Assisted-Rescue and Self-Rescue Systems, Subsystems and Components

ASSP Z359.6	(2016) Specifications and Design Requirements for Active Fall Protection Systems
ASSP Z359.7	(2019) Qualification and Verification Testing of Fall Protection Products
ASSP Z359.11	(2014) Safety Requirements for Full Body Harnesses
ASSP Z359.12	(2019) Connecting Components for Personal Fall Arrest Systems
ASSP Z359.13	(2013) Personal Energy Absorbers and Energy Absorbing Lanyards
ASSP Z359.14	(2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems
ASSP Z359.15	(2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems
ASSP Z359.16	(2016) Safety Requirements for Climbing Ladder Fall Arrest Systems
ASSP Z359.18	(2017) Safety Requirements for Anchorage Connectors for Active Fall Protection Systems

## ASTM INTERNATIONAL (ASTM)

ASTM F855	(2019) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment
-----------	---

## INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2016) Guide for Protective Grounding of Power Lines
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

## NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10	(2018; ERTA 1-2 2018) Standard for Portable Fire Extinguishers
NFPA 51B	(2019; TIA 20-1) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code

NFPA 70E (2021) Standard for Electrical Safety in the Workplace

NFPA 241 (2019) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910 Occupational Safety and Health Standards

29 CFR 1910.146 Permit-required Confined Spaces

29 CFR 1910.147 The Control of Hazardous Energy (Lock Out/Tag Out)

29 CFR 1910.333 Selection and Use of Work Practices

29 CFR 1910.1000 Air Contaminants

29 CFR 1915 Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment

29 CFR 1915.89 Control of Hazardous Energy (Lockout/Tags-Plus)

29 CFR 1926 Safety and Health Regulations for Construction

29 CFR 1926.16 Rules of Construction

29 CFR 1926.450 Scaffolds

29 CFR 1926.500 Fall Protection

29 CFR 1926.1400 Cranes and Derricks in Construction

CPL 2.100 (1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

### 1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined EM 385-1-1 Appendix Q, with thorough knowledge of OSHA's Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation and monitoring of the confined space program, who through training, knowledge and experience in confined space entry is capable of identifying, evaluating and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person requirements, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the Crane and Rigging Program, who through training, knowledge and experience in crane and rigging is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.4 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSP Z359.0, who has been designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the fall protection program, who through training, knowledge and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.5 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge and experience in scaffolding to correctly identify, evaluate and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented including experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and personnel, and erection and dismantling. The CP for scaffolding must have a documented minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

### 1.2.6 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the training material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The

training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the knowledge and skills of the competent persons as part of the training process.

#### 1.2.7 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

#### 1.2.8 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

#### 1.2.9 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists, come-a-long, chain falls and power operated equipment used with rigging to raise, lower or horizontally move a load).

#### 1.2.10 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even when provided by a physician or registered personnel.

#### 1.2.11 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

#### 1.2.12 Operating Envelope

The Operating Envelope is the area surrounding any crane or load handling equipment. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, the lift and rigging procedure.

#### 1.2.13 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

#### 1.2.14 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the definition requirements of EM 385-1-1 Appendix Q, and ASSP Z359.2 standard, having a recognized degree or professional certificate and with extensive knowledge, training and experience in the fall protection and rescue field who is capable of

designing, analyzing, and evaluating and specifying fall protection and rescue systems.

#### 1.2.15 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

- a. Death, regardless of the time between the injury and death, or the length of the illness;
- b. Days away from work (any time lost after day of injury/illness onset);
- c. Restricted work;
- d. Transfer to another job;
- e. Medical treatment beyond first aid;
- f. Loss of consciousness; or
- g. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (a) through (f) above

#### 1.2.16 Government Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

#### 1.2.17 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over). Document an LHE mishap using the Crane High Hazard working group mishap reporting form (Available at local USACE Safety Office).

#### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

## ACCIDENT PREVENTION PLAN (APP); G, AO

## SD-06 Test Reports

Monthly Exposure Reports

Notifications and Reports

Accident Reports; G, AO

LHE Inspection Reports

## SD-07 Certificates

Crane Operators/Riggers

Standard Lift Plan; G, AO

Critical Lift Plan; G, AO

Activity Hazard Analysis (AHA)

Confined Space Entry Permit

Hot Work Permit

Certificate of Compliance

License Certificates

## 1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher.

## 1.5 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

## 1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

## 1.6.1 Personnel Qualifications

## 1.6.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the

project. Provide a Safety oversight team that includes a minimum of one person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Alternate SSHO must be at the work site at all times to implement and administer the Contractor's safety program and Government-accepted Accident Prevention Plan. The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

#### 1.6.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The SSHO may not serve as the Quality Control Manager. The SSHO may also serve as the Superintendent.

#### 1.6.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted Accident Prevention Plan, must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the Contracting Officer for information in consultation with the Safety Office.

##### 1.6.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1, Section 34.

##### 1.6.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

##### 1.6.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

#### 1.6.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1, Electrical Standards, Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics, and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

- a. Prepare class presentations that cover construction-related safety requirements.
- b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.
- c. Update training course materials whenever an update of the EM 385-1-1 becomes available.
- d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.
- e. Request, review and incorporate student feedback into a continuous course improvement program.

#### 1.6.1.4 Crane Operators/Riggers

Provide Operators, Signal Persons, and Riggers meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators and Signal Persons. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators qualified by a source that qualifies crane operators (i.e., union, a Government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification.

#### 1.6.2 Personnel Duties

##### 1.6.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

- a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.
- b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.
- c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon

request. Post and maintain the Form 300A on the site Safety Bulletin Board.

- d. Maintain applicable safety reference material on the job site.
- e. Attend the pre-construction conference, pre-work meetings including preparatory meetings, and periodic in-progress meetings.
- f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement and enforce them.
- g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.
- h. Ensure subcontractor compliance with safety and health requirements.
- i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).
- j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.
- k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above or any other required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO is dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

### 1.6.3 Meetings

#### 1.6.3.1 Preconstruction Conference

- a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, Site Safety and Occupational Health Officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).
- b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.
- c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to

begin until an APP is established that is acceptable to the Contracting Officer.

#### 1.6.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

### 1.7 ACCIDENT PREVENTION PLAN (APP)

#### 1.7.1 ACCIDENT PREVENTION PLAN (APP)

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor Quality Control Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities

not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSP A10.34), and the environment.

#### 1.7.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

- a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.
- b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance.

#### 1.7.3 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

##### 1.7.3.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this Contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by Contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

##### 1.7.3.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with EM 385-1-1, Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of three months.

#### 1.7.3.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01, using Form 16-3. In addition, Critical Lift Plans are required for the following:

- a. Lifts over 50 percent of the capacity of barge mounted mobile crane's hoist.
- b. When working around energized power lines where the work will get closer than the minimum clearance distance in EM 385-1-1 Table 16-1.
- c. For lifts with anticipated binding conditions.
- d. When erecting cranes.

##### 1.7.3.3.1 Critical Lift Plan Planning and Schedule

Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

##### 1.7.3.3.2 Lifts of Personnel

In addition to the requirements of EM 385-1-1, Section 16.H.02, for lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.

#### 1.7.3.4 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan

Multi-purpose machines, material handling equipment, and construction equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Written approval from a qualified registered professional engineer, after a safety analysis is performed, is allowed in lieu of the OEM's approval. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

#### 1.7.3.5 Fall Protection and Prevention (FP&P) Plan

The plan must be in accordance with the requirements of EM 385-1-1, Section 21.D and ASSP Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include fall protection and prevention systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the Fall Protection and Prevention Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted Fall Protection and Prevention Plan documentation at the job site for the duration of the project. Include the Fall Protection and

Prevention Plan documentation in the Accident Prevention Plan (APP).

#### 1.7.3.6 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSP Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

#### 1.7.3.7 Hazardous Energy Control Program (HECP)

Develop a HECP in accordance with EM 385-1-1 Section 12, 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1915.89, ASSP Z244.1, and ASSP A10.44. Submit this HECP as part of the Accident Prevention Plan (APP). Conduct a preparatory meeting and inspection with all effected personnel to coordinate all HECP activities. Document this meeting and inspection in accordance with EM 385-1-1, Section 12.A.02. Ensure that each employee is familiar with and complies with these procedures.

#### 1.7.3.8 Lead, Cadmium, and Chromium Compliance Plan

Identify the safety and health aspects of work involving lead, cadmium and chromium, and prepare in accordance with Section 02 83 00 LEAD REMEDIATION.

#### 1.7.3.9 Asbestos Hazard Abatement Plan

Identify the safety and health aspects of asbestos work, and prepare in accordance with Section 02 82 00 ASBESTOS REMEDIATION.

### 1.8 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

#### 1.8.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by

the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

#### 1.8.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOV must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

### 1.9 DISPLAY OF SAFETY INFORMATION

#### 1.9.1 Safety Bulletin Board

Prior to commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

- a. Confined space entry permit.
- b. Hot work permit.

#### 1.9.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

- a. Date deficiency identified;
- b. Description of deficiency;
- c. Name of person responsible for correcting deficiency;
- d. Projected resolution date;
- e. Date actually resolved.

#### 1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

#### 1.11 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

## 1.12 NOTIFICATIONS and REPORTS

### 1.12.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than four hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface); and underwater diving. These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

### 1.12.2 Accident Reports

- a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable USACE Accident Report ENG Form 3394, and provide the report to the Contracting Officer within 5 calendar days of the accident. The Contracting Officer will provide copies of any required or special forms.
- b. Near Misses: For Army projects, report all "Near Misses" to the GDA, using local mishap reporting procedures, within 24 hrs. The Contracting Officer will provide the Contractor the required forms. Near miss reports are considered positive and proactive Contractor safety management actions.
- c. Conduct an accident investigation for any load handling equipment accident (including rigging accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Accident Report) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report form.

### 1.12.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

#### 1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

#### 1.13 HOT WORK

##### 1.13.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the Contracting Officer's representative. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and knowledge of emergency response plan and emergency phone numbers/contacts. REPORT ANY FIRE, NO MATTER HOW SMALL, TO THE RESPONSIBLE FIRE DEPARTMENT OR CONTRACTING OFFICER IMMEDIATELY.

#### 1.14 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

##### 1.14.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

##### 1.14.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

#### 1.14.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

#### 1.14.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

#### 1.15 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

- a. Secure outside equipment and materials and place materials that could be damaged in protected areas.
- b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.
- c. Ensure that temporary erosion controls are adequate.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

##### 3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

- a. Hard Hat
- b. Long Pants and Long Sleeved Shirt
- c. Appropriate Safety Shoes
- d. Appropriate Class Reflective Vests

##### 3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to

the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

### 3.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

### 3.1.3 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work, notify the Contracting Officer immediately and determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

## 3.2 CONTROL OF HAZARDOUS ENERGY AND SAFE CLEARANCE PROCEDURES (LOCKOUT/TAGOUT)

Hazardous Energy Control (HEC) procedures are implemented for all the maintenance and construction activities on Corps property. These procedures are in accordance with OSHA regulation 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1910.269 and USACE ER 385-1-31, The Control of Hazardous Energy (safe clearance). Any work performed at the Big Bend project will be performed under safe clearance. The contractor shall request clearance on a piece of equipment or system before any work may begin. The Corps will establish the limits of the clearance, tag and lock the equipment or systems. The Contractor will be required to institute their own safe clearance procedures in accordance with OSHA and USACE regulations, within the perimeter or the Corps clearance. The Contractor's clearance shall not inhibit or interfere with the Corps operation of the plant. The clearances shall not be violated. Any violation of Hazardous Energy Control procedure (Safe Clearance Procedures) will be grounds for removal of the offender(s)

## 3.3 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements,

fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with ASSP Z359.2 and EM 385-1-1, Sections 21.A and 21.D.

### 3.3.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards and using personal fall protection equipment. Provide training by a competent person for fall protection in accordance with EM 385-1-1, Section 21.C. Document training and practical application of the competent person in accordance with EM 385-1-1, Section 21.C.04 and ASSP Z359.2 in the AHA.

### 3.3.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 Section 21.I, 29 CFR 1926.500 Subpart M, ASSP Z359.0, ASSP Z359.1, ASSP Z359.2, ASSP Z359.3, ASSP Z359.4, ASSP Z359.6, ASSP Z359.7, ASSP Z359.11, ASSP Z359.12, ASSP Z359.13, ASSP Z359.14, ASSP Z359.15, ASSP Z359.16 and ASSP Z359.18.

#### 3.3.2.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1, Sections 21.0 through 21.0.06. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

#### 3.3.2.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term

relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

### 3.3.3 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured horizontal lifelines (HLL) must be designed, installed, certified and used, under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

### 3.3.4 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

### 3.3.5 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP). The plan must be in accordance with the requirements of EM 385-1-1, ASSP Z359.2, and ASSP Z359.4.

## 3.4 WORK PLATFORMS

### 3.4.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

- a. Scaffold platforms greater than 20 feet in height must be accessed by use of a scaffold stair system.
- b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than 20 feet maximum in height.
- c. An adequate gate is required.
- d. Employees performing scaffold erection and dismantling must be qualified.
- e. Scaffold must be capable of supporting at least four times the maximum intended load, and provide appropriate fall protection as delineated in the accepted fall protection and prevention plan.

- f. Stationary scaffolds must be attached to structural building components to safeguard against tipping forward or backward.
- g. Special care must be given to ensure scaffold systems are not overloaded.
- h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the smallest dimension of the scaffold base.
- i. Scaffolding other than suspended types must bear on base plates upon wood mudsills ( 2 in x 10 in x 8 in minimum) or other adequate firm foundation.
- j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than 6 feet.
- k. Delineate fall protection requirements when working above 6 feet or above dangerous operations in the Fall Protection and Prevention (FP&P) Plan and Activity Hazard Analysis (AHA) for the phase of work.

#### 3.4.2 Elevated Aerial Work Platforms (AWPs)

Workers must be anchored to the basket or bucket in accordance with manufacturer's specifications and instructions (anchoring to the boom may only be used when allowed by the manufacturer and permitted by the CP). Lanyards used must be sufficiently short to prohibit worker from climbing out of basket. The climbing of rails is prohibited. Lanyards with built-in shock absorbers are acceptable. Self-retracting devices are not acceptable. Tying off to an adjacent pole or structure is not permitted unless a safe device for 100 percent tie-off is used for the transfer.

Use of AWPs must be operated, inspected, and maintained as specified in the operating manual for the equipment and delineated in the AHA. Operators of AWPs must be designated as qualified operators by the Prime Contractor. Maintain proof of qualifications on site for review and include in the AHA.

### 3.5 EQUIPMENT

#### 3.5.1 Material Handling Equipment (MHE)

- a. Material handling equipment such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. Material handling equipment fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.
- b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Material Handling Equipment Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.
- c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

### 3.5.2 Load Handling Equipment (LHE)

The following requirements apply. In exception, these requirements do not apply to commercial truck mounted and articulating boom cranes used solely to deliver material and supplies (not prefabricated components, structural steel, or components of a systems-engineered metal building) where the lift consists of moving materials and supplies from a truck or trailer to the ground; to cranes installed on mechanics trucks that are used solely in the repair of shore-based equipment; to crane that enter the activity but are not used for lifting; nor to other machines not used to lift loads suspended by rigging equipment. However, LHE accidents occurring during such operations must be reported.

- a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.
- b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Contractor's operator must remain with the crane during the spot check. Rigging gear must be in accordance with OSHA, ASME B30.9 Standards and federal, state, and local safety standards.
- c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.
- d. As applicable, comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, ASME B30.8 for floating cranes and floating derricks, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices and ASME B30.26 for rigging hardware.
- e. As applicable, when operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.
- f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.
- g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.
- h. All employees must keep clear of loads about to be lifted and of suspended loads, except for employees required to handle the load.
- i. Use cribbing when performing lifts on outriggers.
- j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.

- k. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a risk of striking, pinching or crushing personnel.
- l. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.
- m. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.
- n. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).
- o. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger and lift supervisor must cease all crane operations, evaluate conditions and determine if the lift may proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated capacity if applicable. Include this maximum wind speed determination as part of the activity hazard analysis plan for that operation.
- q. Follow FAA guidelines when required based on project location.

### 3.5.3 Machinery and Mechanized Equipment

- a. Proof of qualifications for operator must be kept on the project site for review.
- b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

### 3.5.4 Use of Explosives

Explosives must not be used or brought to the project site.

## 3.6 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Sections 11 and 12.

### 3.6.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048.

Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.147.

### 3.6.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local requirements applicable to where work is being performed.

### 3.6.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

### 3.6.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

### 3.6.5 Testing

Temporary electrical distribution systems and devices must be inspected,

tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

**This page was intentionally left blank for duplex printing.**

## SECTION TABLE OF CONTENTS

## DIVISION 01 - GENERAL REQUIREMENTS

## SECTION 01 45 00.00 10

## QUALITY CONTROL

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 PAYMENT
- 1.3 SUBMITTALS

## PART 2 PRODUCTS

## PART 3 EXECUTION

- 3.1 GENERAL REQUIREMENTS
- 3.2 CONTRACTOR QUALITY CONTROL (CQC) PLAN
  - 3.2.1 Content of the CQC Plan
  - 3.2.2 Acceptance of Plan
  - 3.2.3 Notification of Changes
- 3.3 COORDINATION MEETING
- 3.4 QUALITY CONTROL ORGANIZATION
  - 3.4.1 Personnel Requirements
  - 3.4.2 CQC System Manager
  - 3.4.3 CQC Personnel
  - 3.4.4 Assignment of CQC System Manager, Project Superintendent, and SSO Responsibilities
  - 3.4.5 Construction Quality Management Course- COVID-19 Restrictions
  - 3.4.6 Construction Quality Management Course - Post-COVID-19 Restrictions
  - 3.4.7 Organizational Changes
- 3.5 SUBMITTALS AND DELIVERABLES
- 3.6 CONTROL
  - 3.6.1 Preparatory Phase
  - 3.6.2 Initial Phase
  - 3.6.3 Follow-up Phase
  - 3.6.4 Additional Preparatory and Initial Phases
- 3.7 TESTS
  - 3.7.1 Testing Procedure
  - 3.7.2 Testing Laboratories
    - 3.7.2.1 Capability Check
    - 3.7.2.2 Capability Recheck
  - 3.7.3 Onsite Laboratory
- 3.8 COMPLETION INSPECTION
  - 3.8.1 Punch-Out Inspection
  - 3.8.2 Pre-Final Inspection
  - 3.8.3 Final Acceptance Inspection
- 3.9 DOCUMENTATION
- 3.10 SAMPLE FORMS
- 3.11 NOTIFICATION OF NONCOMPLIANCE

-- End of Section Table of Contents --

## SECTION 01 45 00.00 10

## QUALITY CONTROL

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## ASTM INTERNATIONAL (ASTM)

ASTM D3740 (2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

ASTM E329 (2021) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

## 1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Bid Schedule item.

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" classifications; submittals not having a "G" classification are for information only. When used, a code following the "G" classifications identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan; G, AO

SD-06 Test Reports

Verification Statement

## PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

### 3.1 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 "Inspection of Construction." QC consist of plans, procedures, and organization necessary to produce an end product which complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and must be keyed to the proposed construction sequence. The project superintendent will be held responsible for the quality of work and is subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. In this context the highest level manager responsible for the overall construction activities at the site, including quality and production is the project superintendent. The project superintendent must maintain a physical presence at the site at all times and is responsible for all construction and related activities at the site, except as otherwise acceptable to the Contracting Officer.

### 3.2 CONTRACTOR QUALITY CONTROL (CQC) PLAN

Submit no later than 10 calendar days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements of FAR 52.246-12 "Inspection of Construction." The Government will consider an interim plan for the first 30 days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional work.

#### 3.2.1 Content of the CQC Plan

Include, as a minimum, the following to cover all construction operations, both onsite and offsite, including work by subcontractors, fabricators, suppliers, and purchasing agents:

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified.
- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the Contract. Letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities will be issued by the CQC System Manager. Furnish copies of these letters to the Contracting Officer.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators,

suppliers, and purchasing agents. These procedures must be in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

- e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities approved by the Contracting Officer are required to be used.)
- f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.
- g. Procedures for tracking construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected.
- h. Reporting procedures, including proposed reporting formats.
- i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and is identified by different trades or disciplines, or it is work by the same trade in a different environment. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

### 3.2.2 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in the Contractor Quality Control(CQC) Plan and operations including removal of personnel, as necessary, to obtain the quality specified.

### 3.2.3 Notification of Changes

After acceptance of the CQC Plan, notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

## 3.3 COORDINATION MEETING

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's quality control system. Submit the CQC Plan a minimum of 10 calendar days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the Government, signed by both the Contractor and the Contracting Officer and will become

a part of the contract file. There can be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

### 3.4 QUALITY CONTROL ORGANIZATION

#### 3.4.1 Personnel Requirements

The requirements for the CQC organization are a Safety and Health Manager, CQC System Manager, and sufficient number of additional qualified personnel to ensure safety and Contract compliance. The Safety and Health Manager reports directly to a senior project (or corporate) official independent from the CQC System Manager. The Safety and Health Manager will also serve as a member of the CQC Staff. Include personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly as part of the CQC organization. The Contractor's CQC staff maintains a presence at the site at all times during progress of the work and have complete authority and responsibility to take any action necessary to ensure Contract compliance. The CQC staff will be subject to acceptance by the Contracting Officer. Provide adequate office space, filing systems and other resources as necessary to maintain an effective and fully functional CQC organization. Promptly complete and furnish all letters, material submittals, shop drawing submittals, schedules and all other project documentation to the CQC organization. The CQC organization is responsible to maintain these documents and records at the site at all times, except as otherwise acceptable to the Contracting Officer.

#### 3.4.2 CQC System Manager

Identify as CQC System Manager an individual within the onsite work organization that is responsible for overall management of CQC and has the authority to act in all CQC matters for the Contractor. The CQC System Manager is required to be a construction person with a minimum of 5 years in related work. This CQC System Manager is on the site at all times during construction and is employed by the prime Contractor. The CQC System Manager is assigned no other duties. Identify in the plan an alternate to serve in the event of the CQC System Manager's absence. The requirements for the alternate are the same as the CQC System Manager.

#### 3.4.3 CQC Personnel

**ALTERNATE 2:** ]Maintain a staff under the direction of the CQC system manager to perform all QC activities. The staff must be of sufficient size to ensure adequate QC coverage of all work phases, work shifts, and work crews involved in the construction. These personnel may perform other duties, but must be fully qualified by experience and technical training to perform their assigned QC responsibilities and must be allowed sufficient time to carry out these responsibilities. Clearly state the duties and responsibilities of each staff member in the QC Plan. Other technical specifications may specify individuals for maintaining quality control for specific areas of work.

#### 3.4.4 Assignment of CQC System Manager, Project Superintendent, and SSHO Responsibilities

The Site Safety and Health Officer (SSHO) may have other duties provided that experience requirements for the positions are met. However, the CQC System Manager and Project Superintendent cannot be the same person.

#### 3.4.5 Construction Quality Management Course- COVID-19 Restrictions

In addition to the above experience and education requirements, the Contractor Quality Control (CQC) System Manager and Alternate CQC System Manager are required to have completed the Construction Quality Management (CQM) for Contractors course.

Contractor personnel who otherwise fulfill all requirements for designation as a CQC Manager, but have not had the opportunity to obtain a CQM certificate due to COVID-19 restrictions, shall be permitted to serve as Quality Control Managers conditioned upon obtaining a CQM-C certificate within 120 days of USACE lifting current in person learning restrictions.

CQC Managers who were in possession of valid CQM certificate (i.e. not delinquent on the 5 year course renewal requirement) as of 01-Mar-2020 will have a grace period for obtaining the CQM renewal training of 6-months from the lifting of COVID-19 restrictions and USACE being able to provide face to face CQM training.

This course is periodically offered at offices indicated at the following web site:

<http://www.nwo.usace.army.mil/BusinessWithUs/Contracting/QualityManagement.aspx>

The exact date and location for the sessions will be determined approximately 30 calendar days in advance by the trainer (POC). Cost varies by location per student.

The Construction Quality Management Training certificate expires after 5 years. If the CQC System Manager's certificate has expired, retake the course to remain current.

The Government reserves the right to recognize certificates issued as a result of virtual training by a certified instructor as valid.

#### 3.4.6 Construction Quality Management Course - Post-COVID-19 Restrictions

In addition to the above experience and education requirements, the Contractor Quality Control (CQC) System Manager and Alternate CQC System Manager are required to have completed the Construction Quality Management (CQM) for Contractors course. If the CQC System Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered at offices indicated at the following web site:

<http://www.nwo.usace.army.mil/BusinessWithUs/Contracting/QualityManagement.aspx>

The exact date and location for the sessions will be determined approximately 30 days in advance by the trainer (POC). Cost varies by

location per student.

The Construction Quality Management Training certificate expires after 5 years. If the CQC System Manager's certificate has expired, retake the course to remain current.

### 3.4.7 Organizational Changes

Maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

### 3.5 SUBMITTALS AND DELIVERABLES

Submittals, if needed, have to comply with the requirements in Section 01 33 00 SUBMITTAL PROCEDURES. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the contract requirements.

### 3.6 CONTROL

CQC is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control are required to be conducted by the CQC System Manager for each definable feature of the construction work as follows:

#### 3.6.1 Preparatory Phase

This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase includes:

- a. A review of each paragraph of applicable specifications, reference codes, and standards. Make available during the preparatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.
- b. Review of the Contract drawings.
- c. Check to assure that all materials and equipment have been tested, submitted, and approved.
- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the Contract.
- f. Examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.
- g. Review of the appropriate activity hazard analysis to assure safety requirements are met.

- h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.
- i. Check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
- j. Discussion of the initial control phase.
- k. The Government needs to be notified at least 48 hours in advance of beginning the preparatory control phase. Include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. Document the results of the preparatory phase actions by separate minutes prepared by the CQC System Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

### 3.6.2 Initial Phase

This phase is accomplished at the beginning of a definable feature of work. Accomplish the following:

- a. Check work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.
- b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing are in compliance with the contract.
- c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- d. Resolve all differences.
- e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.
- f. The Government needs to be notified at least 48 hours in advance of beginning the initial phase for definable feature of work. Prepare separate minutes of this phase by the CQC System Manager and attach to the daily CQC report. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases.
- g. The initial phase for each definable feature of work is repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

### 3.6.3 Follow-up Phase

Perform daily checks to assure control activities, including control testing, are providing continued compliance with contract requirements,

until completion of the particular feature of work. Record the checks in the CQC documentation. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work. Do not build upon nor conceal non-conforming work.

#### 3.6.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same definable features of work if: the quality of on-going work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity; or if other problems develop.

### 3.7 TESTS

#### 3.7.1 Testing Procedure

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. Perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

#### 3.7.2 Testing Laboratories

All testing laboratories must be validated by the USACE Material Testing Center (MTC) for the tests to be performed. Information on the USACE MTC with web-links to both a list of validated testing laboratories and for the laboratory inspection request for can be found at:  
<https://mtc.erdcdren.mil/>

Click on "Lab Validation"  
Search for a Validation

### 3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel is required to meet criteria detailed in ASTM D3740 and ASTM E329.

### 3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed the actual cost for the recheck to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the Contract amount due the Contractor.

### 3.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

## 3.8 COMPLETION INSPECTION

### 3.8.1 Punch-Out Inspection

Conduct an inspection of the work by the CQC System Manager near the end of the work, or any increment of the work established by a time stated in FAR 52.211-10 "Commencement, Prosecution, and Completion of Work", or by the specifications. Prepare and include in the CQC documentation a punch list of items which do not conform to the approved drawings and specifications, as required by paragraph DOCUMENTATION. Include within the list of deficiencies the estimated date by which the deficiencies will be corrected. Make a second inspection by the CQC System Manager or staff to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government Pre-Final inspection.

### 3.8.2 Pre-Final Inspection

The Government will perform the pre-final inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. Ensure that all items on this list have been corrected before notifying the Government, so that a Final inspection with the customer can be scheduled. Correct any items noted on the Pre-Final inspection in a timely manner. These inspections and any deficiency corrections required by this paragraph need to be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate completion dates.

### 3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative is required to be in attendance at the final

acceptance inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands can also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notify the Contracting Officer at least 14 days prior to the final acceptance inspection and include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the Contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with FAR 52.246-12 "Inspection of Construction".

### 3.9 DOCUMENTATION

Maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

- a. The name and area of responsibility of the Contractor/Subcontractor.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Test and control activities performed with results and references to specifications/drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.
- g. Offsite surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions. Include information identified by the "Responsible Individual(s)" for Safety as outlined in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS.
- i. Instructions given/received and conflicts in plans and/or specifications.
- k. Verification Statement.

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract. Furnish the original and one copy

of these records in report form to the Contracting Officer's Representative on the first day following the date(s) covered by the report, except that reports need not be submitted for days on which no work is performed. The Government may elect to process these records electronically. Coordinate with the Contracting Officer's Representative. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the Contractor Quality Control(CQC) System Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the CQC System Manager Report.

### 3.10 SAMPLE FORMS

Generate daily quality control reports using the Government-furnished Construction Contractor Module of RMS specified in Section 01 45 00.15 10 RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE(RMS CM).

### 3.11 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, will be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer can issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

-- End of Section --

**This page was intentionally left blank for duplex printing.**

## SECTION TABLE OF CONTENTS

## DIVISION 01 - GENERAL REQUIREMENTS

## SECTION 01 45 00.15 10

## RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE (RMS CM)

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 MEASUREMENT AND PAYMENT
- 1.3 CONTRACT ADMINISTRATION
  - 1.3.1 Correspondence and Electronic Communications
  - 1.3.2 Other Factors
- 1.4 RMS SOFTWARE
- 1.5 CONTRACT DATABASE - GOVERNMENT
- 1.6 CONTRACT DATABASE - CONTRACTOR
  - 1.6.1 Administration
    - 1.6.1.1 Contractor Information
    - 1.6.1.2 Subcontractor Information
    - 1.6.1.3 Correspondence
    - 1.6.1.4 Equipment
    - 1.6.1.5 Reports
    - 1.6.1.6 Request For Information (RFI)
  - 1.6.2 Finances
    - 1.6.2.1 Pay Activity Data
    - 1.6.2.2 Payment Requests
  - 1.6.3 Quality Control (QC)
    - 1.6.3.1 Quality Control (QC) Reports
    - 1.6.3.2 Deficiency Tracking.
    - 1.6.3.3 Three-Phase Control Meetings
    - 1.6.3.4 Labor and Equipment Hours
    - 1.6.3.5 Accident/Safety Reporting
    - 1.6.3.6 Definable Features of Work
    - 1.6.3.7 Activity Hazard Analysis
  - 1.6.4 Submittal Management
  - 1.6.5 Schedule
  - 1.6.6 Closeout
- 1.7 IMPLEMENTATION
- 1.8 NOTIFICATION OF NONCOMPLIANCE

## PART 2 PRODUCTS

## PART 3 EXECUTION

-- End of Section Table of Contents --

## SECTION 01 45 00.15 10

## RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE (RMS CM)

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this section to the extent referenced. The publications are referred to within the text by the basic designation only.

## U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

## 1.2 MEASUREMENT AND PAYMENT

The work of this section is not measured for payment. The Contractor is responsible for the work of this section, without any direct compensation other than the payment received for contract items.

## 1.3 CONTRACT ADMINISTRATION

The Government will use the Resident Management System (RMS) to assist in its monitoring and administration of this contract. The Government accesses the system using the Government Mode of RMS (RMS GM) and the Contractor accesses the system using the Contractor Mode (RMS CM). The term RMS will be used in the remainder of this section for both RMS GM and RMS CM. The joint Government-Contractor use of RMS facilitates electronic exchange of information and overall management of the contract. The Contractor accesses RMS to record, maintain, input, track, and electronically share information with the Government throughout the contract period in the following areas:

- Administration
- Finances
- Quality Control
- Submittal Monitoring
- Scheduling
- Closeout
- Import/Export of Data

## 1.3.1 Correspondence and Electronic Communications

For ease and speed of communications, exchange correspondence and other documents in electronic format to the maximum extent feasible. Some correspondence, including pay requests and payrolls, are also to be provided in paper format with original signatures. Paper documents will govern, in the event of discrepancy with the electronic version.

## 1.3.2 Other Factors

Other portions of this document have a direct relationship to the

reporting accomplished through RMS. Particular attention is directed to FAR 52.236-15 Schedules for Construction Contracts; FAR 52.232-27 Prompt Payment for Construction Contracts; FAR 52.232-5 Payments Under Fixed-Priced Construction Contracts; Section 01 32 01.00 10 PROJECT SCHEDULE; Section 01 33 00 SUBMITTAL PROCEDURES; Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS; and Section 01 45 00.00 10 QUALITY CONTROL.

#### 1.4 RMS SOFTWARE

RMS is a web based application. Download, install and be able to utilize the latest version of RMS within 7 calendar days of receipt of the Notice to Proceed. RMS software, user manuals, access and installation instructions, program updates and training information are available from the RMS website (<https://rms.usace.army.mil>). The Government and the Contractor will have different access authorities to the same contract database through RMS. The common database will be updated automatically each time a user finalizes an entry or change.

#### 1.5 CONTRACT DATABASE - GOVERNMENT

The Government will enter the basic contract award data in RMS prior to granting the Contractor access. The Government entries into RMS will generally be related to submittal reviews, correspondence status, and Quality Assurance(QA)comments, as well as other miscellaneous administrative information.

#### 1.6 CONTRACT DATABASE - CONTRACTOR

Contractor entries into RMS establish, maintain, and update data throughout the duration of the contract. Contractor entries generally include prime and subcontractor information, daily reports, submittals, RFI's, schedule updates and payment requests. RMS includes the ability to import attachments and export reports in many of the modules, including submittals. The Contractor responsibilities for entries in RMS typically include the following items:

##### 1.6.1 Administration

##### 1.6.1.1 Contractor Information

Enter all current Contractor administrative data and information into RMS within 7 calendar days of receiving access to the contract in RMS. This includes, but is not limited to, Contractor's name, address, telephone numbers, management staff, and other required items.

##### 1.6.1.2 Subcontractor Information

Enter all missing subcontractor administrative data and information into RMS CM within 7 calendar days of receiving access to the contract in RMS or within 7 calendar days of the signing of the subcontractor agreement for agreements signed at a later date. This includes name, trade, address, phone numbers, and other required information for all subcontractors. A subcontractor is listed separately for each trade to be performed.

##### 1.6.1.3 Correspondence

Identify all Contractor correspondence to the Government with a serial

number. Prefix correspondence initiated by the Contractor's site office with "S". Prefix letters initiated by the Contractor's home (main) office with "H". Letters are numbered starting from 0001. (e.g., H-0001 or S-0001). The Government's letters to the Contractor will be prefixed with "C" or "RFP".

#### 1.6.1.4 Equipment

Enter and maintain a current list of equipment planned for use or being used on the jobsite, including the most recent and planned equipment inspection dates.

#### 1.6.1.5 Reports

Track the status of the project utilizing the reports available in RMS. The value of these reports is reflective of the quality of the data input. These reports include the Progress Payment Request worksheet, Quality Control (QC) comments, Submittal Register Status, and Three-Phase Control worksheets.

#### 1.6.1.6 Request For Information (RFI)

Create and track all Requests For Information (RFI) in the RMS Administration Module for Government review and response.

### 1.6.2 Finances

#### 1.6.2.1 Pay Activity Data

Develop and enter a list of pay activities in conjunction with the project schedule. The sum of pay activities equals the total contract amount, including modifications. Each pay activity must be assigned to a Contract Line Item Number (CLIN). The sum of the activities assigned to a CLIN equals the amount of each CLIN.

#### 1.6.2.2 Payment Requests

Prepare all progress payment requests using RMS. Update the work completed under the contract at least monthly, measured as percent or as specific quantities. After the update, generate a payment request and prompt payment certification using RMS. Submit the signed prompt payment certification and payment request as well as supporting data either electronically or by hard copy. Unless waived by the Contracting Officer, a signed paper copy of the approved payment certification and request is also required and will govern in the event of discrepancy with the electronic version.

### 1.6.3 Quality Control (QC)

Enter and track implementation of the 3-phase QC Control System, QC testing, transferred and installed property and warranties in RMS. Prepare daily reports, identify and track deficiencies, document progress of work, and support other Contractor QC requirements in RMS. Maintain all data on a daily basis. Insure that RMS reflects all quality control methods, tests and actions contained within the Contractor Quality Control (CQC) Plan and Government review comments of same within 7 calendar days of Government acceptance of the CQC Plan.

#### 1.6.3.1 Quality Control (QC) Reports

The Contractor's Quality Control (QC) Daily Report in RMS is the official report. The Contractor can use other supplemental formats to record QC data, but information from any supplemental formats are to be consolidated and entered into the RMS QC Daily Report. Any supplemental information may be entered into RMS as an attachment to the report. QC Daily Reports must be finalized and signed in RMS within 24 hours after the date covered by the report. Provide the Government a printed signed copy of the QC Daily Report, unless waived by the Contracting Officer.

#### 1.6.3.2 Deficiency Tracking.

Use the QC Daily Report Module to enter and track deficiencies. Deficiencies identified and entered into RMS by the Contractor or the Government will be sequentially numbered with a QC or QA prefix for tracking purposes. Enter each deficiency into RMS the same day that the deficiency is identified. Monitor, track and resolve all QC and QA entered deficiencies. A deficiency is not considered to be corrected until the Government indicates concurrence in RMS.

#### 1.6.3.3 Three-Phase Control Meetings

Maintain scheduled and actual dates and times of preparatory and initial control meetings in RMS. Worksheets for the three-phase control meetings are generated within RMS.

#### 1.6.3.4 Labor and Equipment Hours

Enter labor and equipment exposure hours on a daily basis. Roll up the labor and equipment exposure data into a monthly exposure report.

#### 1.6.3.5 Accident/Safety Reporting

Both the Contractor and the Government enter safety related comments in RMS as a deficiency. The Contractor must monitor, track and show resolution for safety issues in the QC Daily Report area of the RMS QC Module. In addition, follow all reporting requirements for accidents and incidents as required in EM 385-1-1, Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS and as required by any other applicable Federal, State or local agencies.

#### 1.6.3.6 Definable Features of Work

Enter each feature of work, as defined in the approved CQC Plan, into the RMS QC Module. A feature of work may be associated with a single or multiple pay activities, however a pay activity is only to be linked to a single feature of work.

#### 1.6.3.7 Activity Hazard Analysis

Import activity hazard analysis electronic document files into the RMS QC Module utilizing the document package manager.

#### 1.6.4 Submittal Management

Enter all current submittal register data and information into RMS within 7 calendar days of receiving access to the contract in RMS. The information shown on the submittal register following the specification

Section 01 33 00 SUBMITTAL PROCEDURES will already be entered into the RMS database when access is granted. Group electronic submittal documents into transmittal packages to send to the Government, except very large electronic files, samples, spare parts, mock ups, color boards, or where hard copies are specifically required. Track transmittals and update the submittal register in RMS on a daily basis throughout the duration of the contract. Submit hard copies of all submittals unless waived by the Contracting Officer.

#### 1.6.5 Schedule

Enter and update the contract project schedule in RMS by either manually entering all schedule data or by importing the Standard Data Exchange Format (SDEF) file, based on the requirements in Section 01 32 01.00 10 PROJECT SCHEDULE.

#### 1.6.6 Closeout

Closeout documents, processes and forms are managed and tracked in RMS by both the Contractor and the Government. Ensure that all closeout documents are entered, completed and documented within RMS.

#### 1.7 IMPLEMENTATION

Use of RMS as described in the preceding paragraphs is mandatory. Ensure that sufficient resources are available to maintain contract data within the RMS system. RMS is an integral part of the Contractor's required management of quality control.

#### 1.8 NOTIFICATION OF NONCOMPLIANCE

Take corrective action within 7 calendar days after receipt of notice of RMS non-compliance by the Contracting Officer.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

-- End of Section --

## SECTION TABLE OF CONTENTS

## DIVISION 01 - GENERAL REQUIREMENTS

## SECTION 01 57 20.00 10

## ENVIRONMENTAL PROTECTION

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 DEFINITIONS
  - 1.2.1 Environmental Pollution and Damage
  - 1.2.2 Environmental Protection
  - 1.2.3 Contractor Generated Hazardous Waste
  - 1.2.4 Project Pesticide Coordinator
  - 1.2.5 Land Application for Discharge Water
  - 1.2.6 Pests
  - 1.2.7 Surface Discharge
  - 1.2.8 Waters of the United States
  - 1.2.9 Wetlands
- 1.3 GENERAL REQUIREMENTS
- 1.4 SUBCONTRACTORS
- 1.5 PAYMENT
- 1.6 SUBMITTALS
- 1.7 ENVIRONMENTAL PROTECTION PLAN
  - 1.7.1 Compliance
  - 1.7.2 Contents
  - 1.7.3 Appendix
- 1.8 PROTECTION FEATURES
- 1.9 ENVIRONMENTAL ASSESSMENT OF CONTRACT DEVIATIONS
- 1.10 NOTIFICATION

## PART 2 PRODUCTS

## PART 3 EXECUTION

- 3.1 WATER RESOURCES
  - 3.1.1 Wetlands
- 3.2 AIR RESOURCES
  - 3.2.1 Particulates
  - 3.2.2 Odors
  - 3.2.3 Sound Intrusions
  - 3.2.4 Burning
- 3.3 CHEMICAL MATERIALS MANAGEMENT AND WASTE DISPOSAL
  - 3.3.1 Solid Wastes
  - 3.3.2 Chemicals and Chemical Wastes
  - 3.3.3 Contractor Generated Hazardous Wastes/Excess Hazardous Materials
  - 3.3.4 Fuel and Lubricants
  - 3.3.5 Waste Water
- 3.4 PREVIOUSLY USED EQUIPMENT
- 3.5 MAINTENANCE OF POLLUTION FACILITIES

3.6 TRAINING OF CONTRACTOR PERSONNEL

3.7 POST CONSTRUCTION CLEANUP

-- End of Section Table of Contents --

## SECTION 01 57 20.00 10

## ENVIRONMENTAL PROTECTION

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

WETLANDS DELINEATION MANUAL (1987) Corps of Engineers Wetlands Delineation Manual

## U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

33 CFR 328 Definitions of Waters of the United States

40 CFR 261 Identification and Listing of Hazardous Waste

40 CFR 262 Standards Applicable to Generators of Hazardous Waste

40 CFR 279 Standards for the Management of Used Oil

49 CFR 171 - 178 Hazardous Materials Regulations

## 1.2 DEFINITIONS

## 1.2.1 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally and/or historically.

## 1.2.2 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

### 1.2.3 Contractor Generated Hazardous Waste

Contractor generated hazardous waste means materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene etc.), waste thinners, excess paints, excess solvents, waste solvents, and excess pesticides, and contaminated pesticide equipment rinse water.

### 1.2.4 Project Pesticide Coordinator

The Project Pesticide Coordinator (PPC) is an individual that resides at a Civil Works Project office and that is responsible for oversight of pesticide application on Project grounds.

### 1.2.5 Land Application for Discharge Water

The term "Land Application" for discharge water implies that the Contractor must discharge water at a rate which allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Land Application must be in compliance with all applicable Federal, State, and local laws and regulations.

### 1.2.6 Pests

The term "pests" means arthropods, birds, rodents, nematodes, fungi, bacteria, viruses, algae, snails, marine borers, snakes, weeds and other organisms (except for human or animal disease-causing organisms) that adversely affect readiness, military operations, or the well-being of personnel and animals; attack or damage real property, supplies, equipment, or vegetation; or are otherwise undesirable.

### 1.2.7 Surface Discharge

The term "Surface Discharge" implies that the water is discharged with possible sheeting action and subsequent soil erosion may occur. Waters that are surface discharged may terminate in drainage ditches, storm sewers, creeks, and/or "waters of the United States" and would require a permit to discharge water from the governing agency.

### 1.2.8 Waters of the United States

All waters which are under the jurisdiction of the Clean Water Act, as defined in 33 CFR 328.

### 1.2.9 Wetlands

Those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, and bogs. Official determination of whether or not an area is classified as a wetland must be done in accordance with WETLANDS DELINEATION MANUAL.

### 1.3 GENERAL REQUIREMENTS

Minimize environmental pollution and damage that may occur as the result of construction operations. The environmental resources within the project boundaries and those affected outside the limits of permanent work must be protected during the entire duration of this contract. Comply with all applicable environmental Federal, State, and local laws and regulations. Any delays resulting from failure to comply with environmental laws and regulations will be the Contractor's responsibility.

### 1.4 SUBCONTRACTORS

Ensure compliance with this section by subcontractors.

### 1.5 PAYMENT

No separate payment will be made for work covered under this section. Payment of fees associated with environmental permits, application, and/or notices obtained by the Contractor, and payment of all fines/fees for violation or non-compliance with Federal, State, Regional and local laws and regulations are the Contractor's responsibility. All costs associated with this section must be included in the contract price.

### 1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

#### Administrative Submittals

##### Environmental Protection Plan

The environmental protection plan.

#### SD-01 Preconstruction Submittals

##### Environmental Protection Plan; G

### 1.7 ENVIRONMENTAL PROTECTION PLAN

Prior to commencing construction activities or delivery of materials to the site, submit an Environmental Protection Plan for review and approval by the Contracting Officer. The purpose of the Environmental Protection Plan is to present a comprehensive overview of known or potential environmental issues which the Contractor must address during construction. Issues of concern must be defined within the Environmental Protection Plan as outlined in this section. Address each topic at a level of detail commensurate with the environmental issue and required construction task(s). Topics or issues which are not identified in this section, but are considered necessary, must be identified and discussed after those items formally identified in this section. Prior to submittal of the Environmental Protection Plan, meet with the Contracting Officer for the purpose of discussing the implementation of the initial Environmental Protection Plan; possible subsequent additions and revisions to the plan including any reporting requirements; and methods for

administration of the Contractor's Environmental Plans. The Environmental Protection Plan must be current and maintained onsite by the Contractor.

#### 1.7.1 Compliance

No requirement in this Section will relieve the Contractor of any applicable Federal, State, and local environmental protection laws and regulations. During Construction, the Contractor will be responsible for identifying, implementing, and submitting for approval any additional requirements to be included in the Environmental Protection Plan.

#### 1.7.2 Contents

Include in the environmental protection plan, but not limit it to, the following:

- a. Name(s) of person(s) within the Contractor's organization who is(are) responsible for ensuring adherence to the Environmental Protection Plan.
- b. Name(s) and qualifications of person(s) responsible for training the Contractor's environmental protection personnel.
- c. Description of the Contractor's environmental protection personnel training program.
- e. An air pollution control plan detailing provisions to assure that dust, debris, materials, trash, etc., do not become air borne and travel off the project site.
- f. A contaminant prevention plan that: identifies potentially hazardous substances to be used on the job site; identifies the intended actions to prevent introduction of such materials into the air, water, or ground; and details provisions for compliance with Federal, State, and local laws and regulations for storage and handling of these materials. In accordance with EM 385-1-1, a copy of the Material Safety Data Sheets (MSDS) and the maximum quantity of each hazardous material to be onsite at any given time must be included in the contaminant prevention plan. Update the plan as new hazardous materials are brought onsite or removed from the site.

### 1.7.3 Appendix

Attach to the Environmental Protection Plan, as an appendix, copies of all environmental permits, permit application packages, approvals to construct, notifications, certifications, reports, and termination documents.

### 1.8 PROTECTION FEATURES

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, the Contractor and the Contracting Officer will make a joint condition survey. Immediately following the survey, the Contractor will prepare a brief report including a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. This survey report will be signed by both the Contractor and the Contracting Officer upon mutual agreement as to its accuracy and completeness. The Contractor must protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference which their preservation may cause to the work under the contract.

### 1.9 ENVIRONMENTAL ASSESSMENT OF CONTRACT DEVIATIONS

Any deviations from the drawings, plans and specifications, requested by the Contractor and which may have an environmental impact, will be subject to approval by the Contracting Officer and may require an extended review, processing, and approval time. The Contracting Officer reserves the right to disapprove alternate methods, even if they are more cost effective, if the Contracting Officer determines that the proposed alternate method will have an adverse environmental impact.

### 1.10 NOTIFICATION

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with Federal, State or local environmental laws or regulations, permits, and other elements of the Contractor's Environmental Protection plan. After receipt of such notice, the Contractor will inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No time extensions will be granted or equitable adjustments allowed for any such suspensions. This is in addition to any other actions the Contracting Officer may take under the contract, or in accordance with the Federal Acquisition Regulation or Federal Law.

## PART 2 PRODUCTS

NOT USED

## PART 3 EXECUTION

## 3.1 WATER RESOURCES

Monitor all water areas affected by construction activities to prevent pollution of surface and ground waters. Do not apply toxic or hazardous chemicals to soil or vegetation unless otherwise indicated. For construction activities immediately adjacent to impaired surface waters, the Contractor must be capable of quantifying sediment or pollutant loading to that surface water when required by State or Federally issued Clean Water Act permits.

## 3.1.1 Wetlands

Do not enter, disturb, destroy, or allow discharge of contaminants into any wetlands.

## 3.2 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with all Federal and State air emission and performance laws and standards.

## 3.2.1 Particulates

Dust particles; aerosols and gaseous by-products from construction activities; and processing and preparation of materials, such as from asphaltic batch plants; must be controlled at all times, including weekends, holidays and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates which would cause the Federal, State, and local air pollution standards to be exceeded or which would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp at all times. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with all State and local visibility regulations.

## 3.2.2 Odors

Odors from construction activities must be controlled at all times. The odors must be in compliance with State regulations and/or local ordinances and may not constitute a health hazard.

## 3.2.3 Sound Intrusions

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of South Dakota rules.

### 3.2.4 Burning

Burning is prohibited on the Government premises.

## 3.3 CHEMICAL MATERIALS MANAGEMENT AND WASTE DISPOSAL

Disposal of wastes will be as directed below, unless otherwise specified in other sections and/or shown on the drawings.

### 3.3.1 Solid Wastes

Place solid wastes (excluding clearing debris) in containers which are emptied on a regular schedule. Handling, storage, and disposal must be conducted to prevent contamination. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with solid waste. Transport solid waste off Government property and dispose of it in compliance with Federal, State, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill will be the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate.

### 3.3.2 Chemicals and Chemical Wastes

Dispense chemicals ensuring no spillage to the ground or water. Perform and document periodic inspections of dispensing areas to identify leakage and initiate corrective action. This documentation will be periodically reviewed by the Government. Collect chemical waste in corrosion resistant, compatible containers. Collection drums must be monitored and removed to a staging or storage area when contents are within 6 inches of the top. Wastes will be classified, managed, stored, and disposed of in accordance with Federal, State, and local laws and regulations.

### 3.3.3 Contractor Generated Hazardous Wastes/Excess Hazardous Materials

Hazardous wastes are defined in 40 CFR 261, or are as defined by applicable State and local regulations. Hazardous materials are defined in 49 CFR 171 - 178. At a minimum, manage and store hazardous waste in compliance with 40 CFR 262 in accordance with the Project Office hazardous waste management plan. Take sufficient measures to prevent spillage of hazardous and toxic materials during dispensing. Segregate hazardous waste from other materials and wastes, protect it from the weather by placing it in a safe covered location, and take precautionary measures such as berming or other appropriate measures against accidental spillage. Storage, describing, packaging, labeling, marking, and placarding of hazardous waste and hazardous material in accordance with 49 CFR 171 - 178, State, and local laws and regulations is the Contractor's responsibility. Transport Contractor generated hazardous waste off Government property within 60 days in accordance with the Environmental Protection Agency and the Department of Transportation laws and regulations. Dispose of hazardous waste in compliance with Federal, State and local laws and regulations. Spills of hazardous or toxic materials must be immediately reported to the Contracting Officer. Cleanup and cleanup costs due to spills are the Contractor's responsibility. The disposition of Contractor generated hazardous waste and excess hazardous materials are the Contractor's responsibility. Coordinate the disposition of hazardous waste with the Project Office's Hazardous Waste Manager and the Contracting Officer.

### 3.3.4 Fuel and Lubricants

Storage, fueling and lubrication of equipment and motor vehicles must be conducted in a manner that affords the maximum protection against spill and evaporation. Manage and store fuel, lubricants and oil in accordance with all Federal, State, Regional, and local laws and regulations. Used lubricants and used oil to be discarded must be stored in marked corrosion-resistant containers and recycled or disposed in accordance with 40 CFR 279, State, and local laws and regulations. Storage of fuel on the project site is not allowed. Fuel must be brought to the project site each day that work is performed. Storage of fuel on the project site will be in accordance with all Federal, State, and local laws and regulations.

### 3.3.5 Waste Water

Disposal of waste water will be as specified below.

- a. Waste water from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, forms, etc. will not be allowed to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction related waste water off-Government property in accordance with all Federal, State, Regional and Local laws and regulations.
- b. For discharge of ground water, the Contractor shall obtain a State or Federal permit specific for pumping and discharging ground water prior to surface discharging.

### 3.4 PREVIOUSLY USED EQUIPMENT

Clean all previously used construction equipment prior to bringing it onto the project site. Ensure that the equipment is free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the USDA jurisdictional office for additional cleaning requirements.

### 3.5 MAINTENANCE OF POLLUTION FACILITIES

Maintain permanent and temporary pollution control facilities and devices for the duration of the contract or for that length of time construction activities create the particular pollutant.

### 3.6 TRAINING OF CONTRACTOR PERSONNEL

The Contractor's personnel must be trained in all phases of environmental protection and pollution control. Conduct environmental protection/pollution control meetings for all personnel prior to commencing construction activities. Additional meetings must be conducted for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, wetlands, and endangered

species and their habitat that are known to be in the area.

### 3.7 POST CONSTRUCTION CLEANUP

The Contractor will clean up all areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, obliterate all signs of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. The disturbed area must be graded, filled and the entire area seeded unless otherwise indicated.

-- End of Section --

**This page was intentionally left blank for duplex printing.**

## SECTION TABLE OF CONTENTS

## DIVISION 01 - GENERAL REQUIREMENTS

## SECTION 01 78 23

## OPERATION AND MAINTENANCE DATA

## PART 1 GENERAL

## 1.1 SUBMISSION OF OPERATION AND MAINTENANCE DATA

1.1.1 Package Quality

1.1.2 Package Content

1.1.3 Changes to Submittals

## 1.2 TYPES OF INFORMATION REQUIRED IN O&amp;M DATA PACKAGES

## 1.2.1 Operating Instructions

1.2.1.1 Safety Precautions and Hazards

1.2.1.2 Operator Prestart

1.2.1.3 Startup, Shutdown, and Post-Shutdown Procedures

1.2.1.4 Normal Operations

1.2.1.5 Emergency Operations

1.2.1.6 Operator Service Requirements

1.2.1.7 Environmental Conditions

1.2.1.8 Final Setpoints and Calibration

## 1.2.2 Preventive Maintenance

1.2.2.1 Lubrication Data

1.2.2.2 Preventive Maintenance Plan and Schedule

## 1.2.3 Corrective Maintenance (Repair)

1.2.3.1 Troubleshooting Guides and Diagnostic Techniques

1.2.3.2 Maintenance and Repair Procedures

1.2.3.3 Removal and Replacement Instructions

1.2.3.4 Spare Parts and Supply Lists

## 1.2.4 Appendices

1.2.4.1 Product Submittal Data

1.2.4.2 Manufacturer's Instructions

1.2.4.3 O&amp;M Submittal Data

1.2.4.4 Parts Identification

1.2.4.5 Warranty Information

1.2.4.6 Extended Warranty Information

1.2.4.7 Personnel Training Requirements

1.2.4.8 Testing Equipment and Special Tool Information

1.2.4.9 Testing and Performance Data

## 1.3 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

1.3.1 Data Package 2

## PART 2 PRODUCTS

## PART 3 EXECUTION

-- End of Section Table of Contents --

## SECTION 01 78 23

## OPERATION AND MAINTENANCE DATA

## PART 1 GENERAL

## 1.1 SUBMISSION OF OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data specifically applicable to this contract and a complete and concise depiction of the provided equipment, product, or system, stressing and enhancing the importance of system interactions, troubleshooting, and long-term preventative maintenance and operation. The contractor must compile and prepare data and submit to the government prior to the training of Government personnel. The Contractor must compile and prepare aggregate O&M data including clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

## 1.1.1 Package Quality

Documents (digital(.pdf)format) must be fully legible. Poor quality copies obliterating the text or drawings will not be accepted.

## 1.1.2 Package Content

Data package content shall be as shown in the paragraph titled "Schedule of Operation and Maintenance Data Packages." Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission, except as follows.

## 1.1.3 Changes to Submittals

Manufacturer-originated changes or revisions to submitted data must be furnished by the Contractor if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

## 1.2 TYPES OF INFORMATION REQUIRED IN O&amp;M DATA PACKAGES

## 1.2.1 Operating Instructions

Include specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

## 1.2.1.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for all operating conditions. Provide recommended safeguards for each identified

hazard.

#### 1.2.1.2 Operator Prestart

Include procedures required to install, set up, and prepare each system for use.

#### 1.2.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

#### 1.2.1.4 Normal Operations

Provide narrative description of Normal Operating Procedures. Include Control Diagrams with data to explain operation and control of systems and specific equipment.

#### 1.2.1.5 Emergency Operations

Include Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shutdown the equipment to prevent further damage to systems and equipment. Include Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of all utility systems including required valve positions, valve locations and systems controlled.

#### 1.2.1.6 Operator Service Requirements

Include instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gage readings.

#### 1.2.1.7 Environmental Conditions

Include a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

#### 1.2.1.8 Final Setpoints and Calibration

Include records of final setpoints and calibration data for all programmable electronics.

#### 1.2.2 Preventive Maintenance

Include the following information for preventive and scheduled maintenance to minimize corrective maintenance and repair for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

##### 1.2.2.1 Lubrication Data

Include preventative maintenance lubrication data, in addition to instructions for lubrication provided under paragraph titled "Operator Service Requirements":

- a. A table showing recommended lubricants for specific temperature ranges

and applications.

- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.
- c. A Lubrication Schedule showing service interval frequency.

#### 1.2.2.2 Preventive Maintenance Plan and Schedule

Include manufacturer's schedule for routine preventive maintenance, inspections, tests and adjustments required to ensure proper and economical operation and to minimize corrective maintenance. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

#### 1.2.3 Corrective Maintenance (Repair)

Include manufacturer's recommended procedures and instructions for correcting problems and making repairs.

##### 1.2.3.1 Troubleshooting Guides and Diagnostic Techniques

Include step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

##### 1.2.3.2 Maintenance and Repair Procedures

Include instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

##### 1.2.3.3 Removal and Replacement Instructions

Include step-by-step procedures and a list required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Instructions shall include a combination of text and illustrations.

##### 1.2.3.4 Spare Parts and Supply Lists

Include lists of spare parts and supplies required for maintenance and repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

#### 1.2.4 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

#### 1.2.4.1 Product Submittal Data

Provide a copy of all SD-03 Product Data submittals required in the applicable technical sections.

#### 1.2.4.2 Manufacturer's Instructions

Provide a copy of all SD-08 Manufacturer's Instructions submittals required in the applicable technical sections.

#### 1.2.4.3 O&M Submittal Data

Provide a copy of all SD-10 Operation and Maintenance Data submittals required in the applicable technical sections.

#### 1.2.4.4 Parts Identification

Provide identification and coverage for all parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing shall show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Parts shown in the listings shall be grouped by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog

#### 1.2.4.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components such as the compressor of air conditioning system.

#### 1.2.4.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference all specific operation and maintenance procedures that must be performed to keep the warranty valid.

#### 1.2.4.7 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

#### 1.2.4.8 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests

and on special tools needed for the operation, maintenance, and repair of components.

#### 1.2.4.9 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, instrument factory calibration sheets, and monitoring reports. Include recommended schedule for retesting and blank test forms.

### 1.3 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Furnish the O&M data packages specified in individual technical sections. The required information for each O&M data package is as follows:

#### 1.3.1 Data Package 2

- a. Safety precautions
- b. Normal operations
- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan and schedule
- f. Cleaning recommendations
- g. Maintenance and repair procedures
- h. Removal and replacement instructions
- i. Spare parts and supply list
- j. Parts identification
- k. Final setpoints and calibration records for all programmable electronics
- l. Warranty information
- m. Contractor information

## PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

Not Used

-- End of Section --

## SECTION TABLE OF CONTENTS

## DIVISION 02 - EXISTING CONDITIONS

## SECTION 02 82 00

## ASBESTOS REMEDIATION

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 DEFINITIONS
  - 1.2.1 ACM
  - 1.2.2 Amended Water
  - 1.2.3 Asbestos
  - 1.2.4 Asbestos Control Area
  - 1.2.5 Asbestos Fibers
  - 1.2.6 Asbestos Permissible Exposure Limit
  - 1.2.7 Authorized Person
  - 1.2.8 Competent Person (CP)
  - 1.2.9 Contractor
  - 1.2.10 Disposal Bag
  - 1.2.11 Disturbance
  - 1.2.12 Friable Asbestos Material
  - 1.2.13 NESHAP
  - 1.2.14 Nonfriable Asbestos Material
  - 1.2.15 Permissible Exposure Limits (PELs)
    - 1.2.15.1 PEL-Time Weighted Average(TWA)
    - 1.2.15.2 PEL-Excursion Limit
  - 1.2.16 Personal Sampling
  - 1.2.17 Time Weighted Average (TWA)
  - 1.2.18 Wetting Agent
  - 1.2.19 Worker
- 1.3 REQUIREMENTS
  - 1.3.1 Description of Work
  - 1.3.2 Unexpected Discovery of Asbestos
  - 1.3.3 Medical Requirements
  - 1.3.4 Employee Training
  - 1.3.5 Environment, Safety and Health Compliance
  - 1.3.6 Hazard Communication
  - 1.3.7 Asbestos Hazard Abatement Plan
  - 1.3.8 Disposal
- 1.4 SUBMITTALS
- 1.5 QUALITY ASSURANCE
  - 1.5.1 Competent Person
  - 1.5.2 Air Sampling Results
- 1.6 SECURITY

## PART 2 PRODUCTS

- 2.1 DISPOSAL CONTAINERS

## PART 3 EXECUTION

- 3.1 EQUIPMENT
  - 3.1.1 Air Monitoring Equipment
  - 3.1.2 Respirators
    - 3.1.2.1 Respirators for Handling Asbestos
  - 3.1.3 Regulated Areas
  - 3.1.4 Single Stage Decontamination Area
- 3.2 WORK PROCEDURE
  - 3.2.1 Protection of Existing Work to Remain
  - 3.2.2 Methods of Compliance
    - 3.2.2.1 Mandated Practices
  - 3.2.3 Class II Work Procedures
  - 3.2.4 Specific Control Methods for Class II Work
    - 3.2.4.1 Gaskets
  - 3.2.5 Air Sampling
- 3.3 CLEAN-UP AND DISPOSAL
  - 3.3.1 Housekeeping
  - 3.3.2 Responsibility for Materials
  - 3.3.3 of Asbestos
    - 3.3.3.1 Procedure for Disposal

-- End of Section Table of Contents --

## SECTION 02 82 00

## ASBESTOS REMEDIATION

## PART 1 GENERAL

Asbestos-containing gaskets may be present on the flanged pipe fitting that is being replaced. Unbolt the flanges, remove and dispose of the gaskets in accordance with this specification and applicable regulations. The pipe fitting is also believed to be coated with lead paint. See also section 02 83 00 LEAD IN CONSTRUCTION for information on lead paint. This work area is a permit-required confined space.

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety -- Safety and Health  
Requirements Manual

## U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA 340/1-90/018 (1990) Asbestos/NESHAP Regulated Asbestos  
Containing Materials Guidance

## U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1926.59	Hazard Communication
29 CFR 1926.103	Respiratory Protection
29 CFR 1926.1101	Asbestos
40 CFR 61-SUBPART A	General Provisions
40 CFR 61-SUBPART M	National Emission Standard for Asbestos
40 CFR 763	Asbestos

## 1.2 DEFINITIONS

## 1.2.1 ACM

Asbestos Containing Materials.

## 1.2.2 Amended Water

Water containing a wetting agent or surfactant with a maximum surface tension of 0.00042 psi.

### 1.2.3 Asbestos

The term asbestos includes chrysotile, amosite, crocidolite, tremolite asbestos, anthophyllite asbestos, and actinolite asbestos and any of these minerals that has been chemically treated or altered. Materials are considered to contain asbestos if the asbestos content of the material is determined to be at least one percent.

### 1.2.4 Asbestos Control Area

That area where asbestos removal operations are performed which is isolated by physical boundaries which assist in the prevention of the uncontrolled release of asbestos dust, fibers, or debris.

### 1.2.5 Asbestos Fibers

Those fibers having an aspect ratio of at least 3:1 and longer than 5 micrometers as determined by National Institute for Occupational Safety and Health (NIOSH) Method 7400.

### 1.2.6 Asbestos Permissible Exposure Limit

0.1 fibers per cubic centimeter of air as an 8-hour time weighted average measured in the breathing zone as defined by 29 CFR 1926.1101 or other Federal legislation having legal jurisdiction for the protection of workers health.

### 1.2.7 Authorized Person

Any person authorized by the Contractor and required by work duties to be present in the regulated areas.

### 1.2.8 Competent Person (CP)

A person meeting the requirements for competent person as specified in 29 CFR 1926.1101 including a person capable of identifying existing asbestos hazards in the workplace and selecting the appropriate control strategy for asbestos exposure, who has the authority to take prompt corrective measures to eliminate them, and is specifically trained in a training course which meet the criteria of EPA's Model Accreditation Plan (40 CFR 763) for project supervisor, or its equivalent. The competent person must have a current State of South Dakota asbestos contractors or supervisors license/certification.

### 1.2.9 Contractor

The Contractor is that individual, or entity under contract to perform the herein listed work.

### 1.2.10 Disposal Bag

A 6 mil thick, leak-tight plastic bag, pre-labeled in accordance with 29 CFR 1926.1101, used for transporting asbestos waste from containment to disposal site.

### 1.2.11 Disturbance

Activities that disrupt the matrix of ACM, crumble or pulverize ACM, or generate visible debris from ACM. Disturbance includes cutting away small

amounts of ACM, no greater than the amount which can be contained in one standard sized glovebag or waste bag, not larger than 60 inches in length and width in order to access a building component.

#### 1.2.12 Friable Asbestos Material

A term defined in 40 CFR 61-SUBPART M and EPA 340/1-90/018 meaning any material which contains more than 1 percent asbestos, as determined using the method specified in 40 CFR 763, Polarized Light Microscopy (PLM), that when dry, can be crumbled, pulverized, or reduced to powder by hand pressure.

#### 1.2.13 NESHAP

National Emission Standards for Hazardous Air Pollutants. The USEPA NESHAP regulation for asbestos is at 40 CFR 61-SUBPART M.

#### 1.2.14 Nonfriable Asbestos Material

Material that contains asbestos in which the fibers have been immobilized by a bonding agent, coating, binder, or other material so that the asbestos is well bound and will not normally release asbestos fibers during any appropriate use, handling, storage or transportation. It is understood that asbestos fibers may be released under other conditions such as demolition, removal, or mishap.

#### 1.2.15 Permissible Exposure Limits (PELs)

##### 1.2.15.1 PEL-Time Weighted Average(TWA)

Concentration of asbestos not in excess of 0.1 fibers per cubic centimeter of air (f/cc) as an 8-hour time weighted average (TWA).

##### 1.2.15.2 PEL-Excursion Limit

An airborne concentration of asbestos not in excess of 1.0 f/cc of air as averaged over a sampling period of 30 minutes.

#### 1.2.16 Personal Sampling

Air sampling which is performed to determine asbestos fiber concentrations within the breathing zone of a specific employee, as performed in accordance with 29 CFR 1926.1101.

#### 1.2.17 Time Weighted Average (TWA)

The TWA is an 8-hour time weighted average airborne concentration of asbestos fibers.

#### 1.2.18 Wetting Agent

A chemical added to water to reduce the water's surface tension thereby increasing the water's ability to soak into the material to which it is applied. An equivalent wetting agent must have a surface tension of at most 0.00042 psi.

#### 1.2.19 Worker

Individual (not designated as the Competent Person or a supervisor) who

performs asbestos work and has completed asbestos worker training required by 29 CFR 1926.1101, to include EPA Model Accreditation Plan (MAP) "Worker" training; accreditation, if required by the OSHA Class of work to be performed or by the state where the work is to be performed. The worker must be appropriately licensed/certified in the State of South Dakota.

### 1.3 REQUIREMENTS

#### 1.3.1 Description of Work

The work covered by this section includes the handling and control of asbestos containing materials and describes some of the resultant procedures and equipment required to protect workers, the environment and occupants of the building or area, or both, from contact with airborne asbestos fibers. The work also includes the disposal of any asbestos containing materials generated by the work. More specific operational procedures must be outlined in the Asbestos Hazard Abatement Plan called for elsewhere in this specification. The asbestos work includes the removal of presumed asbestos-containing gaskets on flanges between the tee and adjacent piping associated with unwatering sump pumping system. A competent person must supervise asbestos removal work as specified herein.

#### 1.3.2 Unexpected Discovery of Asbestos

Notify the Contracting Officer if any previously untested building components suspected to contain asbestos are impacted by the work.

#### 1.3.3 Medical Requirements

Comply with medical requirements including but not limited to medical surveillance and medical record keeping as listed in 29 CFR 1926.1101.

#### 1.3.4 Employee Training

Train personnel involved in the asbestos control work in accordance with United States Environmental Protection Agency (USEPA) Asbestos Hazard Emergency Response Act (AHERA) training criteria or State training criteria whichever is more stringent.

#### 1.3.5 Environment, Safety and Health Compliance

In addition to requirements of this specification, comply with those applicable laws, ordinances, criteria, rules, and regulations of Federal, State, regional, and local authorities regarding handling, storing, transporting, and disposing of asbestos waste materials. Comply with the applicable requirements of the current issue of EM 385-1-1, 29 CFR 1926.1101, 40 CFR 61-SUBPART A, and 40 CFR 61-SUBPART M. The following laws, ordinances, criteria, rules and regulations regarding removal, handling, storing, transporting and disposing of asbestos materials apply:

Administrative Rules of South Dakota 74:31

ARSD 74:36:08

#### 1.3.6 Hazard Communication

Adhere to all parts of 29 CFR 1926.59 and provide the Contracting Officer

with a copy of the Safety Data Sheets (SDS) for all materials brought to the site.

#### 1.3.7 Asbestos Hazard Abatement Plan

Submit a plan of the applicable safety precautions such as lockout, tagout, tryout, fall protection, and confined space entry procedures and equipment and work procedures to be used in the removal of materials containing asbestos. The plan must include but not be limited to the personal protective equipment to be used including, but not limited to, respiratory protection, type of whole-body protection, the location of asbestos control areas, removal method, decontamination procedures, disposal plan, planned air monitoring strategies, and a description of the method to be employed in order to control environmental pollution. The plan must also include an Activity Hazard Analyses (AHAs) in accordance with EM 385-1-1. The Asbestos Hazard Abatement Plan must be approved in writing prior to starting any asbestos work. Once approved by the Contracting Officer, the plan will be enforced as if an addition to the specification.

#### 1.3.8 Disposal

The landfill shall be approved for asbestos disposal by the U.S. Environmental Protection Agency and appropriate state and local regulatory agencies. Submit a copy of the waste shipment records within one day of the shipment leaving the project site.

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

##### SD-03 Product Data

Safety Data Sheets (SDS) for All Materials; G, PO

##### SD-06 Test Reports

Air Sampling Results; G, PO

##### SD-07 Certificates

Asbestos Hazard Abatement Plan; G, PO

Waste Shipment Records; G, PO

#### 1.5 QUALITY ASSURANCE

##### 1.5.1 Competent Person

The Competent Person must be experienced in the administration and supervision of asbestos abatement projects including exposure assessment and monitoring, work practices, abatement methods, protective measures for personnel, setting up and inspecting asbestos abatement work areas, ACM generated waste containment and disposal procedures, and site safety and

health requirements. The Competent Person must be on-site at all times when asbestos abatement activities are underway.

#### 1.5.2 Air Sampling Results

Complete fiber counting and provide results for review within 16 hours of the "time off" of the sample pump. Notify the Contracting Officer immediately of any airborne levels of asbestos fibers in excess of the acceptable limits.

#### 1.6 SECURITY

Barriers to prevent unauthorized entry must be provided for each regulated area. Entry into regulated areas must only be by personnel authorized by the Contractor and the Contracting Officer. Personnel authorized to enter regulated areas must be trained, medically evaluated, and wear the required personal protective equipment.

### PART 2 PRODUCTS

#### 2.1 DISPOSAL CONTAINERS

Leak-tight (defined as solids, liquids, or dust that cannot escape or spill out) disposal containers must be provided for ACM wastes as required by 29 CFR 1926.1101. Disposal containers can be in the form of:

- a. Disposal Bags
- b. Fiberboard Drums
- c. Cardboard Boxes

### PART 3 EXECUTION

#### 3.1 EQUIPMENT

##### 3.1.1 Air Monitoring Equipment

The Contractor must approve air monitoring equipment. The equipment must include, but must not be limited to:

- a. Low-volume, battery powered, body-attachable, portable personal pumps that can be calibrated to a constant airflow up to approximately 3.5 liters per minute, and a self-contained rechargeable power pack capable of sustaining the calibrated flow rate for a minimum of 10 hours. The pumps must also be equipped with an automatic flow control unit which must maintain a constant flow, even as filter resistance increases due to accumulation of fiber and debris on the filter surface.
- b. Single use standard 25 mm diameter cassette, open face, 0.8 micron pore size, mixed cellulose ester membrane filters and cassettes with 50 mm electrically conductive extension cowl, and shrink bands for personal air sampling.

##### 3.1.2 Respirators

Select respirators from those approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human

Services.

#### 3.1.2.1 Respirators for Handling Asbestos

Provide personnel engaged in pre-cleaning, cleanup, handling, removal of asbestos materials with respiratory protection as indicated in 29 CFR 1926.1101 and 29 CFR 1926.103.

#### 3.1.3 Regulated Areas

All Class I, II, and III asbestos work must be conducted within regulated areas. The regulated area must be demarcated to minimize the number of persons within the area and to protect persons outside the area from exposure to airborne asbestos. Control access to regulated areas, ensure that only authorized personnel enter, and verify that Contractor required medical surveillance, training and respiratory protection program requirements are met prior to allowing entrance.

#### 3.1.4 Single Stage Decontamination Area

A decontamination area (equipment room/area) must be provided for Class II and Class III asbestos work operations where exposures exceed the PELs or where there is no negative exposure assessment. The area must be of sufficient size to accommodate cleaning of equipment and removing personal protective equipment without spreading contamination beyond the area.

### 3.2 WORK PROCEDURE

Perform asbestos related work in accordance with 29 CFR 1926.1101, 40 CFR 61-SUBPART M, and as specified herein. Use wet removal procedures. Wear and utilize protective clothing and equipment as specified in the approved Asbestos Hazard Abatement Plan.

#### 3.2.1 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent work. Where such work is damaged or contaminated as verified by the Contracting Officer using visual inspection or sample analysis, it must be restored to its original condition or decontaminated by the Contractor at no expense to the Government as deemed appropriate by the Contracting Officer. This includes inadvertent spill of dirt, dust, or debris in which it is reasonable to conclude that asbestos may exist.

#### 3.2.2 Methods of Compliance

##### 3.2.2.1 Mandated Practices

The specific abatement techniques and items identified must be detailed in the Contractor's AHAP. Use the following engineering controls and work practices in all operations, regardless of the levels of exposure:

- a. Wet methods or wetting agents except where it can be demonstrated that the use of wet methods is unfeasible due to the creation of electrical hazards, equipment malfunction, and in roofing.
- b. Prompt clean-up and disposal.
- c. Inspection and repair of polyethylene.

- d. Cleaning of equipment and surfaces of containers prior to removing them from the equipment room or area.

### 3.2.3 Class II Work Procedures

In addition to the requirements of paragraphs MANDATED PRACTICES and CONTROL METHODS, the following engineering controls and work practices must be used:

- a. A Competent Person must supervise the work.
- b. For indoor work, critical barriers must be placed over all openings to the regulated area.
- c. Impermeable dropcloths must be placed on surfaces beneath all removal activity.

### 3.2.4 Specific Control Methods for Class II Work

#### 3.2.4.1 Gaskets

Establish designated limits for the asbestos regulated work area with the use of red barrier tape, critical barriers, signs, and maintain all other requirements for asbestos control area except local exhaust. Gaskets must be thoroughly wetted with amended water prior to removal and immediately placed in a disposal container. Any scraping to remove residue must be performed wet. Place debris into a 6-mil minimum thickness disposal bag or other approved container. Once the material is in the disposal bag, apply additional water as needed to achieve "adequately wet" conditions for NESHAP compliance.

#### 3.2.5 Air Sampling

Perform sampling of airborne concentrations of asbestos fibers in accordance with 29 CFR 1926.1101, the Contractor's air monitoring plan and as specified herein. Unless otherwise specified, use NIOSH Method 7400 for sampling and analysis. Submit all documentation regarding initial exposure assessments, negative exposure assessments, and air-monitoring results.

### 3.3 CLEAN-UP AND DISPOSAL

#### 3.3.1 Housekeeping

Essential parts of asbestos dust control are housekeeping and clean-up procedures. Maintain surfaces of the asbestos control area free of accumulations of asbestos fibers. Give meticulous attention to restricting the spread of dust and debris; keep waste from being distributed over the general area. Use HEPA filtered vacuum cleaners as needed. DO NOT BLOW DOWN THE SPACE WITH COMPRESSED AIR. When asbestos removal is complete, all asbestos waste is removed from the work-site, and final clean-up is completed, the Contracting Officer will attest that the area is safe. The Contracting Officer will visually inspect all surfaces within the enclosure for residual material or accumulated dust or debris. The Contractor must re-clean all areas showing dust or residual materials.

### 3.3.2 Responsibility for Materials

All waste materials, except as specified otherwise, become the responsibility of the Contractor and must be disposed of as specified in applicable local, State, and Federal regulations and herein.

### 3.3.3 of Asbestos

#### 3.3.3.1 Procedure for Disposal

Coordinate all waste disposal manifests with the Contracting Officer and project Environmental POC. Collect asbestos waste, contaminated waste water filters, asbestos contaminated water, scrap, debris, bags, containers, equipment, and asbestos contaminated clothing which may produce airborne concentrations of asbestos fibers and place in sealed fiber-proof, waterproof, non-returnable containers (e.g. double plastic bags 6 mils thick, cartons, drums or cans). Wastes within the containers must be adequately wet in accordance with 40 CFR 61-SUBPART M. Affix a warning and Department of Transportation (DOT) label to each container including the bags or use at least 6 mils thick bags with the approved warnings and DOT labeling preprinted on the bag. Clearly indicate on the outside of each container the name of the waste generator and the location at which the waste was generated. Prevent contamination of the transport vehicle. Dispose of waste asbestos material at an Environmental Protection Agency (EPA) or State-approved asbestos landfill off Government property.

-- End of Section --

**This page was intentionally left blank for duplex printing.**

## SECTION TABLE OF CONTENTS

## DIVISION 02 - EXISTING CONDITIONS

## SECTION 02 83 00

## LEAD IN CONSTRUCTION

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 DEFINITIONS
  - 1.2.1 Action Level
  - 1.2.2 Competent Person (CP)
  - 1.2.3 Eight-Hour Time Weighted Average (TWA)
  - 1.2.4 Lead
  - 1.2.5 Lead-Based Paint (LBP)
  - 1.2.6 Lead Control Area
  - 1.2.7 Lead Permissible Exposure Limit (PEL)
- 1.3 DESCRIPTION
  - 1.3.1 Protection of Existing Areas To Remain
- 1.4 SUBMITTALS
- 1.5 QUALITY ASSURANCE
  - 1.5.1 Qualifications
    - 1.5.1.1 Competent Person (CP)
  - 1.5.2 Requirements
    - 1.5.2.1 Competent Person (CP) Responsibilities
    - 1.5.2.2 Lead Compliance Plan
    - 1.5.2.3 Hazard Communication Program
    - 1.5.2.4 Lead Waste Management
    - 1.5.2.5 Environmental, Safety and Health Compliance
- 1.6 PROJECT/SITE CONDITIONS
  - 1.6.1 Protection of Existing Work to Remain

## PART 2 PRODUCTS

## PART 3 EXECUTION

- 3.1 PREPARATION
  - 3.1.1 Protection
    - 3.1.1.1 LeadControl Area
    - 3.1.1.2 Personnel Protection
- 3.2 APPLICATION
  - 3.2.1 Lead Work
- 3.3 FIELD QUALITY CONTROL
  - 3.3.1 Tests
    - 3.3.1.1 Air Sampling
- 3.4 CLEANING AND DISPOSAL
  - 3.4.1 Cleanup
  - 3.4.2 Disposal
    - 3.4.2.1 Disposal Documentation

-- End of Section Table of Contents --



## SECTION 02 83 00

## LEAD IN CONSTRUCTION

## PART 1 GENERAL

Paint on the pipe fitting that is being replaced is anticipated to be coated with lead-based paint. Where project activities will disturb or remove paint, follow appropriate procedures identified in this section. Ensure that workers are protected from any exposure to airborne lead particulate and that no loose paint contaminates the environment. Collect any lead-based paint waste generated when paint is disturbed for proper disposal in accordance with this section and applicable regulations. The presumed asbestos-containing gaskets on the pipe fitting shall be removed in accordance with section 02 82 00 ASBESTOS REMEDIATION. The pipe fitting can be disposed at a recycler authorized to recycle scrap metal, with notification to the recycler of the presence of lead paint.

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety -- Safety and Health  
Requirements Manual

## U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1926.59	Hazard Communication
29 CFR 1926.62	Lead
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 268	Land Disposal Restrictions

## 1.2 DEFINITIONS

### 1.2.1 Action Level

Employee exposure, without regard to use of respirators, to an airborne concentration of lead of 30 micrograms per cubic meter of air averaged over an 8-hour period.

### 1.2.2 Competent Person (CP)

As used in this section, refers to a person employed by the Contractor who is trained in the recognition and control of leadhazards in accordance with current federal, State, and local regulations and has the authority to take prompt corrective actions to control the lead hazard.

### 1.2.3 Eight-Hour Time Weighted Average (TWA)

Airborne concentration of lead to which an employee is exposed, averaged over an 8-hour workday as indicated in 29 CFR 1926.62.

### 1.2.4 Lead

Metallic lead, inorganic lead compounds, and organic lead soaps. Excludes other forms of organic lead compounds.

### 1.2.5 Lead-Based Paint (LBP)

Paint or other surface coating that contains lead in excess of 1.0 milligrams per centimeter squared or 0.5 percent by weight.

### 1.2.6 Lead Control Area

A system of control methods to prevent the spread of lead dust, paint chips or debris to adjacent areas that may include floor or ground cover protection, physical boundaries, and warning signs to prevent unauthorized entry of personnel.

### 1.2.7 Lead Permissible Exposure Limit (PEL)

Fifty micrograms per cubic meter of air as an 8-hour time weighted average as determined by 29 CFR 1926.62. If an employee is exposed for more than 8-hours in a work day, determine the PEL by the following formula:

$$\text{PEL (micrograms/cubic meter of air)} = 400/\text{No. hrs worked per day}$$

## 1.3 DESCRIPTION

Construction activities impacting PWL or material containing lead which are covered by this specification include the removal and disposal of a pipe fitting coated with lead based paint and/or paint waste resulting from disturbance of painted surfaces. If existing paint is disturbed, flaking or deteriorated, ensure the collection and proper disposal of loose paint and associated debris. The work covered by this section includes work tasks and the precautions specified in this section for the protection of workers and the environment during and after the performance of the removal and disposal activities.

### 1.3.1 Protection of Existing Areas To Remain

Project work including, but not limited to, lead hazard work, storage, transportation, and disposal must be performed without damaging or contaminating adjacent work and areas. Where such work or areas are damaged or contaminated, restore work and areas to the original condition.

## 1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

### SD-01 Preconstruction Submittals

Lead Waste Management Plan; G, PO

Lead Compliance Plan; G, PO

### SD-11 Closeout Submittals

Hazardous Waste Manifest; G, PO

Turn-In Documents or Weight Tickets; G, PO

## 1.5 QUALITY ASSURANCE

### 1.5.1 Qualifications

#### 1.5.1.1 Competent Person (CP)

The Competent Person shall have construction project-related experience which shows ability to assess occupational and environmental exposure to lead and exposure reduction methods to protect employee health.

### 1.5.2 Requirements

#### 1.5.2.1 Competent Person (CP) Responsibilities

- a. Verify training meets all federal, State, and local requirements.

- b. Review and approve Lead Compliance Plan for conformance to the applicable referenced standards.
- c. Continuously inspect LBP/PWL or MCL work for conformance with the approved plan.
- d. Perform (or oversee performance of) any personal air sampling required by the approved Lead Compliance Plan.
- e. Ensure work is performed in strict accordance with specifications at all times.
- f. Control work to prevent hazardous exposure to human beings and to the environment at all times.

#### 1.5.2.2 Lead Compliance Plan

Submit a job-specific plan of the work procedures to be used in the disturbance of lead, LBP/PWL or MCL. Include in the plan a the location, size, and details of lead control areas, and physical boundaries. Include a description of equipment and materials, work practices, controls and job responsibilities for each activity from which lead may be emitted. Include in the plan, hygiene facilities and sanitary procedures, collected waste water and dust containing lead and debris, any air sampling, personal protective equipment, and a detailed description of the method of control of the operation to ensure that lead is not released outside of the lead control area. Include site preparation and cleanup procedures.

#### 1.5.2.3 Hazard Communication Program

Establish and implement a Hazard Communication Program as required by 29 CFR 1926.59.

#### 1.5.2.4 Lead Waste Management

The LeadWaste Management Plan must comply with applicable requirements of federal, State, and local hazardous waste regulations and address:

- a. Identification and classification of wastes associated with the work.
- b. Names and qualifications of each contractor that will be disposing of the wastes. Include the facility location and a 24-hour point of contact.
- c. List of waste handling equipment to be used in performing the work, to include cleaning and transport equipment.

- d. Spill prevention, containment, and cleanup contingency measures including a health and safety plan to be implemented.
- e. Work plan and schedule for waste removal and disposal. Clean up wastes daily.

#### 1.5.2.5 Environmental, Safety and Health Compliance

In addition to the detailed requirements of this specification, comply with laws, ordinances, rules, and regulations of federal, State, and local authorities regarding lead. Comply with the applicable requirements of the current issue of 29 CFR 1926.62, and EM 385-1-1.

### 1.6 PROJECT/SITE CONDITIONS

#### 1.6.1 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent areas. Where existing work is damaged or contaminated, restore work to its original condition or better as determined by the Contracting Officer.

PART 2 PRODUCTS Not used.

### PART 3 EXECUTION

#### 3.1 PREPARATION

##### 3.1.1 Protection

###### 3.1.1.1 LeadControl Area

- a. Physical Boundary - Provide physical boundaries around the lead, cadmium, chromium control area by roping off the area designated in the work plan to ensure that lead will not escape outside of the lead control area.

###### 3.1.1.2 Personnel Protection

Personnel must wear and use protective clothing and equipment as specified in the approved Lead Compliance Plan. Eating, smoking, or drinking or application of cosmetics is not permitted in the leadcontrol area. No one will be permitted in the leadcontrol area unless they have been appropriately trained and provided with protective equipment.

#### 3.2 APPLICATION

##### 3.2.1 Lead Work

Perform lead work in accordance with approved Lead Compliance Plan. Use procedures and equipment required to limit occupational exposure and environmental contamination with lead when the work is performed in accordance with 29 CFR 1926.62 and as specified herein. Dispose of all PWL or MCL and associated waste in compliance with federal, State, and local requirements.

### 3.3 FIELD QUALITY CONTROL

#### 3.3.1 Tests

##### 3.3.1.1 Air Sampling

If required by the approved Lead Compliance Plan, conduct sampling for lead in accordance with 29 CFR 1926.62. Air sampling must be directed or performed by the CP.

- a. If personal air samples are required, collect personal air samples on employees who are anticipated to have the greatest risk of exposure as determined by the CP.
- b. Submit results of air samples, signed by the CP, within 72-hours after the air samples are taken.

### 3.4 CLEANING AND DISPOSAL

#### 3.4.1 Cleanup

Maintain surfaces of the lead control area free of accumulations of dust and debris. Restrict the spread of dust and debris; keep waste from being distributed over the work area. Do not dry sweep or use pressurized air to clean up the area.

#### 3.4.2 Disposal

- a. Dispose of material, whether hazardous or non-hazardous in accordance with all laws and provisions and all federal, State or local regulations. Ensure all waste is properly characterized. The result of each waste characterization (TCLP for RCRA materials) will dictate disposal requirements.
- b. Contractor is responsible for segregation of waste. Collect lead contaminated waste, scrap, debris, bags, containers, equipment, and any leadcontaminated clothing that may produce airborne concentrations of lead particles. For any hazardous waste such as loose paint, label the containers in accordance with 29 CFR 1926.62, and 40 CFR 261, 40 CFR 262 and corresponding state regulations.
- c. Dispose of leadcontaminated material classified as hazardous waste at an EPA or State approved hazardous waste treatment, storage, or disposal facility off Government property.
- e. Handle, store, transport, and dispose lead or lead contaminated waste that is considered to be hazardous in accordance with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, and 40 CFR 265. Comply with land disposal restriction notification requirements as required by 40 CFR 268.
- f. All lead waste generation, management, and disposal will be coordinated with the project environmental POC.

#### 3.4.2.1 Disposal Documentation

Coordinate all disposal or off-site shipments of lead waste with the project environmental POC. If any waste is considered hazardous, submit one copy of the completed hazardous waste manifest, signed and dated by the initial transporter in accordance with 40 CFR 262. Provide a certificate that the waste was accepted by the disposal facility. Provide turn-in documents or weight tickets for non-hazardous waste disposal.

-- End of Section --

**This page was intentionally left blank for duplex printing.**

## SECTION TABLE OF CONTENTS

## DIVISION 05 - METALS

## SECTION 05 05 20.00 27

## POST-INSTALLED CONCRETE ANCHORS

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 APPLICABILITY
- 1.3 DEFINITIONS
  - 1.3.1 Anchor
  - 1.3.2 Periodic Special Inspections
  - 1.3.3 Continuous Special Inspections
- 1.4 SUBMITTALS
- 1.5 QUALITY ASSURANCE
  - 1.5.1 Qualifications
    - 1.5.1.1 Installer Qualifications
    - 1.5.1.2 Post-Installed Anchor Special Inspector Qualifications
- 1.6 DELIVERY, STORAGE, AND HANDLING
  - 1.6.1 Packing, Shipping, Handling, and Unloading
  - 1.6.2 Storage

## PART 2 PRODUCTS

- 2.1 MATERIALS
  - 2.1.1 Post-Installed Anchors
    - 2.1.1.1 Post-Installed Anchor Certification
    - 2.1.1.2 Manufacturer's Printed Installation Instructions
    - 2.1.1.3 Mechanical Anchors in Concrete
- 2.2 EQUIPMENT

## PART 3 EXECUTION

- 3.1 ANCHORING AND REINFORCING
  - 3.1.1 Drilling and Installing Mechanical Anchors
  - 3.1.2 Unused or Repairs to Drilled Holes
- 3.2 EMBEDDED ITEMS
- 3.3 TESTS AND INSPECTIONS
  - 3.3.1 Mechanical Anchors
  - 3.3.2 Action Required from Failed Tests/Inspections
  - 3.3.3 Post-Installed Anchor Special Inspections Report
- 3.4 DUST CONTROL

-- End of Section Table of Contents --

## SECTION 05 05 20.00 27

## POST-INSTALLED CONCRETE ANCHORS

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## AMERICAN CONCRETE INSTITUTE (ACI)

ACI 355.2 (2007) Qualification of Post-Installed Mechanical Anchors in Concrete and Commentary

## ASTM INTERNATIONAL (ASTM)

ASTM A193/A193M (2020) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications

ASTM E488/E488M (2015) Standard Test Methods for Strength of Anchors in Concrete and Masonry Elements

## INTERNATIONAL CODE COUNCIL (ICC)

ICC IBC (2018) International Building Code

## U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety -- Safety and Health Requirements Manual

## 1.2 APPLICABILITY

This guide specification covers the requirements for all anchors that are post-installed into hardened concrete. This guide specification does not cover through bolts. Refer to Section 05 12 00 STRUCTURAL STEEL for requirements of through bolts.

## 1.3 DEFINITIONS

## 1.3.1 Anchor

"Anchor" includes steel elements post-installed into hardened concrete used to transmit applied loads.

## 1.3.2 Periodic Special Inspections

"Periodic Special Inspection" as used herein means that, as a minimum, the Post-Installed Anchor Special Inspector must perform inspections in

accordance with this specification.

#### 1.3.3 Continuous Special Inspections

"Continuous Special Inspection" as used herein means that the Post-Installed Anchor Special Inspector observes the drilling and cleaning of holes and the insertion of anchors into the holes.

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

Installer Qualifications; G, PO

Post-Installed Anchor Special Inspector Qualifications; G, PO

##### SD-03 Product Data

Mechanical Anchors in Concrete; G, DO

Non-Shrink, Non-Metallic Grout; G, PO

##### SD-06 Test Reports

Post-Installed Anchor Special Inspections Report; G, PO

##### SD-07 Certificates

Post-Installed Anchor Certification; G, PO

##### SD-08 Manufacturer's Instructions

Manufacturer's Printed Installation Instructions; G, PO

Non-Shrink, Non-Metallic Grout; G, PO

Manufacturer's Material Safety Data Sheets

#### 1.5 QUALITY ASSURANCE

Perform all work in accordance with EM 385-1-1 and all manufacturer's instructions and recommendations. To protect personnel from overexposure to toxic materials, conform to the applicable manufacturer's Material Safety Data Sheets (MSDS) or local regulation. Submit the MSDS for epoxies and other potentially hazardous materials.

##### 1.5.1 Qualifications

The submittals must identify individuals who will be working on this contract and their relevant experience and training. Do not make changes in approved personnel without prior approval of the Contracting Officer.

#### 1.5.1.1 Installer Qualifications

Each worker engaged in the installation of post-installed anchors must have satisfactorily completed an applicable certification program or equivalent instruction program through the manufacturer or manufacturer's representative for all anchoring products they will install. A manufacturer's representative must train all installers per the installation instructions as listed in the ICC-ES Evaluation Report for the anchor being installed. Training must consist of a review and performance test of the complete installation process, including but not limited to:

- (1) Hole drilling procedure
- (2) Hole preparation & cleaning technique
- (3) Anchor/threaded rod preparation and installation
- (4) Proof loading/torquing

Submit certification for each worker showing that they have completed the above training within three years prior to onsite work. Certification must include organization or manufacturer's name, instructor's name and qualifications, trainee's name, list of instruction received, date of instruction, and confirmation of successful performance tests.

#### 1.5.1.2 Post-Installed Anchor Special Inspector Qualifications

The Contractor must retain the services of a third party Special Inspector independent of the installing contractor and manufacturer. The individual(s) who perform special inspections for post-installed anchors must meet all Installer Qualification requirements and have a minimum of 3 years of experience as a Special Inspector on previous projects involving similar scope of work. Submit resumes, pertinent information, past experience, and training.

### 1.6 DELIVERY, STORAGE, AND HANDLING

#### 1.6.1 Packing, Shipping, Handling, and Unloading

Deliver products to job site in manufacturer's or distributor's original packaging undamaged, complete with installation instructions. Inspect materials delivered to site for damage. Unload and store with minimal handling.

#### 1.6.2 Storage

Protect, store, and handle materials in accordance with manufacturer's recommendations to prevent damage or deterioration. Do not allow chemical materials to freeze. Remove materials that have not be stored in accordance with the manufacturer's recommendations, including expired materials, from the job site.

## PART 2 PRODUCTS

### 2.1 MATERIALS

#### 2.1.1 Post-Installed Anchors

Provide anchors of the type, effective embedment, and diameter indicated on contract drawings. Minimum spacing and concrete edge distances must be as shown on contract drawings. Design values listed must be as tested according to ASTM E488/E488M for the substrate type, substrate moisture condition, concrete aggregate type (normal weight or lightweight concrete), and concrete strength. Minimum ultimate strength tension and shear values must be as indicated on contract drawings. If more than one type of anchor is to be used on a project, clearly indicate on the submittal where each type of anchor will be used.

##### 2.1.1.1 Post-Installed Anchor Certification

Submit product information with recommended design values and physical characteristics for each type anchor shown on the drawings.

Provide certified test reports showing compliance with specified performance characteristics and physical properties. Anchors must have one of the following certifications:

- (1) ICC-ES Evaluation Report indicating conformance with current applicable ICC ES Acceptance Criteria
- (2) Third party Evaluation Report in conformance with ACI 355.2 or ACI 355.4, as applicable. Third party must be accredited under ISO/IEC 17025 by a recognized accreditation body conforming to the requirements of ISO/IEC 17011 in accordance with ACI 355.4."

##### 2.1.1.2 Manufacturer's Printed Installation Instructions

Submit manufacturer's instructions for each anchor type shown on the drawings.

##### 2.1.1.3 Mechanical Anchors in Concrete

Anchors must have been tested and qualified for performance in cracked and uncracked concrete in accordance with ACI 355.2.

Anchors must be stainless steel in accordance with ASTM A193/A193M unless otherwise indicated.

### 2.2 EQUIPMENT

Assemble at the site of the work, sufficient equipment that is dependable, appropriate and adequate to accomplish the work specified. Maintain the equipment in good working condition.

## PART 3 EXECUTION

### 3.1 ANCHORING AND REINFORCING

Install anchors in accordance with the spacing and edge clearances indicated on the drawings. Anchor capacity is also highly dependent on proper installation. Follow all manufacturer and Evaluation Report

installation instructions.

### 3.1.1 Drilling and Installing Mechanical Anchors

Drill holes for anchors using drilling equipment and bits suitable for the intended purpose, in accordance with Manufacturer's published installation instructions. Diameter of holes must be as recommended by the anchor manufacturer. Unless otherwise shown on the Drawings, all holes must be drilled perpendicular to the concrete surface. Deviations more than 6 degrees from perpendicular are not acceptable.

Clean holes, install anchors and set anchors in place in accordance with the manufacturer's recommendations. Protect threads and anchor from damage during anchor installation. Ensure proper embedment and placement in accordance with contract documents and all other work. Aim wedges away from any concrete edges that are less than 9 inches from centerline of hole.

Tighten nuts against smooth washers to the manufacturer's recommended torque, using a calibrated torque wrench. Following attainment of 10 percent of the specified torque, 100 percent of the specified torque must be reached within 7 or less complete turns of the nut. If the specified torque is not achieved within the required number of turns, the anchor must be removed and replaced unless otherwise directed by the Engineer of Record.

### 3.1.2 Unused or Repairs to Drilled Holes

Any holes made for anchors that are not used must be filled with non-shrink, non-metallic grout suitable for the orientation and size of hole and have a minimum compressive strength of 4000 psi. Repair must completely fill hole and be flush with existing concrete. Place in accordance with manufacturer's recommended instructions. Final anchor positions must not be within 1 inch of repair patches.

## 3.2 EMBEDDED ITEMS

Existing reinforcing bars or other embedded items in the structure may conflict with specified anchor locations. Existing reinforcing and embedded items must not be damaged during installation of post-installed anchors.

The contractor must review the as-built drawings and must use Radar detection systems, X-Ray, or other appropriate means to accurately locate the position of existing reinforcing bars and embedded items at the locations of the anchors in the field. Scanning method must provide enough accuracy and precision to locate the space between rebar. Structural integrity of existing concrete must not be impaired by investigating method.

Create a template at each anchor connection location prior to fabricating holes in connection plates. Template must be made by locating existing reinforcing with an approved reinforcement detection system.

## 3.3 TESTS AND INSPECTIONS

### 3.3.1 Mechanical Anchors

For mechanical anchors, periodic special inspections are required.

Inspections must be in accordance with ICC IBC and the Evaluation Report.

Mechanical Anchors must be inspected during installation, to verify anchor type, anchor dimensions, base material type, drill bit, hole dimensions, hole cleaning procedures, anchor spacing, edge distances, base material thickness, base material age, effective embedment, tightening torque, adherence to the manufacturer's printed installation instructions, and any additional items recommended in the Evaluation Report.

For mechanical anchors whose strength is dependent on a minimum installation torque, the installer, under the supervision of the Special Inspector must torque test the anchors with a calibrated torque wrench. Perform torque testing immediately on the first three anchors of each type and size, for each installer, and a minimum of 10 percent of randomly selected anchors. Anchor selection will be determined by the Post-Installed Anchor Special Inspector unless otherwise directed by the Contracting Officer.

For mechanical anchors whose strength is not dependent on a minimum installation torque, the Special Inspector must perform proof loading on the first three anchors of each type and size, for each installer and a minimum of 10 percent of randomly selected anchors. Anchor selection will be determined by the Post-Installed Anchor Special Inspector unless otherwise directed by the Contracting Officer. Perform confined tension proof load testing in accordance with ASTM E488/E488M. Use incremental loading for tensile test. Maintain proof load for a minimum of 10 seconds. Consider anchors to have failed if displacement exceeds  $0.1 \text{ inch } D/10$ , where  $D$  is the nominal anchor diameter, or if any of the failure modes listed in ASTM E488/E488M occur.

Proof loads must be the minimum of the value shown on the Drawings.

### 3.3.2 Action Required from Failed Tests/Inspections

Immediately report failed anchor locations and test results to the Contracting Officer. Anchors that fail to meet proof/torque load or installation requirements must be regarded as malfunctioning. Do not re-use holes unless specifically allowed by manufacturer's published instructions and approved by the Post-Installed Anchor Special Inspector.

If any of the tested anchors fail to achieve the specified torque or proof load within the limits of the contract documents, test a minimum of two adjacent anchors for each anchor that fails.

Continuously special inspect and proof load/torque test any replacement anchors.

Fill unused anchor holes and patch failed anchor locations in accordance with this specification. Prior to performing the repair, the Contractor must submit to the Contracting Officer for approval, the proposed fill and patch materials.

Additional tests, repairs, delays, or modification of work to accommodate failed tests will be at no cost to the Government.

### 3.3.3 Post-Installed Anchor Special Inspections Report

Report the results of all inspections weekly. Submit report as an electronic PDF file to the Contracting Officer for review by the Engineer of Record. The report must include the following:

- (1) Exact locations of the inspected and tested work
- (2) Inspector's name
- (3) Date of inspection
- (4) Summary of work completed during the inspection period
- (5) Test results
- (6) Statement by the Special Inspector that clearly identifies the tested anchors as being acceptable or rejected.
- (7) Statement by the Special Inspector confirming that the materials and installation procedures conform with the approved contract documents and the manufacturer's published installation instructions.

#### 3.4 DUST CONTROL

Control dust resulting from demolition to prevent the spread of dust and avoid creation of a nuisance in the surrounding area. Do not use water when it will result in, or create, hazardous or objectionable conditions such as ice, flooding, or pollution.

-- End of Section --

## SECTION TABLE OF CONTENTS

## DIVISION 05 - METALS

## SECTION 05 12 00

## STRUCTURAL STEEL

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS
- 1.3 QUALITY ASSURANCE
  - 1.3.1 Preconstruction Submittals
    - 1.3.1.1 Erection and Erection Bracing Drawings
  - 1.3.2 Fabrication Drawing Requirements
  - 1.3.3 Certifications
    - 1.3.3.1 Welding Procedures and Qualifications

## PART 2 PRODUCTS

- 2.1 SYSTEM DESCRIPTION
- 2.2 STEEL
  - 2.2.1 Structural Steel
  - 2.2.2 Structural Steel Tubing
  - 2.2.3 Steel Pipe
- 2.3 BOLTS, NUTS, AND WASHERS
  - 2.3.1 Corrosion Resistant Bolts
    - 2.3.1.1 Bolts
    - 2.3.1.2 Nuts
    - 2.3.1.3 Washers
- 2.4 STRUCTURAL STEEL ACCESSORIES
  - 2.4.1 Welding Electrodes and Rods
  - 2.4.2 Non-Shrink Grout
- 2.5 GALVANIZING
- 2.6 FABRICATION
  - 2.6.1 Markings
- 2.7 DRAINAGE HOLES

## PART 3 EXECUTION

- 3.1 ERECTION
  - 3.1.1 STORAGE
- 3.2 CONNECTIONS
  - 3.2.1 Bolts
- 3.3 GAS CUTTING
- 3.4 WELDING
  - 3.4.1 Removal of Temporary Welds, Run-Off Plates, and Backing Strips
- 3.5 GALVANIZING REPAIR
- 3.6 FIELD QUALITY CONTROL
  - 3.6.1 Welds
    - 3.6.1.1 Visual Inspection
  - 3.6.2 Testing for Embrittlement

-- End of Section Table of Contents --

## SECTION 05 12 00

## STRUCTURAL STEEL

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325	(2017) Steel Construction Manual
AISC 326	(2009) Detailing for Steel Construction
AISC 360	(2016) Specification for Structural Steel Buildings

## AMERICAN WELDING SOCIETY (AWS)

AWS A2.4	(2012) Standard Symbols for Welding, Brazing and Nondestructive Examination
AWS D1.1/D1.1M	(2020; Errata 1 2021) Structural Welding Code - Steel
AWS QC1	(2016) Specification for AWS Certification of Welding Inspectors

## ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M	(2019) Standard Specification for Carbon Structural Steel
ASTM A53/A53M	(2020) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A143/A143M	(2007; R 2020) Standard Practice for Safeguarding Against Embrittlement of Hot-Dip Galvanized Structural Steel Products and Procedure for Detecting Embrittlement
ASTM A193/A193M	(2020) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications

ASTM A194/A194M	(2022) Standard Specification for Carbon Steel, Alloy-Steel, and Stainless Steel Nuts for Bolts for High Pressure or High Temperature Service, or Both
ASTM A276/A276M	(2017) Standard Specification for Stainless Steel Bars and Shapes
ASTM A500/A500M	(2021a) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A780/A780M	(2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings
ASTM C827/C827M	(2016) Standard Test Method for Change in Height at Early Ages of Cylindrical Specimens of Cementitious Mixtures
ASTM C1107/C1107M	(2020) Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)

## U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-301-01 (2019) Structural Engineering

## U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR Part 1926, Subpart R Steel Erection

## 1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

## SD-01 Preconstruction Submittals

Erection and Erection Bracing Drawings; G

## SD-02 Shop Drawings

Fabrication Drawings Including Details of Connections; G,DO

## SD-03 Product Data

Welding Electrodes and Rods

Non-Shrink Grout

## SD-05 Design Data

## SD-06 Test Reports

Bolts, Nuts, and Washers

Weld Inspection Reports

Embrittlement Test Reports

## SD-07 Certificates

Steel

Bolts, Nuts, and Washers

Galvanizing

Welding Procedures and Qualifications

Welding Electrodes and Rods

Certified Welding Inspector

Welding Procedure Specifications (WPS)

## 1.3 QUALITY ASSURANCE

## 1.3.1 Preconstruction Submittals

## 1.3.1.1 Erection and Erection Bracing Drawings

Submit for record purposes. Indicate the sequence of erection, temporary shoring and bracing. The erection drawings must conform to AISC 303.

## 1.3.2 Fabrication Drawing Requirements

Submit fabrication drawings for approval prior to fabrication. Prepare in accordance with AISC 303, AISC 326 and AISC 325. Fabrication drawings must not be reproductions of contract drawings. Include complete information for the fabrication and erection of the structure's components, including the location, type, and size of bolts, welds, member sizes and lengths, connection details, blocks, copes, and cuts. Use AWS A2.4 standard welding symbols. Clearly highlight any deviations from the details shown on the contract drawings highlighted on the fabrication drawings. Explain the reasons for any deviations from the contract drawings.

## 1.3.3 Certifications

## 1.3.3.1 Welding Procedures and Qualifications

Prior to welding, submit certification for each welder stating the type of welding and positions qualified for, the code and procedure qualified under, date qualified, and the firm and individual certifying the qualification tests.

Conform to all requirements specified in AWS D1.1/D1.1M.

## PART 2 PRODUCTS

### 2.1 SYSTEM DESCRIPTION

Provide the structural steel system, including galvanizing, complete and ready for use. Provide structural steel systems including design, materials, installation, workmanship, fabrication, assembly, erection, inspection, quality control, and testing in accordance with AISC 303, AISC 360, and UFC 3-301-01 except as modified in this contract.

### 2.2 STEEL

#### 2.2.1 Structural Steel

Angles, Channels and Plates, ASTM A36/A36M.

#### 2.2.2 Structural Steel Tubing

ASTM A500/A500M, Grade C, 50 ksi yield strength.

#### 2.2.3 Steel Pipe

ASTM A53/A53M, Type E or S, Grade B, weight class STD (Standard).

### 2.3 BOLTS, NUTS, AND WASHERS

Submit the certified manufacturer's mill reports which clearly show the applicable ASTM mechanical and chemical requirements together with the actual test results for the supplied fasteners.

#### 2.3.1 Corrosion Resistant Bolts

##### 2.3.1.1 Bolts

Stainless steel ASTM A193/A193M.

##### 2.3.1.2 Nuts

Stainless steel ASTM A194/A194M.

##### 2.3.1.3 Washers

Stainless steel Type 316 conforming to ASTM A276/A276M.

### 2.4 STRUCTURAL STEEL ACCESSORIES

#### 2.4.1 Welding Electrodes and Rods

AWS D1.1/D1.1M. Submit product data for welding electrodes and rods.

#### 2.4.2 Non-Shrink Grout

ASTM C1107/C1107M, with no ASTM C827/C827M shrinkage. Grout must be nonmetallic. Submit product data for non-shrink grout.

### 2.5 GALVANIZING

ASTM A123/A123M for structural steel members. Galvanize after fabrication where practicable.

## 2.6 FABRICATION

Fabrication must be in accordance with the applicable provisions of AISC 325. Fabrication and assembly must be done in the shop to the greatest extent possible. Punch, subpunch and ream, or drill bolt holes perpendicular to the surface of the member.

Shop splices of members between field splices will be permitted only where indicated on the Contract Drawings. Splices not indicated require the approval of the Contracting Officer.

### 2.6.1 Markings

Prior to erection, identify members by a painted erection mark. Connecting parts assembled in the shop for reaming holes in field connections must be match marked with scratch and notch marks. Do not locate erection markings on areas to be welded. Do not locate match markings in areas that will decrease member strength or cause stress concentrations.

## 2.7 DRAINAGE HOLES

Drill adequate drainage holes to eliminate water traps. Hole diameter must be 1/2 inch and location indicated on the detail drawings. Hole size and locations must not affect the structural integrity.

## PART 3 EXECUTION

### 3.1 ERECTION

- a. Erection of structural steel must be in accordance with the applicable provisions of AISC 325, AISC 303 and 29 CFR Part 1926, Subpart R.

After final positioning of supported metal pipe sections, provide full bearing under bearing plates using nonshrink grout. Place nonshrink grout in accordance with the manufacturer's instructions.

#### 3.1.1 STORAGE

Store the material out of contact with the ground in such manner and location as to minimize deterioration.

### 3.2 CONNECTIONS

Holes must not be cut or enlarged by burning. Bolts, nuts, and washers must be clean of dirt and rust, and lubricated immediately prior to installation.

#### 3.2.1 Bolts

Tighten ASTM A193 bolts to a "snug tight" fit. "Snug tight" is the tightness that exists when plies in a joint are in firm contact. If firm contact of joint plies cannot be obtained with a few impacts of an impact wrench, or the full effort of a man using a spud wrench, contact the Contracting Officer for further instructions.

### 3.3 GAS CUTTING

Use of gas-cutting torch in the field for correcting fabrication errors is not permitted on any major member in the structural framing. Use of a gas cutting torch will be permitted on minor members not under stress only after approval has been obtained from the Contracting Officer.

### 3.4 WELDING

Welding must be in accordance with AWS D1.1/D1.1M. Provide AWS D1.1/D1.1M qualified welders, welding operators, and tackers.

Develop and submit the Welding Procedure Specifications (WPS) for all welding, including welding done using prequalified procedures. Submit for approval all WPS, whether prequalified or qualified by testing.

#### 3.4.1 Removal of Temporary Welds, Run-Off Plates, and Backing Strips

Remove any temporary welds and run-off plates.

### 3.5 GALVANIZING REPAIR

Repair damage to galvanized coatings from handling, transporting, cutting, welding, or bolting, and ungalvanized areas adjacent to field welds, using ASTM A780/A780M zinc rich paint. Do not heat surfaces to which repair paint has been applied.

### 3.6 FIELD QUALITY CONTROL

Perform field tests, and provide labor, equipment, and incidentals required for testing. Notify the Contracting Officer in writing of defective welds, bolts, nuts, and washers within 7 working days of the date of the inspection.

#### 3.6.1 Welds

##### 3.6.1.1 Visual Inspection

AWS D1.1/D1.1M. Furnish the services of AWS-certified welding inspectors for fabrication and erection inspection and testing and verification inspections. A Certified Welding Inspector must perform visual inspection on 100 percent of all welds. Document this inspection in the Visual Weld Inspection Log. Submit certificates indicating that certified welding inspectors meet the requirements of AWS QC1.

#### 3.6.2 Testing for Embrittlement

ASTM A143/A143M for steel products hot-dip galvanized after fabrication. Submit embrittlement test reports.

-- End of Section --

SECTION TABLE OF CONTENTS

DIVISION 05 - METALS

SECTION 05 50 13

MISCELLANEOUS METAL FABRICATIONS

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS
- 1.3 DELIVERY, STORAGE, AND PROTECTION
- 1.4 MISCELLANEOUS REQUIREMENTS
  - 1.4.1 Fabrication Drawings
  - 1.4.2 Installation Drawings

PART 2 PRODUCTS

- 2.1 MATERIALS
  - 2.1.1 Gratings
- 2.2 FABRICATION FINISHES
  - 2.2.1 Galvanizing
  - 2.2.2 Galvanize
  - 2.2.3 Repair of Zinc-Coated Surfaces
- 2.3 PLATFORM GRATINGS

PART 3 EXECUTION

- 3.1 GENERAL INSTALLATION REQUIREMENTS
- 3.2 WORKMANSHIP
- 3.3 DISSIMILAR METALS

-- End of Section Table of Contents --

## SECTION 05 50 13

## MISCELLANEOUS METAL FABRICATIONS

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## ASTM INTERNATIONAL (ASTM)

ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
-----------------	---

## NATIONAL ASSOCIATION OF ARCHITECTURAL METAL MANUFACTURERS (NAAMM)

NAAMM MBG 531	(2017) Metal Bar Grating Manual
---------------	---------------------------------

## 1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

## SD-02 Shop Drawings

Floor Gratings, Installation Drawings; G, DO

## SD-03 Product Data

Floor Gratings; G, DO

## SD-04 Samples

## SD-07 Certificates

Certificates of Compliance; G, PO

### 1.3 DELIVERY, STORAGE, AND PROTECTION

Protect from corrosion, deformation, and other types of damage. Store items in an enclosed area free from contact with soil and weather. Remove and replace damaged items with new items.

### 1.4 MISCELLANEOUS REQUIREMENTS

#### 1.4.1 Fabrication Drawings

Submit fabrication drawings showing layout(s), connections to structural system, and anchoring details as specified in AISC 303.

#### 1.4.2 Installation Drawings

Submit templates, erection, and installation drawings indicating thickness, type, grade, class of metal, and dimensions. Show construction details, reinforcement, anchorage, and installation in relation to the building construction.

## PART 2 PRODUCTS

### 2.1 MATERIALS

Provide exposed fastenings of compatible materials (avoid contact of dissimilar metals). Submit the manufacturer's certified mill reports which clearly show the applicable ASTM mechanical and chemical requirements together with the actual test results for the supplied materials.

#### 2.1.1 Gratings

Provide steel bar grating for platforms in accordance with NAAMM MBG 531. Steel shall conform to ASTM A36, and shall be galvanized.

### 2.2 FABRICATION FINISHES

#### 2.2.1 Galvanizing

Hot-dip galvanize items specified to be zinc-coated, after fabrication where practicable. Provide galvanizing in accordance with ASTM A123/A123M, Z275 G90.

#### 2.2.2 Galvanize

Anchor bolts, grating fasteners, washers, and parts or devices necessary for proper installation, unless indicated otherwise.

#### 2.2.3 Repair of Zinc-Coated Surfaces

Repair damaged surfaces with galvanizing repair method and paint in accordance with ASTM A780/A780M. Clean areas to be repaired prior to applying surface repair paint.

### 2.3 PLATFORM GRATINGS

Design steel grating in accordance with NAAMM MBG 531 .

a. Design platform gratings to support a uniform live load of 125 pounds

per square foot for the spans indicated, with maximum deflection of  $L/240$ .

- b. In accordance with NAAMM MBG 531, band edges of grating with bars of the same size as the bearing bars. Grating assembly shall be welded construction, with bearing bars 1 inch in depth. Design tops of bearing bars, cross or intermediate bars to be in the same plane and to match grating finish.
- c. Grating sections shall be attached to steel framing with galvanized steel saddle clips.
- d. Provide slip resistant surface finishes.

### [ ]PART 3 EXECUTION

#### 3.1 GENERAL INSTALLATION REQUIREMENTS

Install items at locations indicated in accordance with manufacturer's instructions. Verify all field dimensions prior to fabrication. Include materials and parts necessary to complete each assembly, whether indicated or not. Miss-alignment and miss-sizing of holes for fasteners is cause for rejection.

#### 3.2 WORKMANSHIP

Provide miscellaneous metalwork that is true and accurate in shape, size, and profile. Make angles and lines continuous and straight. Make curves consistent, smooth and unfaceted. Provide continuous welding along the entire area of contact. Unless otherwise indicated and approved, provide a smooth finish on exposed surfaces.

#### 3.3 DISSIMILAR METALS

Where dissimilar metals are in contact, protect surfaces with a coating in accordance with MPI 79 to prevent galvanic or corrosive action. Clean surfaces with metal shavings from installation at the end of each work day.

-- End of Section --

## SECTION TABLE OF CONTENTS

## DIVISION 05 - METALS

## SECTION 05 51 33

## METAL LADDERS

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS
- 1.3 CERTIFICATES
- 1.4 QUALIFICATION OF WELDERS
- 1.5 DELIVERY, STORAGE, AND PROTECTION

## PART 2 PRODUCTS

- 2.1 MATERIALS
  - 2.1.1 Structural Carbon Steel
  - 2.1.2 Structural Tubing
  - 2.1.3 Solid Round Steel Bars
- 2.2 FABRICATION FINISHES
  - 2.2.1 Galvanizing
  - 2.2.2 Galvanize
  - 2.2.3 Repair of Zinc-Coated Surfaces
- 2.3 LADDERS
  - 2.3.1 Ladder Climbing Devices (Climbing Ladder Fall Arrest Systems)

## PART 3 EXECUTION

- 3.1 GENERAL INSTALLATION REQUIREMENTS
- 3.2 WORKMANSHIP
- 3.3 ANCHORAGE, FASTENINGS, AND CONNECTIONS
- 3.4 WELDING
- 3.5 FINISHES
  - 3.5.1 Dissimilar Materials
  - 3.5.2 Field Preparation
  - 3.5.3 Environmental Conditions

-- End of Section Table of Contents --

## SECTION 05 51 33

## METAL LADDERS

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## AMERICAN LADDER INSTITUTE (ALI)

ALI A14.3 (2008; R 2018) Ladders - Fixed - Safety Requirements

## AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP Z359.16 (2016) Safety Requirements for Climbing Ladder Fall Arrest Systems

## AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M (2020; Errata 1 2021) Structural Welding Code - Steel

## ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M (2019) Standard Specification for Carbon Structural Steel

ASTM A123/A123M (2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A321 (2001) Standard Specification for Steel Bars, Carbon, Quenched and Tempered

ASTM A500/A500M (2021a) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes

ASTM A780/A780M (2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings

## MASTER PAINTERS INSTITUTE (MPI)

MPI 79 (2016) Primer, Alkyd, Anti-Corrosive for Metal

## U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.23 (Nov 2016) Ladders

29 CFR 1910.29

(Nov 2016) Fall Protection System and  
Falling Object Protection - Criteria and  
Practices

## 1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

### SD-02 Shop Drawings

Ladders, Installation Drawings; G, DO

### SD-03 Product Data

Ladder Climbing Devices (Climbing Ladder Fall Arrest Systems); G, DO

## 1.3 CERTIFICATES

Provide fabricator certification for ladder assembly stating that the ladder and associated components have been fabricated according to the requirements of 29 CFR 1910.23.

## 1.4 QUALIFICATION OF WELDERS

Qualify welders in accordance with AWS D1.1/D1.1M. Use procedures, materials, and equipment of the type required for the work.

## 1.5 DELIVERY, STORAGE, AND PROTECTION

Protect from corrosion, deformation, and other types of damage. Store items in an enclosed area free from contact with soil and weather. Remove and replace damaged items with new items.

## PART 2 PRODUCTS

### 2.1 MATERIALS

#### 2.1.1 Structural Carbon Steel

ASTM A36/A36M.

#### 2.1.2 Structural Tubing

ASTM A500/A500M, Grade C, 50 ksi yield strength.

#### 2.1.3 Solid Round Steel Bars

ASTM A29/A29M, with material conforming to ASTM A321, 75 ksi yield strength for rungs less than 1 inch in diameter; 70 ksi yield strength for rungs greater than 1 inch and less than 2 1/2 inches in diameter.

## 2.2 FABRICATION FINISHES

### 2.2.1 Galvanizing

Hot-dip galvanize items specified to be zinc-coated, after fabrication where practicable. Galvanizing: ASTM A123/A123M.

### 2.2.2 Galvanize

Anchor bolts, washers, and parts or devices necessary for proper installation, unless indicated otherwise.

### 2.2.3 Repair of Zinc-Coated Surfaces

Repair damaged surfaces with galvanizing repair method and paint conforming to ASTM A780/A780M. Clean areas to be repaired and remove slag from welds.

## 2.3 LADDERS

Fabricate vertical ladders as indicated on the drawings, and conforming to 29 CFR 1910.23 and Section 5 of ALI A14.3. Ladder rungs shall be solid steel round bars of the sizes indicated. Side rails shall be rectangular steel bars or fabricated HSS structural tubing, as indicated. Rungs shall be headed into drilled holes in steel bar side rails and plug welded on the exterior side, or fitted into holes drilled in the interior face of HSS tubing side rails and fillet welded, as indicated. Provide angle brackets welded to the side rails and anchored as indicated. The top rung of each ladder shall be at the level indicated, relative to floors and landing elevations. Provide ladder access protective swing gates at the top of access/egress levels as indicated and specified in Section 05 52 00 METAL RAILINGS.

### 2.3.1 Ladder Climbing Devices (Climbing Ladder Fall Arrest Systems)

Conform to 29 CFR 1910.29, Section 7 of ALI A14.3 and ASSP Z359.16. Install ladder climbing devices (LCD) on ladders where shown. The ladder safety systems must meet the design requirement of the ladders which they serve. The ladder safety system must be capable of sustaining a minimum static load of 3,000 pounds. The applied loads transferred to the climbing ladder mounting locations as a result of a fall shall be specified by the manufacturer of the climbing ladder fall arrest system. Devices shall be attached at the top of the ladders as shown, by clamping to no less than the two top 1 3/8" diameter rungs. All ladder climbing device and cable sleeve components shall be stainless steel. Each ladder safety system must allow the worker to climb up and down using both hands and does not require the employee continuously, hold, push, or pull any part of the system while climbing. The connection between the carrier or lifeline and the point of attachment to the body harness does not exceed 9 inches. The ladder safety system consists of a flexible cable carrier. The size of the cable shall be 3/8-inch diameter 1x7 or 7x19 solid core. Mountings for flexible carrier are attached at each end of the carrier and cable guides for flexible carriers are installed at least 25 feet apart but not more than 40 feet apart along the entire length of the carrier. The design and installation of mountings and cable guides does not reduce the design strength of the ladder. Cable sleeves shall be detachable and have dual independent locking systems. The cable sleeve shall be equipped with a fall indicator, indicating when the device has sustained a fall, and needs to be removed from service. A total of six cable sleeve devices

shall be provided. Two copies of an Operation and Maintenance Manual for the ladder climbing devices shall be provided.

### PART 3 EXECUTION

#### 3.1 GENERAL INSTALLATION REQUIREMENTS

Install items at locations indicated, according to manufacturer's instructions. Verify all measurements and take all field measurements necessary before fabrication. Include materials and parts necessary to complete each item, even though such work is not definitely shown or specified. Poor matching of holes for fasteners will be cause for rejection. Form joints to exclude water. Items listed below require additional procedures.

#### 3.2 WORKMANSHIP

Metalwork must be well formed to shape and size, with sharp lines and angles and true curves. Drilling and punching must produce clean true lines and surfaces. Continuously weld along the entire area of contact. Do not tack weld exposed connections of work in place. Grind smooth exposed welds. Provide smooth finish on exposed surfaces of work in place, unless otherwise approved. Where tight fits are required, mill joints. Cope or miter corner joints, well formed, and in true alignment. Install in accordance with manufacturer's installation instructions and approved drawings, cuts, and details.

#### 3.3 ANCHORAGE, FASTENINGS, AND CONNECTIONS

Provide anchorage for ladder side rails as indicated.

#### 3.4 WELDING

Perform welding, welding inspection, and corrective welding, in accordance with AWS D1.1/D1.1M. Use continuous welds on all exposed connections. Grind visible welds smooth in the finished installation.

#### 3.5 FINISHES

##### 3.5.1 Dissimilar Materials

Where dissimilar metals are in contact, protect surfaces with a coat conforming to MPI 79 to prevent galvanic or corrosive action.

##### 3.5.2 Field Preparation

Surfaces, when assembled, must be free of rust, grease, dirt and other foreign matter.

##### 3.5.3 Environmental Conditions

Do not clean or touch up surfaces when damp or exposed to foggy or rainy weather, when metallic surface temperature is less than 5 degrees F above the dew point of the surrounding air, or when surface temperature is below 45 degrees F or over 95 degrees F, unless approved by the Contracting Officer.

-- End of Section --

**This page was intentionally left blank for duplex printing.**

SECTION TABLE OF CONTENTS

DIVISION 05 - METALS

SECTION 05 52 00

METAL RAILINGS

PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 ADMINISTRATIVE REQUIREMENTS
  - 1.2.1 Preinstallation Meetings
- 1.3 SUBMITTALS
- 1.4 QUALITY CONTROL
  - 1.4.1 Welding Procedures
  - 1.4.2 Welder Qualification

PART 2 PRODUCTS

- 2.1 FABRICATION
  - 2.1.1 Steel Handrails
  - 2.1.2 Protective Coating
- 2.2 COMPONENTS
  - 2.2.1 Structural Steel Plates, Shapes And Bars
  - 2.2.2 Steel Pipe
  - 2.2.3 Fasteners
  - 2.2.4 Steel Handrails and Posts
  - 2.2.5 Toe Plates
- 2.3 PLATFORM GUARDRAIL SAFETY GATES

PART 3 EXECUTION

- 3.1 PREPARATION
- 3.2 INSTALLATION
  - 3.2.1 Steel Handrail
  - 3.2.2 Repair of Zinc-Coated Surfaces
- 3.3 FIELD QUALITY CONTROL
  - 3.3.1 Field Welding

-- End of Section Table of Contents --

## SECTION 05 52 00

## METAL RAILINGS

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M (2020; Errata 1 2021) Structural Welding Code - Steel

## ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M (2019) Standard Specification for Carbon Structural Steel

ASTM A53/A53M (2020) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM A123/A123M (2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A780/A780M (2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings

## NATIONAL ASSOCIATION OF ARCHITECTURAL METAL MANUFACTURERS (NAAMM)

NAAMM AMP 521 (2001; R 2012) Pipe Railing Systems Manual

## 1.2 ADMINISTRATIVE REQUIREMENTS

## 1.2.1 Preinstallation Meetings

Submit fabrication drawings for the following items:

- a. Iron and steel hardware
- b. Steel shapes, plates, bars and strips
- c. Steel railings

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification

identifies the office that will review the submittal for the Government.  
Submit the following in accordance with Section 01 33 00 SUBMITTAL  
PROCEDURES:

SD-02 Shop Drawings

Fabrication Drawings; G, DO

Iron and Steel Hardware; G,DO

Steel Shapes, Plates, Bars and Strips; G,DO

Safety Gates; G, DO

SD-03 Product Data

Structural-Steel Plates, Shapes, and Bars; G,PO

Safety Gates; G, DO

SD-07 Certificates

Welding Procedures; G,PO

Welder Qualification; G,PO

SD-08 Manufacturer's Instructions

Installation Instructions

1.4 QUALITY CONTROL

1.4.1 Welding Procedures

Submit results of welding procedures testing in accordance with AWS D1.1/D1.1M made in the presence of the Contracting Officer and by an approved testing laboratory at the Contractor's expense.

1.4.2 Welder Qualification

Submit certified welder qualification by tests in accordance with AWS D1.1/D1.1M, or under an equivalent approved qualification test. In addition, perform tests on test pieces in positions and with clearances equivalent to those actually encountered. If a test weld fails to meet requirements, conduct an immediate retest of two test welds and ensure that each test weld passes. Failure in the immediate retest will require that the welder be retested after further practice or training and make a complete set of test welds.

PART 2 PRODUCTS

2.1 FABRICATION

Preassemble items in the shop to the greatest extent possible. Disassemble units only to the extent necessary for shipping and handling. Clearly mark units for reassembly and coordinated installation.

For the fabrication of work exposed to view, use only materials that are smooth and free of surface blemishes, including pitting, seam marks,

roller marks, rolled trade names, and roughness. Remove blemishes by grinding, or by welding and grinding, before cleaning, treating, and applying surface finishes, including zinc coatings.

Provide railing detail plans and elevations. Provide details of sections and connections.

Use materials of size and thicknesses indicated or, if not indicated, of the size and thickness necessary to produce adequate strength and durability in the finished product for its intended use. Work the materials to the dimensions indicated on approved detail drawings, using proven details of fabrication and support. Use the type of materials indicated or specified for the various components of work.

Form exposed work true to line and level, with accurate angles and surfaces and straight sharp edges. Bend metal corners to the smallest radius possible without causing grain separation or otherwise impairing the work.

Weld corners and seams continuously and in accordance with the recommendations of AWS D1.1/D1.1M and the indicated details. Grind exposed welds smooth and flush to match and blend with adjoining surfaces.

Provide anchorage of the type indicated and coordinated with the supporting structure. .

#### 2.1.1 Steel Handrails

Fabricate joint posts, rail, and corners by one of the following methods:

- a. Mitered and welded joints, as indicated, made by fitting post to top rail and intermediate rail to post, mitering corners, groove-welding joints, and grinding smooth.
- b. Railings may be bent at corners in lieu of jointing, provided that bends are made in suitable jigs and the pipe is not crushed.

#### 2.1.2 Protective Coating

Provide hot-dipped galvanized steelwork as indicated in accordance with ASTM A123/A123M. Touch up abraded surfaces and cut ends of galvanized members with zinc-dust, zinc-oxide primer, or an approved galvanizing repair compound.

### 2.2 COMPONENTS

#### 2.2.1 Structural Steel Plates, Shapes And Bars

Provide structural-size shapes and plates.

Provide steel plates conforming to ASTM A36/A36M.

#### 2.2.2 Steel Pipe

Provide pipe for handrails and support posts conforming to ASTM A53/A53M, type as selected, Grade B; galvanized; standard weight (Schedule 40).

#### 2.2.3 Fasteners

Provide stainless steel fasteners as indicated, and in accordance with

Section 05 05 20.00 27 POST-INSTALLED CONCRETE ANCHORS, where railings are attached to existing concrete floors.

#### 2.2.4 Steel Handrails and Posts

Provide handrails and posts to match, of the size and grade indicated, and ensure that handrails are designed to resist, without failure, a concentrated load of 200 lb in any direction, at any point of the top of the rail, and, as a separate load case, 50 lb per foot, applied horizontally to the top of the rail. Rail and post shall conform to NAAMM AMP 521.

#### 2.2.5 Toe Plates

Provide toe plates between railing posts as indicated, and welded to the post bases as indicated.

### 2.3 PLATFORM GUARDRAIL SAFETY GATES

Platforms with guardrails shall be provided with self-closing, latching safety gates at access points for wall mounted ladders, as indicated. Gates shall be commercially produced devices intended for the purpose of closing off railings at access points. Safety gates shall mount to guardrail posts and shall swing in the directions indicated, with a configuration that provides adequate clearance from the wall mounted ladders, and the indicated opening clearance, as a minimum. Gates shall have an operable latch to prevent accidental opening when in the closed position, and shall swing in one direction, and be blocked at closure to prevent swinging in the opposite direction. Gates shall be fabricated of steel, with pipe, conforming to ASTM A53, of size and dimensions to match the adjacent pipe guardrail. Safety rail components shall be hot-dip galvanized in accordance with ASTM A123/A123M. The safety gates shall be provided with a self-closing spring mechanism that seeks a closed and latched position when not held open. The gate rail elements, mounting, and latch system shall be designed in accordance with OSHA 29 CFR 1910.29 requirements for support of a 200 pound lateral load applied at the top of the gate at the hinge and/or latch point.

## PART 3 EXECUTION

### 3.1 PREPARATION

Adjust handrails before securing in place in order to ensure proper matching at butting joints and correct alignment throughout their length. Plumb posts in each direction as a part of the installation process.

### 3.2 INSTALLATION

Provide complete, detailed fabrication and installation drawings for all steel hardware, and for all steel shapes and plates used in accordance with the design specifications cited in this section. Install toe plates where indicated.

#### 3.2.1 Steel Handrail

Install handrail posts by means of welding to channel platform framing where shown, and by base plates anchored to existing concrete by post-installed concrete anchors where shown.

### 3.2.2 Repair of Zinc-Coated Surfaces

Repair damaged surfaces with galvanizing repair method and paint conforming to ASTM A780/A780M. Clean areas to be repaired and remove slag from welds.

## 3.3 FIELD QUALITY CONTROL

### 3.3.1 Field Welding

Ensure that procedures of manual shielded metal arc welding, appearance and quality of welds made, and methods used in correcting welding work comply with AWS D1.1/D1.1M.

-- End of Section --

## SECTION TABLE OF CONTENTS

## DIVISION 22 - PLUMBING

## SECTION 22 15 14.00 40

## SERVICE COMPRESSED-AIR SYSTEMS, LOW PRESSURE (125 PSI MAX)

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SUBMITTALS

## PART 2 PRODUCTS

- 2.1 SYSTEM DESCRIPTION
- 2.2 EQUIPMENT
  - 2.2.1 Valves
    - 2.2.1.1 Ball Valves (BAV)
    - 2.2.1.2 Standard Check Valves (SCV)
- 2.3 MATERIALS
  - 2.3.1 Aboveground Piping Materials
    - 2.3.1.1 Compressed Air Systems 125 Psig And Less
- 2.4 ACCESSORIES
  - 2.4.1 Miscellaneous Materials
    - 2.4.1.1 Pipe Thread Compounds
  - 2.4.2 Supporting Elements
    - 2.4.2.1 Building Structure Attachments

## PART 3 EXECUTION

- 3.1 INSTALLATION
  - 3.1.1 Aboveground Piping System
    - 3.1.1.1 Piping Systems
    - 3.1.1.2 Joints
    - 3.1.1.3 Supporting Elements Installation
  - 3.1.2 Compressed-Air Systems Identification
- 3.2 FIELD QUALITY CONTROL
  - 3.2.1 Compressed-Air Systems Testing
    - 3.2.1.1 Preliminary Stage Tests
    - 3.2.1.2 Test Gages
    - 3.2.1.3 Acceptance Pressure Testing
    - 3.2.1.4 Piping System Test Report
- 3.3 ADJUSTING AND CLEANING

-- End of Section Table of Contents --

## SECTION 22 15 14.00 40

## SERVICE COMPRESSED-AIR SYSTEMS, LOW PRESSURE (125 PSI MAX)

## PART 1 GENERAL

Provide new service compressed air system piping, fittings, service taps, and ancillary piping specialties including but not limited to various elbows and offsets, check valve, unions, isolation valves, pipe supports, anchoring systems, etc. and complete connection into the 1-1/4" FNPT tap on new 18" x 18" x 24" cast iron tee to be provided under this contract per specification section 23 64 26 Sump Pump Piping.

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.3	(2016) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B31.1	(2020) Power Piping
ASME B31.3	(2020) Process Piping
ASME B40.100	(2013) Pressure Gauges and Gauge Attachments
ASME BPVC	(2010) Boiler and Pressure Vessels Code

## ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2020) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A197/A197M	(2000; R 2019) Standard Specification for Cupola Malleable Iron
ASTM F593	(2017) Standard Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs

## MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
-----------	--

## 1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S"

classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Installation Drawings; G, DO

SD-03 Product Data

Aboveground Piping Materials; G, DO

Supporting Elements; G, DO

Valves; G, DO

SD-06 Test Reports

Piping System Test Report; G, PO

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Submit installation drawings for low-pressure (125 psi) service compressed air system piping and accessories in accordance with the paragraphs titled ABOVEGROUND PIPING MATERIALS.

2.2 EQUIPMENT

2.2.1 Valves

2.2.1.1 Ball Valves (BAV)

Ensure that ball valves conform to MSS SP-72 and are 2-pieces full port design.

Provide valves rated for service at 150 or more psi at 200 degrees F.

For valve bodies of 2 inch ips or smaller, use screwed end connections constructed of brass.

Provide balls and stems for valves 2 inch or smaller ips are Class C corrosion-resistant steel alloy with hard chrome plate. Ensure that electroless nickel plating conforms to ASTM B733.

Design valves that allow flow from either direction and that will seal equally tight in either direction.

Ensure that valves have flow areas that are the same size as the pipe flow area.

Do not provide valves with ball seals kept in place by spring washers. Ensure that all valves have adjustable packing glands. Use reinforced tetrafluoroethylene seats and seals.

Ensure that valve body construction is such that torque from a pipe with a

valve in installed condition does not tend to disassemble the valve by stripping setscrews or by loosening body end inserts or coupling nuts. Ensure that torque from a pipe is resisted by a one-piece body between end connections or by bolts in shear where the body has a mating flange or surface-bolted construction.

#### 2.2.1.2 Standard Check Valves (SCV)

Ensure standard check valves in sizes 2 inches and smaller are 125-psi , cast brass, swing check valves and provided with brass unions on both ends. Ensure swing-check pins are nonferrous and suitably hard for the service. Ensure the swing-check angle of closure is manufacturer's standard unless a specific angle is needed.

### 2.3 MATERIALS

#### 2.3.1 Aboveground Piping Materials

##### 2.3.1.1 Compressed Air Systems 125 Psig And Less

###### A. Type GCS Galvanized Carbon Steel

Provide Schedule 40, seamless, galvanized steel, conforming to ASTM A53/A53M, Grade B, Type S. Type F is acceptable .

For fittings 2 inches and under, provide 150-psig wsp, banded, galvanized, malleable iron, screwed, conforming to ASTM A197/A197M, ASME B16.3 .

For unions 2 inches and under, provide 300 psig wsp, female, screwed, galvanized, malleable iron with a brass-to-iron seat and a ground joint.

### 2.4 ACCESSORIES

#### 2.4.1 Miscellaneous Materials

##### 2.4.1.1 Pipe Thread Compounds

Use tetrafluoroethylene tape at least 3 mils thick for pipe sizes to and including 1 inch ips.

Tetrafluoroethylene dispersions and other suitable compounds may be used for other applications upon approval by the Contracting Officer.

##### 2.4.2 Supporting Elements

Provide all necessary piping system components and miscellaneous required supporting elements. Ensure that supporting elements are suitable for stresses imposed by system pressures and temperatures, and natural and other external forces.

Ensure that the supporting elements are FM-approved, UL-listed, and conform to requirements of ASME B31.3, and MSS SP-58, except as otherwise noted. Type devices specified herein are defined in MSS standards unless otherwise noted.

##### 2.4.2.1 Building Structure Attachments

Bolts, nuts, anchors, washers and all other types of supports necessary for the installation of the piping shall be Type 304 stainless steel

depending on the material being fastened. If used, stainless steel materials must conform to ASTM F593.

### PART 3 EXECUTION

#### 3.1 INSTALLATION

##### 3.1.1 Aboveground Piping System

###### 3.1.1.1 Piping Systems

Fabricate and install piping systems in accordance with ASME B31.3, MSS SP-58, ASME BPVC, and applicable AWS requirements.

Fabricate pipe to measurements established on the job and carefully work the pipe into place without springing or forcing the pipe.

Ensure that pipe, tubing, fittings, valves, equipment, and accessories are clean and free of all foreign material before installation. Clean pipe by a method approved by the Contracting Officer. Purge lines with dry, oil-free compressed air after erection, but do not rely on purging for removing all foreign matter. Purge lines at a velocity equal to 1 1/2 times the maximum normal flow velocity. During construction, protect the open ends of pipe, fittings, and valves at all times to prevent foreign matter from entering the pipe. Except when connections are actually underway, install plugs or caps on all pipe and component openings. Use plugs or caps that are commercially manufactured products.

Install piping straight and true, with approved offsets around obstructions and with necessary expansion bends or fitting offsets essential to a satisfactory installation and as may be necessary to increase headroom or to avoid interference with the building construction, electric conduit, or facilities equipment.

Use standard long sweep pipe fittings for changes in direction. Do not use mitered joints or unapproved pipe bends.

Make tee connections with screwed tee fittings.

Install piping in a manner that does not stress or strain connected equipment.

Make expansion bends in steel pipe from pipe sections and long-radius welding elbows that are 1 inch or larger. Ensure that expansion U-bends are cold-sprung and welded into the line. Anchor the line before removing the spreader from the expansion U-bend.

###### 3.1.1.2 Joints

Ream pipe ends before joint connections are made.

Make up screwed joints with joint compound.

Apply joint compounds to the male thread only, and exercise care to prevent the compound from reaching the interior of the pipe.

Provide screwed unions wherever required to permit convenient removal of equipment, valves, and piping accessories from the piping system.

### 3.1.1.3 Supporting Elements Installation

Provide supporting elements in accordance with the requirements of ASME B31.1, and MSS SP-58.

Whenever possible, use approved cast-in concrete inserts to attach to structures made of concrete.

Where possible, support vertical risers at the base at the intervals specified and guide the risers for lateral stability. Place clamps under fittings wherever possible. Support carbon steel pipe at each floor at not more than 15 foot intervals.

After the piping systems have been installed, tested, and placed in satisfactory operation, tighten the hanger rod nuts and jam nuts to prevent movement.

### 3.1.2 Compressed-Air Systems Identification

Protect and keep identification plates clean. Replace damaged and illegible identification plates at no additional expense.

Label and arrow piping at each point of entry and exit of piping passing through walls; at each change in direction, such as at elbows and tees; and in congested or hidden areas, at each point required to clarify service or indicate a hazard. Label each riser.

In long, straight runs, locate labels at distances that allow a label to be seen from the location of another label, but in no case allow the distance between labels to exceed 25 feet. Ensure that labels are legible from the primary service and operating area.

## 3.2 FIELD QUALITY CONTROL

### 3.2.1 Compressed-Air Systems Testing

Prior to acceptance of the work, pressure-test completed systems in the presence of the Contracting Officer.

Conduct testing in two stages: preliminary stage and acceptance stage, including gage tests.

Perform no testing until personnel not directly involved in the test have been evacuated from the area.

#### 3.2.1.1 Preliminary Stage Tests

Conduct pneumatic tests with powerhouse station service compressed air. Closely coordinate with powerhouse personnel prior to using compressed air for the tests.

Ensure that each system test includes a preliminary test in which the joints under test are swabbed with a standard high-strength film soap solution, so that bubbles, if any exist, can be observed at internal pressures of 5 psi or less.

When testing reveals that leakage exceeds specified limits, isolate and repair the leaks, replace defective materials where necessary, and retest the system until specified limits are met. Remake leaking gaskets with

new gaskets and new flange bolting, and discard used bolting and gaskets.

Other than standard piping flanges, plugs, caps and valves, only use commercially manufactured expandable elastomer plugs for sealing off piping for test purposes. Ensure that the published safe test pressure rating of any plug used is at least three times the actual test pressure being applied. During pneumatic testing or hydrostatic testing, evacuate personnel from areas where plugs are used.

Remove components that could be damaged by test pressure from the piping systems to be tested.

Check piping system components, such as valves, for proper operation under the system test pressure.

Do not add test media to a system during a test for a period specified or determined by the Contracting Officer.

Duration of a test is determined by the Contracting Officer and will be for a minimum of 15 minutes with a maximum of 24 hours. Test may be terminated by direction of the Contracting Officer at any point after it has been determined that the leakage rate is within limits.

To preclude injury and damage, take necessary precautions by venting the expansive force of compressed air trapped during high-pressure hydrostatic testing. When purging or vent valves are not provided, the Contracting Officer may require the removal of system component such as plugs or caps to verify that the water has reached all parts of the system.

Upon completion of testing, drain and purge the system with dry air.

Immediately repair visible leaks or defects in the pipeline.

#### 3.2.1.2 Test Gages

Ensure that test gages conform to ASME B40.100 and have a dial size of 4 inches. The maximum permissible scale range for a given test is such that the pointer during a test has a starting position at midpoint of the dial or within the middle third of the scale range. Ensure that the certification of accuracy and correction table bears a date no more than 90 calendar days before the gage is used in a test, and that it indicated the test gage number and the project number, unless otherwise approved by the Contracting Officer.

#### 3.2.1.3 Acceptance Pressure Testing

Test piping systems at 100 % of operating pressure anticipated using service compressed air system. Maintain test pressure for at least 2 hours with an allowable pressure drop of 2 psi during that time unless otherwise approved by the Contracting Officer.

Each acceptance test requires the signature of the Contracting Officer. Deliver two record copies to the Contracting Officer after acceptance.

#### 3.2.1.4 Piping System Test Report

Prepare and maintain test records of all piping systems tests. Ensure the records show the responsibilities of Governmental and Contractor test personnel, dates, test gage identification numbers, ambient temperatures,

pressure ranges, rates of pressure drop, and leakage rates. Submit reports to the Contracting Officer.

### 3.3 ADJUSTING AND CLEANING

Remove rust and dirt from the bore and exterior surface of all piping and equipment.

Flush and clean new steel piping with a suitable degreasing agent, , until visible grease, dirt, and other contaminants have been removed. Dispose of degreased waste material including the degreaser itself in accordance with written instructions received from the Environmental Authority having jurisdiction through the Contracting Officer and in accordance with all local, State, and Federal Regulations.

-- End of Section --

## SECTION TABLE OF CONTENTS

## DIVISION 23 - HEATING, VENTILATING, AND AIR CONDITIONING (HVAC)

## SECTION 23 64 26

## SUMP PUMP PIPING SYSTEMS

## PART 1 GENERAL

- 1.1 REFERENCES
- 1.2 SYSTEM DESCRIPTION
- 1.3 SUBMITTALS
- 1.4 MODIFICATIONS TO REFERENCES
- 1.5 SAFETY REQUIREMENTS
- 1.6 DELIVERY, STORAGE, AND HANDLING
- 1.7 PROJECT/SITE CONDITIONS
  - 1.7.1 Verification of Dimensions
  - 1.7.2 Drawings
  - 1.7.3 Accessibility

## PART 2 PRODUCTS

- 2.1 STANDARD COMMERCIAL PRODUCTS
- 2.2 ELECTRIC VALVE ACTUATORS
- 2.3 FLOORSTAND
- 2.4 VALVE EXTENSION STEM COMPONENTS
- 2.5 STEEL PIPING
  - 2.5.1 Fittings and End Connections (Joints)
    - 2.5.1.1 Flanged Connections
    - 2.5.1.2 Dielectric Connections
- 2.6 PIPING ACCESSORIES
  - 2.6.1 Pipe Hangers, Inserts, and Supports
- 2.7 EQUIPMENT APPURTENANCES
- 2.8 ELECTRICAL WORK
- 2.9 PAINTING OF NEW EQUIPMENT
  - 2.9.1 Factory Painting Systems
  - 2.9.2 Shop Painting Systems for Metal Surfaces
- 2.10 NAMEPLATES
- 2.11 RELATED COMPONENTS/SERVICES

## PART 3 EXECUTION

- 3.1 INSTALLATION
  - 3.1.1 Fittings and End Connections
    - 3.1.1.1 Threaded Connections
    - 3.1.1.2 Flanges and Unions
  - 3.1.2 Pipe Hangers, Inserts, and Supports
    - 3.1.2.1 C-Clamps
    - 3.1.2.2 Angle Attachments
    - 3.1.2.3 Vertical Pipe Supports
    - 3.1.2.4 Structural Attachments
  - 3.1.3 Pipe Anchors
- 3.2 CLEANING AND ADJUSTING

3.3 FIELD TESTS

3.3.1 Equipment and Component Isolation

3.3.2 Valve Actuators and Floorstand Operational Tests

3.4 INSTRUCTION TO GOVERNMENT PERSONNEL

-- End of Section Table of Contents --

## SECTION 23 64 26

## SUMP PUMP PIPING SYSTEMS

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

## AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.1	(2020) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.21	(2016) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B31.9	(2020) Building Services Piping

## AMERICAN WATER WORKS ASSOCIATION (AWWA)

ANSI/AWWA C222	(2018) Polyurethane Coatings And Linings For Steel Water Pipe And Fittings
AWWA C542-16	Electric Motor Actuators for Valves and Slide Gates

## ASTM INTERNATIONAL (ASTM)

ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM D520	(2000; R 2011) Zinc Dust Pigment
ASTM D3308	(2012; R 2017) Standard Specification for PTFE Resin Skived Tape
ASTM F593	(2017) Standard Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs

## MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25	(2018) Standard Marking System for Valves, Fittings, Flanges and Unions
MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
MSS SP-69	(2003; Notice 2012) Pipe Hangers and Supports - Selection and Application (ANSI

Approved American National Standard)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1

(2018) Motors and Generators

## 1.2 SYSTEM DESCRIPTION

Provide the water piping systems having the minimum service (design) temperature-pressure rating indicated. Provision of the piping systems, including materials, installation, workmanship, fabrication, assembly, erection, examination, inspection, and testing shall be in accordance with the required and advisory provisions of ASME B31.9 except as modified or supplemented by this specification section or design drawings. This specification section covers the water systems piping which is located within, on, and adjacent to building(s) within the building(s) 5 foot line.

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

### SD-03 Product Data

Valve Actuators; G, DO

Valve actuator submittal shall include followings at a minimum:

1. Product data sheets for make and model(include product data sheets for protective coating)
2. Complete actuator information, descriptive literature, specifications, and identification of materials of construction
3. Factory mechanical drawings of the complete actuator assembly including the electric motor, pedestal, and rod extensions.
4. Open/close and throttling sizing calculations.
5. Maximum torque capabilities of the operator mechanism and the operating torque requirement for each valve under the specified operation condition.
6. Motor and actuator characteristic data and nameplate data

Floorstand; G, DO

Valve Extension Stem Components; G, DO

Cast Iron Tee; G, DO

### SD-10 Operation and Maintenance Data

Requirements for data packages are specified Section 01 78 23 OPERATION AND MAINTENANCE DATA, except as supplemented and modified by this specification section.

Submit spare parts data for each different item of equipment specified, with operation and maintenance data packages. Include a complete list of parts and supplies, with current unit prices

and source of supply, a recommended spare parts list for 1 year of operation, and a list of the parts recommended by the manufacturer to be replaced on a routine basis.

Submit a list of qualified permanent service organizations with operation and maintenance data packages. Include service organization addresses and service area or expertise. The service organizations shall be reasonably convenient to the equipment installation and be able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

#### 1.4 MODIFICATIONS TO REFERENCES

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

#### 1.5 SAFETY REQUIREMENTS

Exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel shall be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Safety devices shall be installed so that proper operation of equipment is not impaired.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Proper protection and care of all material both before and during installation shall be the Contractor's responsibility. Any materials found to be damaged shall be replaced at the Contractor's expense. During installation, cap piping and similar openings to keep out dirt and other foreign matter. Any porous materials found to be contaminated with mold or mildew will be replaced at the Contractor's expense. Non-porous materials found to be contaminated with mold or mildew will be disinfected and cleaned prior to installation.

#### 1.7 PROJECT/SITE CONDITIONS

##### 1.7.1 Verification of Dimensions

The Contractor shall become familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

##### 1.7.2 Drawings

Because of the small scale of the drawings, it is not possible to indicate all offsets, fittings, and accessories that may be required. The Contractor shall carefully investigate the existing conditions that would affect the work to be performed and shall arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions.

### 1.7.3 Accessibility

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible.

## PART 2 PRODUCTS

### 2.1 STANDARD COMMERCIAL PRODUCTS

Materials and equipment shall be standard products of a manufacturer regularly engaged in the manufacturing of such products, which are of a similar material, design and workmanship. The standard products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. When possible, provide associated components such as floorstand, handwheel, valve extension stem, universal joints, stem guides, guide bearings and/or bushings from a single manufacturer or supplier.

The two year use shall include applications of equipment and materials under similar circumstances and of similar size. The 2 years experience shall be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures.

Products having less than a 2 year field service record shall be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. System components shall be environmentally suitable for the indicated locations.

The equipment items shall be supported by service organizations. These service organizations shall be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

### 2.2 ELECTRIC VALVE ACTUATORS

Actuators shall be from a single manufacturer, industrial grade, electric, multi turn as applicable and suitable for the existing 12" gate valves, and 18" wedge type gate valves (note that the existing valves (both 12" and 18") are equipped with 3:1 gear reducers). Actuators shall be manually operable by means of a lockable "Open" - "Close" lever or push buttons. Adjustable travel limits and torque protection must be provided with actuator controllers. The switch mechanism shall include switches to adequately control the integral motor of the actuator.

Actuators shall be provided with detachable drive bushing for machining to suit the valve extension stems on the existing pedestals.

Actuator enclosures shall be O-ring sealed and watertight. The motor and all other internal electrical elements of the actuator shall be protected from moisture and dust.

Actuator gearing shall be totally enclosed in an oil-filled gearcase. Grease lubrication is not acceptable.

Actuators shall be provided with a declutchable and lockable handwheel allowing manual override. Position limit indication must be capable to remain active in manual operation and motor operation allowing a signal to

be provided when the set position has been reached.

Each actuator shall be provided with local LCD electronic valve position indicator and additional mechanical position indicator.

All electric actuators shall conform to AWWA C542-16, Electric Motor Actuators for Valves and Slide Gates. Provide new actuators with factory standard coating systems (two corrosion resistant coats and enamel top coat). Actuators shall be rated for 480V/3PH power.

### 2.3 FLOORSTAND

New floorstand for the existing 6" ball valve shall be of high grade cast iron, cast steel, or fabricated steel with ample base area, non-rising stem, indicating type with vertical indicating slot. A bronze or brass indicator shall travel on a carbon steel threaded stem to indicate the position of the valve. The floorstand shall be operated by a 12" diameter cast iron handwheel. Floorstand shall be capable of taking all forces acting upon the system in both compression and tension with a safety factor of 2. The base shall have a sufficient number of holes of sufficient size to allow for installation of anchor bolts to take all forces acting upon the system with a factor of safety of 2. The upper neck flange shall mate to the operator that is being furnished. The distance from the base flange to the handwheel shall be minimum of 30". The floorstand shall be painted with two-part polyurethane coating (minimum of 25 mils Dry Film Thickness (DFT) in accordance with manufacturers' standard and ANSI/AWWA C222.

### 2.4 VALVE EXTENSION STEM COMPONENTS

Provide extension stem (minimum 1-1/4" sold round bar- carbon steel with powder coating or corrosion resistant primer coating), universal joints, stem couplings, adjustable stem guides (ductile iron-epoxy coated) and self-lubricated type guide bearings or bronze bushing to be used for the existing 6" ball valve at EL 1272.0 in unwatering sump. The contractor shall field verify the type of couplings required between new extension stem and the valve connections.

### 2.5 STEEL PIPING

#### 2.5.1 Fittings and End Connections (Joints)

Piping and fittings 3 inches and larger shall have flanged connections. The manufacturer of new cast iron tee fitting (18" x 18" x 24") shall be permanently identified on the body of the fitting in accordance with MSS SP-25. Submit product data information prior to fabricating new tee fitting. The design of new tee fitting (especially the design of side anchorage stiffeners) shall replicate the existing tee that is to be removed and replaced under this contract. It shall be noted that the contractor must field verify the configuration of the existing tee and its anchoring system to ensure new tee can be mounted rigidly to withstand the hydrostatic pressure anticipated. Refer to bridging drawings for all required threaded connections on new tee fitting. Newly fabricated tee shall be painted with two-part polyurethane coating (minimum of 25 mils Dry Film Thickness (DFT) in accordance with manufacturers' standard and ANSI/AWWA C222.

#### 2.5.1.1 Flanged Connections

Flanges shall conform to ASME B16.1, Class 125. Gaskets shall be nonasbestos compressed material in accordance with ASME B16.21, 1/16 inch thickness, full face or self-centering flat ring type. These gaskets shall contain aramid fibers bonded with styrene butadiene rubber (SBR) or nitrile butadiene rubber (NBR). Bolts, nuts, and bolt patterns shall conform to ASME B16.1.

#### 2.5.1.2 Dielectric Connections

Electrically insulate all dissimilar metals including bolts, nuts and washers from each other by couplings, unions, or flanges commercially manufactured for that purpose and rated for the service pressure and temperature. The isolation must prevent electrical current flow between adjacent parts.

### 2.6 PIPING ACCESSORIES

#### 2.6.1 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts, guides, and supports shall conform to MSS SP-58 and MSS SP-69. If ferrous materials are utilized provide hot-dipped galvanized hangers, inserts and supports. Where items are

### 2.7 EQUIPMENT APPURTENANCES

Bolts, nuts, anchors, washers and all other types of supports necessary for the installation of the equipment and piping that are expected to be submerged must be Type 316 stainless steel. The stainless steel materials must conform to ASTM F593. Dielectric connections must be provided in contacts between dissimilar metals.

### 2.8 ELECTRICAL WORK

The government shall disconnect existing electric valve actuators prior to removal of actuators. The government shall connect power and controls to the new electrical valve actuators after installation and prior to testing.

Provide high efficiency type, three-phase 480V, alternating-current motors, including motors that are part of a system.

Provide polyphase, squirrel-cage medium induction motors, including motors that are part of a system, that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 1. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor.

Motors shall be rated for continuous duty with the enclosure specified. Motor duty requirements shall allow for maximum frequency start-stop operation and minimum encountered interval between start and stop. Motor torque shall be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Provide motor starters complete with thermal overload protection and other necessary appurtenances. Motor bearings shall be fitted with grease supply fittings and grease relief to outside of the enclosure.

## 2.9 PAINTING OF NEW EQUIPMENT

New equipment painting shall be factory applied or shop applied, and shall be as specified herein, and provided under each individual section.

### 2.9.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided unless otherwise specified herein. The factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors shall withstand 500 hours in a salt-spray fog test.

Salt-spray fog test shall be in accordance with ASTM B117, and for that test, the acceptance criteria shall be as follows: immediately after completion of the test, the paint shall show no signs of blistering, wrinkling, or cracking, and no loss of 0.125 inch on either side of the scratch mark. The film thickness of the factory painting system applied on the equipment shall not be less than the film thickness used on the test specimen.

If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system shall be designed for the temperature service.

### 2.9.2 Shop Painting Systems for Metal Surfaces

Clean, retreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F shall be cleaned to bare metal.

Where hot-dip galvanized steel has been cut, resulting surfaces with no galvanizing shall be coated with a zinc-rich coating conforming to ASTM D520, Type I.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat shall be aluminum or light gray.

- a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F shall receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat.

## 2.10 NAMEPLATES

Motorized valve actuators and floorstand shall have the manufacturer's name, type or style, model or serial number on a plate secured to the item of equipment. The nameplate of the distributing agent will not be acceptable. Plates shall be durable and legible throughout equipment life and made of stainless steel. Plates shall be fixed in prominent locations with nonferrous screws or bolts.

## 2.11 RELATED COMPONENTS/SERVICES

### PART 3 EXECUTION

#### 3.1 INSTALLATION

##### 3.1.1 Fittings and End Connections

###### 3.1.1.1 Threaded Connections

Threaded connections shall be made with tapered threads and made tight with PTFE tape complying with ASTM D3308 or equivalent thread-joint compound applied to the male threads only. Not more than three threads shall show after the joint is made.

###### 3.1.1.2 Flanges and Unions

Except where copper tubing is used, union or flanged joints shall be provided in each line immediately preceding the connection to each piece of equipment. Flanged joints shall be assembled square end tight with matched flanges, gaskets, and bolts. Gaskets shall be suitable for the intended application.

##### 3.1.2 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts, and supports shall conform to MSS SP-58 and MSS SP-69, except as supplemented and modified in this specification section. Pipe hanger types 5, 12, and 26 shall not be used. Hangers used to support piping 2 inches and larger shall be fabricated to permit adequate adjustment after erection while still supporting the load. Piping subjected to vertical movement, when operating temperatures exceed ambient temperatures, shall be supported by variable spring hangers and supports or by constant support hangers.

###### 3.1.2.1 C-Clamps

Type 19 and 23 C-clamps shall be torqued per MSS SP-69 and have both locknuts and retaining devices, furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.

###### 3.1.2.2 Angle Attachments

Type 20 attachments used on angles and channels shall be furnished with an added malleable-iron heel plate or adapter.

###### 3.1.2.3 Vertical Pipe Supports

Vertical pipe shall be supported at each floor, except at slab-on-grade, and at intervals of not more than 15 feet, not more than 8 feet from end of risers, and at vent terminations.

###### 3.1.2.4 Structural Attachments

Attachment to building structure concrete and masonry shall be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors shall be applied with a safety factor not less than 5. Supports shall not be attached to metal decking. Supports shall not be attached to the underside of concrete filled floors or concrete roof decks unless approved by the Contracting Officer. Masonry anchors for overhead

applications shall be constructed of ferrous materials only. Structural steel brackets required to support piping, headers, and equipment, but not shown, shall be provided under this section. Material used for support shall be as specified under Section 05 12 00 STRUCTURAL STEEL.

### 3.1.3 Pipe Anchors

Anchors shall be provided where indicated. Unless indicated otherwise, anchors shall comply with the requirements specified. Anchors shall consist of heavy steel collars with lugs and bolts for clamping and attaching anchor braces, unless otherwise indicated. Anchor braces shall be installed in the most effective manner to secure the desired results using turnbuckles where required.

Supports, anchors, or stays shall not be attached where they will injure the structure or adjacent construction during installation or by the weight of expansion of the pipeline. Where pipe and conduit penetrations of vapor barrier sealed surfaces occur, these items shall be anchored immediately adjacent to each penetrated surface, to provide essentially zero movement within penetration seal.

### 3.2 CLEANING AND ADJUSTING

Pipes shall be cleaned free of scale and thoroughly flushed of all foreign matter. A temporary bypass shall be provided for all water coils to prevent flushing water from passing through coils. Strainers and valves shall be thoroughly cleaned. Prior to testing and balancing, air shall be removed from all water systems by operating the air vents. Temporary measures, such as piping the overflow from vents to a collecting vessel shall be taken to avoid water damage during the venting process. Air vents shall be plugged or capped after the system has been vented. Control valves and other miscellaneous equipment requiring adjustment shall be adjusted to setting indicated or directed.

### 3.3 FIELD TESTS

Field tests shall be conducted in the presence of the QC Manager or his designated representative and the COR to verify systems compliance with specifications. Any material, equipment, instruments, and personnel required for the test shall be provided by the Contractor.

#### 3.3.1 Equipment and Component Isolation

Prior to testing, equipment and components that cannot withstand the tests shall be properly isolated.

#### 3.3.2 Valve Actuators and Floorstand Operational Tests

Each existing gate valve operated by new electric valve actuator shall be cycled from fully open to fully closed a minimum of four (4) times. The valve positions shall be observed throughout the tests to verify that valves fully open and close including the accuracy check of the local displays of the valve actuator. Actuator commissioning of torque levels and position limits shall be performed through physical adjustment of a mechanical switch mechanism interface.

The existing 6" ball valve that is to be manually operated via new floorstand and extension stem shall also be cycled a minimum of four (4) times. All components such as universal joints and stem guides and

guide bearings shall be monitored for proper operation. Improper vibration and noises are noted during the test, contractor shall report to COR with a written statement. Any deficiencies found during the test shall be corrected at contractor's own expense.

#### 3.4 INSTRUCTION TO GOVERNMENT PERSONNEL

Furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the systems provided. Instructors shall be thoroughly familiar with all parts of the installation and shall be instructed in operating theory as well as practical operation and maintenance work. Submit a lesson plan for the instruction course for approval. The lesson plan and instruction course shall be based on the approved operation and maintenance data and maintenance manuals.

Conduct a training course for the operating staff and maintenance staff selected by the Contracting Officer. Give the instruction during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be one man-day.. Use approximately half of the time for classroom instruction and the other time for instruction at the location of equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

-- End of Section --