

CONTRACT DATA REQUIREMENTS LIST (1 Data Item)						Form Approved OMB No. 0704-0188				
<p>The public reporting burden for this collection of information is estimated to average 110 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Services Directorate (0704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. Please do not return your form to the above organization. Send completed form to the Government Issuing Contracting Officer for the Contract/PR No. listed in Block E.</p>										
A. CONTRACT LINE ITEM NO. 0003		B. EXHIBIT A		C. CATEGORY: TDP _____ TM _____ OTHER <u>MGMT</u>						
D. SYSTEM/ITEM HM&E SYSTEMS		E. CONTRACT/PR NO. TBD		F. CONTRACTOR TBD						
1. DATA ITEM NO. A010	2. TITLE OF DATA ITEM Small Business Utilization Report			3. SUBTITLE						
4. AUTHORITY (Data Acquisition Document No.) DI-MGMT-82041B		5. CONTRACT REFERENCE SOW Para 4.10		6. REQUIRING OFFICE NSWCPD D/40						
7. DD 250 REQ LT	9. DIST STATEMENT REQUIRED	10. FREQUENCY ONE/R*	12. DATE OF FIRST SUBMISSION See Blk16	14. DISTRIBUTION						
8. APP CODE N/A	E	11. AS OF DATE See Blk16	13. DATE OF SUBSEQUENT SUBMISSION See Blk16	a. ADDRESSEE		b. COPIES				
						Draft	Final Reg    Repro			
<b>16. REMARKS</b> BLK 4: Contractor format is acceptable.  The applicable issue of the documents cited herein, including their approval dates and dates of any applicable amendments, notices, and revisions, shall be as specified in the contract.  Small Business Utilization Report shall be in contractor format or as specified in the contract, and include: (1) Name of the small business subcontractor(s), whether the subcontractor(s) is: (a) Small Business; (b) Veteran-Owned Small Business; (c) Service Disabled Veteran-Owned Small Business; (d) HUBZONE Small Business; (e) Small Disadvantaged Business; (f) Women-Owned Small Business. Also, briefly describe the subcontractor(s) efforts performed.  The contractor shall report actual achievements relative to proposed small business utilization in performance of the contract (including subcontracting dollars/percentages), and the results of efforts to:  (1) Expand the pool of qualified small businesses through increased competition for acquisition of components, parts (includes hardware and software), and/or to perform sustainability or support services for {Insert title of acquisition}.  (2) Engage small businesses to serve as alternative sources in order to mitigate the risks of single point failures in the supply chain and increase the quality of supplies or services.  (3) Leverage Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) program funded technologies with high potential for transition into the {Insert title of acquisition}. - Report the number and dollar amount of Phase III SBIR or STTR contracts (DoDI 5000.02).  BLK 8: Government review and approval (or disapproval) and comments will be provided within 30 days after receipt of each submittal or revision unless otherwise specified. If the Government provides any comments, submit an update incorporating Government comments within 15 days after receipt of Government comments unless otherwise specified.  BLK 9: Distribution Statement E: Distribution authorized to DoD Components only (Proprietary Information). Other requests shall be referred to (MDA/SB -VB III SB Office)				COR		0	1	0		
				Tech POC		0	1	0		
				PCO		0	1			
				15. TOTAL				0	3	0
				G. PREPARED BY John Crum CRUM.JOHN.A.1383102436		H. DATE 19-Mar-21	I. APPROVED BY DESHMUKH.DESIREE.1241432439		J. DATE 11/2/2021	

17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

**CONTRACT DATA REQUIREMENTS LIST***(1 Data Item)*

<b>A. CONTRACT LINE ITEM NO.</b> 0003	<b>B. EXHIBIT</b> A	<b>C. CATEGORY:</b> TDP _____ TM _____ OTHER <u>MGMT</u>
<b>D. SYSTEM/ITEM</b> HM&E SYSTEMS	<b>E. CONTRACT/PR NO.</b> TBD	<b>F. CONTRACTOR</b> TBD

**16. REMARKS** *(Continued)*

Blocks 10, 11: The semiannual report shall be submitted for the six months ending March 31 and the twelve months ending September 30.

Blocks 12, 13: Reports are due 30 days after the close of each reporting period and within 30 days of contract completion.

WARNING – This document contains technical data whose export is restricted by the Arms Export Control Act (Title 22, U.S.C., Sec 2751, et seq.) or the Export Administration Act of 1979 (Title 50 U.S.C., App. 2401 et seq.), as amended. Violations of these export laws are subject to severe criminal penalties. Disseminate in accordance with provisions of DoD Directive 5230.25.

Limited Rights technical data and/or Restricted Rights computer software shall not be incorporated into the design of any systems, diagrams, design documentation packages, prototypes, and/or models simulation thereof under this contract without the prior written authorization of the Contracting Officer.

Technical data or computer software that is not properly included on a data rights assertion list incorporated into the contract shall NOT be delivered with any restrictive markings.

Under no circumstances shall technical data or computer software to be delivered under or in conjunction with this CDRL, be marked "Proprietary" (or similar Nonconforming marking(s)).

## INSTRUCTIONS FOR COMPLETING DD FORM 1423

(See DoD 5010.12-M for detailed instructions.)

### FOR GOVERNMENT PERSONNEL

**Item A.** Self-explanatory.

**Item B.** Self-explanatory.

**Item C.** Mark (X) appropriate category: TDP - Technical Data Package; TM - Technical Manual; Other - other category of data, such as "Provisioning," "Configuration Management," etc.

**Item D.** Enter name of system/item being acquired that data will support.

**Item E.** Self-explanatory (to be filled in after contract award).

**Item F.** Self-explanatory (to be filled in after contract award).

**Item G.** Signature of preparer of CDRL.

**Item H.** Date CDRL was prepared.

**Item I.** Signature of CDRL approval authority.

**Item J.** Date CDRL was approved.

**Item 1.** See DoD FAR Supplement Subpart 4.71 for proper numbering.

**Item 2.** Enter title as it appears on data acquisition document cited in Item 4.

**Item 3.** Enter subtitle of data item for further definition of data item (optional entry).

**Item 4.** Enter Data Item Description (DID) number, military specification number, or military standard number listed in DoD 5010.12-L (AMSDL), or one-time DID number, that defines data content and format requirements.

**Item 5.** Enter reference to tasking in contract that generates requirement for the data item (e.g., Statement of Work paragraph number).

**Item 6.** Enter technical office responsible for ensuring adequacy of the data item.

**Item 7.** Specify requirement for inspection/acceptance of the data item by the Government.

**Item 8.** Specify requirement for approval of a draft before preparation of the final data item.

**Item 9.** For technical data, specify requirement for contractor to mark the appropriate distribution statement on the data (ref. DoDD 5230.24).

**Item 10.** Specify number of times data items are to be delivered.

**Item 11.** Specify as-of date of data item, when applicable.

**Item 12.** Specify when first submittal is required.

**Item 13.** Specify when subsequent submittals are required, when applicable.

**Item 14.** Enter addressees and number of draft/final copies to be delivered to each addressee. Explain reproducible copies in Item 16.

**Item 15.** Enter total number of draft/final copies to be delivered.

**Item 16.** Use for additional/clarifying information for Items 1 through 15. Examples are: Tailoring of documents cited in Item 4; Clarification of submittal dates in Items 12 and 13; Explanation of reproducible copies in Item 14.; Desired medium for delivery of the data item.

### FOR THE CONTRACTOR

**Item 17.** Specify appropriate price group from one of the following groups of effort in developing estimated prices for each data item listed on the DD Form 1423.

a. Group I. Definition - Data which is not otherwise essential to the contractor's performance of the primary contracted effort (production, development, testing, and administration) but which is required by DD Form 1423.

Estimated Price - Costs to be included under Group I are those applicable to preparing and assembling the data item in conformance with Government requirements, and the administration and other expenses related to reproducing and delivering such data items to the Government.

b. Group II. Definition - Data which is essential to the performance of the primary contracted effort but the contractor is required to perform additional work to conform to Government requirements with regard to depth of content, format, frequency of submittal, preparation, control, or quality of the data item.

Estimated Price - Costs to be included under Group II are those incurred over and above the cost of the essential data item without conforming to Government requirements, and the administrative and other expenses related to reproducing and delivering such data item to the Government.

c. Group III. Definition - Data which the contractor must develop for his internal use in performance of the primary contracted effort and does not require any substantial change to conform to Government requirements with regard to depth of content, format, frequency of submittal, preparation, control, and quality of the data item.

Estimated Price - Costs to be included under Group III are the administrative and other expenses related to reproducing and delivering such data item to the Government.

d. Group IV. Definition - Data which is developed by the contractor as part of his normal operating procedures and his effort in supplying these data to the Government is minimal.

Estimated Price - Group IV items should normally be shown on the DD Form 1423 at no cost.

**Item 18.** For each data item, enter an amount equal to that portion of the total price which is estimated to be attributable to the production or development for the Government of that item of data.

These estimated data prices shall be developed only from those costs which will be incurred as a direct result of the requirement to supply the data, over and above those costs which would otherwise be incurred in performance of the contract if no data were required. The estimated data prices shall not include any amount for rights in data. The Government's right to use the data shall be governed by the pertinent provisions of the contract.